



Business, Transportation, and Housing

The Business, Transportation and Housing Agency oversees and coordinates the activities of various departments, offices and economic development programs with responsibility for maintaining the strength and efficiency of California's infrastructure and financial markets. These programs provide financial and programmatic regulation important to an efficient marketplace and community development, and transportation infrastructure for the safe and efficient flow of people and commerce. While the Alcoholic Beverage Control Appeals Board, California Transportation Commission, State Transit Assistance, and High-Speed Rail Authority are not within the Business, Transportation and Housing Agency, the subject matter is included in this section.

2100 Department of Alcoholic Beverage Control

The Department of Alcoholic Beverage Control is vested with the exclusive power to license and regulate persons and businesses engaged in the manufacture, importation, distribution and sale of alcoholic beverages in the State of California. The Department's mission is to administer the provisions of the Alcoholic Beverage Control Act in a manner that fosters and protects the health, safety, welfare, and economic well being of the people of California.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 Administration of the Alcoholic Beverage Control Act	400.1	427.9	427.9	\$48,137	\$54,367	\$56,156
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	400.1	427.9	427.9	\$48,137	\$54,367	\$56,156
FUNDING				2010-11*	2011-12*	2012-13*
0890 Federal Trust Fund				\$323	\$1,362	\$313
0995 Reimbursements				3,172	1,047	1,047
3036 Alcohol Beverages Control Fund				44,642	51,958	54,796
TOTALS, EXPENDITURES, ALL FUNDS				\$48,137	\$54,367	\$56,156

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Article XX, Section 22 of the California Constitution and Division 9 of the Business and Professions Code.

PROGRAM AUTHORITY

Administration of the Alcoholic Beverage Control Act:

Article XX, Section 22 of the California Constitution, and Division 9 of the Business and Professions Code.

MAJOR PROGRAM CHANGES

- The Budget proposes \$1.9 million from the Alcoholic Beverage Control Fund to upgrade the Department's radios to ensure they meet Federal Communications Commission mandates for interoperability with other law enforcement agencies.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Workforce Cap True-Up	\$-	-\$1,746	-18.5	\$-	-\$1,746	-18.5
Totals, Workload Budget Change Proposals	\$-	-\$1,746	-18.5	\$-	-\$1,746	-18.5
Other Workload Budget Adjustments						
• Health Care Adjustments per BL 11-30	\$-	\$333	-	\$-	\$400	-
• 2012-13 SWCAP	-	-	-	-	75	-
• Control Section 3.90 Adjustment	-	-795	-	-	-	-
• C.S. 3.91 Cell Phone Reduction	-	-22	-	-	-22	-
• Removal of 2011-12 SWCAP	-	-	-	-	-62	-
• Rental Rate Reduction per C.S. 3.91(b)	-	-130	-	-	-69	-
• Control Section 3.60 Adjustment	-	-143	-	-	-143	-
• Control Section 3.91 Operational Efficiency Plan	-	-300	-	-	-300	-
• Baseline Adjustment to Reflect Reduced Federal Grants	-	-	-	-	-1,062	-
Totals, Other Workload Budget Adjustments	\$-	-\$1,057	-	\$-	-\$1,183	-
Totals, Workload Budget Adjustments	\$-	-\$2,803	-18.5	\$-	-\$2,929	-18.5
Policy Adjustments						

* Dollars in thousands, except in Salary Range.

2100 Department of Alcoholic Beverage Control - Continued

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
• ABC Radio System Upgrade	\$-	\$-	-	\$-	\$1,914	-
Totals, Policy Adjustments	\$-	\$-	-	\$-	\$1,914	-
Totals, Budget Adjustments	\$-	-\$2,803	-18.5	\$-	-\$1,015	-18.5

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF THE ALCOHOLIC BEVERAGE CONTROL ACT

This program conducts three major activities:

- Licensing, which ensures that only qualified persons and legitimate businesses are licensed to sell, manufacture, or otherwise deal in alcoholic beverages.
- Compliance, which ensures adherence to the alcoholic beverage control laws and regulations by all persons operating within the alcoholic beverage industry.
- Administration, which provides staff support and conducts administrative hearings.

DETAILED EXPENDITURES BY PROGRAM

		2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS				
10	ADMINISTRATION OF THE ALCOHOLIC BEVERAGE CONTROL ACT			
	State Operations:			
0890	Federal Trust Fund	\$323	\$1,362	\$313
0995	Reimbursements	3,172	1,047	1,047
3036	Alcohol Beverages Control Fund	42,647	48,958	51,796
	Totals, State Operations	\$46,142	\$51,367	\$53,156
	Local Assistance:			
3036	Alcohol Beverages Control Fund	\$1,995	\$3,000	\$3,000
	Totals, Local Assistance	\$1,995	\$3,000	\$3,000
	TOTALS, EXPENDITURES			
	State Operations	46,142	51,367	53,156
	Local Assistance	1,995	3,000	3,000
	Totals, Expenditures	\$48,137	\$54,367	\$56,156

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures			
	Positions/Personnel Years	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES							
Authorized Positions (Equals Sch. 7A)	400.1	460.2	460.2	\$23,999	\$28,683	\$29,982	
Total Adjustments	-	-18.5	-18.5	-	-1,329	-1,329	
Estimated Salary Savings	-	-13.8	-13.8	-	-840	-879	
Net Totals, Salaries and Wages	400.1	427.9	427.9	\$23,999	\$26,514	\$27,774	
Staff Benefits	-	-	-	10,855	11,999	12,146	
Totals, Personal Services	400.1	427.9	427.9	\$34,854	\$38,513	\$39,920	
OPERATING EXPENSES AND EQUIPMENT				\$11,288	\$12,854	\$13,236	
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$46,142	\$51,367	\$53,156	

* Dollars in thousands, except in Salary Range.

2100 Department of Alcoholic Beverage Control - Continued

2 Local Assistance

	Expenditures		
	2010-11*	2011-12*	2012-13*
Local Law Enforcement Agency Grants	\$1,995	\$3,000	\$3,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,995	\$3,000	\$3,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,404	\$1,362	\$313
Budget Adjustment	-1,081	-	-
TOTALS, EXPENDITURES	\$323	\$1,362	\$313
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$3,172	\$1,047	\$1,047
3036 Alcohol Beverages Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$53,018	\$51,762	\$51,796
Allocation for employee compensation	55	333	-
Adjustment per Section 3.60	744	-143	-
Adjustment per Section 3.90	-1,791	-795	-
Adjustment per Section 3.91	-2,935	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-22	-
Adjustment per Section 3.91 (b) Rental Rate Reductions	-	-130	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-300	-
Totals Available	\$49,091	\$50,705	\$51,796
Unexpended balance, estimated savings	-6,444	-1,747	-
TOTALS, EXPENDITURES	\$42,647	\$48,958	\$51,796
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$46,142	\$51,367	\$53,156
2 LOCAL ASSISTANCE	2010-11*	2011-12*	2012-13*
3036 Alcohol Beverages Control Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$3,000	\$3,000	\$3,000
Totals Available	\$3,000	\$3,000	\$3,000
Unexpended balance, estimated savings	-1,005	-	-
TOTALS, EXPENDITURES	\$1,995	\$3,000	\$3,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,995	\$3,000	\$3,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$48,137	\$54,367	\$56,156

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
3036 Alcohol Beverages Control Fund [§]			
BEGINNING BALANCE	\$19,556	\$27,413	\$27,324
Prior year adjustments	1,582	-	-
Adjusted Beginning Balance	\$21,138	\$27,413	\$27,324
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
121000 Liquor License Fees	51,072	52,105	53,020

* Dollars in thousands, except in Salary Range.

2100 Department of Alcoholic Beverage Control - Continued

	2010-11*	2011-12*	2012-13*
161000 Escheat of Unclaimed Checks & Warrants	1	1	1
161400 Miscellaneous Revenue	<u>32</u>	<u>32</u>	<u>32</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$51,105</u>	<u>\$52,138</u>	<u>\$53,053</u>
Total Resources	\$72,243	\$79,551	\$80,377
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	157	105	101
2100 Department of Alcoholic Beverage Control			
State Operations	42,647	48,958	51,796
Local Assistance	1,995	3,000	3,000
8880 Financial Information System for California (State Operations)	<u>31</u>	<u>164</u>	<u>43</u>
Total Expenditures and Expenditure Adjustments	<u>\$44,830</u>	<u>\$52,227</u>	<u>\$54,940</u>
FUND BALANCE	\$27,413	\$27,324	\$25,437
Reserve for economic uncertainties	27,413	27,324	25,437

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Totals, Authorized Positions	400.1	460.2	460.2	\$23,999	\$28,683	\$29,982
Workload and Administrative Adjustments:						
Staff Counsel III - Spec	-	-1.0	-1.0	7,682-9,478	-114	-114
Dist Administrator	-	-1.0	-1.0	6,058-7,679	-92	-92
Supvng Investigator	-	-3.0	-3.0	5,369-6,802	-245	-245
Investigator	-	-10.0	-10.0	4,888-6,194	-743	-743
Office Tech - Typing	-	-1.0	-1.0	2,686-3,264	-39	-39
Pgrm Tech II	<u>-</u>	<u>-2.5</u>	<u>-2.5</u>	<u>2,638-3,209</u>	<u>-96</u>	<u>-96</u>
Totals, Workload & Admin Adjustments	<u>-</u>	<u>-18.5</u>	<u>-18.5</u>	<u>\$-</u>	<u>-\$1,329</u>	<u>-\$1,329</u>
Total Adjustments	<u>-</u>	<u>-18.5</u>	<u>-18.5</u>	<u>\$-</u>	<u>-\$1,329</u>	<u>-\$1,329</u>
TOTALS, SALARIES AND WAGES	400.1	441.7	441.7	\$23,999	\$27,354	\$28,653

2120 Alcoholic Beverage Control Appeals Board

The Alcoholic Beverage Control Appeals Board provides a forum of appeal to persons who are dissatisfied with the Department of Alcoholic Beverage Control's decisions to order penalties or issue, deny, condition, transfer, suspend, or revoke any alcoholic beverage license.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 Administrative Review	<u>7.8</u>	<u>8.0</u>	<u>8.0</u>	<u>\$867</u>	<u>\$983</u>	<u>\$1,023</u>
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	7.8	8.0	8.0	\$867	\$983	\$1,023
FUNDING				2010-11*	2011-12*	2012-13*
0117 Alcoholic Beverage Control Appeals Fund				<u>\$867</u>	<u>\$983</u>	<u>\$1,023</u>
TOTALS, EXPENDITURES, ALL FUNDS				\$867	\$983	\$1,023

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Article XX, Section 22, of the California Constitution and Division 9, Business and Professions Code.

* Dollars in thousands, except in Salary Range.

2120 Alcoholic Beverage Control Appeals Board - Continued

PROGRAM AUTHORITY

Division 9 of Business and Professions Code.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• 2012-13 Pro Rata	\$-	\$-	-	\$-	\$80	-
• Health care Adjustments (Item 9800 allocation) per BL 11-30	-	4	-	-	5	-
• Control Section 3.90 Adjustment	-	-13	-	-	-	-
• Control Section 3.91 Operational Efficiency Plan	-	-6	-	-	-6	-
• Workforce Cap True-Up	-	-31	-0.5	-	-31	-0.5
• Removal of 2011-12 Pro Rata	-	-	-	-	-54	-
Totals, Other Workload Budget Adjustments	\$-	-\$46	-0.5	\$-	-\$6	-0.5
Totals, Workload Budget Adjustments	\$-	-\$46	-0.5	\$-	-\$6	-0.5
Totals, Budget Adjustments	\$-	-\$46	-0.5	\$-	-\$6	-0.5

PROGRAM DESCRIPTIONS

10 - ADMINISTRATIVE REVIEW

This program provides an appeals process and issues decisions on appeals filed with the Alcoholic Beverage Control Appeals Board. These decisions are based on administrative hearings that involve license applications or alleged violations of the Alcoholic Beverage Control Act.

DETAILED EXPENDITURES BY PROGRAM

		2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS				
10	ADMINISTRATIVE REVIEW			
State Operations:				
0117	Alcoholic Beverage Control Appeals Fund	\$867	\$983	\$1,023
Totals, State Operations		\$867	\$983	\$1,023
TOTALS, EXPENDITURES				
State Operations		867	983	1,023
Totals, Expenditures		\$867	\$983	\$1,023

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures			
	Positions/Personnel Years	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES							
Authorized Positions (Equals Sch. 7A)	7.8	8.8	8.8	\$441	\$522	\$533	
Total Adjustments	-	-0.5	-0.5	-	-31	-31	
Estimated Salary Savings	-	-0.3	-0.3	-	-16	-16	
Net Totals, Salaries and Wages	7.8	8.0	8.0	\$441	\$475	\$486	
Staff Benefits	-	-	-	193	221	251	
Totals, Personal Services	7.8	8.0	8.0	\$634	\$696	\$737	
OPERATING EXPENSES AND EQUIPMENT				\$233	\$287	\$286	

* Dollars in thousands, except in Salary Range.

2120 Alcoholic Beverage Control Appeals Board - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$867	\$983	\$1,023

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0117 Alcoholic Beverage Control Appeals Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,032	\$1,029	\$1,023
Allocation for employee compensation	3	4	-
Adjustment per Section 3.60	12	-	-
Adjustment per Section 3.90	-31	-13	-
Adjustment per Section 3.91	-49	-	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-6	-
011 Budget Act appropriation (loan to the General Fund) as added per Chapter 13, Statutes of 2011	(500)	-	-
Totals Available	\$967	\$1,014	\$1,023
Unexpended balance, estimated savings	-100	-31	-
TOTALS, EXPENDITURES	\$867	\$983	\$1,023
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$867	\$983	\$1,023

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
0117 Alcoholic Beverage Control Appeals Fund ⁵			
BEGINNING BALANCE	\$1,260	\$1,255	\$1,646
Prior year adjustments	4	-	-
Adjusted Beginning Balance	\$1,264	\$1,255	\$1,646
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	1,361	1,375	1,389
Transfers and Other Adjustments:			
TO0001 To General Fund Loan per Item 2120-011-0117, Budget Act of 2010 as added by Chapter 13/2011	-500	-	-
Total Revenues, Transfers, and Other Adjustments	\$861	\$1,375	\$1,389
Total Resources	\$2,125	\$2,630	\$3,035
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	2	1	2
2120 Alcoholic Beverage Control Appeals Board (State Operations)	867	983	1,023
8880 Financial Information System for California (State Operations)	1	-	-
Total Expenditures and Expenditure Adjustments	\$870	\$984	\$1,025
FUND BALANCE	\$1,255	\$1,646	\$2,010
Reserve for economic uncertainties	1,255	1,646	2,010

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Totals, Authorized Positions	7.8	8.8	8.8	\$441	\$522	\$533
Workload and Administrative Adjustments:				Salary Range		
Student Assistant	-	-0.5	-0.5	1,458-1,941	-31	-31

* Dollars in thousands, except in Salary Range.

2120 Alcoholic Beverage Control Appeals Board - Continued

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Totals, Workload & Admin Adjustments	-	-0.5	-0.5	-	-31	-31
Total Adjustments	-	-0.5	-0.5	\$-	-\$31	-\$31
TOTALS, SALARIES AND WAGES	7.8	8.3	8.3	\$441	\$491	\$502

2150 Department of Financial Institutions

To protect and serve California's citizens through the effective regulation and supervision of financial institutions, such as banks and credit unions, licensed by the Department.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 Licensing and Supervision of Banks and Trust Companies	129.2	123.5	123.5	\$20,830	\$22,575	\$22,936
20 Money Transmitters	22.8	22.8	22.8	2,952	3,105	3,296
40 Administration of Local Agency Security	2.9	3.8	3.8	324	391	402
50 Supervision of California Business and Industrial Development Corporations	-	-	-	19	33	33
60 Credit Unions	55.0	54.1	54.1	6,558	7,349	7,460
70 Savings and Loan	-	-	-	-	104	104
80 Industrial Banks	7.2	7.6	7.6	923	992	1,011
90.01 Administration	52.1	51.3	51.3	6,001	6,643	6,822
90.02 Distributed Administration	-	-	-	-6,001	-6,643	-6,822
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	269.2	263.1	263.1	\$31,606	\$34,549	\$35,242
FUNDING				2010-11*	2011-12*	2012-13*
0240 Local Agency Deposit Security Fund				\$324	\$391	\$402
0298 Financial Institutions Fund				23,899	25,587	26,158
0299 Credit Union Fund				6,558	7,349	7,460
0995 Reimbursements				825	1,222	1,222
TOTALS, EXPENDITURES, ALL FUNDS				\$31,606	\$34,549	\$35,242

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Financial Code, Division 1, Chapter 2.

PROGRAM AUTHORITY

10-Licensing and Supervision of Banks and Trust Companies:

California Financial Code, Division 1 (Banking Law).

20-Money Transmitters:

California Financial Code, Division 1.2.

40-Administration of Local Agency Security:

Government Code Sections 53630-53686.

50-Supervision of California Business and Industrial Development Corporations:

California Financial Code, Division 15.

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

60-Credit Unions:

California Financial Code, Division 5.

70-Savings and Loan:

California Financial Code, Division 2.

80-Industrial Banks:

California Financial Code, Division 1, Chapter 15.

90-Administration:

California Financial Code, Division 1, Chapter 2.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustment	\$-	-\$312	-	\$-	\$83	-
• Retirement Rate Adjustment	-	153	-	-	153	-
• Limited-Term/Expiring Positions	-	-	-	-	-843	-4.7
• Operational Efficiency Plan	-	-194	-	-	-194	-
• Cell Phone Reductions	-	-51	-	-	-51	-
• Rental Rate Reductions	-	-101	-	-	-66	-
• Miscellaneous Adjustment for ProRata	-	-	-	-	263	-
Totals, Other Workload Budget Adjustments	\$-	-\$505	-	\$-	-\$655	-4.7
Totals, Workload Budget Adjustments	\$-	-\$505	-	\$-	-\$655	-4.7
Policy Adjustments						
• Convert Limited Term Positions to Permanent	\$-	\$-	-	\$-	\$843	4.7
Totals, Policy Adjustments	\$-	\$-	-	\$-	\$843	4.7
Totals, Budget Adjustments	\$-	-\$505	-	\$-	\$188	-

PROGRAM DESCRIPTIONS

10 - LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES

The objective of this program is to promote the integrity and stability of state-licensed banks and trust companies through the regulation and supervision of these institutions. Program activities include examinations at least once every two years to ensure that business is conducted in a safe and sound manner, and investigation of new bank and trust company applications.

20 - MONEY TRANSMITTERS

The objective of this program is to promote the integrity and stability of businesses selling payment instruments (money orders), traveler's checks, and those licensed to transmit money, through the regulation and supervision of these institutions. Examinations are conducted at any time to ensure the licensees are complying with the provisions of the law and operating in a safe and sound manner. In order to protect the public, a thorough examination of each new applicant is conducted before the license is issued.

40 - ADMINISTRATION OF LOCAL AGENCY SECURITY

As the Administrator of the Local Agency Security Program, the Commissioner monitors the amount and quality of collateral pledged to secure deposits of public funds made by approximately 1,500 local agencies. The Commissioner also administers local agency security for banks, savings and loans, credit unions, and industrial banks as well as federally chartered financial institutions.

50 - SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

This program licenses and regulates non-fiduciary businesses and industrial development corporations. The program ensures that business is conducted in a financially sound manner through both periodic examinations and analyses of required reports.

60 - CREDIT UNIONS

The primary objective of this program is to promote the integrity and stability of credit unions through the regulation and supervision of these institutions, including examinations to ensure they are operating in a safe and sound manner and complying with the appropriate provisions of the Financial Code relating to their operation.

70 - SAVINGS AND LOAN

The Savings and Loan Program ensures that savings associations comply with applicable laws and regulations.

80 - INDUSTRIAL BANKS

The Industrial Banks Program administers and enforces the provisions of the Financial Code relating to industrial loan companies. This includes processing applications for new companies and conducting examinations to determine compliance with applicable laws and regulations.

90 - ADMINISTRATION

The Administration Program provides services essential for the administration of the Department and its programs, including executive, legal, legislative, policy, fiscal, business services, and information technology.

DETAILED EXPENDITURES BY PROGRAM

		2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS				
10	LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES			
	State Operations:			
0298	Financial Institutions Fund	\$20,005	\$21,353	\$21,714
0995	Reimbursements	825	1,222	1,222
	Totals, State Operations	\$20,830	\$22,575	\$22,936
PROGRAM REQUIREMENTS				
20	MONEY TRANSMITTERS			
	State Operations:			
0298	Financial Institutions Fund	\$2,952	\$3,105	\$3,296
	Totals, State Operations	\$2,952	\$3,105	\$3,296
PROGRAM REQUIREMENTS				
40	ADMINISTRATION OF LOCAL AGENCY SECURITY			
	State Operations:			
0240	Local Agency Security Deposit Fund	\$324	\$391	\$402
	Totals, State Operations	\$324	\$391	\$402
PROGRAM REQUIREMENTS				
50	SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS			
	State Operations:			
0298	Financial Institutions Fund	\$19	\$33	\$33
	Totals, State Operations	\$19	\$33	\$33
PROGRAM REQUIREMENTS				
60	CREDIT UNIONS			
	State Operations:			
0299	Credit Union Fund	\$6,558	\$7,349	\$7,460
	Totals, State Operations	\$6,558	\$7,349	\$7,460
PROGRAM REQUIREMENTS				
70	SAVINGS AND LOAN			
	State Operations:			

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

	2010-11*	2011-12*	2012-13*
0298 Financial Institutions Fund	\$-	\$104	\$104
Totals, State Operations	\$-	\$104	\$104
PROGRAM REQUIREMENTS			
80 INDUSTRIAL BANKS			
State Operations:			
0298 Financial Institutions Fund	\$923	\$992	\$1,011
Totals, State Operations	\$923	\$992	\$1,011
TOTALS, EXPENDITURES			
State Operations	31,606	34,549	35,242
Totals, Expenditures	\$31,606	\$34,549	\$35,242

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	269.2	277.0	277.0	\$17,787	\$19,214	\$20,033
Estimated Salary Savings	-	-13.9	-13.9	-	-911	-952
Net Totals, Salaries and Wages	269.2	263.1	263.1	\$17,787	\$18,303	\$19,081
Staff Benefits	-	-	-	6,636	5,659	5,900
Totals, Personal Services	269.2	263.1	263.1	\$24,423	\$23,962	\$24,981
OPERATING EXPENSES AND EQUIPMENT				\$7,183	\$10,587	\$10,261
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$31,606	\$34,549	\$35,242

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0240 Local Agency Deposit Security Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$409	\$400	\$402
Allocation for employee compensation	2	1	-
Adjustment per Section 3.60	9	2	-
Adjustment per Section 3.90	-18	-6	-
Adjustment per Section 3.91	-28	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-1	-
Adjustment per Section 3.91 (b) Rental Rate Reductions	-	-2	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-3	-
Totals Available	\$374	\$391	\$402
Unexpended balance, estimated savings	-50	-	-
TOTALS, EXPENDITURES	\$324	\$391	\$402
0298 Financial Institutions Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$26,038	\$25,968	\$26,158
Allocation for employee compensation	76	36	-
Adjustment per Section 3.60	432	115	-
Adjustment per Section 3.90	-811	-270	-
Adjustment per Section 3.91	-1,300	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-40	-

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Adjustment per Section 3.91 (b) Rental Rate Reductions	-	-76	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-146	-
Totals Available	\$24,435	\$25,587	\$26,158
Unexpended balance, estimated savings	-536	-	-
TOTALS, EXPENDITURES	\$23,899	\$25,587	\$26,158
0299 Credit Union Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$7,501	\$7,464	\$7,460
Allocation for employee compensation	23	11	-
Adjustment per Section 3.60	129	36	-
Adjustment per Section 3.90	-261	-84	-
Adjustment per Section 3.91	-403	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-10	-
Adjustment per Section 3.91 (b) Rental Rate Reductions	-	-23	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-45	-
Totals Available	\$6,989	\$7,349	\$7,460
Unexpended balance, estimated savings	-431	-	-
TOTALS, EXPENDITURES	\$6,558	\$7,349	\$7,460
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$825	\$1,222	\$1,222
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$31,606	\$34,549	\$35,242

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
0240 Local Agency Deposit Security Fund ^s			
BEGINNING BALANCE	\$492	\$450	\$295
Prior year adjustments	-1	-	-
Adjusted Beginning Balance	\$491	\$450	\$295
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
121200 Other Regulatory Taxes	270	224	224
150300 Income From Surplus Money Investments	3	3	3
164300 Penalty Assessments	10	10	10
Total Revenues, Transfers, and Other Adjustments	\$283	\$237	\$237
Total Resources	\$774	\$687	\$532
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	-	1	1
2150 Department of Financial Institutions (State Operations)	324	391	402
Total Expenditures and Expenditure Adjustments	\$324	\$392	\$403
FUND BALANCE	\$450	\$295	\$129
Reserve for economic uncertainties	450	295	129
0298 Financial Institutions Fund ^s			
BEGINNING BALANCE	\$11,554	\$12,441	\$10,650
Prior year adjustments	1,687	-	-
Adjusted Beginning Balance	\$13,241	\$12,441	\$10,650
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

	2010-11*	2011-12*	2012-13*
121200 Other Regulatory Taxes	21,136	22,325	22,325
125700 Other Regulatory Licenses and Permits	134	101	101
150300 Income From Surplus Money Investments	80	80	80
161400 Miscellaneous Revenue	1,430	1,403	1,403
163000 Settlements/Judgments(not Anti-trust)	203	-	-
164300 Penalty Assessments	175	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$23,158</u>	<u>\$23,909</u>	<u>\$23,909</u>
Total Resources	\$36,399	\$36,350	\$34,559
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	44	35	33
2150 Department of Financial Institutions (State Operations)	23,899	25,587	26,158
8880 Financial Information System for California (State Operations)	15	78	21
Total Expenditures and Expenditure Adjustments	<u>\$23,958</u>	<u>\$25,700</u>	<u>\$26,212</u>
FUND BALANCE	\$12,441	\$10,650	\$8,347
Reserve for economic uncertainties	12,441	10,650	8,347
0299 Credit Union Fund ^s			
BEGINNING BALANCE	\$2,846	\$2,424	\$1,553
Prior year adjustments	<u>-287</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,559	\$2,424	\$1,553
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
121200 Other Regulatory Taxes	6,395	6,363	7,000
150300 Income From Surplus Money Investments	36	36	36
164300 Penalty Assessments	8	111	-
Total Revenues, Transfers, and Other Adjustments	<u>\$6,439</u>	<u>\$6,510</u>	<u>\$7,036</u>
Total Resources	\$8,998	\$8,934	\$8,589
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	12	10	10
2150 Department of Financial Institutions (State Operations)	6,558	7,349	7,460
8880 Financial Information System for California (State Operations)	4	22	6
Total Expenditures and Expenditure Adjustments	<u>\$6,574</u>	<u>\$7,381</u>	<u>\$7,476</u>
FUND BALANCE	\$2,424	\$1,553	\$1,113
Reserve for economic uncertainties	2,424	1,553	1,113

2180 Department of Corporations

The Department of Corporations, under the direction of the California Corporations Commissioner, provides consumer and investor protections by regulating the conduct of a variety of businesses, including securities brokers and dealers, investment advisers and financial planners, and certain fiduciaries and lenders. The Department also oversees the offer and sale of securities, franchises and off-exchange commodities.

The mission of the Department of Corporations is to:

- Ensure an orderly and transparent marketplace for investors, borrowers and industry through licensure and oversight.
- Promote financial literacy and educate the public about the risks and rewards in investing and borrowing.
- Foster a professional and innovative working environment.
- Protect the public from fraud and abuse through enforcing California's financial services laws.

3-YR EXPENDITURES AND PERSONNEL YEARS

* Dollars in thousands, except in Salary Range.

2180 Department of Corporations - Continued

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 Investment Program	140.5	160.1	160.5	\$16,072	\$24,018	\$23,234
20 Lender-Fiduciary Program	134.9	153.7	154.2	16,056	22,827	22,098
50.01 Administration	52.1	64.4	65.3	5,551	6,713	6,375
50.02 Distributed Administration	-52.1	-64.4	-65.3	-5,551	-6,713	-6,375
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	275.4	313.8	314.7	\$32,128	\$46,845	\$45,332
FUNDING				2010-11*	2011-12*	2012-13*
0067 State Corporations Fund				\$32,128	\$46,695	\$45,182
0995 Reimbursements				-	150	150
TOTALS, EXPENDITURES, ALL FUNDS				\$32,128	\$46,845	\$45,332

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Corporations Code, Section 25600.

PROGRAM AUTHORITY

10-Investment Program:

Corporations Code Sections 25000-25707, 28000-28958, 29000-29201, 29500-29567, 31000-31516; Title 10, California Code of Regulations, Sections 250.1-250.70, 260.000-260.617, 280.100-280.700, 290.570-290.571, and 310.000-310.505.

20-Lender-Fiduciary Program:

Financial Code Sections 4050-4060, 4970-4979.8, 12000-12404, 17000-17703, 22000-22780, 23000-23106, 30000-30704, and 50000-50706; Title 10, California Code of Regulations, Sections 1400-1596, 1700-1769, 1772-1799.1, 1805.001-1805.213.1, 1950.003-1950.317, and 2020-2031.10.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Information Technology Quality Network Replacement	\$-	\$-	-	\$-	\$4,297	6.6
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$4,297	6.6
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$410	-	\$-	\$123	-
• Retirement Rate Adjustment	-	138	-	-	138	-
• One Time Cost Reduction for Information Technology Quality Network Replacement	-	-	-	-	-6,420	-5.7
• Operational Efficiency Plan	-	-263	-	-	-263	-
• Cell Phone Reductions	-	-17	-	-	-17	-
• Miscellaneous Adjustments for ProRata	-	-	-	-	77	-
Totals, Other Workload Budget Adjustments	\$-	-\$552	-	\$-	-\$6,362	-5.7
Totals, Workload Budget Adjustments	\$-	-\$552	-	\$-	-\$2,065	0.9
Totals, Budget Adjustments	\$-	-\$552	-	\$-	-\$2,065	0.9

PROGRAM DESCRIPTIONS

10 - INVESTMENT PROGRAM

* Dollars in thousands, except in Salary Range.

2180 Department of Corporations - Continued

The Investment Program protects investors in securities and franchise investment transactions and promotes efficient capital formation in California. The program qualifies the offer and sale of certain securities, registers the sale of franchises, and licenses and examines broker-dealers and investment advisers.

20 - LENDER-FIDUCIARY PROGRAM

The Lender-Fiduciary Program protects the public when borrowing and entering into financial transactions with lenders and fiduciaries licensed by the Department. The program licenses and examines mortgage bankers, finance lenders, escrow companies, deferred deposit originators, bill payers and proraters.

50 - ADMINISTRATION

This program provides executive leadership and direction to the Department, including planning, policy development, budgeting, accounting, personnel, centralized support services, business and information technology services.

DETAILED EXPENDITURES BY PROGRAM

		<u>2010-11*</u>	<u>2011-12*</u>	<u>2012-13*</u>
PROGRAM REQUIREMENTS				
10	INVESTMENT PROGRAM			
	State Operations:			
0067	State Corporations Fund	\$16,072	\$23,868	\$23,084
0995	Reimbursements	-	150	150
	Totals, State Operations	\$16,072	\$24,018	\$23,234
ELEMENT REQUIREMENTS				
10.10	Corporate Securities Law	\$9,085	\$15,353	\$15,109
	State Operations:			
0067	State Corporations Fund	9,085	15,203	14,959
0995	Reimbursements	-	150	150
10.15	Broker/Dealers	\$798	\$3,631	\$3,395
	State Operations:			
0067	State Corporations Fund	798	3,631	3,395
10.25	Investment Advisers	\$3,704	\$3,050	\$2,838
	State Operations:			
0067	State Corporations Fund	3,704	3,050	2,838
10.35	Agent Monitoring Law	\$365	\$290	\$268
	State Operations:			
0067	State Corporations Fund	365	290	268
10.55	California Commodity Law	\$162	\$-	\$-
	State Operations:			
0067	State Corporations Fund	162	-	-
10.65	Franchise Investment Law	\$1,958	\$1,694	\$1,624
	State Operations:			
0067	State Corporations Fund	1,958	1,694	1,624
10.75	Capital Access Law	\$-	\$-	\$-
	State Operations:			
0067	State Corporations Fund	-	-	-
PROGRAM REQUIREMENTS				
20	LENDER-FIDUCIARY PROGRAM			
	State Operations:			
0067	State Corporations Fund	<u>\$16,056</u>	<u>\$22,827</u>	<u>\$22,098</u>
	Totals, State Operations	\$16,056	\$22,827	\$22,098
ELEMENT REQUIREMENTS				
20.10	Check Sellers, Bill Payers and Proraters	\$56	\$21	\$21
	State Operations:			

* Dollars in thousands, except in Salary Range.

2180 Department of Corporations - Continued

	2010-11*	2011-12*	2012-13*
0067 State Corporations Fund	56	21	21
20.20 Deferred Deposit Transaction Law	\$2,281	\$4,629	\$4,460
State Operations:			
0067 State Corporations Fund	2,281	4,629	4,460
20.30 Escrow Law	\$4,118	\$6,264	\$5,817
State Operations:			
0067 State Corporations Fund	4,118	6,264	5,817
20.85 California Mortgage Loan Originator	\$2,709	\$1,273	\$1,486
State Operations:			
0067 State Corporations Fund	2,709	1,273	1,486
20.90 California Finance Lenders Law	\$3,913	\$6,944	\$6,761
State Operations:			
0067 State Corporations Fund	3,913	6,944	6,761
20.95 Mortgage Bankers Law	\$2,979	\$3,696	\$3,553
State Operations:			
0067 State Corporations Fund	2,979	3,696	3,553
TOTALS, EXPENDITURES			
State Operations	32,128	46,845	45,332
Totals, Expenditures	\$32,128	\$46,845	\$45,332

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	275.4	320.0	320.0	\$17,189	\$20,934	\$21,724
Total Adjustments	-	-	1.0	-	-	-455
Estimated Salary Savings	-	-6.2	-6.3	-	-547	-524
Net Totals, Salaries and Wages	275.4	313.8	314.7	\$17,189	\$20,387	\$20,745
Staff Benefits	-	-	-	7,140	7,396	7,774
Totals, Personal Services	275.4	313.8	314.7	\$24,329	\$27,783	\$28,519
OPERATING EXPENSES AND EQUIPMENT				\$7,799	\$19,062	\$16,813
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$32,128	\$46,845	\$45,332
(State Operations)						

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0067 State Corporations Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$43,824	\$47,247	\$45,182
Allocation for employee compensation	123	72	-
Adjustment per Section 3.60	612	138	-
Adjustment per Section 3.90	-1,256	-482	-
Adjustment per Section 3.91	-2,034	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-17	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-263	-
011 Budget Act appropriation (Transfer to the General Fund)	(20,000)	-	-
Totals Available	\$41,269	\$46,695	\$45,182

* Dollars in thousands, except in Salary Range.

2180 Department of Corporations - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Unexpended balance, estimated savings	-9,141	-	-
TOTALS, EXPENDITURES	\$32,128	\$46,695	\$45,182
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	-	\$150	\$150
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$32,128	\$46,845	\$45,332

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
0067 State Corporations Fund^s			
BEGINNING BALANCE	\$71,519	\$53,498	\$38,425
Prior year adjustments	2,019	-	-
Adjusted Beginning Balance	\$73,538	\$53,498	\$38,425
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	26,526	26,123	26,698
125800 Renewal Fees	4,757	4,892	4,892
125900 Delinquent Fees	3	3	2
142500 Miscellaneous Services to the Public	10	10	10
150300 Income From Surplus Money Investments	297	240	240
161000 Escheat of Unclaimed Checks & Warrants	3	4	4
161900 Other Revenue - Cost Recoveries	-	2	2
163000 Settlements/Judgments(not Anti-trust)	482	300	300
164300 Penalty Assessments	104	91	91
Transfers and Other Adjustments:			
TO0001 To General Fund per Item 2180-011-0067, Budget Act of 2010	-20,000	-	-
Total Revenues, Transfers, and Other Adjustments	\$12,182	\$31,665	\$32,239
Total Resources	\$85,720	\$85,163	\$70,664
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	70	43	41
2180 Department of Corporations (State Operations)	32,128	46,695	45,182
8880 Financial Information System for California (State Operations)	24	-	-
Total Expenditures and Expenditure Adjustments	\$32,222	\$46,738	\$45,223
FUND BALANCE	\$53,498	\$38,425	\$25,441
Reserve for economic uncertainties	53,498	38,425	25,441

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Totals, Authorized Positions	275.4	320.0	320.0	\$17,189	\$20,934	\$21,724
Workload & Administrative Adjustments:				\$Salary Range		
Expiration of Limited Term Positions:						
Sr Programmer Analyst - Sup	-	-	-1.0	5,850-7,465	-	-162
Senior Info Systems Analyst - Spec	-	-	-1.0	5,571-7,109	-	-238
Staff Info Systems Analyst - Spec	-	-	-1.0	5,065-6,466	-	-145
Staff Programmer Analyst - Spec	-	-	-1.0	5,065-6,466	-	-145
Sys Software Specialist I - Tech	-	-	-1.0	5,064-6,465	-	-135
Assoc Info Systems Analyst - Spec	-	-	-1.0	4,619-5,897	-	-149
Totals, Workload & Administrative Adjustments	-	-	-6.0	\$-	\$-	-\$974

* Dollars in thousands, except in Salary Range.

