

6440 University of California

The University of California was founded in 1868 as a public, state-supported land-grant institution. It was written into the State Constitution of 1879 as a public trust, to be administered by an independent governing board - the Regents of the University of California. The Board of Regents includes 28 members: seven ex officio, 20 appointed by the Governor with the approval of the Senate for staggered terms, and one student appointed by the Board.

The 1960 Master Plan for Higher Education designates the University of California as the primary state-supported academic agency for research. In addition, UC serves students at all levels of higher education in California, and is the public segment primarily responsible for awarding the doctorate and many professional degrees in areas such as medicine and law. Joint doctoral degrees may also be awarded with the California State University.

The University is headed by a President who is responsible for overall policy development, planning, and resource allocations. Chancellors are responsible for the management of individual campuses. The Regents have delegated authority to the Academic Senate to determine conditions for admission, degree requirements, and approval of courses and curricula. Special faculty committees serve in an advisory capacity to the Regents, the President, and the Chancellors in a variety of matters.

There are ten campuses: Berkeley, Davis, Irvine, Los Angeles, Merced, Riverside, San Diego, San Francisco, Santa Barbara, and Santa Cruz. Nine general campuses offer undergraduate, graduate, and professional education, with the San Francisco campus devoted exclusively to the health sciences. The University operates five teaching hospitals in Los Angeles, San Francisco, Sacramento, San Diego, and Orange counties. Approximately 150 University institutes, centers, bureaus, and research laboratories operate in all parts of the state. The University also provides oversight of one Department of Energy Laboratory and is in partnerships with private industry to manage two additional Department of Energy Laboratories.

The University of California conducts higher education programs in four major areas:

- Instruction of qualified individuals through offering lower division, upper division, graduate, professional, and postdoctoral degree programs on each of its general campuses.
- Research directed toward advancing the understanding of arts and sciences and the interpretation of human history.
- Education for professional careers.
- Public service contributing to the fulfillment of the University's obligation to disseminate knowledge.

Because department programs drive the need for infrastructure investment, each department assesses its need for new or renovated facilities, and has a significant input into capital planning and the capital outlay program to support this need. For the specifics on the University of California's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2011-12	2012-13	2013-14	2011-12*	2012-13*	2013-14*
05 Instruction	25,198.4	24,949.3	24,949.3	\$4,645,445	\$4,819,663	\$4,926,668
05.10 General Campuses Instruction	15,812.8	15,414.1	15,414.1	2,633,063	2,712,910	2,783,065
05.20 Health Sciences Instruction	7,916.1	8,065.7	8,065.7	1,773,463	1,855,331	1,892,181
05.30 Summer Sessions Instruction	136.5	136.5	136.5	15,656	15,656	15,656
05.40 University Extension Instruction	1,333.0	1,333.0	1,333.0	223,263	235,766	235,766
10 Research	5,252.7	5,174.3	5,174.3	685,533	711,052	743,565
15 Public Service	1,898.1	1,865.0	1,865.0	248,905	257,348	268,174
20 Academic Support	8,257.9	8,131.0	8,131.0	1,331,883	1,364,879	1,416,451
20.10 Libraries Academic Support	1,995.0	1,960.4	1,960.4	240,907	253,986	266,477
20.20 Other Academic Support	6,262.9	6,170.6	6,170.6	1,090,976	1,110,893	1,149,974
25 Teaching Hospitals	30,496.7	31,566.7	31,566.7	6,310,850	6,813,902	7,323,242
30 Student Services	5,376.0	5,188.3	5,188.3	668,757	669,363	702,843
35 Institutional Support	6,850.5	6,733.7	6,733.7	662,455	652,469	682,875
40 Operation and Maintenance of Plant	4,060.6	3,991.9	3,991.9	525,146	537,722	745,806
45 Student Financial Aid	-	-	-	1,177,152	1,278,654	1,294,507
50 Auxiliary Enterprises	-	-	-	992,096	950,099	973,849
55 Provisions for Allocation	-	-	-	213,748	176,396	127,785
60 Program Maintenance - Fixed Costs, Economic Factors and Salary Increases	-	-	-	-	-	243,031
70 Extramural Programs	-	-	-	5,289,909	5,061,961	5,142,756
70.10 Instruction Extramural Programs	-	-	-	374,683	370,936	377,686
70.20 Research Extramural Programs	-	-	-	3,561,026	3,413,506	3,468,087

* Dollars in thousands, except in Salary Range.