2180 Department of Corporations - Continued

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Proposed New Positions:						
Sr Info Sys Analyst - Spec (1.0 LT pos exp 6-30-14)	-	-	1.0	5,571-7,109	-	79
Sr Programmer Analyst - Spec (1.0 LT pos exp 6-30-14)	-	-	1.0	5,571-7,109	-	77
Sys Software Spec II - Tech (1.0 LT pos exp 6-30-14)	-	-	1.0	5,561-7,097	-	79
Staff Info Sys Analyst - Spec (2.0 LT pos exp 6-30-14)	-	-	2.0	5,065-6,466	-	145
Sys Software Spec I - Tech (1.0 LT pos exp 6-30-14)	-	-	1.0	5,064-6,465	-	73
Assoc Programmer Analyst - Spec (1.0 LT pos exp 6-30-14)	-	-	1.0	4,619-5,897	-	66
Totals Proposed New Positions	-	-	7.0	\$-	\$-	\$519
Total Adjustments	-	-	1.0	\$-	\$-	-\$455
TOTALS, SALARIES AND WAGES	275.4	320.0	321.0	\$17,189	\$20,934	\$21,269

2240 Department of Housing and Community Development

The mission of the Department of Housing and Community Development (HCD) is to preserve and expand safe and affordable housing opportunities and promote strong communities for all Californians. The HCD: (1) administers housing finance, economic development and community development programs; (2) develops housing policy and advocates for an adequate housing supply; and (3) develops building codes and regulates manufactured homes and mobilehome parks. The HCD also provides technical and financial assistance to local agencies to support housing development.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 Codes and Standards Program	185.8	203.1	203.1	\$22,188	\$25,852	\$26,908
20 Financial Assistance Program	202.6	212.6	211.2	457,726	578,224	250,740
30 Housing Policy Development Program	20.9	10.5	10.5	2,524	26,207	1,220
50.01 Administration Program	113.4	117.3	117.3	9,821	12,027	12,115
50.02 Distributed Administration Program	-	-	-	-9,821	-12,027	-12,115
69 Loan Repayments Program	-	-	-	-5,992	-3,516	-3,513
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	522.7	543.5	542.1	\$476,446	\$626,767	\$275,355

FUNDING				2010-11*	2011-12*	2012-13*
0001	General Fund			\$8,487	\$7,350	\$7,372
0115	Air Pollution Control Fund			41	98	115
0245	Mobilehome Park Revolving Fund			5,209	6,624	6,914
0530	Mobilehome Park Purchase Fund			-115	6,335	6,377
0648	Mobilehome-Manufactured Home Revolving Fund			15,401	16,989	17,679
0714	Home Building and Rehabilitation Fund			503	551	550
0788	California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund			-	75	75
0813	Self - Help Housing Fund			67,601	78,922	1,756
0890	Federal Trust Fund			198,343	189,456	189,920
0927	Joe Serna, Jr. Farmworker Housing Grant Fund			25,217	6,107	5,854
0929	Housing Rehabilitation Loan Fund			79,713	146,502	21,890
0938	Rental Housing Construction Fund			4,701	6,195	5,990
0972	Manufactured Home Recovery Fund			309	517	534

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

FUNDING	2010-11*	2011-12*	2012-13*
0980 Predevelopment Loan Fund	-2,351	1,015	1,032
0985 Emergency Housing and Assistance Fund	27,036	21,870	1,972
0995 Reimbursements	487	542	574
3006 Jobs-Housing Balance Improvement Account	195	-	-
3144 Building Standards Administration Special Revolving Fund	408	529	552
3165 Enterprise Zone Fund	917	1,151	1,299
6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund	28,644	17,727	733
6068 Affordable Housing Innovation Fund	12,069	70,970	-
6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006	1,925	2,149	2,115
6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006	997	26,074	1,070
9736 Transit-Oriented Development Implementation Fund	<u>709</u>	<u>19,019</u>	<u>982</u>
TOTALS, EXPENDITURES, ALL FUNDS	\$476,446	\$626,767	\$275,355

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 65580 et seq.; Health and Safety Code Sections 18000 et seq. and 50000 et seq.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Annual Housing Bond Adjustments	\$-	\$232,500	-	\$-	\$10,008	-1.5
• Employee Compensation Adjustments	-13	-548	-	9	262	-
• Retirement Rate Adjustment	12	186	-	12	186	-
• Miscellaneous Adjustments	-1,438	-1,303	-	-1,438	-75	-
• Carryover/Reappropriation	-	70,980	-	-	-	-
• Limited Term Positions/Expiring Programs	-	-861	-	-	-60,861	-
Totals, Other Workload Budget Adjustments	-\$1,439	\$300,954	-	-\$1,417	-\$50,480	-1.5
Totals, Workload Budget Adjustments	-\$1,439	\$300,954	-	-\$1,417	-\$50,480	-1.5
Totals, Budget Adjustments	-\$1,439	\$300,954	-	-\$1,417	-\$50,480	-1.5

PROGRAM DESCRIPTIONS

10 - CODES AND STANDARDS PROGRAM

The objective of this program is to protect the public's health, safety, and general welfare in buildings and structures designed for human occupancy through the enforcement of the relevant provisions of the California Health and Safety Code, including the State Housing Law, Employee Housing Act, Mobilehome Parks Act, Special Occupancy Parks Act, California Factory-Built Housing Law, and the Mobilehome-Manufactured Housing Act of 1980. The program also enforces federal and state standards for the construction, safety, sales, and titling of manufactured homes, mobilehomes, and commercial modulars.

20 - FINANCIAL ASSISTANCE PROGRAM

The objectives of this program are to: (1) increase housing supply by providing loans and grants to develop and preserve affordable housing for lower-income households and (2) promote economic, community, and job development primarily by awarding state and federal housing funds, including funds authorized by the Housing and Emergency Shelter Trust Fund Act of 2006 (Proposition 1C). The program also promotes economic and job development through administration of the state Enterprise Zone Program and federal Community Development Block Grant Program.

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

30 - HOUSING POLICY DEVELOPMENT PROGRAM

The objective of this program is to help ensure an adequate supply of housing affordable to all income groups through formulation of housing policy, technical assistance and oversight for local housing plans, direct assistance to private and public housing providers, administration of planning and incentive grant programs and information sharing.

50 - ADMINISTRATION PROGRAM

This program provides: (1) effective executive leadership in designing, implementing, and communicating housing programs and policies; (2) fiduciary oversight and sound fiscal management through its audit, accounting, and budget functions; (3) development, review, and implementation of legislation affecting the Department; (4) housing-specific legal expertise; and (5) support services in the areas of personnel, information technology solutions, business services, and contract management.

69 - LOAN REPAYMENTS PROGRAM

This program displays the estimated repayments of loans made under the Department's Financial Assistance Program.

DETAILED EXPENDITURES BY PROGRAM

		2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS				
10	CODES AND STANDARDS PROGRAM			
	State Operations:			
0001	General Fund	\$411	\$491	\$495
0245	Mobilehome Park Revolving Fund	5,209	6,624	6,914
0648	Mobilehome-Manufactured Home Revolving Fund	15,401	16,989	17,679
0890	Federal Trust Fund	47	250	250
0972	Manufactured Home Recovery Fund	144	267	284
0995	Reimbursements	403	452	484
3144	Building Standards Administrative Special Revolving Fund	408	529	552
	Totals, State Operations	\$22,023	\$25,602	\$26,658
	Local Assistance:			
0972	Manufactured Home Recovery Fund	\$165	\$250	\$250
	Totals, Local Assistance	\$165	\$250	\$250
PROGRAM REQUIREMENTS				
20	FINANCIAL ASSISTANCE PROGRAM			
	State Operations:			
0001	General Fund	\$1,156	\$1,195	\$1,213
0530	Mobilehome Park Purchase Fund	485	638	677
0714	Home Building and Rehabilitation Fund	271	301	300
0813	Self - Help Housing Fund	1,198	1,922	1,756
0890	Federal Trust Fund	9,968	11,267	11,731
0927	Joe Serna, Jr. Farmworker Housing Grant Fund	2,023	2,524	2,271
0929	Housing Rehabilitation Loan Fund	7,237	11,037	10,925
0938	Rental Housing Construction Fund	1,533	2,546	2,341
0980	Predevelopment Loan Fund	221	315	332
0985	Emergency Housing and Assistance Fund	1,274	1,870	1,972
0995	Reimbursements	84	90	90
3165	Enterprise Zone Fund	917	1,151	1,299
6038	Building Equity and Growth in Neighborhoods (BEGIN) Fund	477	727	733
6068	Affordable Housing Innovation Fund	657	3,657	-
6069	Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006	1,925	2,149	2,115
9736	Transit-Oriented Development Implementation Fund	709	1,019	982

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

		2010-11*	2011-12*	2012-13*
Totals, State Operations		\$30,135	\$42,408	\$38,737
Local Assistance:				
0001	General Fund	\$5,629	\$5,629	\$5,629
0530	Mobilehome Park Purchase Fund	2	6,500	6,500
0714	Home Building and Rehabilitation Fund	232	250	250
0788	California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund	-	75	75
0813	Self - Help Housing Fund	66,405	77,000	-
0890	Federal Trust Fund	188,328	177,939	177,939
0927	Joe Serna, Jr. Farmworker Housing Grant Fund	23,246	3,610	3,610
0929	Housing Rehabilitation Loan Fund	73,335	135,850	11,350
0938	Rental Housing Construction Fund	3,183	3,650	3,650
0980	Predevelopment Loan Fund	1,890	3,000	3,000
0985	Emergency Housing and Assistance Fund	25,762	20,000	-
6038	Building Equity and Growth in Neighborhoods (BEGIN) Fund	28,167	17,000	-
6068	Affordable Housing Innovation Fund	11,412	67,313	-
9736	Transit-Oriented Development Implementation Fund	-	18,000	-
Totals, Local Assistance		\$427,591	\$535,816	\$212,003
PROGRAM REQUIREMENTS				
30 HOUSING POLICY DEVELOPMENT PROGRAM				
State Operations:				
0001	General Fund	\$1,291	\$35	\$35
0115	Air Pollution Control Fund	41	98	115
3006	Jobs-Housing Balance Improvement Account	195	-	-
6071	Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006	997	1,074	1,070
Totals, State Operations		\$2,524	\$1,207	\$1,220
Local Assistance:				
6071	Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006	-	25,000	-
Totals, Local Assistance		\$-	\$25,000	\$-
PROGRAM REQUIREMENTS				
69 LOAN REPAYMENTS PROGRAM				
Local Assistance:				
0530	Mobilehome Park Purchase Fund	-\$602	-\$803	-\$800
0813	Self - Help Housing Fund	-2	-	-
0927	Joe Serna Jr. Farmworker Housing Grant Fund	-52	-27	-27
0929	Housing Rehabilitation Loan Fund	-859	-385	-385
0938	Rental Housing Construction Fund	-15	-1	-1
0980	Predevelopment Loan Fund	-4,462	-2,300	-2,300
Totals, Local Assistance		-\$5,992	-\$3,516	-\$3,513
TOTALS, EXPENDITURES				
State Operations		54,682	69,217	66,615
Local Assistance		421,764	557,550	208,740
Totals, Expenditures		\$476,446	\$626,767	\$275,355

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	522.7	572.1	572.1	\$29,882	\$33,894	\$34,731
Total Adjustments	-	-	-1.5	-	-	-36
Estimated Salary Savings	-	-28.6	-28.5	-	-1,665	-1,735
Net Totals, Salaries and Wages	522.7	543.5	542.1	\$29,882	\$32,229	\$32,960
Staff Benefits	-	-	-	11,963	12,651	13,184
Totals, Personal Services	522.7	543.5	542.1	\$41,845	\$44,880	\$46,144
OPERATING EXPENSES AND EQUIPMENT				\$12,837	\$24,337	\$20,471
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$54,682	\$69,217	\$66,615

2 Local Assistance	Expenditures		
	2010-11*	2011-12*	2012-13*
Grants and Subventions	\$231,605	\$406,391	\$191,078
Loans	196,151	154,675	21,175
Special Adjustments-Loan Repayments	-5,992	-3,516	-3,513
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$421,764	\$557,550	\$208,740

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,246	\$3,160	\$1,743
Allocation for employee compensation	15	8	-
Adjustment per Section 3.60	55	12	-
Adjustment per Section 3.90	-152	-21	-
Adjustment per Section 3.91	-193	-	-
Adjustment per Section 3.91 (a)	-	-1,384	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-1	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-53	-
011 Budget Act appropriation (Loan to the Enterprize Zone Fund)	(510)	-	-
Totals Available	\$2,971	\$1,721	\$1,743
Unexpended balance, estimated savings	-113	-	-
TOTALS, EXPENDITURES	\$2,858	\$1,721	\$1,743
0101 School Facilities Fee Assistance Fund			
APPROPRIATIONS			
011 Budget Act appropriation (Transfer to the General Fund) as added by Chapter 13, Statutes of 2011	(\$880)	\$-	\$-
TOTALS, EXPENDITURES	\$-	\$-	\$-
0115 Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$54	\$98	\$115
Adjustment per Section 3.60	1	-	-
Totals Available	\$55	\$98	\$115
Unexpended balance, estimated savings	-14	-	-

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
TOTALS, EXPENDITURES	\$41	\$98	\$115
0245 Mobilehome Park Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,312	\$6,642	\$6,914
Allocation for employee compensation	20	19	-
Adjustment per Section 3.60	106	22	-
Adjustment per Section 3.90	-	-56	-
Adjustment per Section 3.91	-409	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-3	-
Totals Available	\$6,029	\$6,624	\$6,914
Unexpended balance, estimated savings	-820	-	-
TOTALS, EXPENDITURES	\$5,209	\$6,624	\$6,914
0530 Mobilehome Park Purchase Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$620	\$643	\$677
Allocation for employee compensation	2	2	-
Adjustment per Section 3.60	10	2	-
Adjustment per Section 3.90	-	-9	-
Adjustment per Section 3.91	-30	-	-
Totals Available	\$602	\$638	\$677
Unexpended balance, estimated savings	-117	-	-
TOTALS, EXPENDITURES	\$485	\$638	\$677
0648 Mobilehome-Manufactured Home Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$16,070	\$17,029	\$17,679
Allocation for employee compensation	65	54	-
Adjustment per Section 3.60	271	56	-
Adjustment per Section 3.90	-	-143	-
Adjustment per Section 3.91	-978	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-7	-
Totals Available	\$15,428	\$16,989	\$17,679
Unexpended balance, estimated savings	-27	-	-
TOTALS, EXPENDITURES	\$15,401	\$16,989	\$17,679
0714 Home Building and Rehabilitation Fund			
APPROPRIATIONS			
Chapter 30 and 48, Statutes of 1988: Transfer from Local Assistance for Transfer to Rental Housing Construction Fund	\$180	\$211	\$210
Prior year balances available:			
Chapter 30 and 48, Statutes of 1988: Transfer from Local Assistance for Transfer to Housing Rehabilitation Loan Fund	91	90	90
TOTALS, EXPENDITURES	\$271	\$301	\$300
0813 Self - Help Housing Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$131	\$119	\$107
Adjustment per Section 3.60	3	1	-
Adjustment per Section 3.90	-	-2	-
Adjustment per Section 3.91	-7	-	-
Health and Safety Code Section 50697.1 (CalHome Program)	64	64	65
Health and Safety Code Sections 50697.1 and 53533 (a)(5)(A)	239	361	359

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Health and Safety Code Section 50697.1 and 53545 (a)(1)(D) (CalHome Program and Self-Help Housing Program)	839	1,379	1,225
Totals Available	\$1,269	\$1,922	\$1,756
Unexpended balance, estimated savings	-71	-	-
TOTALS, EXPENDITURES	\$1,198	\$1,922	\$1,756
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$13,334	\$11,605	\$11,981
Allocation for employee compensation	33	36	-
Adjustment per Section 3.60	187	38	-
Adjustment per Section 3.90	-954	-155	-
Adjustment per Section 3.91	-408	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-7	-
Budget Adjustment	-2,177	-	-
TOTALS, EXPENDITURES	\$10,015	\$11,517	\$11,981
0927 Joe Serna, Jr. Farmworker Housing Grant Fund			
APPROPRIATIONS			
011 Budget Act appropriation (Transfer to the General Fund)	(\$965)	\$-	\$-
Health and Safety Code Section 50517.5	306	488	454
Health and Safety Code Sections 50517.5 and 53533 (a)(4)(A)	599	404	258
Health and Safety Code Section 50517.5 and 53545 (a)(1)(C) (Joe Serna, Jr. Farmworker Housing Program)	1,118	1,632	1,559
TOTALS, EXPENDITURES	\$2,023	\$2,524	\$2,271
0929 Housing Rehabilitation Loan Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,326	\$2,762	\$2,241
Allocation for employee compensation	5	5	-
Adjustment per Section 3.60	39	8	-
Adjustment per Section 3.90	-	-38	-
Adjustment per Section 3.91	-86	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-1	-
011 Budget Act appropriation (Loan to the General Fund) as added by Chapter 13, Statutes of 2010	(18,000)	-	-
Health and Safety Code Section 50661 (Multi-Family Housing Program)	710	3,037	4,062
Health and Safety Code Section 50661 (Monitoring and Management)	841	1,328	1,336
Health and Safety Code Section 50661	91	90	90
Health and Safety Code Sections 50661 and 53533 (a)(1)(A)	1,930	358	283
Health and Safety Code Section 50661 and 53545 (a)(1)(A) and (B) Multifamily Housing Program and Homeless Youth Program and Supportive Housing)	2,447	3,578	3,003
Totals Available	\$8,303	\$11,127	\$11,015
Unexpended balance, estimated savings	-975	-	-
TOTALS, EXPENDITURES	\$7,328	\$11,127	\$11,015
Less funding provided by the Home Building and Rehabilitation Fund	-91	-90	-90
NET TOTALS, EXPENDITURES	\$7,237	\$11,037	\$10,925
0938 Rental Housing Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,115	\$1,176	\$909
Allocation for employee compensation	2	3	-
Adjustment per Section 3.60	18	4	-
Adjustment per Section 3.90	-	-16	-

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Adjustment per Section 3.91	-45	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-1	-
Health and Safety Code Section 50740 (RHCP Original)	725	1,381	1,432
Health and Safety Code Section 50740 (RHCP Rental Housing Construction Program)	105	110	110
Health and Safety Code Section 50740	75	100	100
Totals Available	\$1,995	\$2,757	\$2,551
Unexpended balance, estimated savings	-282	-	-
TOTALS, EXPENDITURES	\$1,713	\$2,757	\$2,551
Less funding provided by the Home Building and Rehabilitation Loan Fund	-180	-211	-210
NET TOTALS, EXPENDITURES	\$1,533	\$2,546	\$2,341
0972 Manufactured Home Recovery Fund			
APPROPRIATIONS			
Health and Safety Code Section 18070.6 (Claims Against Dealers or Salespersons)	\$144	\$267	\$284
TOTALS, EXPENDITURES	\$144	\$267	\$284
0980 Predevelopment Loan Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$310	\$317	\$332
Allocation for employee compensation	1	1	-
Adjustment per Section 3.60	5	1	-
Adjustment per Section 3.90	-	-4	-
Adjustment per Section 3.91	-11	-	-
Totals Available	\$305	\$315	\$332
Unexpended balance, estimated savings	-84	-	-
TOTALS, EXPENDITURES	\$221	\$315	\$332
0985 Emergency Housing and Assistance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$162	\$175
Adjustment per Section 3.90	-	-2	-
Health and Safety Code Section 50800.5	79	-	-
Health and Safety Code Sections 50800.5 and 53533 (a)(5)(A)	313	1,148	1,151
Health and Safety Code Sections 50800.5 and 53545 (a)(1)(H)	882	562	646
TOTALS, EXPENDITURES	\$1,274	\$1,870	\$1,972
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$487	\$542	\$574
3006 Jobs-Housing Balance Improvement Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$294	\$-	\$-
Adjustment per Section 3.90	-94	-	-
Totals Available	\$200	\$-	\$-
Unexpended balance, estimated savings	-5	-	-
TOTALS, EXPENDITURES	\$195	\$-	\$-
3144 Building Standards Administration Special Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$476	\$529	\$552
Allocation for employee compensation	1	2	-
Adjustment per Section 3.60	8	2	-
Adjustment per Section 3.90	-	-4	-
Adjustment per Section 3.91	-26	-	-

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

1 STATE OPERATIONS	<u>2010-11*</u>	<u>2011-12*</u>	<u>2012-13*</u>
Totals Available	\$459	\$529	\$552
Unexpended balance, estimated savings	-51	-	-
TOTALS, EXPENDITURES	\$408	\$529	\$552
3165 Enterprise Zone Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,120	\$1,161	\$1,299
Allocation for employee compensation	4	4	-
Adjustment per Section 3.60	19	3	-
Adjustment per Section 3.90	-	-16	-
Adjustment per Section 3.91	-63	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-1	-
Totals Available	\$1,080	\$1,151	\$1,299
Unexpended balance, estimated savings	-163	-	-
TOTALS, EXPENDITURES	\$917	\$1,151	\$1,299
6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$282	\$295	\$294
Adjustment per Section 3.60	3	-	-
Adjustment per Section 3.90	-	-4	-
Adjustment per Section 3.91	-12	-	-
002 Budget Act appropriation	890	441	439
Allocation for employee compensation	1	1	-
Adjustment per Section 3.60	5	1	-
Adjustment per Section 3.90	-	-6	-
Adjustment per Section 3.91	-22	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-1	-
Totals Available	\$1,147	\$727	\$733
Unexpended balance, estimated savings	-670	-	-
TOTALS, EXPENDITURES	\$477	\$727	\$733
6068 Affordable Housing Innovation Fund			
APPROPRIATIONS			
Prior year balances available:			
Health and Safety Code Section 53545.9 Affordable Housing Innovation Fund (Prop 1 C) as reappropriated per 2240-490, Budget Act of 2010	\$4,367	\$3,667	\$-
Allocation for employee compensation	3	2	-
Adjustment per Section 3.60	9	-	-
Adjustment per Section 3.90	-	-12	-
Adjustment per Section 3.91	-55	-	-
Totals Available	\$4,324	\$3,657	\$-
Balance available in subsequent years	-3,667	-	-
TOTALS, EXPENDITURES	\$657	\$3,657	\$-
6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,550	\$2,558	\$2,115
Allocation for employee compensation	7	7	-
Adjustment per Section 3.60	29	6	-
Adjustment per Section 3.90	-	-30	-
Adjustment per Section 3.91	-128	-	-

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

	2010-11*	2011-12*	2012-13*
1 STATE OPERATIONS			
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-1	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-391	-
Totals Available	\$2,458	\$2,149	\$2,115
Unexpended balance, estimated savings	-533	-	-
TOTALS, EXPENDITURES	\$1,925	\$2,149	\$2,115
6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter			
Trust Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,063	\$1,068	\$1,070
Allocation for employee compensation	-	6	-
Adjustment per Section 3.60	18	4	-
Adjustment per Section 3.90	-	-1	-
Adjustment per Section 3.91	-77	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-3	-
Prior year balances available:			
Chapter 641, Statutes of 2008	397	397	-
Totals Available	\$1,401	\$1,471	\$1,070
Unexpended balance, estimated savings	-7	-397	-
Balance available in subsequent years	-397	-	-
TOTALS, EXPENDITURES	\$997	\$1,074	\$1,070
9736 Transit-Oriented Development Implementation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,499	\$1,323	\$982
Allocation for employee compensation	3	3	-
Adjustment per Section 3.60	6	1	-
Adjustment per Section 3.90	-	-14	-
Adjustment per Section 3.91	-61	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-1	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-293	-
Totals Available	\$1,447	\$1,019	\$982
Unexpended balance, estimated savings	-738	-	-
TOTALS, EXPENDITURES	\$709	\$1,019	\$982
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$54,682	\$69,217	\$66,615
2 LOCAL ASSISTANCE	2010-11*	2011-12*	2012-13*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$5,629	\$5,629	\$5,629
TOTALS, EXPENDITURES	\$5,629	\$5,629	\$5,629
0530 Mobilehome Park Purchase Fund			
APPROPRIATIONS			
Health and Safety Code Section 50782	\$2	\$6,500	\$6,500
TOTALS, EXPENDITURES	\$2	\$6,500	\$6,500
Loan repayments from local agencies	-602	-803	-800
NET TOTALS, EXPENDITURES	\$-600	\$5,697	\$5,700
0714 Home Building and Rehabilitation Fund			
APPROPRIATIONS			
Prior year balances available:			
Chapters 30 and 48, Statutes of 1988 (Proposition 84, for transfer to various funds)	\$8,631	\$8,127	\$-

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

2 LOCAL ASSISTANCE	2010-11*	2011-12*	2012-13*
Transfer to State Operations	-272	-301	-
Chapters 30 and 48, Statutes of 1988 (Proposition 84, for transfer to various funds)	-	-	7,576
Chapters 30 and 48, Statutes of 1988 (Proposition 107, for transfer to various funds)	19,905	19,905	19,905
Chapters 30 and 48, Statutes of 1988 (Proposition 107, for transfer to various funds)	<u>-</u>	<u>-</u>	<u>-300</u>
Totals Available	\$28,264	\$27,731	\$27,181
Balance available in subsequent years	<u>-28,032</u>	<u>-27,481</u>	<u>-26,931</u>
TOTALS, EXPENDITURES	\$232	\$250	\$250
0788 California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund			
APPROPRIATIONS			
Prior year balances available:			
Chapter 27, Statutes of 1988 (transfer to Housing Rehabilitation Loan Fund)	<u>\$6,675</u>	<u>\$6,675</u>	<u>\$6,600</u>
Totals Available	\$6,675	\$6,675	\$6,600
Balance available in subsequent years	<u>-6,675</u>	<u>-6,600</u>	<u>-6,525</u>
TOTALS, EXPENDITURES	\$-	\$75	\$75
0813 Self - Help Housing Fund			
APPROPRIATIONS			
Health and Safety Code Section 53533 (a)(5) and 50697.1 CalHome Program	\$-	\$10,000	\$-
Health and Safety Code Sections 50697.1 and 53533 (a)(5) CalHome Program (Prop 46)	-	-	0
Health and Safety Code Section 53545 (a) (1)(D) CalHome Program (Prop 1C)	60,296	67,000	0
Health and Safety Code Section 53545 (a) (1) (D) Self-Help Housing Program	<u>6,109</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$66,405	\$77,000	\$-
Loan repayments from local agencies	<u>-2</u>	<u>-</u>	<u>-</u>
NET TOTALS, EXPENDITURES	\$66,403	\$77,000	\$-
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$203,889	\$177,939	\$177,939
Budget Adjustment	<u>-15,561</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$188,328	\$177,939	\$177,939
0927 Joe Serna, Jr. Farmworker Housing Grant Fund			
APPROPRIATIONS			
Health and Safety Code Section 50517.1	\$3,423	\$3,610	\$3,610
Health and Safety Code Section 50517.5 and 53545 (a)(1)(C) Joe Serna, Jr. Farmworker Housing Program	19,823	-	-
TOTALS, EXPENDITURES	\$23,246	\$3,610	\$3,610
Loan repayments from local agencies	<u>-52</u>	<u>-27</u>	<u>-27</u>
NET TOTALS, EXPENDITURES	\$23,194	\$3,583	\$3,583
0929 Housing Rehabilitation Loan Fund			
APPROPRIATIONS			
Health and Safety Code Section 50661 (Default Reserve)	\$87	\$350	\$350
Health and Safety Code Section 50661 (Default Payment Loan Program)	-	75	75
Health and Safety Code Sections 50661 and 53533 (a)(1)(E) Governor's Homeless Initiative (Prop 46)	-	8,000	0
Health and Safety Code Sections 50661 and 53533 (a)(3) Supportive Housing Program (Prop 46)	-	-	11,000
Health and Safety Code Section 50661 and 53545 (a)(1)(A)(i) Multifamily Housing Program	61,443	50,500	-
Health and Safety Code Section 50661 and 53545 (a)(1)(B) Multifamily Housing Program-Supportive Housing (Prop 1C)	-	65,000	-
Health and Safety Code Section 50661 and 53545 (a)(1)(B) Multifamily Housing Program-Homeless Youth Housing (Prop 1C)	11,805	12,000	-

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

2 LOCAL ASSISTANCE	2010-11*	2011-12*	2012-13*
Health and Safety Code Sections 50661 and 53545 (a)(1)(A)(ii) Homeless Youth Program (Prop 1C)	-	-	0
TOTALS, EXPENDITURES	\$73,335	\$135,925	\$11,425
Less funding provided by California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund	-	-75	-75
Loan repayments from local agencies	-859	-385	-385
NET TOTALS, EXPENDITURES	\$72,476	\$135,465	\$10,965
0938 Rental Housing Construction Fund			
APPROPRIATIONS			
Health and Safety Code Section 50771.10 (Default Reserve Account)	\$232	\$250	\$250
Health and Safety Code Section 50740 (RHCP Original)	3,183	3,650	3,650
TOTALS, EXPENDITURES	\$3,415	\$3,900	\$3,900
Less funding provided by the Home Building and Rehabilitation Fund	-232	-250	-250
Loan repayments from local agencies	-15	-1	-1
NET TOTALS, EXPENDITURES	\$3,168	\$3,649	\$3,649
0972 Manufactured Home Recovery Fund			
APPROPRIATIONS			
Health and Safety Code Section 18070	\$165	\$250	\$250
TOTALS, EXPENDITURES	\$165	\$250	\$250
0980 Predevelopment Loan Fund			
APPROPRIATIONS			
Health and Safety Code Section 50531	\$1,890	\$3,000	\$3,000
TOTALS, EXPENDITURES	\$1,890	\$3,000	\$3,000
Loan repayment from local agencies	-4,462	-2,300	-2,300
NET TOTALS, EXPENDITURES	\$-2,572	\$700	\$700
0985 Emergency Housing and Assistance Fund			
APPROPRIATIONS			
Health and Safety Code Section 50800.5	\$5,730	\$-	\$-
Health and Safety Code Section 53533(a)(2)(A)	14,496	3,000	-
Health and Safety Code Section 50800 and 53545 (a)(1)(H) Emergency Housing Assistance Program-Capital Development (Prop 1C)	5,536	17,000	-
TOTALS, EXPENDITURES	\$25,762	\$20,000	\$-
6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$-	\$17,000	\$-
102 Budget Act appropriation	5,000	-	-
Prior year balances available:			
Item 2240-102-6038, Budget Act of 2009 as reappropriated by Item 2240-491, Budget Act of 2010	40,000	-	-
Totals Available	\$45,000	\$17,000	\$-
Unexpended balance, estimated savings	-16,833	-	-
TOTALS, EXPENDITURES	\$28,167	\$17,000	\$-
6068 Affordable Housing Innovation Fund			
APPROPRIATIONS			
Prior year balances available:			
Health and Safety Code Section 53545.9 Affordable Housing Innovation Fund (Prop 1 C) as reappropriated per Item 2240- 490, Budget Act of 2010	\$78,725	\$67,313	\$-
Totals Available	\$78,725	\$67,313	\$-
Balance available in subsequent years	-67,313	-	-
TOTALS, EXPENDITURES	\$11,412	\$67,313	\$-
6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006			

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

2 LOCAL ASSISTANCE	2010-11*	2011-12*	2012-13*
APPROPRIATIONS			
101 Budget Act appropriation	\$9,275	\$-	\$-
Totals Available	\$9,275	\$-	\$-
Unexpended balance, estimated savings	-9,275	-	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter			
Trust Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$25,000	\$-	\$-
101 Budget Act appropriation	-	25,000	-
Totals Available	\$25,000	\$25,000	\$-
Unexpended balance, estimated savings	-25,000	-	-
TOTALS, EXPENDITURES	\$-	\$25,000	\$-
9736 Transit-Oriented Development Implementation Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$-	\$18,000	\$-
TOTALS, EXPENDITURES	\$-	\$18,000	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$421,764	\$557,550	\$208,740
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$476,446	\$626,767	\$275,355

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
0101 School Facilities Fee Assistance Fund ^s			
BEGINNING BALANCE	\$890	\$14	\$14
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	4	-	-
Transfers and Other Adjustments:			
TO0001 To General Fund per Item 2240-011-0101, Budget Act of 2010, as added by Chapter 13/2011	-880	-	-
Total Revenues, Transfers, and Other Adjustments	-\$876	-	-
Total Resources	\$14	\$14	\$14
FUND BALANCE	\$14	\$14	\$14
Reserve for economic uncertainties	14	14	14
0245 Mobilehome Park Revolving Fund ^s			
BEGINNING BALANCE	\$1,809	\$3,401	\$3,540
Prior year adjustments	-6	-	-
Adjusted Beginning Balance	\$1,803	\$3,401	\$3,540
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	6,298	6,191	6,191
125700 Other Regulatory Licenses and Permits	465	524	524
141200 Sales of Documents	1	1	1
150300 Income From Surplus Money Investments	11	15	15
161400 Miscellaneous Revenue	17	17	17
161900 Other Revenue - Cost Recoveries	8	8	8
163000 Settlements/Judgments(not Anti-trust)	28	38	38
Total Revenues, Transfers, and Other Adjustments	\$6,828	\$6,794	\$6,794
Total Resources	\$8,631	\$10,195	\$10,334

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

	2010-11*	2011-12*	2012-13*
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	17	11	8
2240 Department of Housing and Community Development (State Operations)	5,209	6,624	6,914
8880 Financial Information System for California (State Operations)	<u>4</u>	<u>20</u>	<u>5</u>
Total Expenditures and Expenditure Adjustments	<u>\$5,230</u>	<u>\$6,655</u>	<u>\$6,927</u>
FUND BALANCE			
Reserve for economic uncertainties	3,401	3,540	3,407
0648 Mobilehome-Manufactured Home Revolving Fund ^s			
BEGINNING BALANCE	\$2,360	\$6,173	\$7,920
Prior year adjustments	<u>34</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,394	\$6,173	\$7,920
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
115400 Mobilehome In-Lieu Tax	2,388	2,388	2,388
125600 Other Regulatory Fees	2,342	2,743	2,743
125700 Other Regulatory Licenses and Permits	11,615	11,542	11,542
141200 Sales of Documents	13	1	1
142500 Miscellaneous Services to the Public	991	17	17
150300 Income From Surplus Money Investments	17	19	19
161000 Escheat of Unclaimed Checks & Warrants	43	32	32
161400 Miscellaneous Revenue	19	24	24
161900 Other Revenue - Cost Recoveries	277	253	253
164300 Penalty Assessments	<u>1,533</u>	<u>1,794</u>	<u>1,794</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$19,238</u>	<u>\$18,813</u>	<u>\$18,813</u>
Total Resources	\$21,632	\$24,986	\$26,733
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	48	27	20
2240 Department of Housing and Community Development (State Operations)	15,401	16,989	17,679
8880 Financial Information System for California (State Operations)	<u>10</u>	<u>50</u>	<u>13</u>
Total Expenditures and Expenditure Adjustments	<u>\$15,459</u>	<u>\$17,066</u>	<u>\$17,712</u>
FUND BALANCE			
Reserve for economic uncertainties	6,173	7,920	9,021
0813 Self - Help Housing Fund ⁿ			
BEGINNING BALANCE	\$165,436	\$97,873	\$18,947
Prior year adjustments	<u>30</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$165,466	\$97,873	\$18,947
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
214600 Interest Income From Loans	7	-	-
250300 Income From Surplus Money Investment Fund	<u>9</u>	<u>7</u>	<u>5</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$16</u>	<u>\$7</u>	<u>\$5</u>
Total Resources	\$165,482	\$97,880	\$18,952
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	7	4	2
2240 Department of Housing and Community Development			

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

	2010-11*	2011-12*	2012-13*
State Operations	1,198	1,922	1,756
Local Assistance	66,405	77,000	-
8880 Financial Information System for California (State Operations)	1	7	2
Expenditure Adjustments:			
2240 Department of Housing and Community Development			
Loan repayments from local agencies (Local Assistance)	<u>-2</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$67,609</u>	<u>\$78,933</u>	<u>\$1,760</u>
FUND BALANCE	\$97,873	\$18,947	\$17,192
Reserve for Bond Funded Projects	102,660	35,922	75,150
0927 Joe Serna, Jr. Farmworker Housing Grant Fund ^N			
BEGINNING BALANCE	\$102,658	\$80,077	\$77,729
Prior year adjustments	<u>34</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$102,692	\$80,077	\$77,729
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
214600 Interest Income from Loans	19	24	15
215600 Income from Investments	133	136	113
299900 Miscellaneous (Rental Receipts)	3,423	3,610	3,610
Transfers and Other Adjustments:			
TO0001 To General Fund per Item 2240-011-0927, Budget Act of 2010	<u>-965</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,610</u>	<u>\$3,770</u>	<u>\$3,738</u>
Total Resources	\$105,302	\$83,847	\$81,467
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	7	4	3
2240 Department of Housing and Community Development			
State Operations	2,023	2,524	2,271
Local Assistance	23,246	3,610	3,610
8880 Financial Information System for California (State Operations)	1	7	2
Expenditure Adjustments:			
2240 Department of Housing and Community Development			
Loan repayments from local agencies (Local Assistance)	<u>-52</u>	<u>-27</u>	<u>-27</u>
Total Expenditures and Expenditure Adjustments	<u>\$25,225</u>	<u>\$6,118</u>	<u>\$5,859</u>
FUND BALANCE	\$80,077	\$77,729	\$75,608
Reserve for Bond Funded Projects	73,300	71,264	69,431
0929 Housing Rehabilitation Loan Fund ^N			
BEGINNING BALANCE	\$243,098	\$243,421	\$103,576
Prior year adjustments	<u>89,818</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$332,916	\$243,421	\$103,576
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
214000 Interest from Loans	7,757	6,192	6,663
215600 Income from Investments	246	266	124
250300 Income from Surplus Money Investment Fund	251	259	250
299900 Misc Other Income	5	-	-
Transfers and Other Adjustments:			
TO0001 To General Fund Loan per Item 2240-011-0929, Budget Act of 2010 as added by Chapter 13/2011	<u>-18,000</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>-\$9,741</u>	<u>\$6,717</u>	<u>\$7,037</u>