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	Positions			Expenditures		
	2011-12	2012-13	2013-14	2011-12*	2012-13*	2013-14*
70.30 Public Service Extramural Programs	-	-	-	301,911	288,023	292,343
70.40 Academic Support Extramural Programs	-	-	-	247,169	231,721	235,197
70.50 Teaching Hospitals Extramural Programs	-	-	-	14,296	11,437	11,666
70.60 Student Services Extramural Programs	-	-	-	47,828	44,002	44,662
70.70 Institutional Support Extramural Programs	-	-	-	112,429	70,247	71,302
70.80 Operation and Maintenance of Plant Extramural Programs	-	-	-	14,797	14,427	14,643
70.90 Student Financial Aid Extramural Programs	-	-	-	595,454	601,409	610,430
70.95 Auxiliary Enterprises Extramural Programs	-	-	-	20,316	16,253	16,740
80 Department of Energy Laboratory (LBNL)	-	-	-	822,808	810,000	810,000
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	87,390.9	87,600.2	87,600.2	\$23,574,687	\$24,103,508	\$25,401,552
FUNDING				2011-12*	2012-13*	2013-14*
0001 General Fund				\$2,272,373	\$2,377,339	\$2,845,801
0007 Breast Cancer Research Account				25,819	9,959	11,058
0046 Public Transportation Account, State Transportation Fund				980	980	980
0234 Research Account, Cigarette and Tobacco Products Surtax Fund				19,849	11,115	11,249
0308 Earthquake Risk Reduction Fund of 1996				1,000	1,000	1,000
0321 Oil Spill Response Trust Fund				2,000	2,000	2,000
0814 California State Lottery Education Fund				29,856	37,194	37,194
0890 Federal Trust Fund				5,000	5,000	5,000
0895 Federal Funds - Not In State Treasury				15,244	18,000	18,000
0945 California Breast Cancer Research Fund				1,015	484	618
0992 Higher Education Fees and Income				3,814,942	3,849,047	3,845,293
0993 University Funds--Unclassified				11,266,419	11,914,503	12,665,677
0995 Reimbursements				960	1	1
1017 Umbilical Cord Blood Collection Program Fund				4,245	2,500	2,500
3054 Health Care Benefits Fund				1,843	2,000	2,000
7895 Extramural Federal Funds - Not in State Treasury				3,718,342	3,553,275	3,553,275
8054 California Cancer Research Fund				425	425	425
9993 Extramural Nonfederal Unclassified Funds				2,394,375	2,318,686	2,399,481
TOTALS, EXPENDITURES, ALL FUNDS				\$23,574,687	\$24,103,508	\$25,401,552

Budgeted programs expenditures total: 11-12= \$17,461,970,000; 12-13= \$18,231,547,000; 13-14= \$19,448,796,000.
 Extramural programs expenditures total: 11-12= \$6,112,717,000; 12-13= \$5,871,961,000; 13-14= \$5,952,756,000.

Restricted funds include the following: 0007, 0046, 0234, 0308, 0321, 0814, 0890, 0895, 0945, 0992 (11-12= \$3,022,602,000; 12-13= \$3,000,581,000; 13-14= \$3,029,232,000), 0993, 0995, 3054, 8054.

In 2012-13 and 2013-14 the 0992 Higher Education Fees and Income are based on budgeted enrollments.

This summary includes expenditures, but not personnel years for auxiliary organizations or extramural programs.