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

	2010-11*	2011-12*	2012-13*
Total Resources	\$323,175	\$250,138	\$110,613
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	34	21	13
2240 Department of Housing and Community Development			
State Operations	7,328	11,127	11,015
Local Assistance	73,335	135,925	11,425
8880 Financial Information System for California (State Operations)	7	39	10
Expenditure Adjustments:			
2240 Department of Housing and Community Development			
Less funding provided by the Home Building and Rehabilitation Fund (State Operations)	-91	-90	-90
Loan repayments from local agencies (Local Assistance)	-859	-385	-385
Less funding provided by California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund (Local Assistance)	-	-75	-75
Total Expenditures and Expenditure Adjustments	<u>\$79,754</u>	<u>\$146,562</u>	<u>\$21,913</u>
FUND BALANCE	\$243,421	\$103,576	\$88,700
Reserve for Bond Funded Projects	233,110	93,674	79,388
0985 Emergency Housing and Assistance Fund ^N			
BEGINNING BALANCE	\$66,254	\$41,143	\$19,286
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
214600 Interest Income from Loans	208	-	-
215600 Income from Investments	28	-	-
217600 Fines and Penalties	48	-	-
250300 Income from Surplus Money Investment Fund	30	15	6
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2240-116-0985, Chapter 3, Statutes of 2003, 1st Ex Sess	1,617	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$1,931</u>	<u>\$15</u>	<u>\$6</u>
Total Resources	\$68,185	\$41,158	\$19,292
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	5	2	2
2240 Department of Housing and Community Development			
State Operations	1,274	1,870	1,972
Local Assistance	25,762	20,000	-
8880 Financial Information System for California (State Operations)	1	-	-
Total Expenditures and Expenditure Adjustments	<u>\$27,042</u>	<u>\$21,872</u>	<u>\$1,974</u>
FUND BALANCE	\$41,143	\$19,286	\$17,318
Reserve for Bond Funded Projects	43,599	18,889	18,408
3006 Jobs-Housing Balance Improvement Account ^S			
BEGINNING BALANCE	\$1,935	\$1,759	\$1,759
Prior year adjustments	19	-	-
Adjusted Beginning Balance	<u>\$1,954</u>	<u>\$1,759</u>	<u>\$1,759</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2240 Department of Housing and Community Development (State Operations)	195	-	-
Total Expenditures and Expenditure Adjustments	<u>\$195</u>	<u>-</u>	<u>-</u>
FUND BALANCE	\$1,759	\$1,759	\$1,759

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

	2010-11*	2011-12*	2012-13*
Reserve for economic uncertainties	1,759	1,759	1,759
3165 Enterprise Zone Fund ^S			
BEGINNING BALANCE	-	\$1,112	\$1,291
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	\$1,629	1,500	1,500
Transfers and Other Adjustments:			
FO0001 From General Fund Loan per Item 2240-011-0001, Budget Act of 2010	400	-	-
TO0001 To General Fund loan repayment per Item 2240-011-0001, Budget Act of 2010	-	-170	-230
Total Revenues, Transfers, and Other Adjustments	<u>\$2,029</u>	<u>\$1,330</u>	<u>\$1,270</u>
Total Resources	\$2,029	\$2,442	\$2,561
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2240 Department of Housing and Community Development (State Operations)	<u>917</u>	<u>1,151</u>	<u>1,299</u>
Total Expenditures and Expenditure Adjustments	<u>\$917</u>	<u>\$1,151</u>	<u>\$1,299</u>
FUND BALANCE	\$1,112	\$1,291	\$1,262
Reserve for economic uncertainties	1,112	1,291	1,262
6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund ^B			
BEGINNING BALANCE	\$68,658	\$40,014	\$22,287
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2240 Department of Housing and Community Development			
State Operations	477	727	733
Local Assistance	<u>28,167</u>	<u>17,000</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$28,644</u>	<u>\$17,727</u>	<u>\$733</u>
FUND BALANCE	\$40,014	\$22,287	\$21,554
6068 Affordable Housing Innovation Fund ^B			
BEGINNING BALANCE	\$83,047	\$70,970	-
Prior year adjustments	<u>-8</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$83,039	\$70,970	-
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2240 Department of Housing and Community Development			
State Operations	657	3,657	-
Local Assistance	<u>11,412</u>	<u>67,313</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$12,069</u>	<u>\$70,970</u>	<u>-</u>
FUND BALANCE	\$70,970	-	-
6069 Regional Planning, Housing, and Infill Incentive Account, Housing and			
Emergency Shelter Trust Fund of 2006 ^B			
BEGINNING BALANCE	\$55,380	\$60,670	\$58,521
Prior year adjustments	<u>7,215</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$62,595	\$60,670	\$58,521
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2240 Department of Housing and Community Development (State Operations)	<u>1,925</u>	<u>2,149</u>	<u>2,115</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,925</u>	<u>\$2,149</u>	<u>\$2,115</u>
FUND BALANCE	\$60,670	\$58,521	\$56,406

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

	2010-11*	2011-12*	2012-13*
6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency			
Shelter Trust Fund of 2006 ^B			
BEGINNING BALANCE	\$199,349	\$198,354	\$172,280
Prior year adjustments	<u>2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$199,351	\$198,354	\$172,280
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2240 Department of Housing and Community Development			
State Operations	997	1,074	1,070
Local Assistance	<u>-</u>	<u>25,000</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$997</u>	<u>\$26,074</u>	<u>\$1,070</u>
FUND BALANCE	\$198,354	\$172,280	\$171,210
9736 Transit-Oriented Development Implementation Fund ^N			
BEGINNING BALANCE	\$24,361	\$23,420	\$4,401
Prior year adjustments	<u>-232</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$24,129	\$23,420	\$4,401
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2240 Department of Housing and Community Development			
State Operations	709	1,019	982
Local Assistance	<u>-</u>	<u>18,000</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$709</u>	<u>\$19,019</u>	<u>\$982</u>
FUND BALANCE	\$23,420	\$4,401	\$3,419

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Totals, Authorized Positions	522.7	572.1	572.1	\$29,882	\$33,894	\$34,731
Workload and Administrative Adjustments:				Salary Range		
Bond Workload Adjustments:						
Financial Assistance Program:						
Loan & Grant Monitoring:						
Housing & Community Development Mgr. III	-	-	1.0	6,779-7,474	-	86
Housing & Community Development Mgr. II	-	-	1.0	5,576-6,727	-	74
Housing & Community Development Mgr. I	-	-	3.0	5,079-6,127	-	202
Housing & Community Development Spec. I	-	-	1.0	4,833-5,874	-	64
Housing & Community Development Rep II	-	-	2.5	4,619-5,616	-	152
Bond Underwriting:						
Housing & Community Development Rep II	<u>-</u>	<u>-</u>	<u>-10.0</u>	<u>4,619-5,616</u>	<u>-</u>	<u>-614</u>
Totals, Workload and Admin Adjustments	<u>-</u>	<u>-</u>	<u>-1.5</u>	<u>\$-</u>	<u>\$-</u>	<u>-\$36</u>
Total Adjustments	<u>-</u>	<u>-</u>	<u>-1.5</u>	<u>\$-</u>	<u>\$-</u>	<u>-\$36</u>
TOTALS, SALARIES AND WAGES	522.7	572.1	570.6	\$29,882	\$33,894	\$34,695

2260 California Housing Finance Agency

The mission of the California Housing Finance Agency, which was statutorily chartered in 1975 to be the State's affordable housing bank, is to create safe, decent, and affordable rental housing and to assist first-time homebuyers in achieving the dream of home ownership by providing affordable first and secondary mortgage loans and mortgage insurance. The agency is financially self-supporting, setting loan interest rates slightly above its cost and charging fees for specific services to cover its lending costs and risks.

* Dollars in thousands, except in Salary Range.

2260 California Housing Finance Agency - Continued

The agency has \$11 billion in assets that are comprised mainly of loan receivables and investments related to bond proceeds. Each year, the agency produces an annual report by November 1st and is audited by independent outside auditors. The annual report and audited financial statements outline the lending activity and services provided by the agency and the financial results of those activities. These reports can be viewed using the following link: <http://www.calhfa.ca.gov/about/financials/reports/index.htm>

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 Lending Activity	320.3	326.4	326.4	\$43,596	\$49,876	\$51,677
20 Insurance Activity	4.8	2.0	2.0	1,247	1,005	907
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	325.1	328.4	328.4	\$44,843	\$50,881	\$52,584
FUNDING				2010-11*	2011-12*	2012-13*
0501 California Housing Finance Fund				\$43,165	\$49,087	\$50,741
0916 California Housing Loan Insurance Fund				1,247	1,005	907
0995 Reimbursements				431	789	936
TOTALS, EXPENDITURES, ALL FUNDS				\$44,843	\$50,881	\$52,584

The California Housing Finance Agency's (CalHFA) funding is not subject to Budget Act appropriation. This budget display is for informational purposes only. Each spring the CalHFA Board adopts an updated five-year business plan and annual budget. The informational budget presented here reflects the Agency's best estimate of the proposed budget for fiscal year 2012-13. As a Public Enterprise Funded entity, CalHFA support comes from revenue bonds and is not supported by the full faith, credit or taxing power of the State of California. CalHFA continues to administer single and multifamily housing lending programs financed with revenue bond proceeds, and a number of down payment assistance programs from Proposition 46 and Proposition 1C general obligation bond proceeds.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Health and Safety Code Sections 50000-52533.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Miscellaneous Adjustment	\$-	\$487	-	\$-	\$1,635	-
• Retirement Rate Adjustment	-	233	-	-	233	-
• Employee Compensation Adjustments	-	-443	-	-	112	-
Totals, Other Workload Budget Adjustments	\$-	\$277	-	\$-	\$1,980	-
Totals, Workload Budget Adjustments	\$-	\$277	-	\$-	\$1,980	-
Totals, Budget Adjustments	\$-	\$277	-	\$-	\$1,980	-

PROGRAM DESCRIPTIONS

10 - LENDING ACTIVITY

The objective of this program is to finance housing at affordable interest rates, using the proceeds of tax-exempt and taxable revenue bonds to make: (1) mortgage loans to qualified first-time homebuyers to finance the purchase of single family homes, (2) permanent loans for the creation and preservation of multifamily housing projects, and (3) special needs housing loans to facilitate the construction, rehabilitation and acquisition of housing needed to serve special needs populations.

20 - INSURANCE ACTIVITY

* Dollars in thousands, except in Salary Range.

2260 California Housing Finance Agency - Continued

The objective of the Mortgage Insurance Services Division is to encourage the preservation of existing housing and improve new housing opportunities by offering mortgage insurance products to homebuyers who might not qualify for traditional lending programs. The program provides primary mortgage insurance at favorable rates by working with participating lenders, government agencies, government-sponsored enterprises, and the secondary mortgage market to develop and offer innovative homebuyer lending and mortgage insurance programs. The Mortgage Insurance Services, however, is not writing new policies at this time, but it is administering existing policies, managing premium revenue from those policies and administering claims.

DETAILED EXPENDITURES BY PROGRAM

		2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS				
10	LENDING ACTIVITY			
State Operations:				
0501	California Housing Finance Fund	\$43,165	\$49,087	\$50,741
0995	Reimbursements	431	789	936
Totals, State Operations		\$43,596	\$49,876	\$51,677
PROGRAM REQUIREMENTS				
20	INSURANCE ACTIVITY			
State Operations:				
0916	California Housing Loan Insurance Fund	\$1,247	\$1,005	\$907
Totals, State Operations		\$1,247	\$1,005	\$907
TOTALS, EXPENDITURES				
State Operations		44,843	50,881	52,584
Totals, Expenditures		\$44,843	\$50,881	\$52,584

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions/Personnel	Years		2010-11*	2011-12*	2012-13*
	2010-11	2011-12	2012-13			
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	325.1	347.4	347.4	\$21,737	\$24,780	\$25,138
Salary Savings	-	-19.0	-19.0	-	-1,418	-1,418
Net Totals, Salaries and Wages	325.1	328.4	328.4	\$21,737	\$23,362	\$23,720
Staff Benefits	-	-	-	9,902	10,704	10,829
Totals, Personal Services	325.1	328.4	328.4	\$31,639	\$34,066	\$34,549
OPERATING EXPENSES AND EQUIPMENT						
				\$13,204	\$16,815	\$18,035
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$44,843	\$50,881	\$52,584
(State Operations)						

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2010-11*	2011-12*	2012-13*
0501 California Housing Finance Fund				
APPROPRIATIONS				
Health and Safety Code Section 51000		\$43,165	\$49,087	\$50,741
TOTALS, EXPENDITURES		\$43,165	\$49,087	\$50,741
0916 California Housing Loan Insurance Fund				
APPROPRIATIONS				
Health and Safety Code Section 51611		\$1,247	\$1,005	\$907
TOTALS, EXPENDITURES		\$1,247	\$1,005	\$907
0995 Reimbursements				
APPROPRIATIONS				

* Dollars in thousands, except in Salary Range.

2260 California Housing Finance Agency - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Reimbursements	\$431	\$789	\$936
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$44,843	\$50,881	\$52,584

2310 Office of Real Estate Appraisers

The mission of the Office of Real Estate Appraisers is to protect public safety by ensuring the competency and integrity of licensed real estate appraisers and registered appraisal management companies through a program of licensure, registration, and enforcement.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 Administration of Real Estate Appraisers Program	28.1	32.8	32.8	\$4,093	\$4,994	\$5,110
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	28.1	32.8	32.8	\$4,093	\$4,994	\$5,110

FUNDING	2010-11*	2011-12*	2012-13*
0400 Real Estate Appraisers Regulation Fund	\$4,062	\$4,914	\$5,030
0995 Reimbursements	31	80	80
TOTALS, EXPENDITURES, ALL FUNDS	\$4,093	\$4,994	\$5,110

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Business and Professions Code, Division 4, Part 3, Sections 11300 et seq.; California Code of Regulations, Title 10, Chapter 6.5, Sections 3500 et seq.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$30	-	\$-	\$14	-
• Retirement Rate Adjustment	-	23	-	-	23	-
• Operational Efficiency Plan	-	-28	-	-	-28	-
• Cell Phone Reductions	-	-2	-	-	-2	-
• Miscellaneous Adjustments for ProRata	-	-	-	-	72	-
Totals, Other Workload Budget Adjustments	\$-	-\$37	-	\$-	\$79	-
Totals, Workload Budget Adjustments	\$-	-\$37	-	\$-	\$79	-
Totals, Budget Adjustments	\$-	-\$37	-	\$-	\$79	-

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF REAL ESTATE APPRAISERS PROGRAM

The objectives of this program are to ensure that only qualified persons are licensed to conduct appraisals in federally regulated real estate loan transactions and that all real estate appraisers licensed by the state and all appraisal management companies registered by the state adhere to applicable laws, regulations, and standards.

DETAILED EXPENDITURES BY PROGRAM

PROGRAM REQUIREMENTS	2010-11*	2011-12*	2012-13*
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* Dollars in thousands, except in Salary Range.

2310 Office of Real Estate Appraisers - Continued

	2010-11*	2011-12*	2012-13*
10 ADMINISTRATION OF REAL ESTATE APPRAISERS PROGRAM			
State Operations:			
0400 Real Estate Appraisers Regulation Fund	\$4,062	\$4,914	\$5,030
0995 Reimbursements	<u>31</u>	<u>80</u>	<u>80</u>
Totals, State Operations	\$4,093	\$4,994	\$5,110
TOTALS, EXPENDITURES			
State Operations	<u>4,093</u>	<u>4,994</u>	<u>5,110</u>
Totals, Expenditures	\$4,093	\$4,994	\$5,110

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions/Personnel Years					
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	28.1	34.5	34.5	\$1,705	\$2,135	\$2,202
Estimated Salary Savings	<u>-</u>	<u>-1.7</u>	<u>-1.7</u>	-	-105	-107
Net Totals, Salaries and Wages	28.1	32.8	32.8	\$1,705	\$2,030	\$2,095
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	608	603	645
Totals, Personal Services	28.1	32.8	32.8	\$2,313	\$2,633	\$2,740
OPERATING EXPENSES AND EQUIPMENT				\$1,452	\$1,930	\$1,939
SPECIAL ITEMS OF EXPENSE				<u>\$328</u>	<u>\$431</u>	<u>\$431</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$4,093	\$4,994	\$5,110

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0400 Real Estate Appraisers Regulation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,045	\$4,951	\$5,030
Allocation for employee compensation	12	9	-
Adjustment per Section 3.60	56	23	-
Adjustment per Section 3.90	-115	-39	-
Adjustment per Section 3.91	-169	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-2	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	<u>-</u>	<u>-28</u>	<u>-</u>
Totals Available	\$4,829	\$4,914	\$5,030
Unexpended balance, estimated savings	<u>-767</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4,062	\$4,914	\$5,030
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$31</u>	<u>\$80</u>	<u>\$80</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$4,093	\$4,994	\$5,110

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
0400 Real Estate Appraisers Regulation Fund^s			
BEGINNING BALANCE	\$6,658	\$6,541	\$4,083

* Dollars in thousands, except in Salary Range.

2310 Office of Real Estate Appraisers - Continued

	2010-11*	2011-12*	2012-13*
Prior year adjustments	417	-	-
Adjusted Beginning Balance	\$7,075	\$6,541	\$4,083
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
123500 Real Estate License Fees	2,501	2,086	3,031
125700 Other Regulatory Licenses and Permits	762	117	101
141200 Sales of Documents	1	1	1
150300 Income From Surplus Money Investments	32	20	10
161000 Escheat of Unclaimed Checks & Warrants	3	3	3
161400 Miscellaneous Revenue	141	141	141
164300 Penalty Assessments	88	88	88
Total Revenues, Transfers, and Other Adjustments	\$3,528	\$2,456	\$3,375
Total Resources	\$10,603	\$8,997	\$7,458
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	-	-	4
2310 Office of Real Estate Appraisers (State Operations)	4,062	4,914	5,030
Total Expenditures and Expenditure Adjustments	\$4,062	\$4,914	\$5,034
FUND BALANCE	\$6,541	\$4,083	\$2,424
Reserve for economic uncertainties	6,541	4,083	2,424

2320 Department of Real Estate

The mission of the Department of Real Estate is to safeguard and promote the public interests in real estate matters through licensure, regulation, education, and enforcement.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 Licensing and Education	66.0	71.4	69.2	\$10,683	\$12,081	\$12,205
20 Enforcement, Audits, and Recovery	192.0	198.1	192.0	26,551	28,223	27,896
30 Subdivisions	36.3	36.1	35.0	6,530	7,185	6,975
40.10 Administration	56.6	54.1	52.5	7,000	10,174	10,547
40.20 Distributed Administration	-	-	-	-7,000	-10,081	-10,454
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	350.9	359.7	348.7	\$43,764	\$47,582	\$47,169
FUNDING				2010-11*	2011-12*	2012-13*
0317 Real Estate Fund				\$43,608	\$47,147	\$46,734
0995 Reimbursements				156	435	435
TOTALS, EXPENDITURES, ALL FUNDS				\$43,764	\$47,582	\$47,169

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Business and Professions Code, Division 4, Parts 1 and 2. (Sections 10000-10602, and 11000-11202, Chap. 130, Statutes of 1929)

DETAILED BUDGET ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

2320 Department of Real Estate - Continued

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Workforce Cap True-Up Adjustment	\$-	\$-	-11.4	\$-	\$-	-11.4
Totals, Workload Budget Change Proposals	\$-	\$-	-11.4	\$-	\$-	-11.4
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$353	-	\$-	\$262	-
• Retirement Rate Adjustment	-	-148	-	-	-148	-
• One Time Cost Reduction for HQ Relocation	-	-	-	-	-1,309	-
• Operational Efficiency Plan	-	-269	-	-	-269	-
• Cell Phone Reductions	-	-28	-	-	-28	-
• Rental Rate Reductions	-	-21	-	-	-	-
• Miscellaneous Adjustments for ProRata/GoBIZ	-	-	-	-	260	-
Totals, Other Workload Budget Adjustments	\$-	-\$819	-	\$-	-\$1,232	-
Totals, Workload Budget Adjustments	\$-	-\$819	-11.4	\$-	-\$1,232	-11.4
Totals, Budget Adjustments	\$-	-\$819	-11.4	\$-	-\$1,232	-11.4

PROGRAM DESCRIPTIONS

10 - LICENSING AND EDUCATION

The objective of the Licensing Program is to ensure that individuals who wish to engage in real estate business and act in the capacity of, or advertise as, a real estate broker or salesperson within California meet specified qualifications. The education section ensures licensees meet minimum education standards and requirements.

20 - ENFORCEMENT, AUDITS AND RECOVERY

The objective of the Enforcement and Audit programs are to investigate the actions of any person acting in the capacity of a real estate licensee, perform financial compliance audits of licensees and subdividers, and administratively prosecute violations of the Real Estate Law and Subdivided Lands Law. The Recovery program enables victims of fraud to recover amounts per the limitations set by statute.

30 - SUBDIVISIONS

The Subdivisions Program protects the public against fraud and misrepresentation in the sale or lease of subdivided land through the issuance of public reports which disclose vital information to prospective purchasers of subdivision interests.

40 - ADMINISTRATION

The Administration Program provides support services to the department's programs through the Fiscal and Business Services Section, Information Technology Section, and Human Resources Section.

DETAILED EXPENDITURES BY PROGRAM

		2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS				
10	LICENSING AND EDUCATION			
	State Operations:			
0317	Real Estate Fund	\$10,580	\$11,796	\$11,920
0995	Reimbursements	103	285	285
	Totals, State Operations	\$10,683	\$12,081	\$12,205
PROGRAM REQUIREMENTS				
20	ENFORCEMENT, AUDITS, AND RECOVERY			
	State Operations:			
0317	Real Estate Fund	\$26,551	\$28,223	\$27,896
	Totals, State Operations	\$26,551	\$28,223	\$27,896
PROGRAM REQUIREMENTS				

* Dollars in thousands, except in Salary Range.

2320 Department of Real Estate - Continued

	2010-11*	2011-12*	2012-13*
30 SUBDIVISIONS			
State Operations:			
0317 Real Estate Fund	\$6,530	\$7,185	\$6,975
Totals, State Operations	\$6,530	\$7,185	\$6,975
PROGRAM REQUIREMENTS			
40.10 ADMINISTRATION			
State Operations:			
0317 Real Estate Fund	\$6,947	\$10,024	\$10,397
0995 Reimbursements	53	150	150
Totals, State Operations	\$7,000	\$10,174	\$10,547
PROGRAM REQUIREMENTS			
40.20 DISTRIBUTED ADMINISTRATION			
State Operations:			
0317 Real Estate Fund	-\$7,000	-\$10,081	-\$10,454
Totals, State Operations	-\$7,000	-\$10,081	-\$10,454
TOTALS, EXPENDITURES			
State Operations	43,764	47,582	47,169
Totals, Expenditures	\$43,764	\$47,582	\$47,169

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions/Personnel Years					
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	350.9	378.7	378.7	\$18,465	\$21,313	\$22,308
Total Adjustments	-	-	-12.0	-	-	-812
Estimated Salary Savings	-	-19.0	-18.0	-	-1,817	-303
Net Totals, Salaries and Wages	350.9	359.7	348.7	\$18,465	\$19,496	\$21,193
Staff Benefits	-	-	-	7,258	7,160	7,768
Totals, Personal Services	350.9	359.7	348.7	\$25,723	\$26,656	\$28,961
OPERATING EXPENSES AND EQUIPMENT				\$14,507	\$17,926	\$15,208
SPECIAL ITEMS OF EXPENSE				\$3,534	\$3,000	\$3,000
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$43,764	\$47,582	\$47,169
(State Operations)						

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2010-11*	2011-12*	2012-13*
0317 Real Estate Fund				
APPROPRIATIONS				
001 Budget Act appropriation		\$46,748	\$47,966	\$46,734
Allocation for employee compensation		70	214	-
Adjustment per Section 3.60		396	-148	-
Adjustment per Section 3.90		-1,156	-567	-
Adjustment per Section 3.91		-2,133	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions		-	-28	-
Adjustment per Section 3.91 (b) Rental Rate Reductions		-	-21	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan		-	-269	-
Totals Available		\$43,925	\$47,147	\$46,734

* Dollars in thousands, except in Salary Range.

2320 Department of Real Estate - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Unexpended balance, estimated savings	-317	-	-
TOTALS, EXPENDITURES	\$43,608	\$47,147	\$46,734
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$156	\$435	\$435
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$43,764	\$47,582	\$47,169

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
0317 Real Estate Fund ⁵			
BEGINNING BALANCE	\$26,978	\$30,191	\$29,611
Prior year adjustments	986	-	-
Adjusted Beginning Balance	\$27,964	\$30,191	\$29,611
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
123400 Real Estate Examination Fees	2,858	2,875	2,965
123500 Real Estate License Fees	36,876	37,559	35,711
123600 Subdivision Filing Fees	4,353	4,502	4,502
125700 Other Regulatory Licenses and Permits	228	228	228
141200 Sales of Documents	32	32	32
142500 Miscellaneous Services to the Public	129	129	129
150300 Income From Surplus Money Investments	177	149	157
161000 Escheat of Unclaimed Checks & Warrants	6	6	6
161400 Miscellaneous Revenue	1,167	1,167	1,167
164300 Penalty Assessments	127	127	127
Total Revenues, Transfers, and Other Adjustments	<u>\$45,953</u>	<u>\$46,774</u>	<u>\$45,024</u>
Total Resources	\$73,917	\$76,965	\$74,635
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	92	62	54
2320 Department of Real Estate (State Operations)	43,608	47,147	46,734
8880 Financial Information System for California (State Operations)	26	145	38
Total Expenditures and Expenditure Adjustments	<u>\$43,726</u>	<u>\$47,354</u>	<u>\$46,826</u>
FUND BALANCE	\$30,191	\$29,611	\$27,809
Reserve for economic uncertainties	30,191	29,611	27,809

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Totals, Authorized Positions	350.9	378.7	378.7	\$18,465	\$21,313	\$22,308
Workload and Administrative Adjustmentst:				Salary Range		
Reductions in Authorized Positions:						
RE Ind Liaison	-	-	-1.0	8,630-9,332	-	-109
Real Estate Counsel III - Spec	-	-	-1.0	7,682-9,478	-	-99
Managing Dep Commissioner IV	-	-	-1.0	6,938-7,653	-	-92
Managing Dep Commissioner II	-	-	-1.0	5,113-6,170	-	-72
Sr Dep Commissioner	-	-	-3.0	4,655-5,618	-	-199
Gen Auditor II	-	-	-1.0	3,841-4,903	-	-48
Dep Commissioner	-	-	-4.0	2,969-5,108	-	-193
Totals, Workload & Admin Adjustments	-	-	-12.0	\$-	\$-	-\$812

* Dollars in thousands, except in Salary Range.

2320 Department of Real Estate - Continued

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Total Adjustments	-	-	-12.0	\$-	\$-	-\$812
TOTALS, SALARIES AND WAGES	350.9	378.7	366.7	\$18,465	\$21,313	\$21,496

2400 Department of Managed Health Care

The mission of the Department of Managed Health Care is to help California consumers resolve problems with their Health Maintenance Organizations (HMOs) and to ensure a better, more solvent and stable managed health care system through:

- Administration and enforcement of California's HMO patient rights laws.
- Operating the 24-hour-a-day Help Center.
- Licensing and overseeing all HMOs in the state.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
30 Health Plan Program	209.9	263.6	263.6	\$41,879	\$56,212	\$53,097
50.01 Administration	70.2	86.0	86.0	8,292	10,202	10,324
50.02 Distributed Administration	-	-	-	-8,292	-10,202	-10,324
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	280.1	349.6	349.6	\$41,879	\$56,212	\$53,097
FUNDING				2010-11*	2011-12*	2012-13*
0890 Federal Trust Fund				\$1,254	\$4,550	\$755
0933 Managed Care Fund				40,349	50,488	51,156
0995 Reimbursements				276	1,174	1,186
TOTALS, EXPENDITURES, ALL FUNDS				\$41,879	\$56,212	\$53,097

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Health and Safety Code Sections 1340-1399.818 inclusive; California Code of Regulations, Title 28, Sections 1000-1300.826.

MAJOR PROGRAM CHANGES

- Chapter 552, Statutes of 2011, transfers the Department of Managed Health Care (DMHC) to the Health and Human Services (HHS) Agency effective January 1, 2012. Chapter 552 also removes the Office of Patient Advocate (OPA) from DMHC and establishes it as an independent entity under HHS Agency effective July 1, 2012. A Spring Finance Letter will be submitted to implement these changes.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustment	\$-	-\$500	-	\$-	\$116	-
• Retirement Rate Adjustment	-	124	-	-	124	-
• Operational Efficiency Plan	-	-312	-	-	-312	-
• Cell Phone Reductions	-	-31	-	-	-31	-
• Miscellaneous Adjustments for SWCAP/ProRata	-	-	-	-	64	-
• Consumer Assistance Program Expiration - Federal Funds	-	-	-	-	-3,905	-
Totals, Other Workload Budget Adjustments	\$-	-\$719	-	\$-	-\$3,944	-

* Dollars in thousands, except in Salary Range.

2400 Department of Managed Health Care - Continued

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Totals, Workload Budget Adjustments	\$-	-\$719	-	\$-	-\$3,944	-
Policy Adjustments						
• Premium Rate Review and Outreach - Federal Funds	\$-	\$645	1.9	\$-	\$755	1.9
Totals, Policy Adjustments	\$-	\$645	1.9	\$-	\$755	1.9
Totals, Budget Adjustments	\$-	-\$74	1.9	\$-	-\$3,189	1.9

PROGRAM DESCRIPTIONS

30 - HEALTH PLAN PROGRAM

The Health Plan Program's objective is to ensure the accessibility and availability of medically necessary health care delivered to the public with appropriate quality-of-care oversight and through financially sound managed care plans. Through focused collaboration and coordination, these programs advance sound health policy and "The Right Care at the Right Time" for all Californian enrollees. The program licenses health care service plans, conducts routine and non-routine financial and medical surveys, works to implement the federal health care reform provisions in California including health plan premium rate review, and operates a consumer services toll-free complaint line (1-888-466-2219). Within this program, the Office of the Patient Advocate helps educate consumers about their rights and responsibilities.

50 - ADMINISTRATION

The Administration Program provides services essential for the administration of the Department and its programs, including the Executive Office, Administrative Services, and Information Technology Support.

DETAILED EXPENDITURES BY PROGRAM

		2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS				
30	HEALTH PLAN PROGRAM			
	State Operations:			
0890	Federal Trust Fund	\$1,254	\$4,550	\$755
0933	Managed Care Fund	40,349	50,488	51,156
0995	Reimbursements	276	1,174	1,186
	Totals, State Operations	\$41,879	\$56,212	\$53,097
ELEMENT REQUIREMENTS				
30.10	Health Care Service Plans	\$40,190	\$53,877	\$50,913
	State Operations:			
0890	Federal Trust Fund	1,254	4,550	755
0933	Managed Care Fund	38,660	48,153	48,972
0995	Reimbursements	276	1,174	1,186
30.20	Office of Patient Advocate	\$1,689	\$2,335	\$2,184
	State Operations:			
0933	Managed Care Fund	1,689	2,335	2,184
TOTALS, EXPENDITURES				
	State Operations	41,879	56,212	53,097
	Totals, Expenditures	\$41,879	\$56,212	\$53,097

EXPENDITURES BY CATEGORY

	1 State Operations	Positions/Personnel Years			Expenditures		
		2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES							
	Authorized Positions (Equals Sch. 7A)	280.1	364.0	364.0	\$18,590	\$24,431	\$25,521

* Dollars in thousands, except in Salary Range.

2400 Department of Managed Health Care - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Total Adjustments	-	2.0	2.0	-	90	135
Estimated Salary Savings	-	-16.4	-16.4	-	-1,097	-1,148
Net Totals, Salaries and Wages	280.1	349.6	349.6	\$18,590	\$23,424	\$24,508
Staff Benefits	-	-	-	7,163	9,065	9,485
Totals, Personal Services	280.1	349.6	349.6	\$25,753	\$32,489	\$33,993
OPERATING EXPENSES AND EQUIPMENT				\$16,126	\$23,723	\$19,104
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$41,879	\$56,212	\$53,097

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$3,905	\$755
Budget Adjustment	-	645	-
Federal Funds	\$1,000	-	-
Budget Adjustment	254	-	-
TOTALS, EXPENDITURES	\$1,254	\$4,550	\$755
0933 Managed Care Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$46,673	\$48,834	\$48,972
Allocation for employee compensation	97	77	-
Adjustment per Section 3.60	612	111	-
Adjustment per Section 3.90	-1,261	-554	-
Adjustment per Section 3.91	-2,017	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-28	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-287	-
002 Budget Act appropriation	2,387	2,368	2,184
Allocation for employee compensation	3	1	-
Adjustment per Section 3.60	21	8	-
Adjustment per Section 3.90	-43	-15	-
Adjustment per Section 3.91	-70	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-2	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-25	-
Totals Available	\$46,402	\$50,488	\$51,156
Unexpended balance, estimated savings	-6,053	-	-
TOTALS, EXPENDITURES	\$40,349	\$50,488	\$51,156
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$276	\$1,174	\$1,186
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$41,879	\$56,212	\$53,097

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
0933 Managed Care Fund^s			
BEGINNING BALANCE	\$10,235	\$12,184	\$3,740
Prior year adjustments	1,006	-	-

* Dollars in thousands, except in Salary Range.

2400 Department of Managed Health Care - Continued

	2010-11*	2011-12*	2012-13*
Adjusted Beginning Balance	\$11,241	\$12,184	\$3,740
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	40,064	40,915	48,845
150300 Income From Surplus Money Investments	121	121	121
161900 Other Revenue - Cost Recoveries	1,206	1,206	1,206
Total Revenues, Transfers, and Other Adjustments	\$41,391	\$42,242	\$50,172
Total Resources	\$52,632	\$54,426	\$53,912
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	73	47	44
2400 Department of Managed Health Care (State Operations)	40,349	50,488	51,156
8880 Financial Information System for California (State Operations)	26	151	40
Total Expenditures and Expenditure Adjustments	\$40,448	\$50,686	\$51,240
FUND BALANCE	\$12,184	\$3,740	\$2,672
Reserve for economic uncertainties	12,184	3,740	2,672
3133 Managed Care Administrative Fines and Penalties Fund ^s			
BEGINNING BALANCE	\$3,421	\$3,285	\$1,877
Prior year adjustments	-911	-	-
Adjusted Beginning Balance	\$2,510	\$3,285	\$1,877
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	14	8	8
164300 Penalty Assessments	5,439	2,000	2,000
Transfers and Other Adjustments:			
TO0313 To Major Risk Medical Insurance Fund per Item 4280-112-3133, Budget Acts	-3,678	-2,416	-1,008
TO8034 To Medically Underserved Account for Physicians, Health Professions Education Fund per Chapter 607, Statutes 2008 Section 12(a)	-1,000	-1,000	-1,000
Total Revenues, Transfers, and Other Adjustments	\$775	-\$1,408	-
Total Resources	\$3,285	\$1,877	\$1,877
FUND BALANCE	\$3,285	\$1,877	\$1,877
Reserve for economic uncertainties	3,285	1,877	1,877

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Totals, Authorized Positions	280.1	364.0	364.0	\$18,590	\$24,431	\$25,521
Proposed New Positions:				Salary Range		
Health Plan Oversight:						
Health Program Spec II (1.0 LT pos exp 9-30-14)	-	1.0	1.0	5,309-6,451	47	71
Health Program Spec I (1.0 LT pos exp 9-30-14)	-	1.0	1.0	4,833-5,874	43	64
Totals Proposed New Positions	-	2.0	2.0	\$-	\$90	\$135
Total Adjustments	-	2.0	2.0	\$-	\$90	\$135
TOTALS, SALARIES AND WAGES	280.1	366.0	366.0	\$18,590	\$24,521	\$25,656

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission

The California Transportation Commission advises and assists the Secretary of the Business, Transportation, and Housing Agency and the Legislature in formulating and evaluating state policies, plans, and funding for California's transportation programs.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 Administration	17.5	18.0	18.0	\$3,163	\$3,482	\$3,525
30 Clean Air and Transportation	-	-	-	26,277	25,000	25,000
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	17.5	18.0	18.0	\$29,440	\$28,482	\$28,525

FUNDING				2010-11*	2011-12*	2012-13*
0042	State Highway Account, State Transportation Fund			\$889	\$774	\$807
0046	Public Transportation Account, State Transportation Fund			1,253	1,343	1,340
0703	Clean Air and Transportation Improvement Fund			26,277	25,000	25,000
0995	Reimbursements			402	508	512
6055	Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			198	204	206
6056	Trade Corridors Improvement Fund			140	197	200
6058	Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			130	182	184
6059	Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006			30	53	53
6060	State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			58	93	94
6062	Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			7	9	9
6063	Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006			30	34	34
6064	Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			26	85	86
TOTALS, EXPENDITURES, ALL FUNDS				\$29,440	\$28,482	\$28,525

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Section 13990, and Title 2, Division 3, Part 5.3 (commencing with Section 14500).