MAJOR PROGRAM CHANGES

- The University of California (UC) will receive a five percent General Fund augmentation of \$125.1 million, based on the university's 2012-13 General Fund support budget. Consistent with the Administration's policy to make higher education more affordable, the budget assumes that the university will not increase tuition and fees in 2013-14.
- UC will receive \$125 million General Fund in 2013-14 for not increasing tuition and fees in 2012-13, as required by the 2012 Budget Act.
- UC's general obligation bond debt service payments will be included in its base budget beginning in 2013-14 (\$201.7 million). No further augmentations will be provided. Similarly, the state will not provide additional funds for UC's lease

* Dollars in thousands, except in Salary Range.

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revenue debt service payments beyond 2013-14.

DETAILED BUDGET ADJUSTMENTS

	2012-13*			2013-14*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Provide Augmentation for Tuition and Fee Buyout in 2012-13 Budget	\$-	\$-	-	\$125,000	\$-	-
• Provide Base Budget Increase of Five Percent	-	-	-	125,117	-	-
• Increase Funding for Retired Annuitant Benefits	-	-	-	6,414	-	-
• Adjust Lottery Revenues	-	4,314	-	-	4,314	-
• Increase Funding for Breast Cancer Research	-	-	-	-	1,099	-
• Revise Funding for Tobacco Research	-	-	-	-	134	-
• Adjust Tuition and Fee Revenue Estimates	-	19,282	-	-	47,933	-
• Miscellaneous Baseline Adjustments in Extramural and Other Non-State Funds	-	893,161	-	-	1,692,859	-
• Lease Revenue Debt Service Adjustment	-785	-	-	9,409	-	-
Totals, Other Workload Budget Adjustments	-\$785	\$916,757	-	\$265,940	\$1,746,339	-
Totals, Workload Budget Adjustments	-\$785	\$916,757	-	\$265,940	\$1,746,339	-
Policy Adjustments						
• Reflect General Obligation Bond Debt Service in Support Budget	\$-	\$-	-	\$201,737	\$-	-
Totals, Policy Adjustments	\$-	\$-	-	\$201,737	\$-	-
Totals, Budget Adjustments	-\$785	\$916,757	-	\$467,677	\$1,746,339	-

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Enrollment - Full-Time Equivalent

	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>
	Actual	Estimated Actual	Projected Actual
General Campuses:			
Academic Year			
Undergraduate:			
Lower Division	56,748	59,902	62,252
Resident	52,540	54,552	55,902
Nonresident	4,208	5,350	6,350
Upper Division	114,686	113,575	113,575
Resident	106,671	103,570	103,570
Nonresident	8,015	10,005	10,005
Totals, Undergraduate	<u>171,434</u>	<u>173,477</u>	<u>175,827</u>
Resident	159,211	158,122	159,472
Nonresident	12,223	15,355	16,355
Postbaccalaureate	292	296	296
Resident	292	296	296
Nonresident	-	-	-
Graduate	34,052	35,014	35,014
Resident	23,721	24,400	24,400
Nonresident	<u>10,331</u>	<u>10,614</u>	<u>10,614</u>
Subtotal	205,778	208,787	211,137
Resident	183,224	182,818	184,168
Nonresident	22,554	25,969	26,969
State Supported Summer Enrollment:			
Undergraduate	15,828	15,143	15,143
Postbaccalaureate	12	9	9
Graduate	<u>813</u>	<u>708</u>	<u>708</u>
Subtotal	16,653	15,860	15,860
Resident	16,653	15,860	15,860
Nonresident	<u>-</u>	<u>-</u>	<u>-</u>
Totals, General Campuses	<u>222,431</u>	<u>224,647</u>	<u>226,997</u>
Resident	199,877	198,678	200,028
Nonresident	22,554	25,969	26,969
Health Sciences:			
Undergraduate	470	434	434
Graduate:			
Academic	2,335	2,480	2,480
Professional	<u>11,820</u>	<u>11,895</u>	<u>11,895</u>
Totals, Health Sciences	<u>14,625</u>	<u>14,809</u>	<u>14,809</u>
Resident	13,886	14,025	14,025
Nonresident	<u>739</u>	<u>784</u>	<u>784</u>
TOTALS	<u>237,056</u>	<u>239,456</u>	<u>241,806</u>
Resident	213,763	212,703	214,053
Nonresident	23,293	26,753	27,753

* Dollars in thousands, except in Salary Range.