Public Utilities Code, Sections 99612 and 99613, and Division 12, Chapter 4, Article 5 (commencing with Section 130300).

Streets and Highways Code, Section 30952.1.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustment	\$-	\$16	-	\$-	\$16	-
• Miscellaneous Adjustments	-	-	-	-	11	-
• Employee Compensation Adjustments	-	-25	-	-	7	-
• Cell Phone Reductions	-	-4	-	-	-4	-
• Operational Efficiency Plan	-	-19	-	-	-19	-
Totals, Other Workload Budget Adjustments	\$-	-\$32	-	\$-	\$11	-

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission - Continued

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Totals, Workload Budget Adjustments	\$-	-\$32	-	\$-	\$11	-
Totals, Budget Adjustments	\$-	-\$32	-	\$-	\$11	-

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF CALIFORNIA TRANSPORTATION COMMISSION

The objective of the Administration Program is to produce and update the State Transportation Improvement Program (STIP) and the STIP Fund Estimate, and to allocate transportation revenues and bond funds for the construction of highway, passenger rail, and transit improvements in California.

30 - CLEAN AIR AND TRANSPORTATION IMPROVEMENT

The objective of the Clean Air and Transportation Improvement Program is to provide grants from specified bond funds to the Department of Transportation, the Department of Parks and Recreation, and local agencies to be used for the preservation, acquisition, construction, and improvement of rail infrastructure, paratransit vehicles, bicycle facilities, waterborne ferry vessels and facilities, public transit, and the California State Museum of Railroad Technology.

DETAILED EXPENDITURES BY PROGRAM

	2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS			
10 Administration			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$889	\$774	\$807
0046 Public Transportation Account, State Transportation Fund	1,253	1,343	1,340
0995 Reimbursements	402	508	512
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	198	204	206
6056 Trade Corridors Improvement Fund	140	197	200
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	130	182	184
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006	30	53	53
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	58	93	94
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	7	9	9
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	30	34	34
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	26	85	86
Totals, State Operations	\$3,163	\$3,482	\$3,525
PROGRAM REQUIREMENTS			
30 Clean Air and Transportation			
Local Assistance:			

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission - Continued

	2010-11*	2011-12*	2012-13*
0703 Clean Air and Transportation Improvement Fund	\$26,277	\$25,000	\$25,000
Totals, Local Assistance	\$26,277	\$25,000	\$25,000
TOTALS, EXPENDITURES			
State Operations	3,163	3,482	3,525
Local Assistance	26,277	25,000	25,000
Totals, Expenditures	\$29,440	\$28,482	\$28,525

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	17.5	19.0	19.0	\$1,443	\$1,721	\$1,859
Estimated Salary Savings	-	-1.0	-1.0	-	-86	-93
Net Totals, Salaries and Wages	17.5	18.0	18.0	\$1,443	\$1,635	\$1,766
Staff Benefits	-	-	-	480	572	618
Totals, Personal Services	17.5	18.0	18.0	\$1,923	\$2,207	\$2,384
OPERATING EXPENSES AND EQUIPMENT				\$1,240	\$1,275	\$1,141
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3,163	\$3,482	\$3,525

2 Local Assistance	Expenditures		
	2010-11*	2011-12*	2012-13*
Grants and Subventions	\$26,277	\$25,000	\$25,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$29,440	\$28,482	\$28,525

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,122	\$775	\$807
Allocation for employee compensation	2	1	-
Adjustment per Section 3.60	11	4	-
Adjustment per Section 3.90	-27	-6	-
Adjustment per Control Section 3.91	-50	-	-
Totals Available	\$1,058	\$774	\$807
Unexpended balance, estimated savings	-169	-	-
TOTALS, EXPENDITURES	\$889	\$774	\$807
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,411	\$1,371	\$1,340
Allocation for employee compensation	3	-	-
Adjustment per Section 3.60	20	2	-
Adjustment per Section 3.90	-33	-7	-
Adjustment per Control Section 3.91	-63	-	-
Adjustment per Control Section 3.91 (b) Cell Phone Reductions	-	-4	-
Adjustment per Control Section 3.97	-	-19	-

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Totals Available	\$1,338	\$1,343	\$1,340
Unexpended balance, estimated savings	-85	-	-
TOTALS, EXPENDITURES	\$1,253	\$1,343	\$1,340
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$402	\$508	\$512
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$208	\$204	\$206
Allocation for employee compensation	1	1	-
Adjustment per Section 3.60	4	1	-
Adjustment per Section 3.90	-5	-2	-
Adjustment per Control Section 3.91	-9	-	-
Totals Available	\$199	\$204	\$206
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$198	\$204	\$206
6056 Trade Corridors Improvement Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$203	\$198	\$200
Adjustment per Section 3.60	2	1	-
Adjustment per Section 3.90	-5	-2	-
Adjustment per Control Section 3.91	-9	-	-
Totals Available	\$191	\$197	\$200
Unexpended balance, estimated savings	-51	-	-
TOTALS, EXPENDITURES	\$140	\$197	\$200
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$187	\$183	\$184
Allocation for employee compensation	1	-	-
Adjustment per Section 3.60	4	-	-
Adjustment per Section 3.90	-4	-1	-
Adjustment per Control Section 3.91	-8	-	-
Totals Available	\$180	\$182	\$184
Unexpended balance, estimated savings	-50	-	-
TOTALS, EXPENDITURES	\$130	\$182	\$184
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$54	\$53	\$53
Adjustment per Section 3.60	1	-	-
Adjustment per Section 3.90	-1	-	-
Adjustment per Control Section 3.91	-2	-	-
Totals Available	\$52	\$53	\$53
Unexpended balance, estimated savings	-22	-	-
TOTALS, EXPENDITURES	\$30	\$53	\$53
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
001 Budget Act appropriation	\$96	\$94	\$94
Adjustment per Section 3.60	2	-	-
Adjustment per Section 3.90	-2	-1	-
Adjustment per Control Section 3.91	-4	-	-
Totals Available	\$92	\$93	\$94
Unexpended balance, estimated savings	-34	-	-
TOTALS, EXPENDITURES	\$58	\$93	\$94
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$10	\$9	\$9
Totals Available	\$10	\$9	\$9
Unexpended balance, estimated savings	-3	-	-
TOTALS, EXPENDITURES	\$7	\$9	\$9
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$35	\$34	\$34
Adjustment per Section 3.60	1	-	-
Adjustment per Section 3.90	-1	-	-
Adjustment per Control Section 3.91	-2	-	-
Totals Available	\$33	\$34	\$34
Unexpended balance, estimated savings	-3	-	-
TOTALS, EXPENDITURES	\$30	\$34	\$34
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$87	\$86	\$86
Adjustment per Section 3.60	1	-	-
Adjustment per Section 3.90	-2	-1	-
Adjustment per Control Section 3.91	-4	-	-
Totals Available	\$82	\$85	\$86
Unexpended balance, estimated savings	-56	-	-
TOTALS, EXPENDITURES	\$26	\$85	\$86
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$3,163	\$3,482	\$3,525
2 LOCAL ASSISTANCE			
0703 Clean Air and Transportation Improvement Fund			
APPROPRIATIONS			
Public Utilities Code Sec 99612	\$26,277	\$25,000	\$25,000
TOTALS, EXPENDITURES	\$26,277	\$25,000	\$25,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$26,277	\$25,000	\$25,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$29,440	\$28,482	\$28,525

2640 State Transit Assistance

The State Transit Assistance budget provides funding for allocation to local transit agencies to fund a portion of the operations and capital costs associated with local mass transportation programs.

* Dollars in thousands, except in Salary Range.

2640 State Transit Assistance - Continued

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 Administration of Transit Programs	-	-	-	\$78,336	\$818,845	\$1,250,273
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$78,336	\$818,845	\$1,250,273
FUNDING				2010-11*	2011-12*	2012-13*
0046 Public Transportation Account, State Transportation Fund				\$-	\$398,952	\$420,429
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006				78,336	419,893	829,844
TOTALS, EXPENDITURES, ALL FUNDS				\$78,336	\$818,845	\$1,250,273

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Utilities Code Sections 99312; Revenue and Taxation Code 7102; Government Code Section 29530.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Carryover/Reappropriation	\$-	-\$80,107	-	\$-	\$329,844	-
• Public Transportation Account Revenue Forecast Adjustments	-	69,365	-	-	90,842	-
Totals, Other Workload Budget Adjustments	\$-	-\$10,742	-	\$-	\$420,686	-
Totals, Workload Budget Adjustments	\$-	-\$10,742	-	\$-	\$420,686	-
Totals, Budget Adjustments	\$-	-\$10,742	-	\$-	\$420,686	-

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF TRANSIT PROGRAMS

The Administration Program provides funds to local agencies for the operation and construction of public mass transit systems and for street and road projects in rural areas.

DETAILED EXPENDITURES BY PROGRAM

		2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS				
10	Administration of Transit Programs			
	Local Assistance:			
0046	Public Transportation Account, State Transportation Fund	\$-	\$398,952	\$420,429
6059	Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	78,336	419,893	829,844
	Totals, Local Assistance	\$78,336	\$818,845	\$1,250,273
TOTALS, EXPENDITURES				
	Local Assistance	78,336	818,845	1,250,273
	Totals, Expenditures	\$78,336	\$818,845	\$1,250,273

* Dollars in thousands, except in Salary Range.

2640 State Transit Assistance - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

2 LOCAL ASSISTANCE	2010-11*	2011-12*	2012-13*
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
Public Utilities Code Section 99312	-	\$375,952	\$420,429
Public Utilities Code Section 99312.2	-	<u>23,000</u>	-
TOTALS, EXPENDITURES	\$-	\$398,952	\$420,429
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$1,500,000	-	-
Prior year balances available:			
Item 2640-104-6059, Budget Act of 2007, as reappropriated by Item 2640-490, Budget Act of 2011	15,332	\$424	\$367
Item 2640-104-6059, Budget Act of 2008, as reappropriated by Item 2640-490, Budget Act of 2011	85,096	22,019	12,759
Item 2640-104-6059, Budget Act of 2009, as reappropriated by Item 2640-490, Budget Act of 2011	349,918	349,918	48,318
Item 2640-104-6059, Budget Act of 2010	-	<u>1,499,649</u>	<u>1,390,673</u>
Totals Available	\$1,950,346	\$1,872,010	\$1,452,117
Balance available in subsequent years	<u>-1,872,010</u>	<u>-1,452,117</u>	<u>-622,273</u>
TOTALS, EXPENDITURES	\$78,336	\$419,893	\$829,844
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$78,336	\$818,845	\$1,250,273

2660 Department of Transportation

The mission of the California Department of Transportation (Caltrans) is to improve mobility across California through safety, project delivery, stewardship, and service.

3-YR EXPENDITURES AND PERSONNEL YEARS

		Personnel Years			Expenditures		
		2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10	Aeronautics	24.5	24.9	24.9	\$4,047	\$8,016	\$6,296
20	Highway Transportation	15,161.3	17,086.4	17,250.6	8,127,407	14,020,031	9,847,122
20.10	Capital Outlay Support	7,836.2	8,995.6	9,176.7	1,769,089	1,849,225	1,850,871
20.20	Capital Outlay Projects	-	-	-	3,015,355	7,704,899	4,260,269
20.30	Local Assistance	315.4	325.0	298.8	1,582,041	2,506,461	1,859,145
20.40	Program Development	220.4	241.0	237.0	63,352	80,477	78,430
20.65	Legal	266.1	282.0	287.8	121,393	122,087	95,556
20.70	Operations	1,282.6	1,417.6	1,417.5	214,204	239,402	248,877
20.80	Maintenance	5,240.6	5,825.2	5,832.8	1,361,973	1,517,480	1,453,974
30	Mass Transportation	153.6	171.5	115.7	538,238	1,392,206	1,140,045
40	Transportation Planning	559.5	632.5	696.1	152,989	190,722	198,549
50.10	Administration Program Costs	1,562.9	1,654.4	1,647.8	430,024	478,743	494,441
50.20	Distributed Administration Program Costs	-	-	-	-430,024	-478,743	-494,441
60.10	Equipment Service Program Costs	640.5	703.4	703.4	144,875	254,121	186,216
60.20	Distributed Equipment Service Program Costs	-	-	-	-144,875	-254,121	-186,216
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		18,102.3	20,273.1	20,438.5	\$8,822,681	\$15,610,975	\$11,192,012

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

FUNDING	2010-11*	2011-12*	2012-13*
0001 General Fund	\$83,416	\$83,416	\$83,416
0041 Aeronautics Account, State Transportation Fund	5,872	7,290	6,863
0042 State Highway Account, State Transportation Fund	3,154,026	3,433,392	3,644,048
0045 Bicycle Transportation Account, State Transportation Fund	7,210	7,210	11,935
0046 Public Transportation Account, State Transportation Fund	286,307	313,428	182,155
0052 Local Airport Loan Account	-2,000	-1,051	-1,051
0183 Environmental Enhancement and Mitigation Program Fund	7,312	12,688	10,000
0365 Historic Property Maintenance Fund	1,517	1,640	1,637
0653 Seismic Retrofit Bond Fund of 1996	3,773	3,514	9,523
0890 Federal Trust Fund	3,839,047	5,506,809	3,883,571
0942 Special Deposit Fund	3,686	7,388	-
0995 Reimbursements	336,504	2,011,584	1,521,067
3007 Traffic Congestion Relief Fund	97,678	104,031	91,110
3008 Transportation Investment Fund	79,978	-	-
3093 Transportation Deferred Investment Fund	-83,416	-83,416	-83,416
6043 High - Speed Passenger Train Bond Fund	12,200	116,694	7,423
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	267,681	1,465,787	689,669
6056 Trade Corridors Improvement Fund	106,422	995,293	559,609
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	265,567	484,144	46,097
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	805	124,833	121,044
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	80,790	270,726	82,802
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	1,217	25,506	1,360
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	74,336	131,773	699
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	55,208	201,845	40,966
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	136,844	386,450	281,484
6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund	701	1	1
TOTALS, EXPENDITURES, ALL FUNDS	\$8,822,681	\$15,610,975	\$11,192,012

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Streets and Highways Code Section 90 et seq., Government Code Section 14000 et seq.

PROGRAM AUTHORITY

10-Aeronautics:

Public Utilities Code Section 21001-21707.

20-Highway Transportation:

Government Code Section 14000 et seq., Streets and Highways Code Section 100.1.

30-Mass Transportation:

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

Government Code Section 14000 et seq.

40-Transportation Planning:

Government Code Sections 14000, 14000.5, 14520.3(b), 14526(b), 14527(g), 14529, 65070 - 65073, and 65080.1- 65086.5, 65400, 65583, 65584.01, 65584.04, 65584.04, 65587, 65588 and 29532 et seq.

Public Resources Code Chapter 4.2 Division 13 Section 21155 and Section 75123

Health and Safety Code Division 25.5 Section 38500

Streets and Highways Code 164.6;

Federal Highway Act 23 U.S.C. 104(f), 106, 134, 135, 505; CFR 450.314

60-Equipment:

Streets and Highways Code Section 140.

MAJOR PROGRAM CHANGES

- **General Fund Solution:** The Budget proposes a transfer of \$349.5 million in weight fee revenues to the General Fund in 2012-13, representing the amount of weight fee revenues above the level needed to offset current debt service on specified general obligation transportation bonds to the General Fund. These funds will be used to offset debt service in the future.
- **Amtrak Cost Increase:** The Budget proposes an increase of \$13.9 million for Caltrans' operation and maintenance agreement with Amtrak as a result of being charged an increased share of the cost for intercity rail in Southern California.
- **Mass Transportation Program:** The Budget proposes a reduction of \$3.7 million and 41.7 personnel years as a result of streamlined administration and reduced project funding in the Public Transportation Account stemming from the fuel tax swap of 2010.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Amtrak Operating and Capital Costs Increase	\$-	\$-	-	\$-	\$13,900	-
• Project Initiation Document Program Zero-Based Workload	-	-	-	-	10,604	63.6
• Continuation of Proposition 1B Administration	-	-	-	-	7,716	54.2
• Americans with Disabilities Act Compliance - Phase III	-	-	-	-	5,416	2.8
• High-Speed Rail Legal Workload	-	993	1.8	-	3,148	7.6
• Federalization of Pavement Preservation and Bridge Inspection Funds	-	-	-	-	-12,440	-
• Continuation of Federal SAFETEA-LU Resources	-	-	-	-	-	23.8
• Continuation of Federal-Aid Construction Oversight Limited-Term Positions	-	-	-	-	-	8.5
• Workforce Cap Position True-Up	-	-	-	-	-	-307.0
Totals, Workload Budget Change Proposals	\$-	\$993	1.8	\$-	\$28,344	-146.5
Other Workload Budget Adjustments						
• Employee Compensation Adjustment	\$-	-\$32,770	-	\$-	\$15,487	-
• Retirement Rate Adjustment	-	-4,962	-	-	-4,962	-
• Abolished Vacant Positions (8.5 positions)	-	-497	-	-	-497	-
• One Time Cost Reductions	-	-	-	-	-114,585	-
• Carryover/Reappropriation	-	-80,864	-	-	-102,503	-
• Lease Revenue Debt Service Adjustments	-	-18	-	-	-43	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
• Operational Efficiency Plan	-	-23,126	-	-	-23,126	-
• Cell Phone Reductions	-	-2,899	-	-	-2,899	-
• Miscellaneous Adjustments	-	87,208	-	-	93,843	-1.9
• Capital Outlay and Local Assistance Carryover Adjustments	-	2,781,918	-	-	2,290,806	-
• Capital Outlay and Local Assistance Expenditure Adjustments	-	-710,304	-	-	1,461,784	-
• Prop 1B Carryover Adjustments	-	2,338,454	-	-	1,678,431	-
• Prop 1B Expenditure Adjustments	-	-2,663,571	-	-	-1,012,353	-
Totals, Other Workload Budget Adjustments	\$-	\$1,688,569	-	\$-	\$4,279,383	-1.9
Totals, Workload Budget Adjustments	\$-	\$1,689,562	1.8	\$-	\$4,307,727	-148.4
Policy Adjustments						
• Public-Private Partnership Program Consulting Services	\$-	\$-	-	\$-	\$1,670	-
• Mass Transportation Program Staffing	-	-	-	-	-3,745	-41.7
Totals, Policy Adjustments	\$-	\$-	-	\$-	-\$2,075	-41.7
Totals, Budget Adjustments	\$-	\$1,689,562	1.8	\$-	\$4,305,652	-190.1

PROGRAM DESCRIPTIONS

10 - AERONAUTICS

The Division of Aeronautics supports California's aviation activities by promoting safe and effective use of existing airports and heliports. This program ensures that airports and heliports comply with safety regulations, provides engineering and financial assistance for safety and infrastructure improvements, maintains the California Aviation System Plan to reflect changes in the aviation network, provides guidance for land use compatibility in areas around airports, administers airport noise standards regulations, enhances goods movement to and from airports through improved ground access, and promotes and maintains aviation safety.

20 - HIGHWAY TRANSPORTATION

The Highway Transportation Program operates, maintains, and continues development of California's state highways. Development and delivery of capital projects make up the largest portion of these efforts. The program also meets its objectives through: (1) coordination and control required by federal and state law for implementing transportation projects, (2) furnishing assistance to city and county transportation programs, and (3) management of traffic through a system of monitoring, analysis, and control. In addition, this program strives to improve highway travel, safety, and the environment through testing, research, and technology development.

30 - MASS TRANSPORTATION

The objective of the Mass Transportation Program is to support the state's transportation system by providing leadership in the implementation of safe, effective public transportation, improved air quality, and environmental protection. The program achieves its objective through: (1) the administration of intercity rail service in California, including capital projects and rail car management, (2) management of state and federal capital and operations grant programs, and (3) planning, support, and coordination of mass transportation services, and (4) administering the Public Transportation Modernization, Improvement and Service Enhancement Account (PTMISEA) of the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 (Bond Act). Additionally, the Mass Transportation Program serves to: (1) improve intercity bus passenger service through enhanced services and facilities, (2) improve public transportation needs for all persons, including the elderly, the disabled, and the economically-disadvantaged, (3) improve urban/commuter rail services, and (4) enhance mobility options in congested corridors.

40 - TRANSPORTATION PLANNING

The Transportation Planning Program implements statewide transportation policy through coordination at the local and regional levels and develops transportation plans and projects. The Department prepares the long-range state transportation plan required by state and federal laws and provides long-range transportation system planning and transportation planning studies as input to the regional transportation plans, the State Transportation Improvement Program (STIP), and departmental policies and programs such as Goods Movement, Climate Action, and Regional Blueprint Planning. The Department also prepares the Interregional Transportation Strategic Plan, which guides investment of the Interregional Improvement Program funds in the STIP.

50 - ADMINISTRATION

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

The Administration Program provides the functions required to support the programmatic responsibilities of the department. Major activities include accounting, budgeting, auditing, office facility operations and management, information technology, and a wide range of administrative services including human resources, procurement and contracting, training, workforce planning, and labor relations.

60 - EQUIPMENT

The Equipment Program provides mobile fleet equipment and services to other departmental programs through: (1) purchasing new vehicles, (2) receiving, servicing, and equipping new units, (3) assembling equipment components into completed units, (4) managing the fleet, (5) repairing and maintaining the fleet, including payments for fuel and insurance, and (6) disposing of used vehicles.

DETAILED EXPENDITURES BY PROGRAM

		2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS				
10	Aeronautics			
	State Operations:			
0041	Aeronautics Account, State Transportation Fund	\$2,817	\$3,540	\$3,613
0890	Federal Trust Fund	134	1,736	436
0995	Reimbursements	<u>41</u>	<u>41</u>	<u>48</u>
	Totals, State Operations	\$2,992	\$5,317	\$4,097
	Local Assistance:			
0041	Aeronautics Account, State Transportation Fund	3,055	3,750	3,250
0052	Local Airport Loan Account	<u>-2,000</u>	<u>-1,051</u>	<u>-1,051</u>
	Totals, Local Assistance	\$1,055	\$2,699	\$2,199
ELEMENT REQUIREMENTS				
10.10	Safety and Local Assistance	\$3,952	\$7,770	\$6,050
	State Operations:			
0041	Aeronautics Account, State Transportation Fund	2,722	3,294	3,367
0890	Federal Trust Fund	134	1,736	436
0995	Reimbursements	41	41	48
	Local Assistance			
0041	Aeronautics Account, State Transportation Fund	3,055	3,750	3,250
0052	Local Airport Loan Account	-2,000	-1,051	-1,051
10.65	Legal	\$95	\$246	\$246
	State Operations:			
0041	Aeronautics Account, State Transportation Fund	95	246	246
PROGRAM REQUIREMENTS				
20	Highway Transportation			
	State Operations:			
0042	State Highway Account, State Transportation Fund	\$2,546,167	\$2,722,813	\$2,644,959
0045	Bicycle Transportation Account, State Transportation Fund	10	10	12
0046	Public Transportation Account, State Transportation Fund	76	153	155
0365	Historic Property Maintenance Fund	1,517	1,640	1,637
0653	Seismic Retrofit Bond Fund of 1996	-	2,514	2,523
0890	Federal Trust Fund	614,497	649,208	641,047
0995	Reimbursements	306,712	329,384	333,501
3007	Traffic Congestion Relief Fund	10,201	12,613	12,682
6055	Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	29,525	56,606	57,128

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
6056 Trade Corridors Improvement Fund	1,841	3,197	2,850
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	41,665	45,812	46,097
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	15	19	-
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	314	728	543
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	1,374	1,653	1,360
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	15	24	-
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	11,813	17,312	17,153
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	8,078	17,968	18,123
Totals, State Operations	\$3,573,820	\$3,861,654	\$3,779,770
Local Assistance:			
0042 State Highway Account, State Transportation Fund	\$205,320	\$216,775	\$171,954
0045 Bicycle Transportation Account, State Transportation Fund	7,200	7,200	11,923
0183 Environmental Enhancement and Mitigation Program Fund	7,312	12,688	10,000
0890 Federal Trust Fund	1,166,304	1,308,449	1,329,959
3007 Traffic Congestion Relief Fund	559	-	-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	1,000	29,723	29,723
6056 Trade Corridors Improvement Fund	30,000	490,419	244,265
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	45,875	68,115	-
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-37,167	29,396	4,259
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-157	23,853	-
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	73,963	131,400	-
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	38,024	130,460	-
Totals, Local Assistance	\$1,538,233	\$2,448,478	\$1,802,083
Capital Outlay:			
0042 State Highway Account, State Transportation Fund	\$347,132	\$426,629	\$537,331

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
0653 Seismic Retrofit Bond Fund of 1996	3,773	1,000	7,000
0890 Federal Trust Fund	1,918,270	3,149,596	1,536,488
0942 Special Deposit Fund	3,686	7,388	-
0995 Reimbursements	28,295	1,661,216	1,172,500
3007 Traffic Congestion Relief Fund	46,701	51,139	38,142
3008 Transportation Investment Fund	79,978	-	-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	237,156	1,379,458	602,818
6056 Trade Corridors Improvement Fund	41,225	157,973	44,815
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	178,027	370,217	-
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-3,727	77,727	34,000
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	5,371	54,073	23,813
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	128,766	368,482	263,361
6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund	701	1	1
Totals, Capital Outlay	\$3,015,354	\$7,704,899	\$4,260,269
Unclassified:			
0001 General Fund	\$83,416	\$83,416	\$83,416
0890 Federal Trust Fund	-	5,000	5,000
3093 Transportation Deferred Investment Fund	-83,416	-83,416	-83,416
Totals, Unclassified	\$-	\$5,000	\$5,000
ELEMENT REQUIREMENTS			
20.10 Capital Outlay Support	\$1,769,089	\$1,849,225	\$1,850,871
State Operations:			
0042 State Highway Account, State Transportation Fund	901,059	913,419	931,534
0365 Historic Property Maintenance Fund	1,517	1,640	1,637
0653 Seismic Retrofit Bond Fund of 1996	-	2,395	2,404
0890 Federal Trust Fund	486,314	500,388	480,147
0995 Reimbursements	277,834	279,040	281,761
3007 Traffic Congestion Relief Fund	10,201	12,613	12,682
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	29,398	56,409	57,074
6056 Trade Corridors Improvement Fund	1,613	2,895	2,742
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	41,568	45,653	45,958
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	11,548	16,870	16,817
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	8,037	17,903	18,115
20.20 Capital Outlay Projects	\$3,015,355	\$7,704,899	\$4,260,269

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	<u>2010-11*</u>	<u>2011-12*</u>	<u>2012-13*</u>
State Operations:			
Capital Outlay:			
0042 State Highway Account, State Transportation Fund	347,132	426,629	537,331
0653 Seismic Retrofit Bond Fund of 1996	3,773	1,000	7,000
0890 Federal Trust Fund	1,918,270	3,149,596	1,536,488
0942 Special Deposit Fund	3,686	7,388	-
0995 Reimbursements	28,295	1,661,216	1,172,500
3007 Traffic Congestion Relief Fund	46,701	51,139	38,142
3008 Transportation Investment Fund	79,978	-	-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	237,156	1,379,458	602,818
6056 Trade Corridors Improvement Fund	41,225	157,973	44,815
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	178,027	370,217	-
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-3,727	77,727	34,000
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	5,371	54,073	23,813
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	128,766	368,482	263,361
6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund	701	1	1
20.30 Local Assistance	\$1,582,041	\$2,506,461	\$1,859,145
State Operations:			
0042 State Highway Account, State Transportation Fund	41,479	48,682	46,869
0045 Bicycle Transportation Account, State Transportation Fund	10	10	12
0890 Federal Trust Fund	157	206	1,583
0995 Reimbursements	77	1,127	1,161
6056 Trade Corridors Improvement Fund	135	144	108
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	64	103	107
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	300	702	543
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	1,359	1,627	1,360
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	227	382	319
Local Assistance:			
0042 State Highway Account, State Transportation Fund	205,320	216,775	171,954
0045 Bicycle Transportation Account, State Transportation Fund	7,200	7,200	11,923

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
0183 Environmental Enhancement and Mitigation Program Fund	7,312	12,688	10,000
0890 Federal Trust Fund	1,166,304	1,308,449	1,329,959
3007 Traffic Congestion Relief Fund	559	-	-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	1,000	29,723	29,723
6056 Trade Corridors Improvement Fund	30,000	490,419	244,265
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	45,875	68,115	-
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-37,167	29,396	4,259
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-157	23,853	-
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	73,963	131,400	-
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	38,024	130,460	-
Unclassified:			
0001 General Fund	83,416	83,416	83,416
0890 Federal Trust Fund	-	5,000	5,000
3093 Transportation Deferred Investment Fund	-83,416	-83,416	-83,416
20.40 Program Development	\$63,352	\$80,477	\$78,430
State Operations:			
0042 State Highway Account, State Transportation Fund	37,703	39,790	40,262
0046 Public Transportation Account, State Transportation Fund	1	1	2
0890 Federal Trust Fund	24,519	36,660	36,943
0995 Reimbursements	738	3,395	1,112
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	127	197	54
6056 Trade Corridors Improvement Fund	93	158	-
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	33	56	32
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006	15	19	-
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	14	26	-
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	15	26	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	15	24	-
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	38	60	17
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	41	65	8
20.65 Legal	\$121,393	\$122,087	\$95,556
State Operations:			
0042 State Highway Account, State Transportation Fund	121,390	118,195	88,542
0653 Seismic Retrofit Bond Fund of 1996	-	119	119
0890 Federal Trust Fund	3	2,297	2,297
0995 Reimbursements	-	1,476	4,598
20.70 Operations	\$214,204	\$239,402	\$248,877
State Operations:			
0042 State Highway Account, State Transportation Fund	195,122	207,670	216,754
0046 Public Transportation Account, State Transportation Fund	75	152	153
0890 Federal Trust Fund	794	2,063	2,066
0995 Reimbursements	18,213	29,517	29,904
20.80 Maintenance	\$1,361,973	\$1,517,480	\$1,453,974
State Operations:			
0042 State Highway Account, State Transportation Fund	1,249,414	1,395,057	1,320,998
0890 Federal Trust Fund	102,710	107,594	118,011
0995 Reimbursements	9,849	14,829	14,965
PROGRAM REQUIREMENTS			
30 Mass Transportation			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$3,429	\$2,145	\$1,586
0046 Public Transportation Account, State Transportation Fund	137,207	141,525	151,414
0890 Federal Trust Fund	2,849	3,458	3,597
0995 Reimbursements	611	900	922
3007 Traffic Congestion Relief Fund	217	279	286
6043 High - Speed Passenger Train Bond Fund	-	94	90
6056 Trade Corridors Improvement Fund	48	63	29
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	790	1,137	1,116
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	358	349	699
Totals, State Operations	\$145,509	\$149,950	\$159,739
Local Assistance:			
0042 State Highway Account, State Transportation Fund	\$-	\$-	\$181,217
0046 Public Transportation Account, State Transportation Fund	125,301	102,638	8,993
0890 Federal Trust Fund	48,467	52,420	125,105
3007 Traffic Congestion Relief Fund	40,000	40,000	40,000

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
6043 High - Speed Passenger Train Bond Fund	12,200	33,300	7,333
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	121,370	162,875	44,000
Totals, Local Assistance	\$347,338	\$391,233	\$406,648
Capital Outlay:			
0042 State Highway Account, State Transportation Fund	\$-	\$-	\$38,433
0046 Public Transportation Account, State Transportation Fund	6,867	47,848	-
0890 Federal Trust Fund	5,324	242,920	142,848
0995 Reimbursements	-	10,000	5,000
6043 High - Speed Passenger Train Bond Fund	-	83,300	-
6056 Trade Corridors Improvement Fund	33,200	343,278	267,449
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	-	123,677	119,928
Totals, Capital Outlay	\$45,391	\$851,023	\$573,658
ELEMENT REQUIREMENTS			
30.10 State and Federal Mass Transit	\$358,331	\$404,601	\$416,414
State Operations:			
0042 State Highway Account, State Transportation Fund	42	79	80
0046 Public Transportation Account, State Transportation Fund	6,703	8,544	4,840
0890 Federal Trust Fund	2,831	2,957	3,055
0995 Reimbursements	611	666	688
3007 Traffic Congestion Relief Fund	217	279	286
6043 High - Speed Passenger Train Bond Fund	-	94	90
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	589	749	727
Local Assistance:			
0042 State Highway Account, State Transportation Fund	-	-	181,217
0046 Public Transportation Account, State Transportation Fund	125,301	102,638	8,993
0890 Federal Trust Fund	48,467	52,420	125,105
3007 Traffic Congestion Relief Fund	40,000	40,000	40,000
6043 High - Speed Passenger Train Bond Fund	12,200	33,300	7,333
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	121,370	162,875	44,000
30.20 Intercity Rail Passenger Program	\$179,894	\$987,508	\$723,534
State Operations:			
0042 State Highway Account, State Transportation Fund	3,387	2,066	1,506
0046 Public Transportation Account, State Transportation Fund	130,491	132,884	146,477
0890 Federal Trust Fund	18	501	542
0995 Reimbursements	-	234	234
6056 Trade Corridors Improvement Fund	48	63	29

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	201	388	389
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	358	349	699
Capital Outlay:			
0042 State Highway Account, State Transportation Fund	-	-	38,433
0046 Public Transportation Account, State Transportation Fund	6,867	47,848	-
0890 Federal Trust Fund	5,324	242,920	142,848
0995 Reimbursements	-	10,000	5,000
6043 High - Speed Passenger Train Bond Fund	-	83,300	-
6056 Trade Corridors Improvement Fund	33,200	343,278	267,449
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	-	123,677	119,928
30.65 Legal	\$13	\$97	\$97
State Operations:			
0046 Public Transportation Account, State Transportation Fund	13	97	97
PROGRAM REQUIREMENTS			
40 Transportation Planning			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$40,817	\$53,030	\$56,568
0046 Public Transportation Account, State Transportation Fund	16,856	21,264	21,593
0890 Federal Trust Fund	25,069	31,022	31,391
0995 Reimbursements	845	10,043	9,096
6056 Trade Corridors Improvement Fund	108	363	201
Totals, State Operations	\$83,695	\$115,722	\$118,849
Local Assistance:			
0042 State Highway Account, State Transportation Fund	\$11,161	\$12,000	\$12,000
0890 Federal Trust Fund	58,133	63,000	67,700
Totals, Local Assistance	\$69,294	\$75,000	\$79,700
ELEMENT REQUIREMENTS			
40.10 Statewide Planning	\$79,639	\$109,352	\$112,409
State Operations:			
0042 State Highway Account, State Transportation Fund	40,817	53,030	56,568
0046 Public Transportation Account, State Transportation Fund	15,737	16,513	16,806
0890 Federal Trust Fund	22,132	29,403	29,738
0995 Reimbursements	845	10,043	9,096
6056 Trade Corridors Improvement Fund	108	363	201
40.20 Regional Planning	\$73,350	\$81,370	\$86,140
State Operations:			
0046 Public Transportation Account, State Transportation Fund	1,119	4,751	4,787
0890 Federal Trust Fund	2,937	1,619	1,653
Local Assistance:			

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
0042 State Highway Account, State Transportation Fund	11,161	12,000	12,000
0890 Federal Trust Fund	58,133	63,000	67,700
PROGRAM REQUIREMENTS			
50 Administration			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$-	\$-	\$-
Totals, State Operations	\$-	\$-	\$-
ELEMENT REQUIREMENTS			
50.10 Administration Program Costs	430,024	478,743	494,441
0041-Aeronautics Account, State Transportation Fund	(142)	(524)	(544)
0042-State Highway Account, State Transportation Fund	(370,203)	(437,667)	(452,941)
0045-Bicycle Transportation Account, State Transportation Fund	(-)	(-)	(2)
0046-Public Transportation Account, State Transportation Fund	(6,569)	(6,472)	(6,986)
0365-Historic Property Maintenance Fund	(12)	(11)	(8)
0890-Federal Trust Fund	(28,038)	(15,073)	(15,082)
0995-Reimbursements	(20,106)	(13,972)	(13,979)
3007-Traffic Congestion Relief Fund	(238)	(-)	(-)
6055-Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	(1,214)	(1,543)	(1,514)
6056-Trade Corridors Improvement Fund	(466)	(464)	(247)
6058-Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	(672)	(1,028)	(1,033)
6059-Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006	(187)	(269)	(311)
6060-State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	(84)	(109)	(92)
6062-Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	(635)	(475)	(494)
6063-Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	(189)	(50)	(410)
6064-Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	(951)	(778)	(551)
6072-State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	(318)	(308)	(247)
50.20 Distributed Administration Program Costs	-430,024	-478,743	-494,441
10-Aeronautics	(143)	(525)	(545)
20.10-Capital Outlay Support	(-224,357)	(-212,754)	(-220,694)
20.20-Capital Outlay Projects	(-27,728)	(-)	(-)
20.30-Local Assistance	(-7,264)	(-13,678)	(-14,109)
20.40-Program Development	(-4,889)	(-7,408)	(-7,588)
20.65-Legal	(-3,593)	(-5,451)	(-5,610)
20.70-Operations	(-27,449)	(-41,657)	(-42,847)