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Student Fees per Annual Full-Time Student (Whole Dollars)

	2011-12		2012-13 ¹		2013-14	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
Undergraduate Students						
Tuition	\$11,220	\$11,220	\$11,220	\$11,220	\$11,220	\$11,220
Student Services Fee	972	972	972	972	972	972
Nonresident Supplemental Tuition	-	22,878	-	22,878	-	22,878
Total Mandatory Charges	\$12,192	\$35,070	\$12,192	\$35,070	\$12,192	\$35,070
Campus-based Fees ²	989	989	1,008	1,008	1,058	1,058
Total Charges	\$13,181	\$36,059	\$13,200	\$36,078	\$13,250	\$36,128
Graduate Academic Students						
Tuition	\$11,220	\$11,220	\$11,220	\$11,220	\$11,220	\$11,220
Student Services Fee	972	972	972	972	972	972
Nonresident Supplemental Tuition	-	15,102	-	15,102	-	15,102
Total Mandatory Charges	\$12,192	\$27,294	\$12,192	\$27,294	\$12,192	\$27,294
Campus-based Fees ²	606	606	616	616	647	647
Total Charges	\$12,798	\$27,900	\$12,808	\$27,910	\$12,839	\$27,941
Graduate Professional Students						
Tuition	\$11,220	\$11,220	\$11,220	\$11,220	\$11,220	\$11,220
Student Services Fee	972	972	972	972	972	972
Nonresident Supplemental Tuition	-	12,245	-	12,245	-	12,245
Total Mandatory Charges	\$12,192	\$24,437	\$12,192	\$24,437	\$12,192	\$24,437
Campus-based Fees ²	606	606	616	616	647	647
Professional Degree Supplemental Tuition ³						
Students in Business	\$21,354-\$31,430	\$17,644-\$26,164	\$22,848-\$38,548	\$19,275-\$28,850	\$22,848-\$38,548	\$19,275-\$28,850
Students in Law	29,404-35,148	27,004-27,110	31,755-35,164	26,004-31,188	31,755-35,164	26,004-31,188
Students in Medicine	18,636	18,636	19,914	19,914	19,914	19,914
Students in Nursing	5,730	5,730	7,740	7,740	7,740	7,740
Students in Other Professional Programs	4,000-30,000	4,000-25,068	4,000-32,400	4,000-27,576	4,000-32,400	4,000-27,576
Total Charges ⁴						
Students in Business	\$38,392	\$47,109	\$40,882	\$48,690	\$40,882	\$48,690
Students in Law	44,518	52,527	46,316	52,863	46,316	52,863
Students in Medicine	32,126	44,371	32,810	45,055	32,810	45,055
Students in Nursing	18,752	30,997	20,495	32,740	20,495	32,740
Students in Other Professional Programs	23,614	35,480	24,537	36,291	24,537	36,291

¹ Consistent with the 2012-13 State budget act provision for funding in 2013-14 to replace what would have been raised from a planned tuition and fee increase in 2012-13, the University did not raise mandatory systemwide tuition and fees in 2012-13. Professional Degree Supplemental Tuition levels for 2012-13 were approved by the Regents in July 2012. Tuition includes a \$60 dollar surcharge to cover costs associated with the injunction and judgment of the *Kashmiri* lawsuit.

² Campus-based fees vary by campus; average values shown here are calculated on a weighted basis using enrollments. Campus-based fees for 2013-14 have not yet been determined; the campus-based fee figures shown here for 2013-14 assume a 5% increase from 2012-13. Campus-based fees do not include waivable health insurance fees. Waivable health insurance fees average \$1,286 for undergraduates and \$2,251 for graduates in 2012-13.

³ Some degree programs charge Professional Degree Supplemental Tuition to reflect individual program needs. The range of Professional Degree Supplemental Tuition levels is shown for selected programs. Professional Degree Supplemental Tuition levels for other programs can be found at www.ucop.edu/operating-budget/fees-and-enrollments/index.html.

⁴ Figures represent average total charges for programs charging Professional Degree Supplemental Tuition. Total charges do not include health insurance but do include mandatory charges (Tuition and the Student Services Fee), Professional Degree Supplemental Tuition, campus-based fees, and Nonresident Supplemental Tuition, disability and other fees where applicable.

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Income and Funds Available

	2011-12*	2012-13*	2013-14*
General Funds	\$2,272,373	\$2,377,339	\$2,845,801
Special and Nongovernmental Cost Funds	87,992	67,658	69,025
Totals, State Appropriations	\$2,360,365	\$2,444,997	\$2,914,826
UNIVERSITY SOURCES			
General Funds Income:			
Student Tuition and Fees:			
Nonresident Supplemental Tuition	\$404,404	\$407,584	\$430,584
Application for admission and other fees	29,229	30,200	30,200
Interest on General Fund Balances	6,145	5,000	5,000
Federal Contract and Grant Overhead:			
Contract and Grant Overhead	305,108	316,377	319,777
Overhead on State agency agreements	15,063	15,500	15,500
Prior year balances (instructional equipment/deferred maint.)	67,179	58,805	-
Other	24,017	15,000	15,000
Available in subsequent years	(58,805)	-	-
Totals, General Funds Income	\$792,340	\$848,466	\$816,061
Special Funds Income:			
United States appropriations	15,244	18,000	18,000
Gear Up-State Grant Program	5,000	5,000	5,000
Local government	104,114	96,639	96,639
Student Tuition and Fees:			
Tuition ¹	2,584,272	2,514,309	2,540,676
Student Services Fee ²	200,188	218,499	220,783
Selected professional charges	238,142	267,773	267,773
(Subtotals, mandatory systemwide and professional charges)	\$3,022,602	\$3,000,581	\$3,029,232
University extension	223,263	235,766	235,766
Summer session	15,656	15,656	15,656
Other fees	390,972	407,534	431,986
Sales and services - Educational activities	1,943,169	2,137,486	2,287,110
Sales and services - Teaching hospitals	6,288,149	6,791,201	7,300,541
Sales and services - Support activities	637,287	656,363	689,181
Endowments	212,700	217,359	221,704
Auxiliary enterprises	992,096	950,099	973,849
Contract and grant administration	142,012	105,000	110,250
Department of Energy Management Fee	31,624	31,400	31,400
University Opportunity Fund	170,683	145,000	146,595
Other	114,694	125,000	125,000
Totals, Special Funds Income	\$14,309,265	\$14,938,084	\$15,717,909
Totals, University Sources	\$15,101,605	\$15,786,550	\$16,533,970
TOTAL INCOME AND FUNDS AVAILABLE	\$17,461,970	\$18,231,547	\$19,448,796

¹ Formerly the Educational Fee.

² Formerly the Registration Fee.

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PROGRAM DESCRIPTIONS

05 - INSTRUCTION AND DEPARTMENTAL RESEARCH

General Campuses

Instruction includes most of the direct instructional resources associated with the schools and colleges located on the general campuses. Included are classroom and laboratory instruction, instructional technology, and joint scholarly research activities of students and faculty.