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
20.80-Maintenance	(-109,315)	(-165,745)	(-170,544)
30-Mass Transportation	(-9,237)	(-7,202)	(-7,579)
40-Transportation Planning	(-16,049)	(-24,323)	(-24,925)
60 Equipment Program			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$-	\$-	\$-
Totals, State Operations	\$-	\$-	\$-
ELEMENT REQUIREMENTS			
60.10 Equipment Service Program Costs	\$144,875	\$254,121	\$186,216
0042-State Highway Account, State Transportation Fund	(144,525)	\$(253,771)	\$(185,866)
0995-Reimbursements	\$(350)	\$(350)	\$(350)
60.20 Distributed Equipment Service Program Costs	-144,875	-254,121	-186,216
10-Aeronautics	\$(-4)	\$(-4)	\$(-4)
20.10-Capital Outlay Support	\$(-24,276)	\$(-38,491)	\$(-37,641)
20.30-Local Assistance	\$(-168)	\$(-265)	\$(-265)
20.40-Program Development	\$(-263)	\$(-425)	\$(-425)
20.65-Legal	\$(-118)	\$(-210)	\$(-210)
20.70-Operations	\$(-2,490)	\$(-4,192)	\$(-4,218)
20.80-Maintenance	\$(-117,358)	\$(-210,235)	\$(-143,154)
30-Mass Transportation	\$(-56)	\$(-88)	\$(-88)
40-Transportation Planning	\$(-142)	\$(-211)	\$(-211)
50-Administration	\$(-)	\$(-)	\$(-)
TOTALS, EXPENDITURES			
State Operations	3,806,016	4,132,643	4,062,455
Local Assistance	1,955,920	2,917,410	2,290,630
Capital Expenditure	3,060,745	8,555,922	4,833,927
Unclassified	-	5,000	5,000
Totals, Expenditures	\$8,822,681	\$15,610,975	\$11,192,012

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	18,102.3	21,660.4	21,506.5	\$1,439,074	\$1,638,224	\$1,662,496
Total Adjustments	-	3.5	-200.0	-	489	11,703
Estimated Salary Savings	-	-1,390.8	-868.0	-	-81,911	-83,843
Net Totals, Salaries and Wages	18,102.3	20,273.1	20,438.5	\$1,439,074	\$1,556,802	\$1,590,356
Staff Benefits	-	-	-	640,663	502,743	518,162
Totals, Personal Services	18,102.3	20,273.1	20,438.5	\$2,079,737	\$2,059,545	\$2,108,518
OPERATING EXPENSES AND EQUIPMENT				\$1,591,414	\$1,915,252	\$1,826,084
SPECIAL ITEMS OF EXPENSE						
Tort Payments				\$34,238	\$73,556	\$43,556
Debt Service (GARVEE)				100,627	84,290	84,297
Totals, Special Items of Expense				\$134,865	\$157,846	\$127,853
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$3,806,016	\$4,132,643	\$4,062,455
(State Operations)						

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

2 Local Assistance

	Expenditures		
	2010-11*	2011-12*	2012-13*
Grants and Subventions	\$1,955,920	\$2,917,410	\$2,290,630
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,955,920	\$2,917,410	\$2,290,630

3 Capital Outlay

	Expenditures		
	2010-11*	2011-12*	2012-13*
Summary of Office Building Projects			
Eureka Office Building Project (WD/CONST)	\$687	\$8,716	\$-
Totals, Office Building Capital Outlay Projects	\$687	\$8,716	\$-
Transportation Capital Outlay Projects	\$3,060,058	\$8,547,206	\$4,833,927
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$3,060,745	\$8,555,922	\$4,833,927

4 Unclassified

	Expenditures		
	2010-11*	2011-12*	2012-13*
Unclassified	-	5,000	5,000
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)	\$-	\$5,000	\$5,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0041 Aeronautics Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,577	\$3,589	\$3,613
Allocation for employee compensation	-	6	-
Adjustment per Section 3.60	49	-6	-
Adjustment per Section 3.90	-104	-49	-
Adjustment per Section 3.91	-153	-	-
011 Budget Act appropriation (Transfer to Public Transportation Account, State Transportation Fund)	(30)	(30)	(30)
Prior year balances available:			
Item 2660-002-0041, Budget Act of 2008	1,560	1,560	1,560
Totals Available	\$4,929	\$5,100	\$5,173
Unexpended balance, estimated savings	-552	-	-
Balance available in subsequent years	-1,560	-1,560	-1,560
TOTALS, EXPENDITURES	\$2,817	\$3,540	\$3,613
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,599,061	\$2,716,186	\$2,588,677
Allocation for employee compensation	5,877	6,710	-
Adjustment per Section 3.60	26,510	-3,562	-
Adjustment per Section 3.90	-36,419	-29,557	-
Adjustment per Section 3.91	-152,907	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-2,865	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-22,499	-
Adjustment per Section 4.30	509	-	-
Transfer to Legislative Claims (9670)	-15	-10	-
Revised expenditure authority per Provision 8	46,391	-	-
002 Budget Act appropriation	600	600	600

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
005 Budget Act appropriation	14,732	14,728	14,685
Adjustment per Section 4.30	2	-18	-
007 Budget Act appropriation	95,936	95,570	95,851
Allocation for employee compensation	-	178	-
Adjustment per Section 3.60	33	-60	-
Adjustment per Section 3.90	-46	-494	-
Adjustment per Section 3.91	-1,375	-	-
011 Budget Act appropriation (Loan to the General Fund)	(80,000)	-	-
012 Budget Act appropriation (Deficiencies)	(40,000)	(40,000)	(40,000)
013 Budget Act appropriation (Loan to the General Fund) as added by Chapter 13, Statutes of 2011	(147,100)	-	-
013 Budget Act Appropriation (Loan to the General Fund)	-	(43,700)	-
015 Budget Act appropriation	100,000	-	-
021 Budget Act appropriation (Transfer to Public Transportation Account, State Transportation Fund)	(25,046)	(25,046)	(25,046)
022 Budget Act appropriation (Transfer to Environmental Enhancement and Mitigation Program Fund)	(10,000)	(10,000)	(10,000)
Prior year balances available:			
Item 2660-015-0042, Budget Act of 2010	-	100,000	96,700
Totals Available	\$2,698,889	\$2,874,907	\$2,796,513
Unexpended balance, estimated savings	-8,476	-219	-
Balance available in subsequent years	-100,000	-96,700	-93,400
TOTALS, EXPENDITURES	\$2,590,413	\$2,777,988	\$2,703,113
0045 Bicycle Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$10	\$10	\$12
TOTALS, EXPENDITURES	\$10	\$10	\$12
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$161,624	\$164,448	\$173,162
Allocation for employee compensation	145	67	-
Adjustment per Section 3.60	353	-68	-
Adjustment per Section 3.90	-329	-566	-
Adjustment per Section 3.91	-2,945	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-34	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-627	-
011 Budget Act appropriation (Loan to the General Fund)	(29,081)	-	-
Totals Available	\$158,848	\$163,220	\$173,162
Unexpended balance, estimated savings	-4,709	-278	-
TOTALS, EXPENDITURES	\$154,139	\$162,942	\$173,162
0062 Highway Users Tax Account, Transportation Tax Fund			
APPROPRIATIONS			
011 Budget Act appropriation (Loan to the General Fund)	(\$761,639)	\$-	\$-
TOTALS, EXPENDITURES	\$-	\$-	\$-
0365 Historic Property Maintenance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,641	\$1,640	\$1,637
Totals Available	\$1,641	\$1,640	\$1,637
Unexpended balance, estimated savings	-124	-	-
TOTALS, EXPENDITURES	\$1,517	\$1,640	\$1,637

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0653 Seismic Retrofit Bond Fund of 1996			
APPROPRIATIONS			
Government Code Section 8879.3	0	\$2,514	\$2,523
TOTALS, EXPENDITURES	\$-	\$2,514	\$2,523
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$592,483	\$574,102	\$588,874
Allocation for employee compensation	1,250	902	-
Adjustment per Section 3.60	3,116	-723	-
Adjustment per Section 3.90	-921	-5,993	-
Adjustment per Section 3.91	-9,153	-	-
Budget Adjustment	-101,513	1,300	-
002 Budget Act appropriation (GARVEE)	1	1	1
Budget Adjustment	-1	-1	-
015 Budget Act appropriation	100,000	-	-
Prior year balances available:			
Item 2660-002-0890, Budget Act of 2004 (GARVEE)	354,615	281,716	208,817
Item 2660-002-0890, Budget Act of 2008	122,327	94,599	83,208
Item 2660-015-0890, Budget Act of 2010	-	100,000	96,700
Streets and Highways Code Sec. 2423(a)	25,422	28,246	-
Budget Adjustment	59,484	-	-
Totals Available	\$1,147,110	\$1,074,149	\$977,600
Balance available in subsequent years	-504,561	-388,725	-301,129
TOTALS, EXPENDITURES	\$642,549	\$685,424	\$676,471
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$308,209	\$340,368	\$343,567
3007 Traffic Congestion Relief Fund			
APPROPRIATIONS			
002 Budget Act appropriation	\$16,325	\$12,962	\$12,968
Allocation for employee compensation	33	8	-
Adjustment per Section 3.60	117	-8	-
Adjustment per Section 3.90	-	-70	-
Adjustment per Section 3.91	-15	-	-
Totals Available	\$16,460	\$12,892	\$12,968
Unexpended balance, estimated savings	-6,042	-	-
TOTALS, EXPENDITURES	\$10,418	\$12,892	\$12,968
6043 High - Speed Passenger Train Bond Fund			
APPROPRIATIONS			
004 Budget Act appropriation	\$-	\$94	\$90
TOTALS, EXPENDITURES	\$-	\$94	\$90
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
004 Budget Act appropriation	\$42,108	\$57,263	\$57,128
Allocation for employee compensation	86	78	-
Adjustment per Section 3.60	645	-80	-
Adjustment per Section 3.90	-70	-655	-
Adjustment per Section 3.91	-2,048	-	-
Totals Available	\$40,721	\$56,606	\$57,128

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Unexpended balance, estimated savings	-11,196	-	-
TOTALS, EXPENDITURES	\$29,525	\$56,606	\$57,128
6056 Trade Corridors Improvement Fund			
APPROPRIATIONS			
004 Budget Act appropriation	\$3,450	\$3,639	\$3,080
Allocation for employee compensation	5	3	-
Adjustment per Section 3.60	82	-2	-
Adjustment per Section 3.90	-62	-17	-
Adjustment per Section 3.91	-232	-	-
Totals Available	\$3,243	\$3,623	\$3,080
Unexpended balance, estimated savings	-1,246	-	-
TOTALS, EXPENDITURES	\$1,997	\$3,623	\$3,080
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
004 Budget Act appropriation	\$54,378	\$46,098	\$46,097
Allocation for employee compensation	116	33	-
Adjustment per Section 3.60	4,730	-34	-
Adjustment per Section 3.90	-19	-285	-
Adjustment per Section 3.91	-3,910	-	-
Totals Available	\$55,295	\$45,812	\$46,097
Unexpended balance, estimated savings	-13,630	-	-
TOTALS, EXPENDITURES	\$41,665	\$45,812	\$46,097
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006			
APPROPRIATIONS			
004 Budget Act appropriation	\$1,403	\$1,161	\$1,116
Allocation for employee compensation	1	2	-
Adjustment per Section 3.60	-	-2	-
Adjustment per Section 3.90	-156	-5	-
Adjustment per Section 3.91	-40	-	-
Totals Available	\$1,208	\$1,156	\$1,116
Unexpended balance, estimated savings	-403	-	-
TOTALS, EXPENDITURES	\$805	\$1,156	\$1,116
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
004 Budget Act appropriation	\$753	\$727	\$543
Allocation for employee compensation	2	1	-
Adjustment per Section 3.60	6	-	-
Adjustment per Section 3.90	-33	-	-
Adjustment per Section 3.91	-46	-	-
Totals Available	\$682	\$728	\$543
Unexpended balance, estimated savings	-368	-	-
TOTALS, EXPENDITURES	\$314	\$728	\$543
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
004 Budget Act appropriation	\$1,868	\$1,653	\$1,360
Allocation for employee compensation	4	2	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Adjustment per Section 3.60	19	-2	-
Adjustment per Section 3.90	-54	-	-
Adjustment per Section 3.91	-85	-	-
Totals Available	\$1,752	\$1,653	\$1,360
Unexpended balance, estimated savings	-378	-	-
TOTALS, EXPENDITURES	\$1,374	\$1,653	\$1,360
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006			
APPROPRIATIONS			
004 Budget Act appropriation	\$576	\$373	\$699
Adjustment per Section 3.90	-10	-	-
Adjustment per Section 3.91	-2	-	-
Totals Available	\$564	\$373	\$699
Unexpended balance, estimated savings	-191	-	-
TOTALS, EXPENDITURES	\$373	\$373	\$699
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
004 Budget Act appropriation	\$17,055	\$17,475	\$17,153
Allocation for employee compensation	38	21	-
Adjustment per Section 3.60	935	-19	-
Adjustment per Section 3.90	-25	-165	-
Adjustment per Section 3.91	-917	-	-
Totals Available	\$17,086	\$17,312	\$17,153
Unexpended balance, estimated savings	-5,273	-	-
TOTALS, EXPENDITURES	\$11,813	\$17,312	\$17,153
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
004 Budget Act appropriation	\$14,434	\$18,215	\$18,123
Allocation for employee compensation	38	30	-
Adjustment per Section 3.60	140	-30	-
Adjustment per Section 3.90	-22	-247	-
Adjustment per Section 3.91	-917	-	-
Totals Available	\$13,673	\$17,968	\$18,123
Unexpended balance, estimated savings	-5,595	-	-
TOTALS, EXPENDITURES	\$8,078	\$17,968	\$18,123
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$3,806,016	\$4,132,643	\$4,062,455
2 LOCAL ASSISTANCE	2010-11*	2011-12*	2012-13*
0041 Aeronautics Account, State Transportation Fund			
APPROPRIATIONS			
Public Utilities Code Section 21680	\$3,055	\$3,750	\$3,250
TOTALS, EXPENDITURES	\$3,055	\$3,750	\$3,250
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$92,892	\$36,289	\$304,912
102 Budget Act appropriation	140,313	140,313	140,313
Streets and Highways Code Section 188.6 (b)(4)(A)	53,000	50,000	17,000

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

2 LOCAL ASSISTANCE	2010-11*	2011-12*	2012-13*
Prior year balances available:			
Item 2660-101-0042, Budget Act of 2005	22,090	-	-
Item 2660-101-0042, Budget Act of 2006	67,042	67,090	-
Item 2660-101-0042, Budget Act of 2007	29,882	29,828	29,827
Item 2660-101-0042, Budget Act of 2008	23,963	23,946	23,946
Item 2660-101-0042, Budget Act of 2009	10,000	8,433	5,839
Item 2660-101-0042, Budget Act of 2010	-	9,605	-
Item 2660-101-0042, Budget Act of 2011	-	-	9,073
Item 2660-102-0042, Budget Act of 2005	4,606	-	-
Item 2660-102-0042, Budget Act of 2006	309	3,229	-
Item 2660-102-0042, Budget Act of 2007	6,789	7,540	7,540
Item 2660-102-0042, Budget Act of 2008	19,682	22,473	22,472
Item 2660-102-0042, Budget Act of 2009	45,259	24,029	10,572
Item 2660-102-0042, Budget Act of 2010	-	73,717	26,538
Item 2660-102-0042, Budget Act of 2011	-	-	61,591
Totals Available	\$515,827	\$496,492	\$659,623
Unexpended balance, estimated savings	-29,456	-70,319	-37,367
Balance available in subsequent years	-269,890	-197,398	-257,085
TOTALS, EXPENDITURES	\$216,481	\$228,775	\$365,171
0045 Bicycle Transportation Account, State Transportation Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$7,200	\$7,200	\$11,923
TOTALS, EXPENDITURES	\$7,200	\$7,200	\$11,923
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$305,827	\$161,484	\$1
105 Budget Act appropriation	3,056	3,087	3,118
Prior year balances available:			
Item 2660-101-0046, Budget Act of 2009	108,033	78,327	7,066
Item 2660-101-0046, Budget Act of 2010	-	213,287	202,622
Item 2660-101-0046, Budget Act of 2011	-	-	143,859
Totals Available	\$416,916	\$456,185	\$356,666
Unexpended balance, estimated savings	-1	-	-
Balance available in subsequent years	-291,614	-353,547	-347,673
TOTALS, EXPENDITURES	\$125,301	\$102,638	\$8,993
0052 Local Airport Loan Account			
APPROPRIATIONS			
Public Utilities Code Section 21602	\$628	\$1,450	\$1,450
TOTALS, EXPENDITURES	\$628	\$1,450	\$1,450
Loan repayments from local agencies	-2,628	-2,501	-2,501
NET TOTALS, EXPENDITURES	\$-2,000	\$-1,051	\$-1,051
0183 Environmental Enhancement and Mitigation Program Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$10,000	\$10,000	\$10,000
Prior year balances available:			
Item 2660-101-0183, Budget Act of 2010	-	2,688	-
Totals Available	\$10,000	\$12,688	\$10,000
Balance available in subsequent years	-2,688	-	-
TOTALS, EXPENDITURES	\$7,312	\$12,688	\$10,000

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

2 LOCAL ASSISTANCE	2010-11*	2011-12*	2012-13*
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$66,828	\$122,105	\$231,264
102 Budget Act appropriation	1,461,105	1,563,531	1,608,951
Transfer to Item 2660-302-0890 per Provision 1	-52,900	-	-
Budget Adjustment	38,233	-	-
Prior year balances available:			
Item 2660-101-0890, Budget Act of 2008	49,372	52,102	52,101
Item 2660-101-0890, Budget Act of 2009	67,357	52,047	40,634
Item 2660-101-0890, Budget Act of 2010	-	43,517	22,822
Item 2660-101-0890, Budget Act of 2011	-	-	71,859
Item 2660-102-0890, Budget Act of 2008	130,532	218,245	218,244
Item 2660-102-0890, Budget Act of 2009	969,362	177,937	177,936
Item 2660-102-0890, Budget Act of 2010	-	957,280	217,337
Item 2660-102-0890, Budget Act of 2011	-	-	1,026,281
Streets and Highways Code Sec. 2422(a)	<u>108,462</u>	<u>64,319</u>	<u>-</u>
Totals Available	\$2,838,351	\$3,251,083	\$3,667,429
Balance available in subsequent years	<u>-1,565,447</u>	<u>-1,827,214</u>	<u>-2,144,665</u>
TOTALS, EXPENDITURES	\$1,272,904	\$1,423,869	\$1,522,764
3007 Traffic Congestion Relief Fund			
APPROPRIATIONS			
Government Code Section 14556.5	<u>\$40,559</u>	<u>\$40,000</u>	<u>\$40,000</u>
TOTALS, EXPENDITURES	\$40,559	\$40,000	\$40,000
6043 High - Speed Passenger Train Bond Fund			
APPROPRIATIONS			
104 Budget Act appropriation	\$38,500	\$7,000	\$7,333
Prior year balances available:			
Item 2660-104-6043, Budget Act of 2010	<u>-</u>	<u>26,300</u>	<u>-</u>
Totals Available	\$38,500	\$33,300	\$7,333
Balance available in subsequent years	<u>-26,300</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$12,200	\$33,300	\$7,333
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$40,346	\$19,100	\$-
Prior year balances available:			
Item 2660-104-6055, Budget Act of 2007, as reverted by Item 2660-495, Budget Act of 2011	1	-	-
Item 2660-104-6055, Budget Act of 2009 as reverted by Item 2660-495 Budget Act of 2011	17,324	-	-
Item 2660-104-6055, Budget Act of 2010	-	40,346	20,173
Item 2660-104-6055, Budget Act of 2011	<u>-</u>	<u>-</u>	<u>9,550</u>
Totals Available	\$57,671	\$59,446	\$29,723
Unexpended balance, estimated savings	-16,325	-	-
Balance available in subsequent years	<u>-40,346</u>	<u>-29,723</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,000	\$29,723	\$29,723
6056 Trade Corridors Improvement Fund			
APPROPRIATIONS			
104 Budget Act appropriation	\$181,346	\$520,192	\$-
Prior year balances available:			
Item 2660-104-6056, Budget Act of 2009, as reverted by Item 2660-495, Budget Act of 2011	89,000	63,146	-
Item 2660-104-6056, Budget Act of 2010	<u>-</u>	<u>151,346</u>	<u>60,673</u>

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

2 LOCAL ASSISTANCE	2010-11*	2011-12*	2012-13*
Item 2660-104-6056, Budget Act of 2011	-	-	183,592
Totals Available	\$270,346	\$734,684	\$244,265
Unexpended balance, estimated savings	-25,854	-	-
Balance available in subsequent years	-214,492	-244,265	-
TOTALS, EXPENDITURES	\$30,000	\$490,419	\$244,265
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$69,349	\$-	\$-
Prior year balances available:			
Item 2660-104-6058, Budget Act of 2007, as reverted by Item 2660-495, Budget Act of 2011	918	385	-
Item 2660-104-6058, Budget Act of 2008 as reverted by Item 2660-495, Budget Act of 2011	44,472	-	-
Item 2660-104-6058, Budget Act of 2010	-	67,730	-
Totals Available	\$114,739	\$68,115	\$-
Unexpended balance, estimated savings	-749	-	-
Balance available in subsequent years	-68,115	-	-
TOTALS, EXPENDITURES	\$45,875	\$68,115	\$-
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$1	\$-	\$-
Prior year balances available:			
Item 2660-104-6059, Budget Act of 2007, as reverted by Item 2660-495, Budget Act of 2011	1	-	-
Item 2660-104-6059, Budget Act of 2008, as reverted by Item 2660-495, Budget Act of 2011	1	-	-
Item 2660-104-6059, Budget Act of 2009, as reverted by Item 2660-495, Budget Act of 2011	1	-	-
Item 2660-104-6059, Budget Act of 2010	-	1	1
Totals Available	\$4	\$1	\$1
Unexpended balance, estimated savings	-3	-	-
Balance available in subsequent years	-1	-1	-1
TOTALS, EXPENDITURES	\$-	\$-	\$-
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$240,246	\$96,518	\$-
Prior year balances available:			
Item 2660-104-6060, Budget Act of 2008, as reverted by Item 2660-495, Budget Act of 2011	7,754	456	-
Item 2660-104-6060, Budget Act of 2009, as reverted by Item 2660-495, Budget Act of 2011	190,009	24,681	-
Item 2660-104-6060, Budget Act of 2010	-	118,876	1
Item 2660-104-6060, Budget Act of 2011	-	-	48,259
Totals Available	\$438,009	\$240,531	\$48,260
Unexpended balance, estimated savings	-209,793	-	-
Balance available in subsequent years	-144,013	-48,260	-1
TOTALS, EXPENDITURES	\$84,203	\$192,271	\$48,259
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$5,610	\$13,792	\$-
Prior year balances available:			
Item 2660-104-6062, Budget Act of 2007, as reverted by Item 2660-495, Budget Act of 2011	639	684	-
Item 2660-104-6062, Budget Act of 2008, as reverted by Item 2660-495, Budget Act of 2011	16,829	-	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

2 LOCAL ASSISTANCE	2010-11*	2011-12*	2012-13*
Item 2660-104-6062, Budget Act of 2009, as reverted by Item 2660-495, Budget Act of 2011	20,706	3,767	-
Item 2660-104-6062, Budget Act of 2010	-	5,610	-
Totals Available	\$43,784	\$23,853	\$-
Unexpended balance, estimated savings	-33,880	-	-
Balance available in subsequent years	-10,061	-	-
TOTALS, EXPENDITURES	\$-157	\$23,853	\$-
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$216,424	\$-	\$-
Prior year balances available:			
Item 2660-104-6063, Budget Act of 2007, as reverted by Item 2660-495, Budget Act of 2011	88,518	17,400	-
Item 2660-104-6063, Budget Act of 2008 as reverted by Item 2660-495, Budget Act of 2011	33,118	27,000	-
Item 2660-104-6063, Budget Act of 2010, proposed reversion Item 2660-495, Budget Act of 2012	-	216,424	-
Totals Available	\$338,060	\$260,824	\$-
Unexpended balance, estimated savings	-3,273	-129,424	-
Balance available in subsequent years	-260,824	-	-
TOTALS, EXPENDITURES	\$73,963	\$131,400	\$-
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$181,543	\$-	\$-
Transfer to Item 2660-304-6064 per Provision 2	-18,000	-	-
Prior year balances available:			
Item 2660-104-6064, Budget Act of 2007 as reverted by Item 2660-495, Budget Act of 2011	18,484	1,860	-
Item 2660-104-6064, Budget Act of 2010, proposed reversion Item 2660-495, Budget Act of 2012	-	142,143	-
Totals Available	\$182,027	\$144,003	\$-
Unexpended balance, estimated savings	-	-13,543	-
Balance available in subsequent years	-144,003	-	-
TOTALS, EXPENDITURES	\$38,024	\$130,460	\$-
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$1	\$1	\$-
Prior year balances available:			
Item 2660-104-6072, Budget Act of 2007, as reverted by Item 2660-495, Budget Act of 2011	1	-	-
Item 2660-104-6072, Budget Act of 2008, as reverted by Item 2660-495, Budget Act of 2011	1	-	-
Item 2660-104-6072, Budget Act of 2009, as reverted by Item 2660-495, Budget Act of 2011	1	-	-
Item 2660-104-6072, Budget Act of 2010	-	1	1
Item 2660-104-6072, Budget Act of 2011	-	-	1
Totals Available	\$4	\$2	\$2
Unexpended balance, estimated savings	-3	-	-
Balance available in subsequent years	-1	-2	-2
TOTALS, EXPENDITURES	\$-	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,955,920	\$2,917,410	\$2,290,630
3 CAPITAL OUTLAY	2010-11*	2011-12*	2012-13*
0042 State Highway Account, State Transportation Fund			

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

3 CAPITAL OUTLAY	2010-11*	2011-12*	2012-13*
APPROPRIATIONS			
301 Budget Act appropriation	\$219,108	\$192,708	\$229,876
302 Budget Act appropriation	185,443	539,776	762,020
303 Budget Act appropriation	18,050	14,200	8,248
311 Budget Act appropriation	687	8,716	-
Allocation from Item 2660-399-0042	5,000	5,000	5,000
Prior year balances available:			
Item 2660-301-0042, Budget Act of 2005, as reappropriated by Item 2660-491, Budget Act of 2011	1,508	8,313	-
Item 2660-301-0042, Budget Act of 2006	17,276	25,822	-
Item 2660-301-0042, Budget Act of 2007	13,369	9,528	9,527
Item 2660-301-0042, Budget Act of 2008	17,856	17,070	17,070
Item 2660-301-0042, Budget Act of 2009	276	-	-
Item 2660-301-0042, Budget Act of 2010	-	77,385	69,646
Item 2660-301-0042, Budget Act of 2011	-	-	73,026
Item 2660-302-0042, Budget Act of 2000	2,719	2,688	1,881
Item 2660-302-0042, Budget Act of 2005, as reappropriated by Item 2660-491, Budget Act of 2011	79,485	81,270	-
Item 2660-302-0042, Budget Act of 2006	101,457	101,430	-
Item 2660-302-0042, Budget Act of 2007 as reverted per Item 2660-495, Budget Act of 2009	65,030	62,736	62,736
Item 2660-302-0042, Budget Act of 2008 as reverted per Item 2660-495, Budget Act of 2009	127,624	142,551	124,000
Item 2660-302-0042, Budget Act of 2009	188,401	93,614	92,678
Item 2660-302-0042, Budget Act of 2010	-	68,476	10,271
Item 2660-302-0042, Budget Act of 2011	-	-	349,000
Item 2660-303-0042, Budget Act of 2008	25,062	-	-
Item 2660-303-0042, Budget Act of 2009	34,001	34,044	-
Item 2660-303-0042, Budget Act of 2010	-	14,162	13,902
Streets and Highways Code Section 2423(b)(2)(A)	0	0	-
Totals Available	\$1,102,352	\$1,499,489	\$1,828,881
Unexpended balance, estimated savings	-16,131	-249,123	-86,165
Balance available in subsequent years	<u>-739,089</u>	<u>-823,737</u>	<u>-1,166,952</u>
TOTALS, EXPENDITURES	\$347,132	\$426,629	\$575,764
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$16,400	\$65,500	\$1
Prior year balances available:			
Item 2660-301-0046, Budget Act of 2008	1	1	1
Item 2660-301-0046, Budget Act of 2009	24,263	24,263	5,648
Item 2660-301-0046, Budget Act of 2010	-	9,533	3,800
Item 2660-301-0046, Budget Act of 2011	-	-	42,000
Totals Available	\$40,664	\$99,297	\$51,450
Balance available in subsequent years	<u>-33,797</u>	<u>-51,449</u>	<u>-51,450</u>
TOTALS, EXPENDITURES	\$6,867	\$47,848	\$-
0653 Seismic Retrofit Bond Fund of 1996			
APPROPRIATIONS			
Government Code Section 8879.3	<u>\$3,773</u>	<u>\$1,000</u>	<u>\$7,000</u>
TOTALS, EXPENDITURES	\$3,773	\$1,000	\$7,000
0890 Federal Trust Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

3 CAPITAL OUTLAY	2010-11*	2011-12*	2012-13*
301 Budget Act appropriation	\$158,902	\$693,941	\$420,768
Transfer to Item 2660-302-0890 per Provision 1	33,800	-	-
Budget Adjustment	72,000	68,000	-
302 Budget Act appropriation	956,757	1,926,190	1,799,029
Transfer from Item 2660-102-0890 per Provision 1	19,100	-	-
303 Budget Act appropriation	1	1	1
315 Budget Act appropriation	930,070	-	-
Budget Adjustment	-	-	-1
Prior year balances available:			
Item 2660-301-0890, Budget Act of 2008	74,629	68,706	500
Item 2660-301-0890, Budget Act of 2009	14,011	-	-
Item 2660-301-0890, Budget Act of 2010	-	129,031	63,988
Item 2660-301-0890, Budget Act of 2011	-	-	312,644
Item 2660-302-0890, Budget Act of 2000	79,452	76,903	73,057
Item 2660-302-0890, Budget Act of 2008	832,177	443,783	443,782
Item 2660-302-0890, Budget Act of 2009	1,107,569	577,043	25,362
Item 2660-302-0890, Budget Act of 2010	-	330,280	33,028
Item 2660-303-0890, Budget Act of 2008	180	-	-
Budget Adjustment	-180	-	-
Item 2660-303-0890, Budget Act of 2009	1	-	-
Budget Adjustment	-1	-	-
Item 2660-303-0890, Budget Act of 2010	-	1	1
Item 2660-303-0890, Budget Act of 2011	-	-	1
Item 2660-315-0890, Budget Act of 2010	-	930,070	899,070
Streets and Highways Code Section 2423(b)(2)(A)	79,275	27,742	27,742
Streets and Highways Code Section 2423(b)(2)(B)	70,421	9,676	9,676
Streets and Highways Code Sec. 2423(a)	80,648	7,529	7,529
Streets and Highways Code Section 2423(b)(2)(C)	16,695	1,149	1,149
Totals Available	\$4,525,507	\$5,290,045	\$4,117,326
Balance available in subsequent years	<u>-2,601,913</u>	<u>-1,897,529</u>	<u>-2,437,990</u>
TOTALS, EXPENDITURES	\$1,923,594	\$3,392,516	\$1,679,336
0942 Special Deposit Fund			
APPROPRIATIONS			
Prior year balances available:			
Item 2660-306-0942, Budget Act of 2008	<u>\$11,074</u>	<u>\$7,388</u>	<u>\$-</u>
Totals Available	\$11,074	\$7,388	\$-
Balance available in subsequent years	<u>-7,388</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3,686	\$7,388	\$-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$28,295	\$1,671,216	\$1,177,500
3007 Traffic Congestion Relief Fund			
APPROPRIATIONS			
Government Code Section 14556.5(2)	<u>\$46,701</u>	<u>\$51,139</u>	<u>\$38,142</u>
TOTALS, EXPENDITURES	\$46,701	\$51,139	\$38,142
3008 Transportation Investment Fund			
APPROPRIATIONS			
Revenue and Tax Code Section 7104 and 7107	<u>\$79,978</u>	<u>\$-</u>	<u>\$-</u>
TOTALS, EXPENDITURES	\$79,978	\$-	\$-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

3 CAPITAL OUTLAY	2010-11*	2011-12*	2012-13*
6043 High - Speed Passenger Train Bond Fund			
APPROPRIATIONS			
304 Budget Act appropriation	\$62,490	\$20,810	\$-
Prior year balances available:			
Item 2660-304-6043, Budget Act of 2010	-	62,490	-
Totals Available	\$62,490	\$83,300	\$-
Balance available in subsequent years	-62,490	-	-
TOTALS, EXPENDITURES	\$-	\$83,300	\$-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
304 Budget Act appropriation	\$496,829	\$1,205,636	\$-
Prior year balances available:			
Item 2660-304-6055, Budget Act of 2007, as reverted by Item 2660-495, Budget Act of 2011	2,276	-	-
Item 2660-304-6055, Budget Act of 2008, as reverted by Item 2660-495, Budget Act of 2011	138,236	142,282	-
Item 2660-304-6055, Budget Act of 2009, as reverted by Item 2660-495, Budget Act of 2011	1,304,636	199,323	-
Item 2660-304-6055, Budget Act of 2010	-	435,035	-
Item 2660-304-6055, Budget Act of 2011	-	-	602,818
Totals Available	\$1,941,977	\$1,982,276	\$602,818
Unexpended balance, estimated savings	-928,181	-	-
Balance available in subsequent years	-776,640	-602,818	-
TOTALS, EXPENDITURES	\$237,156	\$1,379,458	\$602,818
6056 Trade Corridors Improvement Fund			
APPROPRIATIONS			
304 Budget Act appropriation	\$109,031	\$644,085	\$-
Prior year balances available:			
Item 2660-304-6056, Budget Act of 2008, as reverted by Item 2660-495, Budget Act of 2011	98,134	83,942	-
Item 2660-304-6056, Budget Act of 2009, as reverted by Item 2660-495, Budget Act of 2011	398,000	9,657	-
Item 2660-304-6056, Budget Act of 2010	-	75,831	-
Item 2660-304-6056, Budget Act of 2011	-	-	312,264
Totals Available	\$605,165	\$813,515	\$312,264
Unexpended balance, estimated savings	-361,310	-	-
Balance available in subsequent years	-169,430	-312,264	-
TOTALS, EXPENDITURES	\$74,425	\$501,251	\$312,264
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
304 Budget Act appropriation	\$400,000	\$-	\$-
Prior year balances available:			
Item 2660-304-6058, Budget Act of 2007, as reverted by Item 2660-495, Budget Act of 2011	59,131	14,036	-
Item 2660-304-6058, Budget Act of 2008, as reverted by Item 2660-495, Budget Act of 2011	109,639	24,118	-
Item 2660-304-6058, Budget Act of 2010	-	332,063	-
Totals Available	\$568,770	\$370,217	\$-
Unexpended balance, estimated savings	-20,526	-	-
Balance available in subsequent years	-370,217	-	-
TOTALS, EXPENDITURES	\$178,027	\$370,217	\$-
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006			
APPROPRIATIONS			
304 Budget Act appropriation	\$1	\$239,855	\$-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

3 CAPITAL OUTLAY	2010-11*	2011-12*	2012-13*
Prior year balances available:			
Item 2660-304-6059, Budget Act of 2009, as reverted by Item 2660-495, Budget Act of 2011	124,999	3,750	-
Item 2660-304-6059, Budget Act of 2010	-	1	1
Item 2660-304-6059, Budget Act of 2011	-	-	119,928
Totals Available	\$125,000	\$243,606	\$119,929
Unexpended balance, estimated savings	-121,249	-	-
Balance available in subsequent years	-3,751	-119,929	-1
TOTALS, EXPENDITURES	\$-	\$123,677	\$119,928
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
304 Budget Act appropriation	\$1	\$68,000	\$-
Prior year balances available:			
Item 2660-304-6060, Budget Act of 2008, as reverted by Item 2660-495, Budget Act of 2011	40,000	38,841	-
Item 2660-304-6060, Budget Act of 2009, as reverted by Item 2660-495, Budget Act of 2011	1	4,886	-
Item 2660-304-6060, Budget Act of 2010	-	1	1
Item 2660-304-6060, Budget Act of 2011	-	-	34,000
Totals Available	\$40,002	\$111,728	\$34,001
Unexpended balance, estimated savings	-1	-	-
Balance available in subsequent years	-43,728	-34,001	-1
TOTALS, EXPENDITURES	\$-3,727	\$77,727	\$34,000
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006			
APPROPRIATIONS			
Prior year balances available:			
Item 2660-304-6063, Budget Act of 2007, as reverted by Item 2660-495, Budget Act of 2011	\$1	\$-	\$-
Item 2660-304-6063, Budget Act of 2008, as reverted by Item 2660-495, Budget Act of 2011	1	-	-
Totals Available	\$2	\$-	\$-
Unexpended balance, estimated savings	-2	-	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
304 Budget Act appropriation	\$1	\$47,625	\$-
Transfer from 2660-104-6064 per Provision 2	18,000	-	-
Prior year balances available:			
Item 2660-304-6064, Budget Act of 2007, as reverted by Item 2660-495, Budget Act of 2011	4,712	2,349	-
Item 2660-304-6064, Budget Act of 2008, as reverted by Item 2660-495, Budget Act of 2011	674	9,577	-
Item 2660-304-6064, Budget Act of 2009, as reverted by Item 2660-495, Budget Act of 2011	56,990	334	-
Item 2660-304-6064, Budget Act of 2010	-	18,001	-
Item 2660-304-6064, Budget Act of 2011	-	-	23,813
Totals Available	\$80,377	\$77,886	\$23,813
Unexpended balance, estimated savings	-44,745	-	-
Balance available in subsequent years	-30,261	-23,813	-
TOTALS, EXPENDITURES	\$5,371	\$54,073	\$23,813
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
304 Budget Act appropriation	\$68,071	\$526,722	\$-
Prior year balances available:			

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

3 CAPITAL OUTLAY	2010-11*	2011-12*	2012-13*
Item 2660-304-6072, Budget Act of 2007, as reverted by Item 2660-495, Budget Act of 2011	5,974	5,774	-
Item 2660-304-6072, Budget Act of 2008, as reverted by Item 2660-495, Budget Act of 2011	16,252	13,863	-
Item 2660-304-6072, Budget Act of 2009, as reverted by Item 2660-495, Budget Act of 2011	418,438	30,071	-
Item 2660-304-6072, Budget Act of 2010	-	55,413	-
Item 2660-304-6072, Budget Act of 2011	-	-	263,361
Totals Available	\$508,735	\$631,843	\$263,361
Unexpended balance, estimated savings	-274,848	-	-
Balance available in subsequent years	-105,121	-263,361	-
TOTALS, EXPENDITURES	\$128,766	\$368,482	\$263,361
6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund			
APPROPRIATIONS			
Government Code Section 14554(a)	\$701	\$1	\$1
TOTALS, EXPENDITURES	\$701	\$1	\$1
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$3,060,745	\$8,555,922	\$4,833,927
4 UNCLASSIFIED	2010-11*	2011-12*	2012-13*
0001 General Fund			
APPROPRIATIONS			
Article XIX B of the California Constitution (Transfer to Transportation Investment Fund and Transportation Deferred Investment Fund)	\$83,416	\$83,416	\$83,416
TOTALS, EXPENDITURES	\$83,416	\$83,416	\$83,416
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
399 Budget Act appropriation	\$5,000	\$5,000	\$5,000
Allocation to Capital Outlay	-5,000	-	-
Allocation to Capital Outlay	-	-5,000	-5,000
TOTALS, EXPENDITURES	\$-	\$-	\$-
0890 Federal Trust Fund			
APPROPRIATIONS			
399 Budget Act appropriation	\$5,000	\$5,000	\$5,000
Budget Adjustment	-5,000	-	-
TOTALS, EXPENDITURES	\$-	\$5,000	\$5,000
3093 Transportation Deferred Investment Fund			
APPROPRIATIONS			
TOTALS, EXPENDITURES	\$-	\$-	\$-
Less funding provided by the General Fund	-83,416	-83,416	-83,416
NET TOTALS, EXPENDITURES	\$-83,416	\$-83,416	\$-83,416
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)	\$-	\$5,000	\$5,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance, Capital Outlay and Unclassified)	\$8,822,681	\$15,610,975	\$11,192,012

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
0041 Aeronautics Account, State Transportation Fund^s			
BEGINNING BALANCE			
	\$7,928	\$3,435	\$2,217
Prior year adjustments	215	-	-
Adjusted Beginning Balance	\$8,143	\$3,435	\$2,217
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
141200 Sales of Documents	2	2	2
150300 Income From Surplus Money Investments	45	27	26
Transfers and Other Adjustments:			
FO0061 From Motor Vehicle Fuel Account, Transportation Tax Fund per Revenue and Taxation Code Section 8352.3	5,161	6,081	6,081
TO0001 To General Fund per Public Utilities Code 21683.3b	-4,000	-	-
TO0046 To Public Transportation Account, State Transportation Fund per Item 2660-011-0041, Budget Acts	-30	-30	-30
Total Revenues, Transfers, and Other Adjustments	<u>\$1,178</u>	<u>\$6,080</u>	<u>\$6,079</u>
Total Resources	\$9,321	\$9,515	\$8,296
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	12	8	7
2660 Department of Transportation			
State Operations	2,817	3,540	3,613
Local Assistance	3,055	3,750	3,250
8880 Financial Information System for California (State Operations)	<u>2</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$5,886</u>	<u>\$7,298</u>	<u>\$6,870</u>
FUND BALANCE	\$3,435	\$2,217	\$1,426
Reserve for economic uncertainties	3,435	2,217	1,426
0042 State Highway Account, State Transportation Fund ^s			
BEGINNING BALANCE	\$181,000	\$439,796	\$730,146
Prior year adjustments	<u>-60,182</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$120,818	\$439,796	\$730,146
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
114100 Motor Vehicle Registration	910,793	956,000	938,000
125700 Other Regulatory Licenses and Permits	8,937	11,247	11,481
141200 Sales of Documents	89	658	658
150300 Income From Surplus Money Investments	1,342	1,245	1,035
150500 Interest Income From Interfund Loans	-	18,833	2,208
151200 Income From Condemnation Deposits Fund	302	1,570	1,469
152200 Rentals of State Property	43,254	41,848	42,365
152300 Misc Revenue From Use of Property & Money	9,318	20,822	20,582
161000 Escheat of Unclaimed Checks & Warrants	2,004	1,425	1,521
161400 Miscellaneous Revenue	1,025	2,653	2,711
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2660-011-0042, Budget Act of 2009	-	-	135,000
FO0001 From General Fund loan repayment per Item 2660-011-0042, Budget Act of 2008	-	200,000	-
FO0044 From Motor Vehicle Account, State Transportation Fund per Government Code Section 16475	333	300	300
FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2103(a)(3)(B)	-	185,714	191,011
FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2108	1,942,343	1,920,500	1,898,512
FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2103(a)(3)	514,649	680,953	700,375
FO0062 From Highway Users Tax Account, Transportation Tax Fund Per Streets and Highways Code Section 2103(a)(1)(A)	839,404	956,000	938,000

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Sections 2104.1 and 2107.6	5,000	5,000	5,000
TO0001 To General Fund loan per Item 2660-011-0042, Budget Acts	-80,000	-	-
TO0001 To General Fund loan per pending legislation	-	-	-303,460
TO0001 To General Fund Loan per Item 2660-013-0042, Budget Act of 2010 and 2011	-147,100	-43,700	-
TO0001 To General Fund loan per Vehicle Code 9400.4(b)	-	-257,800	-46,000
TO0001 To General Fund loan per Vehicle Code 9400.4(a)	-	-	-403,675
TO0046 To Public Transportation Account, State Transportation Fund per Item 2660-021-0042, Budget Acts	-25,046	-25,046	-25,046
TO0183 To Environmental Enhancement and Mitigation Program Fund per Item 2660-022-0042, Budget Acts	-10,000	-10,000	-10,000
TO0308 To Earthquake Risk Reduction Fund of 1996 per Item 6440-011-0042, Budget Acts	-1,000	-1,000	-1,000
TO3107 To Transportation Debt Service Fund per Vehicle Code Section 9400.4	-386,778	-608,500	-634,540
TO3107 To Transportation Debt Service Fund per Streets and Highways Code Section 183.1	-61,795	-55,993	-68,796
Total Revenues, Transfers, and Other Adjustments	<u>\$3,567,074</u>	<u>\$4,002,729</u>	<u>\$3,397,711</u>
Total Resources	<u>\$3,687,892</u>	<u>\$4,442,525</u>	<u>\$4,127,857</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	8,377	5,962	5,875
2600 California Transportation Commission (State Operations)	889	774	807
2660 Department of Transportation			
State Operations	2,590,413	2,777,988	2,703,113
Local Assistance	216,481	228,775	365,171
Capital Outlay	347,132	426,629	575,764
2720 Department of the California Highway Patrol (State Operations)	57,933	59,900	60,673
2740 Department of Motor Vehicles			
State Operations	52,498	46,734	49,700
Capital Outlay	394	1,961	184
3480 Department of Conservation (State Operations)	12	12	12
8660 Public Utilities Commission (State Operations)	3,560	3,563	3,775
8880 Financial Information System for California (State Operations)	1,681	1,869	493
9625 Interest Payments to the Federal Government (State Operations)	46	1,000	1,000
9670 Equity Claims of California Victim Compensation and Government Claims Board and (State Operations)	15	11	-
Total Expenditures and Expenditure Adjustments	<u>\$3,279,431</u>	<u>\$3,555,178</u>	<u>\$3,766,567</u>
Adjustment for Unfunded Encumbrances	<u>-\$31,335</u>	<u>\$157,201</u>	<u>-\$72,591</u>
FUND BALANCE	<u>\$439,796</u>	<u>\$730,146</u>	<u>\$433,881</u>
Reserve for unencumbered balance of continuing appropriations	288,717	596,070	261,633
Reserve for cash outlays in advance of federal reimbursements	151,079	135,971	142,770
0045 Bicycle Transportation Account, State Transportation Fund^s			
BEGINNING BALANCE	\$1,629	\$3,194	\$9,923
Prior year adjustments	1,479	-	-
Adjusted Beginning Balance	<u>\$3,108</u>	<u>\$3,194</u>	<u>\$9,923</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	96	157	451
150500 Interest Income From Interfund Loans	-	582	-
Transfers and Other Adjustments:			

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
FO0001 From General Fund loan repayment per Item 2660-011-0045, Budget Act of 2008	-	6,000	-
FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2106	7,200	7,200	7,200
Total Revenues, Transfers, and Other Adjustments	<u>\$7,296</u>	<u>\$13,939</u>	<u>\$7,651</u>
Total Resources	\$10,404	\$17,133	\$17,574
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2660 Department of Transportation			
State Operations	10	10	12
Local Assistance	<u>7,200</u>	<u>7,200</u>	<u>11,923</u>
Total Expenditures and Expenditure Adjustments	<u>\$7,210</u>	<u>\$7,210</u>	<u>\$11,935</u>
FUND BALANCE	\$3,194	\$9,923	\$5,639
Reserve for economic uncertainties	3,194	9,923	5,639
0046 Public Transportation Account, State Transportation Fund ^s			
BEGINNING BALANCE	\$588,808	\$244,560	\$196,101
Prior year adjustments	<u>-10,572</u>	-	-
Adjusted Beginning Balance	\$578,236	\$244,560	\$196,101
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
114900 Retail Sales and Use Taxes	327,602	589,419	643,393
150300 Income From Surplus Money Investments	1,087	793	713
Transfers and Other Adjustments:			
FO0041 From Aeronautics Account, State Transportation Fund per Item 2660-011-0041, Budget Acts	30	30	30
FO0042 From State Highway Account, State Transportation Fund per Item 2660-021-0042, Budget Acts	25,046	25,046	25,046
TO0001 To General Fund loan per Item 2660-011-0046, Budget Act of 2010	-29,081	-	-
TO3007 To Traffic Congestion Relief Fund loan repayment per GCS 14556.85	-60,000	-	-
TO3107 To Transportation Debt Service Fund per Public Utilities Code Section 99315	<u>-90,886</u>	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$173,798</u>	<u>\$615,288</u>	<u>\$669,182</u>
Total Resources	\$752,034	\$859,848	\$865,283
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	596	362	348
2600 California Transportation Commission (State Operations)	1,253	1,343	1,340
2640 State Transit Assistance (Local Assistance)	-	398,952	420,429
2660 Department of Transportation			
State Operations	154,139	162,942	173,162
Local Assistance	125,301	102,638	8,993
Capital Outlay	6,867	47,848	-
6440 University of California (State Operations)	980	980	980
8660 Public Utilities Commission (State Operations)	3,195	4,002	5,006
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	<u>255</u>	-	-
Total Expenditures and Expenditure Adjustments	<u>\$292,586</u>	<u>\$719,067</u>	<u>\$610,258</u>
Adjustment for Unfunded Encumbrances	<u>\$214,888</u>	<u>-\$55,320</u>	<u>-\$5,714</u>
FUND BALANCE	\$244,560	\$196,101	\$260,739
Reserve for economic uncertainties	244,560	196,101	260,739
0052 Local Airport Loan Account ^s			
BEGINNING BALANCE	\$8,125	\$13,101	\$15,439

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	<u>2010-11*</u>	<u>2011-12*</u>	<u>2012-13*</u>
Prior year adjustments	1,849	-	-
Adjusted Beginning Balance	\$9,974	\$13,101	\$15,439
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
131200 Interest on Loans to Local Agencies	1,076	1,245	1,252
150300 Income From Surplus Money Investments	<u>51</u>	<u>42</u>	<u>37</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,127</u>	<u>\$1,287</u>	<u>\$1,289</u>
Total Resources	\$11,101	\$14,388	\$16,728
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2660 Department of Transportation (Local Assistance)	628	1,450	1,450
Expenditure Adjustments:			
2660 Department of Transportation			
Loan repayments from local agencies (Local Assistance)	<u>-2,628</u>	<u>-2,501</u>	<u>-2,501</u>
Total Expenditures and Expenditure Adjustments	<u>-\$2,000</u>	<u>-\$1,051</u>	<u>-\$1,051</u>
FUND BALANCE	\$13,101	\$15,439	\$17,779
Reserve for economic uncertainties	13,101	15,439	17,779
0061 Motor Vehicle Fuel Account, Transportation Tax Fund ^s			
BEGINNING BALANCE	\$39,237	\$96,541	\$91,911
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
113800 Motor Vehicle Fuel Tax (Gasoline)	5,229,293	5,227,574	5,219,647
113900 Jet Fuel Tax	2,306	2,933	2,933
114000 Motor Vehicle Fuel Tax (Diesel)	473,928	378,427	322,436
125700 Other Regulatory Licenses and Permits	3,378	2,467	2,467
150300 Income From Surplus Money Investments	1,266	1,266	1,266
150500 Interest Income From Interfund Loans	-	786	-
161000 Escheat of Unclaimed Checks & Warrants	-65	1,083	1,083
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2660-011-0061, Budget Act of 2008	-	8,000	-
TO0001 To General Fund per Revenue and Taxation Code 8352.6(a)(2)	-2,499	-9,996	-9,996
TO0041 To Aeronautics Account, State Transportation Fund per Revenue and Taxation Code Section 8352.3	-5,161	-6,081	-6,081
TO0062 To Highway Users Tax Account, Transportation Tax Fund per Revenue and Taxation Code Section 8353	-2,955,866	-2,939,033	-2,896,682
TO0062 To Highway Users Tax Account, Transportation Tax Fund per Revenue and Taxation Code Section 7360 and 7361.1	-2,517,960	-2,503,620	-2,559,454
TO0111 To Department of Agriculture Account, Department of Food and Agriculture Fund per Revenue and Taxation Code Section 8352.5	-38,171	-38,320	-38,324
TO0263 To Off-Highway Vehicle Trust Fund per Revenue and Taxation Code Section 8352.6	-62,609	-55,200	-55,200
TO0392 To State Parks and Recreation Fund per Item 3790-012-0061, various Budget Acts	-26,649	-26,649	-26,649
TO0516 To Harbors and Watercraft Revolving Fund per Revenue and Taxation Code Section 8352.4	-17,891	-19,926	-19,926
Total Revenues, Transfers, and Other Adjustments	<u>\$83,300</u>	<u>\$23,711</u>	<u>-\$62,480</u>
Total Resources	\$122,537	\$120,252	\$29,431
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	3,973	4,430	4,432
0860 State Board of Equalization (State Operations)	22,007	23,911	24,999

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
8880 Financial Information System for California (State Operations)	16	-	-
Total Expenditures and Expenditure Adjustments	<u>\$25,996</u>	<u>\$28,341</u>	<u>\$29,431</u>
FUND BALANCE	\$96,541	\$91,911	-
Reserve for economic uncertainties	96,541	91,911	-
0183 Environmental Enhancement and Mitigation Program Fund ^s			
BEGINNING BALANCE	\$3,516	\$6,969	\$9,337
Prior year adjustments	<u>748</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$4,264	\$6,969	\$9,337
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	141	351	400
150500 Interest Income From Interfund Loans	-	432	-
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2660-011-0183, Budget Act of 2008	-	4,400	-
FO0042 From State Highway Account, State Transportation Fund per Item 2660-022-0042, Budget Acts	10,000	10,000	10,000
Total Revenues, Transfers, and Other Adjustments	<u>\$10,141</u>	<u>\$15,183</u>	<u>\$10,400</u>
Total Resources	\$14,405	\$22,152	\$19,737
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0540 Secretary of the Natural Resources Agency (State Operations)	124	127	143
2660 Department of Transportation (Local Assistance)	<u>7,312</u>	<u>12,688</u>	<u>10,000</u>
Total Expenditures and Expenditure Adjustments	<u>\$7,436</u>	<u>\$12,815</u>	<u>\$10,143</u>
FUND BALANCE	\$6,969	\$9,337	\$9,594
Reserve for economic uncertainties	6,969	9,337	9,594
0365 Historic Property Maintenance Fund ^s			
BEGINNING BALANCE	\$331	\$297	\$3,187
Prior year adjustments	<u>599</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$930	\$297	\$3,187
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	5	7	11
150500 Interest Income From Interfund Loans	-	295	-
152200 Rentals of State Property	885	1,231	1,161
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2660-011-0365, Budget Act of 2008	-	3,000	-
Total Revenues, Transfers, and Other Adjustments	<u>\$890</u>	<u>\$4,533</u>	<u>\$1,172</u>
Total Resources	\$1,820	\$4,830	\$4,359
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	5	3	3
2660 Department of Transportation (State Operations)	1,517	1,640	1,637
8880 Financial Information System for California (State Operations)	<u>1</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,523</u>	<u>\$1,643</u>	<u>\$1,640</u>
FUND BALANCE	\$297	\$3,187	\$2,719
Reserve for economic uncertainties	297	3,187	2,719
2500 Pedestrian Safety Account, State Transportation Fund ^s			
BEGINNING BALANCE	\$10	\$10	\$1,869

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	-	1	7
150500 Interest Income From Interfund Loans	-	143	-
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2660-011-2500, Budget Act of 2008	-	1,715	-
Total Revenues, Transfers, and Other Adjustments	-	\$1,859	\$7
Total Resources	\$10	\$1,869	\$1,876
FUND BALANCE	\$10	\$1,869	\$1,876
Reserve for economic uncertainties	10	1,869	1,876

2501 Local Transportation Loan Account, State Highway Account, State**Transportation Fund ^s**

BEGINNING BALANCE	\$3,965	\$3,977	\$3,992
Prior year adjustments	-7	-	-
Adjusted Beginning Balance	\$3,958	\$3,977	\$3,992
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	19	15	15
Total Revenues, Transfers, and Other Adjustments	\$19	\$15	\$15
Total Resources	\$3,977	\$3,992	\$4,007
FUND BALANCE	\$3,977	\$3,992	\$4,007
Reserve for economic uncertainties	3,977	3,992	4,007

3007 Traffic Congestion Relief Fund ^s

BEGINNING BALANCE	\$342,916	\$90,538	\$235,005
Prior year adjustments	-156,783	-	-
Adjusted Beginning Balance	\$186,133	\$90,538	\$235,005
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
161400 Miscellaneous Revenue	2,399	-	-
Transfers and Other Adjustments:			
FO0046 From Public Transportation Account, State Transportation Fund loan repayment per GCS 14556.85	60,000	-	-
FO3093 From Transportation Deferred Investment Fund per Government Section 14557.1, Revenue and Taxation Code 7104, 7105, and 7106	83,416	83,416	83,416
Total Revenues, Transfers, and Other Adjustments	\$145,815	\$83,416	\$83,416
Total Resources	\$331,948	\$173,954	\$318,421
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	82	34	26
2660 Department of Transportation			
State Operations	10,418	12,892	12,968
Local Assistance	40,559	40,000	40,000
Capital Outlay	46,701	51,139	38,142
8880 Financial Information System for California (State Operations)	16	-	-
Total Expenditures and Expenditure Adjustments	\$97,776	\$104,065	\$91,136
Adjustment for Unfunded Encumbrances	\$143,634	-\$165,116	\$18,841
FUND BALANCE	\$90,538	\$235,005	\$208,444
Reserve for unencumbered balance of continuing appropriations			
Reserve for economic uncertainties	90,538	235,005	208,444

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
3008 Transportation Investment Fund ⁵			
BEGINNING BALANCE	\$578,161	\$249,701	\$40,521
Prior year adjustments	51,616	-	-
Adjusted Beginning Balance	\$629,777	\$249,701	\$40,521
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	728	-	-
2660 Department of Transportation (Capital Outlay)	79,978	-	-
Total Expenditures and Expenditure Adjustments	\$80,706	-	-
Adjustment for Unfunded Encumbrances	\$299,370	\$209,180	\$40,521
FUND BALANCE	\$249,701	\$40,521	-
Reserve for economic uncertainties	249,701	40,521	-
3093 Transportation Deferred Investment Fund ⁵			
BEGINNING BALANCE	\$85,343	\$59,176	\$16,421
Prior year adjustments	11,896	-	-
Adjusted Beginning Balance	\$97,239	\$59,176	\$16,421
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
TO3007 To Traffic Congestion Relief Fund per Government Section 14557.1, Revenue and Taxation Code 7104, 7105, and 7106	-83,416	-83,416	-83,416
Total Revenues, Transfers, and Other Adjustments	-\$83,416	-\$83,416	-\$83,416
Total Resources	\$13,823	-\$24,240	-\$66,995
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2660 Department of Transportation (Unclassified)	-83,416	-83,416	-83,416
Total Expenditures and Expenditure Adjustments	-\$83,416	-\$83,416	-\$83,416
Adjustment for Unfunded Encumbrances	\$38,063	\$42,755	\$16,421
FUND BALANCE	\$59,176	\$16,421	-
Reserve for economic uncertainties	59,176	16,421	-
3107 Transportation Debt Service Fund ⁵			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO0042 From State Highway Account, State Transportation Fund per Vehicle Code Section 9400.4	\$386,778	\$608,500	\$634,540
FO0042 From State Highway Account, State Transportation Fund per Streets and Highways Code Section 183.1	61,795	55,993	68,796
FO0046 From Public Transportation Account, State Transportation Fund per Public Utilities Code Section 99315	90,886	-	-
FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2103	175,484	-	-
Total Revenues, Transfers, and Other Adjustments	\$714,943	\$664,493	\$703,336
Total Resources	\$714,943	\$664,493	\$703,336
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2830 General Obligation Bonds-BT&H (State Operations)	714,943	664,493	703,336
Debt Service Reimbursement	-	-	-
Total Expenditures and Expenditure Adjustments	\$714,943	\$664,493	\$703,336
FUND BALANCE	-	-	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006^B			
BEGINNING BALANCE	-	-	-
Prior year adjustments	-\$24	-	-
Adjusted Beginning Balance	-\$24	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
520000 Proceeds from the Sale of Bonds and Notes	1,268,684	\$4,678,257	\$2,832,778
Transfers and Other Adjustments:			
TO6054 California Ports Infrastructure, Security, and Air Quality Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-3,251	-104,070	-178,295
TO6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-267,879	-1,465,991	-689,875
TO6056 Trade Corridors Improvement Fund per Government Code Section 8879.23	-106,562	-995,490	-559,809
TO6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-265,697	-484,326	-46,281
TO6059 Public Transportation Modernization, Improvement and Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-79,171	-544,779	-950,941
TO6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-80,848	-270,819	-82,896
TO6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-1,224	-25,515	-1,369
TO6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-74,366	-131,807	-733
TO6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-55,234	-201,930	-41,052
TO6065 Local Streets and Road Improvement, Congestion Relief, and Traffic Safety Account of 2006, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-197,584	-67,080	-43
TO6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund per Government Code Section 8879.23	-136,844	-386,450	-281,484
Total Revenues, Transfers, and Other Adjustments	\$24	-	-
Total Resources	-	-	-
FUND BALANCE	-	-	-
6054 CA Ports Infrastructure, Security, & AirQuality Improvement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006^B			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$3,251	\$104,070	\$178,295
Total Revenues, Transfers, and Other Adjustments	\$3,251	\$104,070	\$178,295
Total Resources	\$3,251	\$104,070	\$178,295
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
3900 Air Resources Board (State Operations)	3,251	104,070	178,295
Total Expenditures and Expenditure Adjustments	\$3,251	\$104,070	\$178,295
FUND BALANCE	-	-	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006^B			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$267,879	\$1,465,991	\$689,875
Total Revenues, Transfers, and Other Adjustments	<u>\$267,879</u>	<u>\$1,465,991</u>	<u>\$689,875</u>
Total Resources	\$267,879	\$1,465,991	\$689,875
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	198	204	206
2660 Department of Transportation			
State Operations	29,525	56,606	57,128
Local Assistance	1,000	29,723	29,723
Capital Outlay	<u>237,156</u>	<u>1,379,458</u>	<u>602,818</u>
Total Expenditures and Expenditure Adjustments	<u>\$267,879</u>	<u>\$1,465,991</u>	<u>\$689,875</u>
FUND BALANCE	-	-	-
6056 Trade Corridors Improvement Fund^B			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$106,562	\$995,490	\$559,809
Total Revenues, Transfers, and Other Adjustments	<u>\$106,562</u>	<u>\$995,490</u>	<u>\$559,809</u>
Total Resources	\$106,562	\$995,490	\$559,809
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	140	197	200
2660 Department of Transportation			
State Operations	1,997	3,623	3,080
Local Assistance	30,000	490,419	244,265
Capital Outlay	<u>74,425</u>	<u>501,251</u>	<u>312,264</u>
Total Expenditures and Expenditure Adjustments	<u>\$106,562</u>	<u>\$995,490</u>	<u>\$559,809</u>
FUND BALANCE	-	-	-
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006^B			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$265,697	\$484,326	\$46,281
Total Revenues, Transfers, and Other Adjustments	<u>\$265,697</u>	<u>\$484,326</u>	<u>\$46,281</u>
Total Resources	\$265,697	\$484,326	\$46,281
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	130	182	184
2660 Department of Transportation			
State Operations	41,665	45,812	46,097

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
Local Assistance	45,875	68,115	-
Capital Outlay	<u>178,027</u>	<u>370,217</u>	-
Total Expenditures and Expenditure Adjustments	<u>\$265,697</u>	<u>\$484,326</u>	<u>\$46,281</u>
FUND BALANCE	-	-	-
6059 Public Transportation Modernization, Improvement & Service Enhancement			
Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 ^B			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$79,171	\$544,779	\$950,941
Total Revenues, Transfers, and Other Adjustments	<u>\$79,171</u>	<u>\$544,779</u>	<u>\$950,941</u>
Total Resources	\$79,171	\$544,779	\$950,941
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	30	53	53
2640 State Transit Assistance (Local Assistance)	78,336	419,893	829,844
2660 Department of Transportation			
State Operations	805	1,156	1,116
Capital Outlay	<u>-</u>	<u>123,677</u>	<u>119,928</u>
Total Expenditures and Expenditure Adjustments	<u>\$79,171</u>	<u>\$544,779</u>	<u>\$950,941</u>
FUND BALANCE	-	-	-
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 ^B			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$80,848	\$270,819	\$82,896
Total Revenues, Transfers, and Other Adjustments	<u>\$80,848</u>	<u>\$270,819</u>	<u>\$82,896</u>
Total Resources	\$80,848	\$270,819	\$82,896
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	58	93	94
2660 Department of Transportation			
State Operations	314	728	543
Local Assistance	84,203	192,271	48,259
Capital Outlay	<u>-3,727</u>	<u>77,727</u>	<u>34,000</u>
Total Expenditures and Expenditure Adjustments	<u>\$80,848</u>	<u>\$270,819</u>	<u>\$82,896</u>
FUND BALANCE	-	-	-
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 ^B			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$1,224	\$25,515	\$1,369
Total Revenues, Transfers, and Other Adjustments	<u>\$1,224</u>	<u>\$25,515</u>	<u>\$1,369</u>

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	<u>2010-11*</u>	<u>2011-12*</u>	<u>2012-13*</u>
Total Resources	\$1,224	\$25,515	\$1,369
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	7	9	9
2660 Department of Transportation			
State Operations	1,374	1,653	1,360
Local Assistance	<u>-157</u>	<u>23,853</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,224</u>	<u>\$25,515</u>	<u>\$1,369</u>
FUND BALANCE	-	-	-

6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction,**Air Quality and Port Security Fund of 2006 ^B**

BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$74,366	\$131,807	\$733
Total Revenues, Transfers, and Other Adjustments	<u>\$74,366</u>	<u>\$131,807</u>	<u>\$733</u>
Total Resources	\$74,366	\$131,807	\$733
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	30	34	34
2660 Department of Transportation			
State Operations	373	373	699
Local Assistance	<u>73,963</u>	<u>131,400</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$74,366</u>	<u>\$131,807</u>	<u>\$733</u>
FUND BALANCE	-	-	-

6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety,**Traffic Reduction, Air Quality, and Port Security Fund of 2006 ^B**

BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$55,234	\$201,930	\$41,052
Total Revenues, Transfers, and Other Adjustments	<u>\$55,234</u>	<u>\$201,930</u>	<u>\$41,052</u>
Total Resources	\$55,234	\$201,930	\$41,052
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	26	85	86
2660 Department of Transportation			
State Operations	11,813	17,312	17,153
Local Assistance	38,024	130,460	-
Capital Outlay	<u>5,371</u>	<u>54,073</u>	<u>23,813</u>
Total Expenditures and Expenditure Adjustments	<u>\$55,234</u>	<u>\$201,930</u>	<u>\$41,052</u>
FUND BALANCE	-	-	-

6065 Local Streets & Road Improvement Congestion Relief & Traffic Safety Acct of**2006 Highway Safety, Traffic Reduction, Air Quality & Port Security Fd of 2006 ^B**

BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2010-11*	2011-12*	2012-13*
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$197,584	\$67,080	\$43
Total Revenues, Transfers, and Other Adjustments	\$197,584	\$67,080	\$43
Total Resources	\$197,584	\$67,080	\$43
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
8860 Department of Finance (State Operations)	28	43	43
9350 Shared Revenues (Local Assistance)	197,556	67,037	-
Total Expenditures and Expenditure Adjustments	\$197,584	\$67,080	\$43
FUND BALANCE	-	-	-

6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port

Security Fund of 2006 ^B

BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$136,844	\$386,450	\$281,484
Total Revenues, Transfers, and Other Adjustments	\$136,844	\$386,450	\$281,484
Total Resources	\$136,844	\$386,450	\$281,484
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2660 Department of Transportation			
State Operations	8,078	17,968	18,123
Capital Outlay	128,766	368,482	263,361
Total Expenditures and Expenditure Adjustments	\$136,844	\$386,450	\$281,484
FUND BALANCE	-	-	-

6801 Transportation Financing Subaccount, State Highway Account, State

Transportation Fund ^N

BEGINNING BALANCE	\$51,953	\$51,250	\$51,250
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
520000 Proceeds from Sale of Bonds	-	1	1
Total Revenues, Transfers, and Other Adjustments	-	\$1	\$1
Total Resources	\$51,953	\$51,251	\$51,251
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	2	-	-
2660 Department of Transportation (Capital Outlay)	701	1	1
Total Expenditures and Expenditure Adjustments	\$703	\$1	\$1
FUND BALANCE	\$51,250	\$51,250	\$51,250

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Totals, Authorized Positions	18,102.3	21,660.4	21,506.5	\$1,439,074	\$1,638,224	\$1,662,496
Proposed New Positions:				Salary Range		
Continuation of Proposition 1B:						
Supervising Transportation Engineer	-	-	1.0	8,955-9,878	-	113
Senior Transportation Engineer	-	-	2.0	8,122-9,870	-	216

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Senior Management Auditor	-	-	1.0	5,576-7,063	-	76
Accounting Administrator II	-	-	1.0	5,576-6,727	-	74
Staff Services Manager II (Supervisory)	-	-	1.0	5,576-6,727	-	74
Senior Transportation Planner	-	-	2.0	5,576-6,727	-	148
Rail Transportation Manager I	-	-	2.0	5,442-6,568	-	144
Staff Services Manager I	-	-	1.0	5,079-6,127	-	67
Accounting Administrator I	-	-	2.0	4,833-5,874	-	134
Rail Transportation Associate	-	-	4.0	4,723-5,743	-	251
Associate Management Auditor	-	-	1.0	4,619-5,897	-	63
Associate Transportation Planner	-	-	5.0	4,619-5,616	-	307
Associate Accounting Analyst	-	-	11.0	4,616-5,616	-	676
Transportation Engineer	-	-	8.0	4,608-5,334	-	477
Associate Governmental Program Analyst	-	-	5.0	4,400-5,348	-	292
Accounting Officer (Spec)	-	-	6.0	3,841-4,670	-	306
Staff Services Analyst (Spec)	-	-	3.0	2,817-3,426	-	112
Office Technician	-	-	1.0	2,638-3,209	-	35
Continuation of SAFETEA-LU:						
Supervising Environmental Planner	-	-	1.0	6,334-6,984	-	80
Senior Transportation Engineer	-	-	3.0	5,752-6,990	-	226
Senior Environmental Planner	-	-	5.0	5,211-6,286	-	345
Transportation Engineer (Civil)	-	-	16.0	3,574-6,239	-	740
Project Initiation Document Program Zero-Based Workload:						
Senior Transportation Engineer	-	-	14.0	8,122-9,870	-	1,511
Transportation Engineer (Civil) D	-	-	35.0	6,897-8,379	-	3,208
Transportation Engineer (Civil) C	-	-	9.0	6,103-7,413	-	730
Associate Environmental Planner	-	-	6.0	4,619-5,616	-	368
Associate Transportation Planner	-	-	3.0	4,619-5,616	-	184
Americans with Disabilities Act Compliance:						
Senior Transportation Engineer	-	-	1.0	8,122-9,870	-	108
C.E.A.	-	-	1.0	6,173-13,381	-	117
Staff Services Manager I	-	-	1.0	5,079-6,127	-	67
Workforce Cap Plan:						
Principal Transportation Engineer, Caltrans	-	-	-1.0	9,842-10,853	-	-
Supervising Transportation Engineer, Caltrans	-	-	-1.0	8,955-9,878	-	-
Deputy Attorney IV, Caltrans	-	-	-3.0	8,486-10,477	-	-
Senior Transportation Engineer	-	-	-1.0	8,122-9,870	-	-
Associate Equipment Engineer	-	-	-1.0	6,927-8,422	-	-
Associate Transportation Engineer	-	-	-1.0	6,927-8,417	-	-
Associate Transportation Engineer (Registered)	-	-	-1.0	6,927-8,417	-	-
Transportation Engineer (Civil)	-	-	-155.0	6,897-8,379	-	-
Accounting Administrator III	-	-	-1.0	6,779-7,474	-	-
Supervising Transportation Planner	-	-	-1.0	6,779-7,474	-	-
Staff Services Manager II (Managerial)	-	-	-1.0	6,173-6,808	-	-
Senior Information Systems Analyst (Supervisor)	-	-	-2.0	5,850-7,465	-	-
Data Processing Manager II	-	-	-1.0	5,849-7,464	-	-
Caltrans Maintenance Manager II	-	-	-1.0	5,723-6,908	-	-
Highway Equipment Superintendent III	-	-	-3.0	5,698-6,890	-	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Research Manager II (Geographic Information Systems)	-	-	-1.0	5,576-6,727	-	-
Accounting Administrator II	-	-	-5.0	5,576-6,727	-	-
Senior Transportation Planner	-	-	-6.0	5,576-6,727	-	-
Staff Services Manager II	-	-	-7.0	5,576-6,727	-	-
Senior Information Systems Analyst (Specialist)	-	-	-3.0	5,571-7,109	-	-
Senior Programmer Analyst (Specialist)	-	-	-3.0	5,571-7,109	-	-
System Software Specialist II (Technical)	-	-	-1.0	5,561-7,097	-	-
Data Processing Manager I	-	-	-1.0	5,318-6,789	-	-
Senior Aviation Consultant	-	-	-1.0	5,312-6,409	-	-
Caltrans Maintenance Manager I	-	-	-1.0	5,191-6,265	-	-
Staff Services Manager I	-	-	-8.0	5,079-6,127	-	-
Staff Programmer Analyst (Specialist)	-	-	-1.0	5,065-6,466	-	-
Staff Information Systems Analyst (Specialist)	-	-	-6.0	5,065-6,466	-	-
Structural Steel Painter Supervisor	-	-	-1.0	4,837-5,565	-	-
Accounting Administrator I	-	-	-3.0	4,833-5,874	-	-
Highway Equipment Superintendent I	-	-	-2.0	4,728-5,698	-	-
Rail Transportation Associate	-	-	-1.0	4,723-5,743	-	-
Associate Information System Analyst (Spec)	-	-	-1.0	4,619-5,897	-	-
Associate Management Auditor	-	-	-1.0	4,619-5,897	-	-
Associate Right of Way Agent	-	-	-5.0	4,619-5,616	-	-
Associate Transportation Planner	-	-	-6.0	4,619-5,616	-	-
Senior Legal Analyst	-	-	-1.0	4,619-5,616	-	-
Lead Structural Steel Painter	-	-	-3.0	4,613-5,067	-	-
Transportation Engineer (Electrical)	-	-	-1.0	4,608-8,379	-	-
Equipment Materiel Coordinator	-	-	-1.0	4,517-5,446	-	-
Associate Governmental Program Analyst	-	-	-9.0	4,400-5,348	-	-
Litigation Specialist II, Caltrans	-	-	-1.0	4,400-5,309	-	-
Caltrans Highway Mechanic Supervisor	-	-	-4.0	4,308-4,951	-	-
Mechanical Engineering Technician III	-	-	-1.0	4,306-5,231	-	-
Caltrans Electrical Engineer Supervisor	-	-	-1.0	4,201-5,067	-	-
Structural Steel Painter	-	-	-9.0	4,201-4,613	-	-
Equipment Materiel Manager II	-	-	-1.0	4,115-4,958	-	-
Caltrans Electrician II	-	-	-4.0	4,012-4,613	-	-
Caltrans Electrician I	-	-	-1.0	3,828-4,201	-	-
Caltrans Landscape Maintenance Leadworker	-	-	-3.0	3,585-4,294	-	-
Caltrans Highway Maintenance Leadworker	-	-	-8.0	3,585-4,294	-	-
Caltrans Maintenance Supervisor	-	-	-6.0	3,580-4,523	-	-
Caltrans Equipment Operator II	-	-	-12.0	3,419-3,929	-	-
Caltrans Equipment Operator I	-	-	-2.0	3,201-3,662	-	-
Tree Maintenance Worker, Caltrans	-	-	-1.0	3,120-3,580	-	-
Environmental Planner	-	-	-1.0	3,106-4,670	-	-
Litigation Specialist I, Caltrans	-	-	-1.0	3,106-4,619	-	-
Toll Sergeant	-	-	-1.0	3,070-3,732	-	-
Legal Secretary	-	-	-1.0	3,038-3,878	-	-
Dispatcher-Clerk Supervisor, Caltrans	-	-	-1.0	2,997-3,642	-	-
Caltrans Highway Maintenance Worker	-	-	-4.0	2,873-3,120	-	-
Caltrans Landscape Maintenance Worker	-	-	-3.0	2,873-3,120	-	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Staff Services Analyst	-	-	-1.0	2,817-4,446	-	-
Transportation Engineer Technician	-	-	-1.0	2,746-4,766	-	-
Office Technician (Typing)	-	-	-2.0	2,686-3,264	-	-
Continuation of Federal Aid Construction Oversight Limited-Term Positions:						
Senior Transportation Engineer	-	-	9.0	8,122-9,870	-	972
High-Speed Rail Workload:						
Deputy Attorney IV, Caltrans	-	2.0	3.0	8,486-10,477	299	897
Deputy Attorney III, Caltrans	-	1.0	3.0	7,682-9,478	150	897
Legal Analyst	-	-	0.5	3,841-4,670	-	106
Legal Secretary	-	0.5	1.5	3,038-3,692	40	238
Go-Biz:						
Staff Services Manager I	-	-	-2.0	5,079-6,127	-	-198
Mass Transportation Staffing:						
Senior Transportation Planner	-	-	-1.0	5,576-6,727	-	-74
Rail Transportation Manager I	-	-	1.0	5,442-6,568	-	72
Rail Transportation Manager I	-	-	-1.0	5,442-6,568	-	-72
Rail Transportation Associate	-	-	-5.0	4,723-5,743	-	-314
Associate Transportation Planner	-	-	-23.0	4,619-5,616	-	-1,413
Associate Governmental Program Analyst	-	-	-3.0	4,400-5,348	-	-175
Transportation Planner B	-	-	-9.0	3,204-3,708	-	-373
Staff Services Analyst (Spec)	-	-	-1.0	2,817-3,426	-	-37
Office Technician	-	-	-2.0	2,638-3,209	-	-72
Totals, Proposed New Positions	-	3.5	-200.0	\$-	\$489	\$11,703
Total Adjustments	-	3.5	-200.0	\$-	\$489	\$11,703
TOTAL SALARIES AND WAGES	18,102.3	21,663.9	21,306.5	\$1,439,074	\$1,638,713	\$1,674,199

2665 High-Speed Rail Authority

The California High-Speed Rail Authority's mission is to plan, design, build, and operate a high-speed train system for California.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Authority's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 Administration	17.6	51.3	69.3	\$5,706	\$9,210	\$11,647
20 Program Management and Oversight Contracts	-	-	-	39,035	3,000	3,000
30 Public Information and Communications Contracts	-	-	-	1,800	2,208	500
40 Fiscal and Other External Contracts	-	-	-	10,055	2,000	750
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	17.6	51.3	69.3	\$56,596	\$16,418	\$15,897
FUNDING				2010-11*	2011-12*	2012-13*
0890 Federal Trust Fund				\$-	\$-	\$660
6043 High - Speed Passenger Train Bond Fund				56,596	16,418	15,237
TOTALS, EXPENDITURES, ALL FUNDS				\$56,596	\$16,418	\$15,897

* Dollars in thousands, except in Salary Range.