Health Sciences

The instructional program in the health sciences is conducted in 17 schools that provide education in various health fields to students preparing for careers in health care, teaching and research. The health science schools are located on six campuses and include six schools of medicine (Davis, Irvine, Los Angeles, Riverside, San Diego and San Francisco), two schools of dentistry, three schools of nursing, two schools of public health, two schools of pharmacy, one school of veterinary medicine, and one school of optometry. In addition, four programs in medical education are conducted at Berkeley, Fresno, Riverside, and the Charles R. Drew University of Medicine and Science in Los Angeles. The physical, biological and behavioral science programs of the general campuses complement the programs of the health science schools.

Summer Sessions

Non-UC matriculated students may enroll in summer sessions as self-supported students; funding for these students remains in the Summer Session budget. Typically, more than 10,000 non-UC students register for UC summer session courses each year.

Many of these students are regularly enrolled at the California State University, California Community Colleges, and other institutions. All general campuses currently offer state-supported summer instruction to UC-matriculated students, funding for which is included in the general campus instruction portion of the budget.

University Extension

University Extension is the largest institution of its kind, with an annual estimated enrollment of approximately 300,000 registrants participating in classes, short courses, seminars, field studies, and similar activities throughout California and in several foreign countries. It has open admissions, optional credit, and free student selection of curriculum. University Extension is self-supporting, and its offerings depend on student fees and other non-state revenue. The majority of Extension's offerings are designed to serve the continuing educational needs of professionals.

10 - RESEARCH

The University is designated by the 1960 Master Plan as the primary state-supported academic agency for research. Its research activities contribute to the social, economic, and technological progress of the state and the nation. Knowledge discovered in the University's research programs has yielded a multitude of benefits, ranging from technological applications that increase industrial and agricultural productivity to insights into social and personal behaviors that help improve the quality of human life. The research process also is essential to training scholars in the methodology of inquiry, particularly in graduate and professional programs.

15 - PUBLIC SERVICE

Public service includes a broad range of activities organized by the University to serve state and local communities, students, teachers and staff in K-12 schools and community colleges, and the public in general. Consistent with its mission as a land grant institution, the University's public service programs help improve the quality of life in California by focusing on major challenges, whether in business, education, health care, community development, or civic engagement, that impact the economic and social well-being of its citizens. One component of public service is the University's Student Academic Preparation and Educational Partnerships (SAPEP) programs, through which UC works collaboratively with schools and other partners to help educationally disadvantaged students meet rigorous standards of academic preparation needed to be successful in higher education and the world of work. Public service also includes Cooperative Extension, which is the University's largest public service program. Cooperative Extension provides applied research and educational programs in agriculture and natural resources, family and consumer sciences, community resource development, and 4-H youth development. Campuses also conduct other public service programs, generally supported by user fees and other non-state fund sources such as arts and lecture programs, and student-initiated community service projects. The University's public service programs also include a health sciences program jointly operated with the Charles R. Drew University of Medicine and Science.

20 - ACADEMIC SUPPORT

Libraries

The University libraries identify, acquire, organize and provide access to publications and scholarly materials in all formats. Access is provided for the University's students, faculty and staff, the faculty and students of other California colleges and universities, other California libraries, business and industry, and the general public. The University library system serves both instructional and research needs, and provides a critical archival function. The rapid expansion of knowledge requires extensive efforts to keep materials current and of a high quality. The accelerating impact of changes in information technology and the dynamic information needs of its faculty and students obligate the University to develop new digital library collections and services while also maintaining and enhancing its traditional collections.

Academic Support - Other

* Dollars in thousands, except in Salary Range.

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Academic Support - General Campus Programs: Other academic support on the general campuses is comprised of a number of partially self-supporting activities organized and operated in connection with educational departments, and conducted as a basic support for the departments' educational programs. Many diversified programs are included, such as a demonstration school that serves as an interdepartmental teaching laboratory for experimentation, research and teacher training.

Academic Support - Health Sciences Programs: The University operates dental clinics and neuropsychiatric institutes at Los Angeles and San Francisco, a veterinary medicine teaching facility at Davis and the San Joaquin Valley (near Tulare), an optometry clinic at Berkeley and two occupational health centers. These facilities are extensions of the health sciences schools and provide both clinical experience and community health services.

Other activities supporting both general campus and health sciences programs include vivaria, which provide centralized facilities for ordering, receiving and care of all animals necessary for teaching and research in the biological sciences; support for arts by direct sponsorship of performances and exhibits; support of specialized physical science and engineering projects; and support for professional journals.

25 - TEACHING HOSPITALS

The University owns and operates five academic medical centers - Davis, Irvine, Los Angeles, San Diego, and San Francisco. Their primary mission is to support the clinical teaching programs of the schools of medicine and the educational programs in the University's other health science schools. The academic medical centers also provide a full range of health care services to their communities and are sites for the development and testing of new diagnostic and therapeutic techniques. The medical centers provide health care to thousands of patients who generally have more serious illnesses and fewer financial resources than patients at non-teaching hospitals. Based on their tripartite mission of teaching, research and public service, the University of California's academic medical centers are a major resource for California and the nation.

State funds help support the University medical centers, through Clinical Teaching Support, in recognition of the need to maintain a sufficiently large and diverse patient population for teaching purposes. The funds are used primarily to provide financial support for patients who are essential for the clinical teaching programs, but who are unable to pay the full cost of their care.

30 - STUDENT SERVICES

Student services programs support activities whose primary purpose is to contribute to the students' emotional and physical well-being, including their intellectual, cultural and social development outside the context of the formal instruction program. Student Services also includes administrative activities that provide assistance and support for the needs of students.

35 - INSTITUTIONAL SUPPORT

Institutional support includes a wide variety of activities including police, accounting, payroll, human resources, administrative computing, material management, environmental health and safety, and publications. Institutional support also includes the planning, policy making, and coordination activities that occur within the offices of the Chancellors, President, and the Regents.

40 - OPERATION AND MAINTENANCE OF PLANT

This function includes resources for the operation and maintenance of the University's State and tuition-supported physical plant, including site infrastructure and over 62.4 million gross square feet of buildings and related fixed equipment. Major component elements include purchased utilities, utilities operations, building and grounds maintenance, refuse, and janitorial services, with additional administrative and support services.

45 - STUDENT FINANCIAL AID

University of California students receive financial aid in a variety of forms from University resources, the federal government, the state, private donors, and outside agencies. University support, largely in the form of grants and scholarships, is derived primarily from the state General Fund and student tuition and fee income. The federal government provides loans, work-study, veterans' benefits, and grants. In addition, graduate students receive traineeships and fellowships through various federal programs. The California Student Aid Commission provides grants directly to students and private donors and outside agencies also provide scholarships, grants, and loans.

50 - AUXILIARY ENTERPRISES

Auxiliary enterprises are those non-instructional services provided to individuals, primarily students, in return for specific user charges. These services include student housing, food services, bookstores, parking, and at several campuses a portion of intercollegiate athletics. Auxiliary enterprises are self-supporting and are not subsidized by the state.

55 - PROVISIONS FOR ALLOCATION

These budgetary provisions serve as a temporary repository for lump sum appropriations which are destined for allocation (1) from systemwide provisions to campus provisions and (2) from campus provisions to operating programs and subprograms where expenditures will occur. Provisions for allocation may include funds for academic position upgrades, staff reclassifications, price increases, employee benefits, unallocated state funding increases, and University endowment income. These provisions also include funds for lease-purchase bond payments. They are partially offset by the University budgetary savings target.

6440 University of California - Continued

60 - PROGRAM MAINTENANCE

This program category is a temporary repository for any proposed salary, benefits, and other cost increases, only in the proposed budget year. These costs, once determined, will be allocated in the following year into the appropriate functional program areas.