2665 High-Speed Rail Authority - Continued

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Utilities Code, Division 19.5 (commencing with Section 185000).

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Program Management Oversight Contract	\$-	\$-	-	\$-	\$3,000	-
• Staff Increase	-	-	-	-	1,860	18.0
• Financial Consulting Contract	-	-	-	-	750	-
• Interdepartmental Agreements	-	-	-	-	670	-
• Environmental Outreach and Communication Contract	-	-	-	-	500	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$6,780	18.0
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$75	-	\$-	\$9	-
• Retirement Rate Adjustment	-	11	-	-	11	-
• One Time Cost Reductions	-	-	-	-	-8,045	-
• Operational Efficiency Plan	-	-92	-	-	-92	-
• Cell Phone Reductions	-	-8	-	-	-8	-
• Miscellaneous Adjustments	-	-	-	-	660	-
Totals, Other Workload Budget Adjustments	\$-	-\$164	-	\$-	-\$7,465	-
Totals, Workload Budget Adjustments	\$-	-\$164	-	\$-	-\$685	18.0
Totals, Budget Adjustments	\$-	-\$164	-	\$-	-\$685	18.0

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF HIGH-SPEED RAIL AUTHORITY

The Administration Program is responsible for developing and implementing a statewide high-speed train system for California.

20 - PROGRAM MANAGEMENT AND OVERSIGHT CONTRACTS

The Program Management and Oversight Contracts Program is responsible for providing evaluation and review of services and products generated by the Program Management Team and Regional Consultants. This incorporates Project/Program monitoring, technical review, and programmatic review to be utilized by the Authority and shareholders.

30 - PUBLIC INFORMATION AND COMMUNICATIONS

The Public Information and Communications Program is responsible for providing information and communication services to the public by coordinating various regional outreach activities related to the environmental review process and supplements those efforts.

40 - FISCAL AND OTHER EXTERNAL CONTRACTS

The Fiscal and Other External Contracts Program is responsible for providing cost-effective services through contractual agreements.

DETAILED EXPENDITURES BY PROGRAM

		2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS				
10	Administration			
	State Operations:			
0890	Federal Trust Fund	\$-	\$-	\$660
6043	High - Speed Passenger Train Bond Fund	5,706	9,210	10,987

* Dollars in thousands, except in Salary Range.

2665 High-Speed Rail Authority - Continued

	2010-11*	2011-12*	2012-13*
Totals, State Operations	\$5,706	\$9,210	\$11,647
PROGRAM REQUIREMENTS			
20 Program Management and Oversight Contracts			
State Operations:			
6043 High - Speed Passenger Train Bond Fund	\$39,035	\$3,000	\$3,000
Totals, State Operations	\$39,035	\$3,000	\$3,000
PROGRAM REQUIREMENTS			
30 Public Information and Communications Contracts			
State Operations:			
6043 High - Speed Passenger Train Bond Fund	\$1,800	\$2,208	\$500
Totals, State Operations	\$1,800	\$2,208	\$500
PROGRAM REQUIREMENTS			
40 Fiscal and Other External Contracts			
State Operations:			
6043 High - Speed Passenger Train Bond Fund	\$10,055	\$2,000	\$750
Totals, State Operations	\$10,055	\$2,000	\$750
TOTALS, EXPENDITURES			
State Operations	56,596	16,418	15,897
Totals, Expenditures	\$56,596	\$16,418	\$15,897

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	17.6	54.0	54.0	\$1,643	\$4,184	\$4,370
Total Adjustments	-	-	19.0	-	-	1,258
Estimated Salary Savings	-	-2.7	-3.7	-	-209	-281
Net Totals, Salaries and Wages	17.6	51.3	69.3	\$1,643	\$3,975	\$5,347
Staff Benefits	-	-	-	559	1,421	1,931
Totals, Personal Services	17.6	51.3	69.3	\$2,202	\$5,396	\$7,278
OPERATING EXPENSES AND EQUIPMENT				\$54,394	\$11,022	\$8,619
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$56,596	\$16,418	\$15,897
(State Operations)						

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0890 Federal Trust Fund			
APPROPRIATIONS			
004 Budget Act appropriation	\$-	\$-	\$660
Federal Funds	-	0	-
TOTALS, EXPENDITURES	\$-	\$-	\$660
6043 High - Speed Passenger Train Bond Fund			
APPROPRIATIONS			
004 Budget Act appropriation	\$57,031	\$16,582	\$15,237
Allocation for employee compensation	20	5	-
Adjustment per Section 3.60	67	11	-
Adjustment per Section 3.90	-196	-80	-

* Dollars in thousands, except in Salary Range.

2665 High-Speed Rail Authority - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Adjustment per Section 3.91	-325	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-8	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-92	-
Totals Available	\$56,597	\$16,418	\$15,237
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$56,596	\$16,418	\$15,237
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$56,596	\$16,418	\$15,897

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
6043 High - Speed Passenger Train Bond Fund ^B			
BEGINNING BALANCE	\$90,591	\$119,101	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
Proceeds from the Sale of Bonds and Notes	180,755	93,353	\$22,660
Total Revenues, Transfers, and Other Adjustments	<u>\$180,755</u>	<u>\$93,353</u>	<u>\$22,660</u>
Total Resources	\$271,346	\$212,454	\$22,660
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2660 Department of Transportation			
State Operations	-	94	90
Local Assistance	12,200	33,300	7,333
Capital Outlay	-	83,300	-
2665 High-Speed Rail Authority			
State Operations	56,596	16,418	15,237
Capital Outlay	<u>83,449</u>	<u>79,342</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$152,245</u>	<u>\$212,454</u>	<u>\$22,660</u>
FUND BALANCE	\$119,101	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Totals, Authorized Positions	17.6	54.0	54.0	\$1,643	\$4,184	\$4,370
Workload and Administrative Adjustments:				Salary Range		
Proposed New Positions:						
Deputy Dir - Leg (Exempt)	-	-	1.0	9,584	-	115
Dir Enviro/Plan (CEA III)	-	-	1.0	8,594-9,476	-	108
Staff Counsel III	-	-	1.0	7,682-9,478	-	103
Sr Enviro Planner	-	-	2.0	5,576-6,727	-	148
Sr Trans Planner	-	-	1.0	5,576-6,727	-	74
Staff Services Manager II	-	-	1.0	5,576-6,727	-	74
Staff Services Manager I	-	-	2.0	5,079-6,127	-	134
Staff Info Systems Analyst	-	-	1.0	5,065-6,466	-	69
Information Officer I	-	-	3.0	4,400-5,348	-	175
Assoc Gov Prog Analyst	-	-	1.0	4,400-5,348	-	59
Graphic Designer II	-	-	1.0	3,705-4,503	-	49
Staff Services Analyst	-	-	1.0	2,817-4,446	-	44
Office Technician	-	-	1.0	2,686-3,264	-	36
Accounting Technician	-	-	2.0	2,638-3,209	-	70
Totals, Proposed New Positions	-	-	19.0	\$-	\$-	\$1,258

* Dollars in thousands, except in Salary Range.

2665 High-Speed Rail Authority - Continued

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Total Adjustments	-	-	19.0	\$-	\$-	\$1,258
TOTAL SALARIES AND WAGES	17.6	54.0	73.0	\$1,643	\$4,184	\$5,628

INFRASTRUCTURE OVERVIEW

The High-Speed Rail Authority is in the process of acquiring real property and right-of-way accesses to enable the development of a high-speed train system between Anaheim and San Francisco with extensions to Sacramento and San Diego.

MAJOR PROJECT CHANGES

- There is currently no capital outlay budgeted for the High-Speed Rail Authority in the budget year as the Department of Finance is reviewing the Authority's Funding Plan. Any proposal for budget year funding is deferred until after the 90-day review period has expired.

SUMMARY OF PROJECTS

		State Building Program Expenditures	2010-11*	2011-12*	2012-13*
20	CAPITAL OUTLAY				
	Major Projects				
20.15	San Francisco to San Jose		\$26,150	\$23,175	\$-
20.15.010	San Francisco to San Jose		26,150 ^{ADbf}	23,175 ^{ADbf}	-
20.25	San Jose to Merced		\$24,001	\$26,549	\$-
20.25.010	San Jose to Merced		24,001 ^{ADbf}	26,549 ^{ADbf}	-
20.30	Merced to Fresno		\$15,781	\$24,392	\$-
20.30.010	Merced to Fresno		15,781 ^{ADbf}	24,392 ^{ADbf}	-
20.40	Fresno to Bakersfield		\$38,461	\$20,839	\$-
20.40.010	Fresno to Bakersfield		38,461 ^{ADbf}	20,839 ^{ADbf}	-
20.45	Bakersfield to Palmdale		\$1,812	\$26,853	\$-
20.45.010	Bakersfield to Palmdale		1,812 ^{ADbf}	26,853 ^{ADbf}	-
20.50	Palmdale to Los Angeles		\$33,600	\$9,089	\$-
20.50.010	Palmdale to Los Angeles		33,600 ^{ADbf}	9,089 ^{ADbf}	-
20.60	Los Angeles to Anaheim		\$11,080	\$6,354	\$-
20.60.010	Los Angeles to Anaheim		11,080 ^{ADbf}	6,354 ^{ADbf}	-
20.70	Los Angeles to San Diego		\$3,700	\$4,000	\$-
20.70.010	Los Angeles to San Diego		3,700 ^{ADbf}	4,000 ^{ADbf}	-
20.80	Merced to Sacramento		\$2,064	\$2,986	\$-
20.80.010	Merced to Sacramento		2,064 ^{ADbf}	2,986 ^{ADbf}	-
20.90	Altamont Pass		\$2,745	\$3,225	\$-
20.90.010	Altamont Pass		2,745 ^{ADbf}	3,225 ^{ADbf}	-
	Totals, Major Projects		\$159,394	\$147,462	\$-
TOTALS, EXPENDITURES, ALL PROJECTS			\$159,394	\$147,462	\$-
FUNDING			2010-11*	2011-12*	2012-13*
0890	Federal Trust Fund		\$75,945	\$68,120	\$-
6043	High - Speed Passenger Train Bond Fund		83,449	79,342	-
TOTALS, EXPENDITURES, ALL FUNDS			\$159,394	\$147,462	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

2665 High-Speed Rail Authority - Continued

3 CAPITAL OUTLAY	2010-11*	2011-12*	2012-13*
0890 Federal Trust Fund			
APPROPRIATIONS			
304 Budget Act appropriation	\$25,000	\$42,663	\$-
305 Budget Act appropriation	52,500	23,902	-
Prior year balances available:			
Item 2665-304-0890, Budget Act of 2010	-	11	-
Item 2665-305-0890, Budget Act of 2010	-	1,544	-
Totals Available	\$77,500	\$68,120	\$-
Balance available in subsequent years	-1,555	-	-
TOTALS, EXPENDITURES	\$75,945	\$68,120	\$-
6043 High - Speed Passenger Train Bond Fund			
APPROPRIATIONS			
304 Budget Act appropriation	\$28,345	\$47,233	\$-
305 Budget Act appropriation	58,405	24,808	-
Chapter 530, Statutes of 2011 Section (a) (1)	-	1,200	-
Chapter 530, Statutes of 2011 Section (b) (1)	-	2,800	-
Prior year balances available:			
Item 2665-304-6043, Budget Act of 2010	-	1,967	-
Item 2665-305-6043, Budget Act of 2010	-	1,334	-
Totals Available	\$86,750	\$79,342	\$-
Balance available in subsequent years	-3,301	-	-
TOTALS, EXPENDITURES	\$83,449	\$79,342	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$159,394	\$147,462	\$-

2670 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisun

The Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisun licenses and regulates maritime pilots who guide vessels entering or leaving those bays and navigate on their tributaries to Sacramento and Stockton. Seven members of the Board are appointed by the Governor with the consent of the Senate, and the Secretary of the Business, Transportation, and Housing Agency is an ex officio member. All operational expenses of the Board are funded by a surcharge on pilotage fees set by the Board based on pilotage fees set by the Legislature. A pilot continuing education training program and a pilot trainee training program are funded by two separate surcharges on vessel movements set by the Board.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 Board of Pilot Commissioners	2.5	4.0	4.0	\$1,752	\$2,189	\$2,228
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	2.5	4.0	4.0	\$1,752	\$2,189	\$2,228
FUNDING				2010-11*	2011-12*	2012-13*
0290 Board of Pilot Commissioners' Special Fund				\$1,752	\$2,189	\$2,228
TOTALS, EXPENDITURES, ALL FUNDS				\$1,752	\$2,189	\$2,228

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Harbors and Navigation Code, Section 1150 et seq.

On January 1, 2009, the Board was placed within the Business, Transportation, and Housing Agency, pursuant to Chapter 567, Statutes of 2008 (SB 1627).

* Dollars in thousands, except in Salary Range.

2670 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisun - Continued

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$4	-	\$-	\$1	-
• Retirement Rate Adjustment	-	3	-	-	3	-
• Miscellaneous Adjustments	-	-	-	-	34	-
Totals, Other Workload Budget Adjustments	\$-	-\$1	-	\$-	\$38	-
Totals, Workload Budget Adjustments	\$-	-\$1	-	\$-	\$38	-
Totals, Budget Adjustments	\$-	-\$1	-	\$-	\$38	-

DETAILED EXPENDITURES BY PROGRAM

		2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS				
10	BOARD OF PILOT COMMISSIONERS			
	State Operations:			
0290	Board of Pilot Commissioners' Special Fund	\$1,752	\$2,189	\$2,228
	Totals, State Operations	\$1,752	\$2,189	\$2,228
ELEMENT REQUIREMENTS				
10.01	Support	\$750	\$1,060	\$1,099
	State Operations:			
0290	Board of Pilot Commissioners' Special Fund	750	1,060	1,099
10.03	Training	\$1,002	\$1,129	\$1,129
	State Operations:			
0290	Board of Pilot Commissioners' Special Fund	1,002	1,129	1,129
TOTALS, EXPENDITURES				
	State Operations	1,752	2,189	2,228
	Totals, Expenditures	\$1,752	\$2,189	\$2,228

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures			
	Positions/Personnel Years	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES							
Authorized Positions (Equals Sch. 7A)	2.5	4.0	4.0	\$173	\$279	\$288	
Net Totals, Salaries and Wages	2.5	4.0	4.0	\$173	\$279	\$288	
Staff Benefits	-	-	-	62	115	115	
Totals, Personal Services	2.5	4.0	4.0	\$235	\$394	\$403	
OPERATING EXPENSES AND EQUIPMENT							
				\$1,517	\$1,795	\$1,825	
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,752	\$2,189	\$2,228	

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

2670 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisun - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0290 Board of Pilot Commissioners' Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,627	\$2,190	\$2,228
Allocation for employee compensation	2	1	-
Adjustment per Section 3.60	8	3	-
Adjustment per Section 3.90	-17	-5	-
Adjustment per Section 3.91	-18	-	-
Totals Available	\$2,602	\$2,189	\$2,228
Unexpended balance, estimated savings	-850	-	-
TOTALS, EXPENDITURES	\$1,752	\$2,189	\$2,228
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,752	\$2,189	\$2,228

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
0290 Board of Pilot Commissioners' Special Fund ^s			
BEGINNING BALANCE	\$1,258	\$2,967	\$2,516
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	3,449	1,730	1,730
150300 Income From Surplus Money Investments	11	8	8
161000 Escheat of Unclaimed Checks & Warrants	1	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$3,461</u>	<u>\$1,738</u>	<u>\$1,738</u>
Total Resources	\$4,719	\$4,705	\$4,254
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	-	-	1
2670 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisu (State Operations)	1,752	2,189	2,228
Total Expenditures and Expenditure Adjustments	<u>\$1,752</u>	<u>\$2,189</u>	<u>\$2,229</u>
FUND BALANCE	\$2,967	\$2,516	\$2,025
Reserve for economic uncertainties	2,967	2,516	2,025

2700 Office of Traffic Safety

The California Office of Traffic Safety's mission is to obtain and effectively administer traffic safety grant funds to reduce deaths, injuries, and economic losses resulting from traffic collisions.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 California Traffic Safety Program	<u>32.1</u>	<u>32.0</u>	<u>32.0</u>	<u>\$166,470</u>	<u>\$119,857</u>	<u>\$97,323</u>
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	32.1	32.0	32.0	\$166,470	\$119,857	\$97,323
				2010-11*	2011-12*	2012-13*
0044 Motor Vehicle Account, State Transportation Fund				\$408	\$418	\$431
0890 Federal Trust Fund				<u>166,062</u>	<u>119,439</u>	<u>96,892</u>
TOTALS, EXPENDITURES, ALL FUNDS				\$166,470	\$119,857	\$97,323

* Dollars in thousands, except in Salary Range.

2700 Office of Traffic Safety - Continued

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Vehicle Code, Division 2, Chapter 5, Article 1.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$107	-	\$-	\$12	-
• Retirement Rate Adjustment	-	32	-	-	32	-
• Carryover/Reappropriation	-	23,030	-	-	-	-
• Miscellaneous Adjustments	-	-43	-	-	334	-
Totals, Other Workload Budget Adjustments	\$-	\$22,912	-	\$-	\$378	-
Totals, Workload Budget Adjustments	\$-	\$22,912	-	\$-	\$378	-
Totals, Budget Adjustments	\$-	\$22,912	-	\$-	\$378	-

PROGRAM DESCRIPTIONS

10 - CALIFORNIA TRAFFIC SAFETY PROGRAM

This program develops the California Highway Safety Plan, which: (1) identifies major traffic safety problems and appropriate programs to address these problems using available state and federal funds; (2) administers grants to state departments and local governments; and (3) coordinates statewide traffic safety programs and activities.

DETAILED EXPENDITURES BY PROGRAM

		2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS				
10 CALIFORNIA TRAFFIC SAFETY PROGRAM				
State Operations:				
0044	Motor Vehicle Account, State Transportation Fund	\$408	\$418	\$431
0890	Federal Trust Fund	118,574	62,372	59,899
Totals, State Operations		\$118,982	\$62,790	\$60,330
Local Assistance:				
0890	Federal Trust Fund	47,488	57,067	36,993
Totals, Local Assistance		\$47,488	\$57,067	\$36,993
TOTALS, EXPENDITURES				
State Operations		118,982	62,790	60,330
Local Assistance		47,488	57,067	36,993
Totals, Expenditures		\$166,470	\$119,857	\$97,323

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	32.1	33.0	33.0	\$1,892	\$2,117	\$2,172
Estimated Salary Savings	-	-1.0	-1.0	-	-42	-42
Net Totals, Salaries and Wages	32.1	32.0	32.0	\$1,892	\$2,075	\$2,130

* Dollars in thousands, except in Salary Range.

2700 Office of Traffic Safety - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Staff Benefits	-	-	-	850	776	793
Totals, Personal Services	32.1	32.0	32.0	\$2,742	\$2,851	\$2,923
OPERATING EXPENSES AND EQUIPMENT				\$2,725	\$3,188	\$3,565
SPECIAL ITEMS OF EXPENSE				\$113,515	\$56,751	\$53,842
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$118,982	\$62,790	\$60,330

2 Local Assistance	Expenditures		
	2010-11*	2011-12*	2012-13*
Other	\$47,488	\$57,067	\$36,993
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$47,488	\$57,067	\$36,993

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$435	\$428	\$431
Allocation for employee compensation	2	1	-
Adjustment per Section 3.60	9	4	-
Adjustment per Section 3.90	-12	-15	-
Adjustment per Section 3.91	-26	-	-
TOTALS, EXPENDITURES	\$408	\$418	\$431
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$59,064	\$59,524	\$6,057
Allocation for employee compensation	16	6	-
Adjustment per Section 3.60	60	28	-
Adjustment per Section 3.90	-111	-99	-
Adjustment per Section 3.91	-168	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-6	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-37	-
002 Budget Act appropriation	-	-	53,842
Prior year balances available:			
Item 2700-001-0890, Budget Act of 2005, as reappropriated by Item 2700-491, Budget Act of 2010	35,006	-	-
Item 2700-001-0890, Budget Act of 2006 as reappropriated by Item 2700-491, Budget Act of 2010	27,663	-	-
Item 2700-001-0890, Budget Act of 2007 as reappropriated by Item 2700-491, Budget Act of 2010	27	-	-
Budget Adjustment	-27	-	-
Item 2700-001-0890, Budget Act of 2010	-	2,956	-
Totals Available	\$121,530	\$62,372	\$59,899
Balance available in subsequent years	-2,956	-	-
TOTALS, EXPENDITURES	\$118,574	\$62,372	\$59,899
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$118,982	\$62,790	\$60,330

* Dollars in thousands, except in Salary Range.

2700 Office of Traffic Safety - Continued

2 LOCAL ASSISTANCE	2010-11*	2011-12*	2012-13*
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$36,993	\$36,993	\$36,993
Prior year balances available:			
Item 2700-101-0890, Budget Act of 2005 as reappropriated by Item 2700-491, Budget Act of 2010	16,170	-	-
Item 2700-101-0890, Budget Act of 2006 as reappropriated by Item 2700-491, Budget Act of 2010	14,397	-	-
Item 2700-101-0890, Budget Act of 2007 as reappropriated by Item 2700-491, Budget Act of 2010	2	-	-
Item 2700-101-0890, Budget Act of 2010	-	20,074	-
Totals Available	\$67,562	\$57,067	\$36,993
Balance available in subsequent years	-20,074	-	-
TOTALS, EXPENDITURES	\$47,488	\$57,067	\$36,993
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$47,488	\$57,067	\$36,993
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$166,470	\$119,857	\$97,323

2720 Department of the California Highway Patrol

The California Highway Patrol's (CHP's) mission is to ensure the safe, convenient, and efficient transportation of people and goods across the state's highway system and to provide the highest level of safety and security to the facilities and employees of the State of California.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on CHP's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
10 Traffic Management	9,106.3	8,839.0	8,839.0	\$1,661,072	\$1,678,791	\$1,694,711
20 Regulation and Inspection	744.6	1,053.2	1,053.2	144,497	195,334	189,793
30 Vehicle Security	211.3	235.0	235.0	37,334	43,756	41,499
40.01 Administration	1,104.9	1,189.0	1,189.0	107,382	230,678	180,621
40.02 Distributed Administration	-	-	-	-106,761	-230,057	-180,000
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	11,167.1	11,316.2	11,316.2	\$1,843,524	\$1,918,502	\$1,926,624
FUNDING				2010-11*	2011-12*	2012-13*
0042 State Highway Account, State Transportation Fund				\$57,933	\$59,900	\$60,673
0044 Motor Vehicle Account, State Transportation Fund				1,683,550	1,720,676	1,727,564
0293 Motor Carriers Safety Improvement Fund				1,470	2,061	2,099
0840 California Motorcyclist Safety Fund				2,060	2,278	2,350
0890 Federal Trust Fund				12,485	18,342	18,523
0942 Special Deposit Fund				430	2,329	2,329
0974 California Peace Officer Memorial Foundation Fund				127	300	300
0995 Reimbursements				85,469	112,616	112,786
TOTALS, EXPENDITURES, ALL FUNDS				\$1,843,524	\$1,918,502	\$1,926,624

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Vehicle Code, Division 2, Chapters 2, 2.5 and 4, Division 3, Chapters 1 and 6, Division 4, Chapters 1 and 1.5, Division 6, Chapters 1 and 2, Division 11, Chapters 3 and 9, Division 13, Chapter 5, Division 14.1, Chapter 1, Division 14.7, and

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

Division 14.8, and Education Code Section 39831.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Rent Augmentation: Grass Valley, Mojave, and Tracy Area Offices	\$-	\$-	-	\$-	\$3,491	-
• Information Technology Costs	-	-	-	-	344	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$3,835	-
Other Workload Budget Adjustments						
• Miscellaneous Adjustments	\$-	-\$212	-	\$-	\$19,624	-
• Employee Compensation Adjustments	-	5,116	-	-	18,136	-
• Retirement Rate Adjustment	-	2,987	-	-	2,987	-
• Workforce Cap Plan	-	-	-	-	-	-
• Lease Revenue Debt Service Adjustment	-	-4	-	-	-7	-
• Operational Efficiencies	-	-10,636	-143.0	-	-10,636	-143.0
• One Time Cost Reductions	-	-	-	-	-28,566	-
Totals, Other Workload Budget Adjustments	\$-	-\$2,749	-143.0	\$-	\$1,538	-143.0
Totals, Workload Budget Adjustments	\$-	-\$2,749	-143.0	\$-	\$5,373	-143.0
Totals, Budget Adjustments	\$-	-\$2,749	-143.0	\$-	\$5,373	-143.0

PROGRAM DESCRIPTIONS

10 - TRAFFIC MANAGEMENT

The objectives of this program are to minimize deaths, injuries, and property losses due to traffic accidents; to reduce traffic delays to the motoring public; to provide protection and assistance to the motoring public, state employees and property, including protection of the State Capitol and the surrounding grounds, state constitutional officers, and visiting dignitaries; and to curtail the potential for terrorist threats as part of state and federal homeland security efforts. These objectives are achieved through both ground and flight operations.

20 - REGULATION AND INSPECTION

The CHP operates 16 inspection facilities statewide with the objectives of:

- Reducing the incidence of truck and bus accidents attributable to defective vehicle equipment, improper maintenance, loading or securing of cargo, or disqualified drivers.
- Protecting the public from spills of hazardous materials or specially regulated loads and from the improper operation of specified vehicles such as ambulances and armored cars.
- Protecting farm workers transported in farm labor vehicles and children transported in school buses.
- Ensuring that proper registration fees are paid, and protecting highways from excessive weights.

30 - VEHICLE OWNERSHIP SECURITY

This program protects the public from vehicle theft through:

- Investigation and prosecution of the professional vehicle thief.
- Assistance and training of CHP and allied agency personnel.
- Prevention of vehicle theft through public awareness and coordination with the insurance, trucking, construction, auto manufacturing, and auto sale industries.

40 - ADMINISTRATION

The Administration Program provides services essential for the administration of the Department and its programs, including executive, administrative, legal, legislative, policy, and information support.

DETAILED EXPENDITURES BY PROGRAM

	2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS			

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

	2010-11*	2011-12*	2012-13*
10 TRAFFIC MANAGEMENT			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$21,785	\$21,882	\$20,902
0044 Motor Vehicle Account, State Transportation Fund	1,553,488	1,542,866	1,559,571
0840 California Motorcyclist Safety Fund	2,060	2,246	2,320
0890 Federal Trust Fund	460	1,743	1,702
0942 Special Deposit Fund	214	1,058	1,058
0995 Reimbursements	82,938	108,696	108,858
Totals, State Operations	\$1,660,945	\$1,678,491	\$1,694,411
Local Assistance:			
0974 California Peace Officer Memorial Foundation Fund	127	300	300
Totals, Local Assistance	\$127	\$300	\$300
ELEMENT REQUIREMENTS			
10.10 Ground Operations	\$1,608,322	\$1,636,105	\$1,653,779
State Operations:			
0042 State Highway Account, State Transportation Fund	21,349	21,505	20,542
0044 Motor Vehicle Account, State Transportation Fund	1,501,174	1,500,580	1,519,022
0840 California Motorcyclist Safety Fund	2,060	2,246	2,320
0890 Federal Trust Fund	460	1,743	1,702
0942 Special Deposit Fund	214	1,058	1,058
0995 Reimbursements	82,938	108,673	108,835
Local Assistance:			
0974 California Peace Officer Memorial Foundation Fund	127	300	300
10.20 Flight Operations	\$52,750	\$42,686	\$40,932
State Operations:			
0042 State Highway Account, State Transportation Fund	436	377	360
0044 Motor Vehicle Account, State Transportation Fund	52,314	42,286	40,549
0995 Reimbursements	-	23	23
PROGRAM REQUIREMENTS			
20 REGULATION AND INSPECTION			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$36,148	\$38,018	\$39,771
0044 Motor Vehicle Account, State Transportation Fund	93,968	136,894	129,341
0293 Motor Carriers Safety Improvement Fund	1,470	2,061	2,099
0840 California Motorcyclist Safety Fund	-	23	22
0890 Federal Trust Fund	12,025	16,599	16,821
0942 Special Deposit Fund	2	213	213
0995 Reimbursements	884	1,526	1,526
Totals, State Operations	\$144,497	\$195,334	\$189,793
ELEMENT REQUIREMENTS			
20.05 School Pupil Transportation Safety	\$11,014	\$12,111	\$11,574
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	11,014	12,088	11,552
0840 California Motorcyclist Safety Fund	-	23	22
20.10 Regulated Special Purpose Vehicles	\$2,424	\$2,650	\$2,532
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	2,424	2,650	2,532
20.15 Transportation of Hazardous Materials	\$9,426	\$10,737	\$10,172
State Operations:			

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

	2010-11*	2011-12*	2012-13*
0044 Motor Vehicle Account, State Transportation Fund	9,424	10,524	9,959
0942 Special Deposit Fund	2	213	213
20.20 Farm Labor Transportation Safety	\$5	\$4,920	\$4,692
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	5	4,920	4,692
20.25 Commercial Vehicle Inspection Enforcement	\$88,938	\$124,704	\$121,660
State Operations:			
0042 State Highway Account, State Transportation Fund	36,148	38,018	39,771
0044 Motor Vehicle Account, State Transportation Fund	45,440	78,229	73,180
0293 Motor Carriers Safety Improvement Fund	1,470	2,061	2,099
0890 Federal Trust Fund	4,996	4,870	5,084
0995 Reimbursements	884	1,526	1,526
20.45 Motor Carrier Safety Operations	\$32,690	\$40,212	\$39,163
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	25,661	28,483	27,426
0890 Federal Trust Fund	7,029	11,729	11,737
PROGRAM REQUIREMENTS			
30 VEHICLE SECURITY			
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	\$36,094	\$40,916	\$38,652
0840 California Motorcyclist Safety Fund	-	9	8
0942 Special Deposit Fund	214	1,058	1,058
0995 Reimbursements	1,026	1,773	1,781
Totals, State Operations	\$37,334	\$43,756	\$41,499
ELEMENT REQUIREMENTS			
30.10 Vehicle Theft Control	\$33,476	\$39,531	\$37,463
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	32,236	36,691	34,616
0840 California Motorcyclist Safety Fund	-	9	8
0942 Special Deposit Fund	214	1,058	1,058
0995 Reimbursements	1,026	1,773	1,781
30.20 Vehicle Identification Numbering Program	\$3,858	\$4,225	\$4,036
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	3,858	4,225	4,036
PROGRAM REQUIREMENTS			
40 ADMINISTRATION			
State Operations:			
0995 Reimbursements	\$621	\$621	\$621
Totals, State Operations	\$621	\$621	\$621
ELEMENT REQUIREMENTS			
40.01 Administration	107,382	230,678	180,621
40.02 Distributed Administration	-106,761	-230,057	-180,000
TOTALS, EXPENDITURES			
State Operations	1,843,397	1,918,202	1,926,324
Local Assistance	127	300	300
Totals, Expenditures	\$1,843,524	\$1,918,502	\$1,926,624

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	11,167.1	11,632.2	11,632.2	\$1,010,713	\$1,069,228	\$1,084,855
Total Adjustments	-	-143.0	-143.0	-	-13,786	-13,786
Estimated Salary Savings	-	-173.0	-173.0	-	-8,233	-8,543
Net Totals, Salaries and Wages	11,167.1	11,316.2	11,316.2	\$1,010,713	\$1,047,209	\$1,062,526
Staff Benefits	-	-	-	468,518	478,991	472,755
Totals, Personal Services	11,167.1	11,316.2	11,316.2	\$1,479,231	\$1,526,200	\$1,535,281
OPERATING EXPENSES AND EQUIPMENT				\$364,166	\$392,002	\$391,043
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,843,397	\$1,918,202	\$1,926,324

2 Local Assistance

	Expenditures		
	2010-11*	2011-12*	2012-13*
Grants and Subventions	\$127	\$300	\$300
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$127	\$300	\$300

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$59,641	\$59,746	\$60,673
Allocation for employee compensation	376	178	-
Adjustment per Section 3.60	1,026	57	-
Adjustment per Section 3.90	-1,127	-81	-
Adjustment per Section 3.91	-423	-	-
Totals Available	\$59,493	\$59,900	\$60,673
Unexpended balance, estimated savings	-1,560	-	-
TOTALS, EXPENDITURES	\$57,933	\$59,900	\$60,673
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,780,599	\$1,698,669	\$1,726,623
Allocation for employee compensation	11,239	9,023	-
Adjustment per Section 3.60	30,636	2,871	-
Adjustment per Section 3.90	-33,690	-4,103	-
Adjustment per Section 3.91	-12,640	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-181	-
Adjustment per Section 3.91 (b) Rental Rate Reductions	-	-31	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-10,636	-
003 Budget Act appropriation (lease revenue debt)	949	948	941
Adjustment per Section 4.30	-	-4	-
011 Budget Act appropriation (Advanced Authorization)	(10,000)	(10,000)	(10,000)
021 Budget Act appropriation (Advanced Authorization)	(5,000)	(5,000)	(5,000)
Prior year balances available:			

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
Item 2720-001-0044, Budget Act of 2010, as reappropriated by Item 2720-490, Budget Act of 2011	-	24,120	-
Totals Available	\$1,777,093	\$1,720,676	\$1,727,564
Unexpended balance, estimated savings	-69,423	-	-
Balance available in subsequent years	-24,120	-	-
TOTALS, EXPENDITURES	\$1,683,550	\$1,720,676	\$1,727,564
0293 Motor Carriers Safety Improvement Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,547	\$2,054	\$2,099
Allocation for employee compensation	16	8	-
Adjustment per Section 3.60	44	3	-
Adjustment per Section 3.90	-	-4	-
Adjustment per Section 3.91	-18	-	-
Totals Available	\$2,589	\$2,061	\$2,099
Unexpended balance, estimated savings	-1,119	-	-
TOTALS, EXPENDITURES	\$1,470	\$2,061	\$2,099
0840 California Motorcyclist Safety Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,229	\$2,278	\$2,350
Totals Available	\$2,229	\$2,278	\$2,350
Unexpended balance, estimated savings	-169	-	-
TOTALS, EXPENDITURES	\$2,060	\$2,278	\$2,350
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$17,682	\$18,296	\$18,523
Allocation for employee compensation	112	54	-
Adjustment per Section 3.60	304	17	-
Adjustment per Section 3.90	-	-25	-
Adjustment per Section 3.91	-125	-	-
Budget Adjustment	-5,488	-	-
TOTALS, EXPENDITURES	\$12,485	\$18,342	\$18,523
0903 State Penalty Fund			
APPROPRIATIONS			
012 Budget Act appropriation (transfer to California Motorcyclist Safety Fund)	(\$250)	(\$250)	(\$250)
TOTALS, EXPENDITURES	\$-	\$-	\$-
0942 Special Deposit Fund			
APPROPRIATIONS			
001 Budget Act appropriation (Hazardous Substance Account)	\$213	\$213	\$213
011 Budget Act appropriation (Asset Forfeiture Account)	2,116	2,116	2,116
Totals Available	\$2,329	\$2,329	\$2,329
Unexpended balance, estimated savings	-1,899	-	-
TOTALS, EXPENDITURES	\$430	\$2,329	\$2,329
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$85,469	\$112,616	\$112,786
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,843,397	\$1,918,202	\$1,926,324
2 LOCAL ASSISTANCE			
0974 California Peace Officer Memorial Foundation Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

2 LOCAL ASSISTANCE	2010-11*	2011-12*	2012-13*
101 Budget Act appropriation	\$300	\$300	\$300
Totals Available	\$300	\$300	\$300
Unexpended balance, estimated savings	-173	-	-
TOTALS, EXPENDITURES	\$127	\$300	\$300
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$127	\$300	\$300
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$1,843,524	\$1,918,502	\$1,926,624

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
0293 Motor Carriers Safety Improvement Fund ^s			
BEGINNING BALANCE	\$3,377	\$3,729	\$3,097
Prior year adjustments	236	-	-
Adjusted Beginning Balance	\$3,613	\$3,729	\$3,097
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	1,559	1,406	1,406
150300 Income From Surplus Money Investments	18	18	18
Transfers and Other Adjustments:			
FO0412 From Transportation Rate Fund per Public Utilities Code Section 5003.1	21	19	19
Total Revenues, Transfers, and Other Adjustments	\$1,598	\$1,443	\$1,443
Total Resources	\$5,211	\$5,172	\$4,540
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	10	6	5
2720 Department of the California Highway Patrol (State Operations)	1,470	2,061	2,099
8880 Financial Information System for California (State Operations)	2	8	2
Total Expenditures and Expenditure Adjustments	\$1,482	\$2,075	\$2,106
FUND BALANCE	\$3,729	\$3,097	\$2,434
Reserve for economic uncertainties	3,729	3,097	2,434

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Totals, Authorized Positions	11,167.1	11,632.2	11,632.2	\$1,010,713	\$1,069,228	\$1,084,855
Workload and Administrative Adjustments:				\$Salary Range		
Reductions in Authorized Positions:						
Officer	-	-142.0	-142.0	5,537-7,869	-13,712	-13,712
Staff Services Manager I	-	-1.0	-1.0	5,079-6,127	-74	-74
Totals, Workload & Admin Adjustments:	-	-143.0	-143.0	-	-13,786	-13,786
Total Adjustments	-	-143.0	-143.0	\$-	-\$13,786	-\$13,786
TOTALS, SALARIES AND WAGES	11,167.1	11,489.2	11,489.2	\$1,010,713	\$1,055,442	\$1,071,069

INFRASTRUCTURE OVERVIEW

The California Highway Patrol utilizes over 500 facilities of varying types statewide, which include 10 field division offices, 102 area commands, 8 air operations offices, 34 resident posts, 31 commercial vehicle inspection/scale facilities, 23 platform scales sites, 25 communications centers, 272 telecommunication sites, a training academy and various administrative facilities. These facilities comprise approximately 1.4 million gross square feet of state-owned properties and 400,000 gross square feet of leased property and support the Department's mission to provide the highest level of safety, service, and security to the people of California.

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

SUMMARY OF PROJECTS

State Building Program Expenditures		2010-11*	2011-12*	2012-13*
50	CAPITAL OUTLAY			
	Major Projects			
50.04	CALIFORNIA HIGHWAY PATROL ENHANCED RADIO SYSTEM	\$4,541	\$10,318	\$12,139
50.04.004	Replace Towers and Vaults - Phase 1	2,920 ^{WCs}	8,962 ^{AWCs}	-
50.04.005	Replace Towers and Vaults - Phase 2	1,621 ^{Ps}	1,356 ^{AWs}	12,139 ^{Cs}
50.40	OAKHURST	\$9,638	\$-	\$-
50.40.400	Replacement Facility	9,638 ^{Cs}	-	-
50.57	SANTA FE SPRINGS	\$1,350	\$-	\$-
50.57.507	Replacement Facility	1,350 ^{AWs}	-	-
50.63	OCEANSIDE	\$1,544	\$18,317	\$-
50.63.603	Replacement Facility	1,544 ^{Ws}	18,317 ^{Cs}	-
	Totals, Major Projects	\$17,073	\$28,635	\$12,139
TOTALS, EXPENDITURES, ALL PROJECTS		\$17,073	\$28,635	\$12,139
FUNDING		2010-11*	2011-12*	2012-13*
0044	Motor Vehicle Account, State Transportation Fund	\$17,073	\$28,635	\$12,139
TOTALS, EXPENDITURES, ALL FUNDS		\$17,073	\$28,635	\$12,139

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY	2010-11*	2011-12*	2012-13*
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$42,192	\$50,388	\$-
Reversion per Government Code Sections 16351, 16351.5 and 16408	-15,533	-	-
Prior year balances available:			
Item 2720-301-0044, Budget Act of 2007, as reappropriated by Item 2720-491, Budget Act of 2010	5,154	5,130	5,130
Item 2720-301-0044, Budget Act of 2009, as partially reverted by Item 2720-495, Budget Act of 2010, and reappropriated by Item 2720-491, Budget Act of 2011	2,114	1,621	-
Item 2720-301-0044, Budget Act of 2010	-	9,946	533
Item 2720-301-0044, Budget Act of 2011	-	-	31,714
Totals Available	\$33,927	\$67,085	\$37,377
Unexpended balance, estimated savings	-157	-1,073	-5,663
Balance available in subsequent years	-16,697	-37,377	-19,575
TOTALS, EXPENDITURES	\$17,073	\$28,635	\$12,139
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$17,073	\$28,635	\$12,139

2740 Department of Motor Vehicles

The mission of the Department of Motor Vehicles (DMV) is to serve the public by providing quality licensing and motor vehicle-related services.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on DMV's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

	Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
11 Vehicle/Vessel Identification and Compliance	4,080.2	4,013.6	3,989.1	\$495,584	\$504,106	\$527,916
22 Driver Licensing and Personal Identification	2,042.6	2,011.9	2,010.0	240,126	241,967	259,281
25 Driver Safety	1,191.4	1,182.0	1,180.9	113,176	115,540	121,630
32 Occupational Licensing and Investigative Services	457.4	450.5	450.1	45,645	49,189	53,140
35 New Motor Vehicle Board	10.5	16.1	14.1	1,454	2,019	1,629
41.01 Administration	586.9	576.8	576.3	89,547	102,568	100,308
41.02 Distributed Administration	-	-	-	-89,547	-102,568	-100,308
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	8,369.0	8,250.9	8,220.5	\$895,985	\$912,821	\$963,596
FUNDING				2010-11*	2011-12*	2012-13*
0042 State Highway Account, State Transportation Fund				\$52,498	\$46,734	\$49,700
0044 Motor Vehicle Account, State Transportation Fund				518,301	817,001	869,347
0054 New Motor Vehicle Board Account				1,454	2,019	1,629
0064 Motor Vehicle License Fee Account, Transportation Tax Fund				303,411	24,911	18,200
0516 Harbors and Watercraft Revolving Fund				1,539	4,252	2,830
0890 Federal Trust Fund				4,975	3,832	7,482
0995 Reimbursements				13,692	13,887	14,408
3162 Gold Star License Plate Account, Specialized License Plate Fund				115	185	-
TOTALS, EXPENDITURES, ALL FUNDS				\$895,985	\$912,821	\$963,596

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Vehicle Code, Division 2, Chapters 1 and 6, Divisions 3, 3.5, 5, 6, 6.5, 6.7, 7, 9, 10, Sections 20012 and 20014, 11.5, 14.85, 16.5, Chapters 1, 2, and 16.7; Revenue and Taxation Code, Division 2, Part 5; The National Voter Registration Act of 1993, Title 42 US Code; The Help America Vote Act of 2002, Family Code Section 17520; Administrative Procedures Act; Government Code, Title 2, Division 3, Part 1, Chapter 4; Health and Safety Code Section 103900; Code of Civil Procedure, Sections 1985, 1985.1, 1985.2, 1985.3, 1985.4, 1985.6, 1987; Evidence Code, Divisions 2, 3, 5, 6, 7, 8, 9, 10, and 11.

MAJOR PROGRAM CHANGES

- The Budget includes a reduction of \$531,000 and 25 positions to reflect a \$5 fee discount to encourage customers to use the mail or internet instead of field offices for vehicle registration renewal transactions. This will reduce fees paid by vehicle owners by an estimated \$101 million annually.
- The Budget includes an increase of \$4.1 million to develop an automated system that will reduce the time needed to take and score written knowledge tests.
- The Budget includes an increase of \$250,000 for an improved Centralized Customer Queuing and Appointment system to reduce customer wait times in field offices.

DETAILED BUDGET ADJUSTMENTS

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Automated Knowledge Testing Expansion	\$-	\$-	-	\$-	\$4,182	-
• Field Office Replacement: Escondido	-	-	-	-	2,002	-
• San Francisco Investigations Relocation	-	-	-	-	873	-
• Palmdale/Lancaster Field Office Rent Augmentation	-	-	-	-	760	-
• Investigations: Border Enforcement	-	-	-	-	521	-
• Customer Flow Management	-	-	-	-	250	-

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

	2011-12*			2012-13*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
• Northern California Consolidated Commercial Driver License Test Center	-	-	-	-	20	-
• Workforce Cap Adjustments	-	-	-	-	-	-22.6
• New Motor Vehicle Board Reduction	-	-	-	-	-516	-1.8
• Business Partner Automation (Ch. 329, Statutes of 2011)	-	-	-	-	-1,777	-0.9
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$6,315	-25.3
Other Workload Budget Adjustments						
• Miscellaneous Adjustments	\$-	-\$5,653	-	\$-	\$31,021	-
• Employee Compensation Adjustments	-	-5,438	-	-	4,102	-
• Retirement Rate Adjustment	-	2,350	-	-	2,350	-
• Full Year Cost of New/Expanded Programs	-	-	-	-	1,601	-
• Go Biz Adjustment	-	-	-	-	-207	-1.9
• Limited Term Positions/Expiring Programs	-	-	-	-	-738	-
• One Time Cost Reductions	-	-	-	-	-1,878	-
Totals, Other Workload Budget Adjustments	\$-	-\$8,741	-	\$-	\$36,251	-1.9
Totals, Workload Budget Adjustments	\$-	-\$8,741	-	\$-	\$42,566	-27.2
Policy Adjustments						
• Discount for Mail and Internet Transactions: Field Office Workload Adjustment	\$-	\$-	-	\$-	-\$531	-17.9
Totals, Policy Adjustments	\$-	\$-	-	\$-	-\$531	-17.9
Totals, Budget Adjustments	\$-	-\$8,741	-	\$-	\$42,035	-45.1

PROGRAM DESCRIPTIONS

11 - VEHICLE/VESSEL IDENTIFICATION AND COMPLIANCE

The objective of this program is to establish identification and ownership of vehicles and vessels of California residents, assure compliance with various related laws, collect revenue for various state and local government programs, and provide information from vehicle and vessel records to state and local agencies.

22 - DRIVER LICENSING AND PERSONAL IDENTIFICATION

The objective of this program is to evaluate the eligibility and ability of applicants for original and renewal driver licenses, to issue driver licenses and/or identification cards to those who meet specific criteria, and to provide information from driver license and identification card records to state and local law enforcement agencies.

25 - DRIVER SAFETY

The objective of the Driver Safety program is to enhance safety for the motoring public by monitoring, suspending, and revoking the driving privilege of unsafe licensed drivers operating on public roadways.

32 - OCCUPATIONAL LICENSING AND INVESTIGATIVE SERVICES

The objective of this program is to enhance consumer protection by licensing and regulating principal segments of motor vehicle-related businesses that provide services related to the sale and use of vehicles in California and enforce laws within the Department's jurisdiction by means of criminal and administrative investigations.

35 - NEW MOTOR VEHICLE BOARD

The primary objectives of this Board are to enhance relations between the dealers and manufacturers throughout the state by resolving disputes in the new motor vehicle industry in an efficient, fair and cost-effective manner and to assist consumers in mediating disputes with dealers and manufacturers.

41 - ADMINISTRATION

The Administration Program provides services to support programmatic responsibilities of the department including executive, administrative, legal, legislative, policy, and information support. Support services include accounting, budgeting, facility maintenance and operations, human resources, mail operations, printing services, procurement and contracting,

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

training, and labor relations.

DETAILED EXPENDITURES BY PROGRAM

	2010-11*	2011-12*	2012-13*
PROGRAM REQUIREMENTS			
11 VEHICLE/VESSEL IDENTIFICATION AND COMPLIANCE			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$52,498	\$46,734	\$49,700
0044 Motor Vehicle Account, State Transportation Fund	126,285	416,414	445,545
0064 Motor Vehicle License Fee Account, Transportation Tax Fund	303,411	24,911	18,200
0516 Harbors and Watercraft Revolving Fund	1,539	4,252	2,830
0890 Federal Trust Fund	290	518	250
0995 Reimbursements	11,446	11,092	11,391
3162 Gold Star License Plate Account, Specialized License Plate Fund	115	185	-
Totals, State Operations	\$495,584	\$504,106	\$527,916
PROGRAM REQUIREMENTS			
22 DRIVER LICENSING AND PERSONAL IDENTIFICATION			
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	\$234,521	\$238,392	\$251,223
0890 Federal Trust Fund	4,657	2,718	7,201
0995 Reimbursements	948	857	857
Totals, State Operations	\$240,126	\$241,967	\$259,281
PROGRAM REQUIREMENTS			
25 DRIVER SAFETY			
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	\$112,397	\$113,610	\$119,700
0995 Reimbursements	779	1,930	1,930
Totals, State Operations	\$113,176	\$115,540	\$121,630
PROGRAM REQUIREMENTS			
32 OCCUPATIONAL LICENSING AND INVESTIGATIVE SERVICES			
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	\$45,098	\$48,585	\$52,879
0890 Federal Trust Fund	28	596	31
0995 Reimbursements	519	8	230
Totals, State Operations	\$45,645	\$49,189	\$53,140
PROGRAM REQUIREMENTS			
35 NEW MOTOR VEHICLE BOARD			
State Operations:			
0054 New Motor Vehicle Board Account	\$1,454	\$2,019	\$1,629
Totals, State Operations	\$1,454	\$2,019	\$1,629
TOTALS, EXPENDITURES			
State Operations	895,985	912,821	963,596
Totals, Expenditures	\$895,985	\$912,821	\$963,596

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	8,369.0	8,495.3	8,487.3	\$370,862	\$390,999	\$403,530
Total Adjustments	-	-	-46.4	-	-295	-1,898
Estimated Salary Savings	-	-244.4	-220.4	-	-16,551	-22,345
Net Totals, Salaries and Wages	8,369.0	8,250.9	8,220.5	\$370,862	\$374,153	\$379,287
Staff Benefits	-	-	-	178,911	180,500	183,000
Totals, Personal Services	8,369.0	8,250.9	8,220.5	\$549,773	\$554,653	\$562,287
OPERATING EXPENSES AND EQUIPMENT				\$346,212	\$358,168	\$401,309
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$895,985	\$912,821	\$963,596

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
0001 General Fund			
APPROPRIATIONS			
Chapter 21, Statutes of 2011	\$-	\$1	\$1
Totals Available	\$-	\$1	\$1
Balance available in subsequent years	-	-1	-1
TOTALS, EXPENDITURES	\$-	\$-	\$-
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$55,821	\$47,201	\$49,700
Allocation for employee compensation	207	148	-
Adjustment per Section 3.60	662	123	-
Adjustment per Section 3.90	-1,381	-433	-
Adjustment per Section 3.91	-2,086	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-23	-
Adjustment per Section 3.91 (b) Rental Rate Reductions	-	-6	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-276	-
Totals Available	\$53,223	\$46,734	\$49,700
Unexpended balance, estimated savings	-725	-	-
TOTALS, EXPENDITURES	\$52,498	\$46,734	\$49,700
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$552,895	\$825,168	\$869,347
Allocation for employee compensation	2,125	2,596	-
Adjustment per Section 3.60	6,555	2,154	-
Adjustment per Section 3.90	-14,160	-7,573	-
Adjustment per Section 3.91	-21,378	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-	-410	-
Adjustment per Section 3.91 (b) Rental Rate Reductions	-	-103	-
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-	-4,829	-
Transfer to Legislative Claims (9670)	-8	-3	-
011 Budget Act appropriation (transfer to the General Fund)	(72,200)	(71,600)	(65,800)

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

1 STATE OPERATIONS	2010-11*	2011-12*	2012-13*
012 Budget Act appropriation (transfer to General Fund)	(180,000)	-	-
Chapter 35, Statutes of 2011	-	1	-
Totals Available	\$526,029	\$817,001	\$869,347
Unexpended balance, estimated savings	<u>-7,728</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$518,301	\$817,001	\$869,347
0054 New Motor Vehicle Board Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,098	\$2,036	\$1,629
Allocation for employee compensation	13	12	-
Adjustment per Section 3.60	40	8	-
Adjustment per Section 3.90	-62	-37	-
Adjustment per Section 3.91	<u>-116</u>	<u>-</u>	<u>-</u>
Totals Available	\$1,973	\$2,019	\$1,629
Unexpended balance, estimated savings	<u>-519</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,454	\$2,019	\$1,629
0064 Motor Vehicle License Fee Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$324,987	\$25,000	\$18,200
Allocation for employee compensation	1,349	79	-
Adjustment per Section 3.60	3,852	65	-
Adjustment per Section 3.90	-8,987	-230	-
Adjustment per Section 3.91	-13,573	-	-
Adjustment per Section 3.91 (b) Rental Rate Reductions	<u>-</u>	<u>-3</u>	<u>-</u>
Totals Available	\$307,628	\$24,911	\$18,200
Unexpended balance, estimated savings	<u>-4,217</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$303,411	\$24,911	\$18,200
0516 Harbors and Watercraft Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$1,539</u>	<u>\$4,252</u>	<u>\$2,830</u>
TOTALS, EXPENDITURES	\$1,539	\$4,252	\$2,830
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$8,173	\$3,832	\$7,482
Budget Adjustment	<u>-3,198</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4,975	\$3,832	\$7,482
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$13,692	\$13,887	\$14,408
3162 Gold Star License Plate Account, Specialized License Plate Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$115</u>	<u>\$185</u>	<u>\$-</u>
TOTALS, EXPENDITURES	\$115	\$185	\$-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$895,985	\$912,821	\$963,596

FUND CONDITION STATEMENTS

	2010-11*	2011-12*	2012-13*
0044 Motor Vehicle Account, State Transportation Fund ^s			
BEGINNING BALANCE	\$369,067	\$273,276	\$427,226
Prior year adjustments	71,232	-	-

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

	2010-11*	2011-12*	2012-13*
Adjusted Beginning Balance	\$440,299	\$273,276	\$427,226
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
114100 Motor Vehicle Registration	2,003,178	2,561,000	2,442,171
114200 Driver's License Fees	252,985	207,500	270,500
114300 Other Motor Vehicle Fees	42,816	39,037	40,037
114400 Identification Card Fees	28,428	28,500	29,000
114500 Lien Sale Application Fees	2,647	2,674	2,700
120900 Off-Highway Vehicle Fees	6,176	6,000	6,500
121000 Liquor License Fees	463	463	467
125600 Other Regulatory Fees	6,424	6,488	6,553
125700 Other Regulatory Licenses and Permits	20,920	21,129	21,340
131700 Misc Revenue From Local Agencies	26	27	27
131900 Rev Local Govt Agencies-Cost Recoveries	8,810	8,898	8,987
140900 Parking Lot Revenues	505	510	515
141200 Sales of Documents	3,096	3,127	3,158
142500 Miscellaneous Services to the Public	65,334	66,000	66,500
143000 Personalized License Plates	5	5	5
150300 Income From Surplus Money Investments	1,921	2,000	2,000
152200 Rentals of State Property	110	111	112
160400 Sale of Fixed Assets	364	-	-
161000 Escheat of Unclaimed Checks & Warrants	2,049	2,483	2,508
161400 Miscellaneous Revenue	2,764	3,388	-
163000 Settlements/Judgments(not Anti-trust)	18	18	18
164000 Uninsured Motorist Fees	385	389	393
164100 Traffic Violations	10,494	10,599	10,705
164300 Penalty Assessments	460	465	470
164400 Civil & Criminal Violation Assessment	3,459	3,493	3,528
Transfers and Other Adjustments:			
FO0115 From Air Pollution Control Fund loan repayment per Item 3900-011-0044, Budget Act of 2007	5,500	5,500	4,179
FO0115 From Air Pollution Control Fund loan repayment per Item 0555-011-0044, Budget Act of 2007	100	100	93
FO0140 From California Environmental License Plate Fund per Public Resources Code Section 21191	3,890	3,890	3,890
TO0001 To General Fund per Item 2740-011-0044, Budget Act of 2012	-	-	-65,800
TO0001 To General Fund loan per Item 2740-012-0044, Budget Act of 2010	-180,000	-	-
TO0001 To General Fund per Item 2740-011-0044, Budget Act of 2011	-	-71,600	-
TO0001 To General Fund per Item 2740-011-0044, Budget Act of 2010	-72,200	-	-
TO0001 To General Fund per Government Code Section 16475	-502	-300	-300
TO0042 To State Highway Account, State Transportation Fund per Government Code Section 16475	-333	-300	-300
TO0064 To Motor Vehicle License Fee Account, Transportation Tax Fund per Government Code Section 16475	-165	-300	-200
TO0140 To California Environmental License Plate Fund per Government Code Section 16475	-15	-25	-25
TO0261 To Off Highway License Fee Fund per Government Code Section 16475	-1	-5	-5
TO0263 To Off-Highway Vehicle Trust Fund per Government Code Section 16475	-7	-9	-9
TO0840 To California Motorcyclist Safety Fund per Government Code Section 16475	-1	-5	-5
TO8038 To Donate Life California Trust Subaccount per Government	-	-5	-5

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

	<u>2010-11*</u>	<u>2011-12*</u>	<u>2012-13*</u>
Total Revenues, Transfers, and Other Adjustments	\$2,220,103	\$2,911,245	\$2,859,707
Total Resources	\$2,660,402	\$3,184,521	\$3,286,933
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	185	191	193
0520 Secretary for Business, Transportation and Housing (State Operations)	1,265	1,548	1,424
0555 Secretary for Environmental Protection (State Operations)	1,778	1,833	1,789
0820 Department of Justice (State Operations)	22,756	24,383	24,898
0840 State Controller (State Operations)	8,984	4,927	6,213
1730 Franchise Tax Board (State Operations)	2,802	2,978	2,992
2700 Office of Traffic Safety (State Operations)	408	418	431
2720 Department of the California Highway Patrol			
State Operations	1,683,550	1,720,676	1,727,564
Capital Outlay	17,073	28,635	12,139
2740 Department of Motor Vehicles			
State Operations	518,301	817,001	869,347
Capital Outlay	3,962	22,928	2,113
3360 Energy Resources Conservation and Development Commission (State Operations)	141	140	140
3900 Air Resources Board			
State Operations	101,061	105,026	107,303
Local Assistance	10,111	10,111	10,111
3980 Office of Environmental Health Hazard Assessment (State Operations)	2,911	3,889	4,009
4265 Department of Public Health (State Operations)	1,167	1,578	1,594
8570 Department of Food and Agriculture (State Operations)	6,537	6,558	6,438
8880 Financial Information System for California (State Operations)	1,508	1,532	404
8885 Commission on State Mandates (Local Assistance)	1,017	2,940	2,501
9651 Prefunding Health and Dental Benefits for Annuitants (State Operations)	1,601	-	-
9670 Equity Claims of California Victim Compensation and Government Claims Board and (State Operations)	8	3	-
Total Expenditures and Expenditure Adjustments	<u>\$2,387,126</u>	<u>\$2,757,295</u>	<u>\$2,781,603</u>
FUND BALANCE	\$273,276	\$427,226	\$505,330
Reserve for economic uncertainties	273,276	427,226	505,330
0054 New Motor Vehicle Board Account ^s			
BEGINNING BALANCE	\$1,504	\$1,270	\$442
Prior year adjustments	85	-	-
Adjusted Beginning Balance	<u>\$1,589</u>	<u>\$1,270</u>	<u>\$442</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
121300 New Motor Vehicle Dealer License Fee	1,129	1,183	1,487
142500 Miscellaneous Services to the Public	2	3	3
161400 Miscellaneous Revenue	4	5	5
Total Revenues, Transfers, and Other Adjustments	<u>\$1,135</u>	<u>\$1,191</u>	<u>\$1,495</u>
Total Resources	\$2,724	\$2,461	\$1,937
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	-	-	6
2740 Department of Motor Vehicles (State Operations)	1,454	2,019	1,629
Total Expenditures and Expenditure Adjustments	<u>\$1,454</u>	<u>\$2,019</u>	<u>\$1,635</u>
FUND BALANCE	\$1,270	\$442	\$302

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

	2010-11*	2011-12*	2012-13*
Reserve for economic uncertainties	1,270	442	302
0064 Motor Vehicle License Fee Account, Transportation Tax Fund^s			
BEGINNING BALANCE	\$6,119	-\$5,366	\$218
Prior year adjustments	<u>-25,715</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	-\$19,596	-\$5,366	\$218
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
113600 Motor Vehicle License (In-Lieu) Fees	457,974	510,930	521,359
150300 Income From Surplus Money Investments	97	100	100
Transfers and Other Adjustments:			
FO0044 From Motor Vehicle Account, State Transportation Fund per Government Code Section 16475	165	300	200
TO3171 To Local Revenue Fund 2011 per Vehicle Code Section 11005	<u>-</u>	<u>-462,106</u>	<u>-496,313</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$458,236</u>	<u>\$49,224</u>	<u>\$25,346</u>
Total Resources	\$438,640	\$43,858	\$25,564
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	26	482	96
1730 Franchise Tax Board (State Operations)	5,260	5,598	5,622
2740 Department of Motor Vehicles			
State Operations	303,411	24,911	18,200
Capital Outlay	2,454	11,630	1,141
8880 Financial Information System for California (State Operations)	163	1,019	269
9430 Apportionment of Motor Vehicle License Fees (Local Assistance)	<u>132,692</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$444,006</u>	<u>\$43,640</u>	<u>\$25,328</u>
FUND BALANCE	-\$5,366	\$218	\$236
Reserve for economic uncertainties	-5,366	218	236
0487 Financial Responsibility Penalty Account^s			
BEGINNING BALANCE	\$904	\$1,134	\$1,134
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
164100 Traffic Violations	1,107	1,000	1,000
Transfers and Other Adjustments:			
TO0001 To General Fund per Vehicle Code Section 16072	<u>-877</u>	<u>-1,000</u>	<u>-1,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$230</u>	<u>-</u>	<u>-</u>
Total Resources	<u>\$1,134</u>	<u>\$1,134</u>	<u>\$1,134</u>
FUND BALANCE	\$1,134	\$1,134	\$1,134
Reserve for economic uncertainties	1,134	1,134	1,134

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Totals, Authorized Positions	8,369.0	8,495.3	8,487.3	\$370,862	\$390,999	\$403,530
Salary Adjustments	-	-	-	-	-295	-292
Workload and Administrative Adjustments				Salary Range		
BUSINESS PARTNER AUTOMATION						
Administrative Services Division						
Associate Governmental Program Analyst	-	-	2.0	4,400-5,348	-	117
Materials & Store Specialist	-	-	1.0	2,877-3,751	-	39
Field Operations Division						

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

	Positions/Personnel Years			Expenditures		
	2010-11	2011-12	2012-13	2010-11*	2011-12*	2012-13*
Motor Vehicle Field Representative	-	-	-18.0	2,280-3,209	-	-719
Registration Operations Division						
Manager II	-	-	1.0	3,660-4,449	-	49
Manager I	-	-	1.0	3,338-4,055	-	44
Senior Motor Vehicle Technician	-	-	1.0	2,951-3,588	-	39
Control Cashier	-	-	9.0	2,846-3,457	-	340
Staff Services Analyst	-	-	1.0	2,817-4,446	-	44
Management Services Technician	-	-	1.0	2,495-3,426	-	36
FIELD OFFICE WORKLOAD REDUCTION						
Field Operations Division						
Motor Vehicle Field Representative	-	-	-18.8	2,280-3,209	-	-619
GO BIZ						
Executive Division						
Deputy Webmaster/CIO	-	-	-1.0	7,366-7,966	-	-96
Executive Secretary I	-	-	-1.0	3,020-3,672	-	-41
INVESTIGATIONS OPERATIONS						
Investigations Division						
Overtime	-	-	-	-	-	259
New Motor Vehicle Board						
Hearing Officer II	-	-	-2.0	7,858-9,509	-	-228
WORKFORCE CAP						
Temporary Help	-	-	-22.6	2,280-3,209	-	-870
Totals, Workload and Admin Adjustments	-	-	-46.4	\$-	\$-	-\$1,606
Total Adjustments	-	-	-46.4	\$-	-\$295	-\$1,898
TOTALS, SALARIES AND WAGES	8,369.0	8,495.3	8,440.9	\$370,862	\$390,704	\$401,632

INFRASTRUCTURE OVERVIEW

The Department of Motor Vehicles operates 230 facilities statewide consisting of an estimated 1.6 million gross square feet of state-owned properties and 1.0 million gross square feet of agency-leased properties. Many offices contain multiple programs, which include vehicle/vessel identification and compliance, driver license and personal identification, driver safety, occupational licensing and investigation. These properties support the Department's mission to serve the public by providing quality licensing and motor vehicle-related services.

SUMMARY OF PROJECTS

		State Building Program Expenditures	2010-11*	2011-12*	2012-13*
71	CAPITAL OUTLAY				
	Major Projects				
71.03	SACRAMENTO HEADQUARTERS BUILDING		\$5,294	\$6,775	\$-
71.03.018	1st Floor Asbestos Removal and Seismic Retrofit		878 ^{Cs}	-	-
71.03.024	6th Floor Asbestos Removal, Seismic Retrofit, and Building Re-skin		4,416 ^{Cs}	6,775 ^{Cs}	-
71.06	REDDING		\$237	\$-	\$2,912
71.06.020	Field Office Reconfiguration Project		237 ^{Ws}	-	2,912 ^{Cs}
71.20	SAN BERNARDINO		\$-	\$2,239	\$-
71.20.020	Field Office Reconfiguration Project		-	2,239 ^{Cs}	-
71.31	GRASS VALLEY		\$-	\$648	\$526
71.31.010	Field Office Replacement Project		-	648 ^{Ps}	526 ^{Ws}
71.37	OAKLAND		\$155	\$2,078	\$-
71.37.011	Second Floor Reconfiguration Project-Field Office Project		155 ^{Ws}	2,078 ^{Cs}	-
71.43	STOCKTON		\$-	\$2,822	\$-

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

State Building Program Expenditures		2010-11*	2011-12*	2012-13*
71.43.020	Field Office Reconfiguration Project	-	2,822 ^{Cs}	-
71.61	FRESNO	\$1,124	\$18,719	\$-
71.61.010	Field Office Replacement Project	1,124 ^{Ws}	18,719 ^{Cs}	-
71.63	VICTORVILLE	\$-	\$3,238	\$-
71.63.010	Field Office Reconfiguration Project	-	3,238 ^{Cs}	-
Totals, Major Projects		\$6,810	\$36,519	\$3,438
TOTALS, EXPENDITURES, ALL PROJECTS		\$6,810	\$36,519	\$3,438

FUNDING		2010-11*	2011-12*	2012-13*	
0042	State Highway Account, State Transportation Fund		\$394	\$1,961	\$184
0044	Motor Vehicle Account, State Transportation Fund		3,962	22,928	2,113
0064	Motor Vehicle License Fee Account, Transportation Tax Fund		2,454	11,630	1,141
TOTALS, EXPENDITURES, ALL FUNDS			\$6,810	\$36,519	\$3,438

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY		2010-11*	2011-12*	2012-13*
0042 State Highway Account, State Transportation Fund				
APPROPRIATIONS				
301	Budget Act appropriation	\$1,853	\$39	\$28
Prior year balances available:				
	Item 2740-301-0042, Budget Act of 2002	0	-	-
	Augmentation per Government Code Sections 16352, 16409 and 16354	49	-	-
	Item 2740-301-0042, Budget Act of 2007, as reappropriated by Item 2740-490, Budget Act of 2008	0	0	-
	Augmentation per Government Code Sections 16352, 16409 and 16354	264	367	-
	Item 2740-301-0042, Budget Act of 2010	-	1,769	214
Totals Available		\$2,166	\$2,175	\$242
	Unexpended balance, estimated savings	-3	-	-58
	Balance available in subsequent years	-1,769	-214	-
TOTALS, EXPENDITURES		\$394	\$1,961	\$184
0044 Motor Vehicle Account, State Transportation Fund				
APPROPRIATIONS				
301	Budget Act appropriation	\$19,226	\$383	\$498
Prior year balances available:				
	Item 2740-301-0044, Budget Act of 2002	0	-	-
	Augmentation per Government Code Sections 16352, 16409 and 16354	507	-	-
	Item 2740-301-0044, Budget Act of 2007, as reappropriated by Item 2740-490, Budget Act of 2008	0	-	-
	Augmentation per Government Code Sections 16352, 16409 and 16354	2,615	-	-
	Item 2740-301-0042, Budget Act of 2007, as reappropriated by Item 2740-490, Budget Act of 2008	-	0	-
	Augmentation per Government Code Sections 16352, 16409 and 16354	-	6,408	-
	Item 2740-301-0044, Budget Act of 2010	-	18,358	2,221
Totals Available		\$22,348	\$25,149	\$2,719
	Unexpended balance, estimated savings	-28	-	-606
	Balance available in subsequent years	-18,358	-2,221	-
TOTALS, EXPENDITURES		\$3,962	\$22,928	\$2,113
0064 Motor Vehicle License Fee Account, Transportation Tax Fund				
APPROPRIATIONS				

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

3 CAPITAL OUTLAY	2010-11*	2011-12*	2012-13*
301 Budget Act appropriation	\$13,589	\$226	-
Prior year balances available:			
Item 2740-301-0064, Budget Act of 2002	0	-	-
Augmentation per Government Code Sections 16352, 16409 and 16354	322	-	-
Item 2740-301-0064, Budget Act of 2007, as reappropriated by Item 2740-490, Budget Act of 2008	0	-	-
Augmentation per Government Code Sections 16352, 16409 and 16354	1,537	-	-
Item 2740-301-0064, Budget Act of 2010	-	12,975	\$1,571
Totals Available	\$15,448	\$13,201	\$1,571
Unexpended balance, estimated savings	-19	-	-430
Balance available in subsequent years	-12,975	-1,571	-
TOTALS, EXPENDITURES	\$2,454	\$11,630	\$1,141
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$6,810	\$36,519	\$3,438

* Dollars in thousands, except in Salary Range.