70 - EXTRAMURAL PROGRAMS

Extramural programs are supported by fund sources defined as non-budgeted due to the limited life of the funding source. These extramural fund sources are derived from state agency contracts, federal contracts and grants, private contracts and grants, and other university one-time funding. Most program areas described above receive some support from extramural funds, although the largest program area supported by these funds is Research.

80 - DEPARTMENT OF ENERGY LABORATORY (LBNL)

The University manages the Lawrence Berkeley National Laboratory (LBNL). LBNL is a separate entity, but research at LBNL has direct and indirect benefits for University faculty and students. LBNL is supported entirely by federal funds through extramural contracts and grants and conducts research important to the state and the nation, including research on bioterrorism, nuclear nonproliferation, and energy efficiency and new energy resources.

DETAILED EXPENDITURES BY PROGRAM

	<u>2011-12*</u>	<u>2012-13*</u>	<u>2013-14*</u>
PROGRAM REQUIREMENTS			
05.10 GENERAL CAMPUSES INSTRUCTION			
State Operations:			
0001 General Fund	\$858,432	\$929,485	\$942,985
0992 Higher Education Fees and Income (UC General Funds)	299,978	325,305	334,251
0992 Higher Education Fees and Income (Student Fees)	1,282,715	1,230,715	1,250,770
9999 Restricted Fund Sources	<u>191,938</u>	<u>227,405</u>	<u>255,059</u>
Totals, State Operations	\$2,633,063	\$2,712,910	\$2,783,065
ELEMENT REQUIREMENTS			
05.11 Faculty Salaries and Related Benefits	1,313,875	1,533,167	1,587,922
05.12 Teaching Assistant Salaries	84,448	86,663	89,757
05.13 Instructional Support and Related Benefits	946,900	803,300	813,254
05.14 Equipment Replacement	38,526	39,694	40,749
05.15 Instructional Technology and Computing	47,372	48,808	50,105
05.16 Summer	201,942	201,278	201,278
PROGRAM REQUIREMENTS			
05.20 HEALTH SCIENCES INSTRUCTION			
State Operations:			
0001 General Fund	\$281,201	\$296,153	\$296,153
0992 Higher Education Fees and Income (UC General Funds)	98,266	105,266	108,161
0992 Higher Education Fees and Income (Student Fees)	51,808	74,817	74,817
9999 Restricted Fund Sources	<u>1,342,188</u>	<u>1,379,095</u>	<u>1,413,050</u>
Totals, State Operations	\$1,773,463	\$1,855,331	\$1,892,181
ELEMENT REQUIREMENTS			
05.21 Medicine	1,567,927	1,640,491	1,661,701
05.22 Dentistry	61,693	64,548	65,383
05.23 Nursing	28,851	30,186	30,577
05.24 Optometry	7,013	7,338	7,432
05.25 Pharmacy	32,641	34,152	34,593
05.26 Public Health	34,088	35,665	36,127
05.27 Veterinary Medicine	36,760	38,461	38,959
05.28 Drew	4,490	4,490	4,490
PROGRAM REQUIREMENTS			
05.30 SUMMER SESSIONS INSTRUCTION			

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	2011-12*	2012-13*	2013-14*
State Operations:			
9999 Restricted Fund Sources	\$15,656	\$15,656	\$15,656
Totals, State Operations	\$15,656	\$15,656	\$15,656
PROGRAM REQUIREMENTS			
05.40 UNIVERSITY EXTENSION INSTRUCTION			
State Operations:			
9999 Restricted Fund Sources	\$223,263	\$235,766	\$235,766
Totals, State Operations	\$223,263	\$235,766	\$235,766
PROGRAM REQUIREMENTS			
10 RESEARCH			
State Operations:			
0001 General Fund	\$231,649	\$243,170	\$243,170
0992 Higher Education Fees and Income (UC General Funds)	80,949	88,734	94,974
9999 Restricted Fund Sources	372,935	379,148	405,421
Totals, State Operations	\$685,533	\$711,052	\$743,565
ELEMENT REQUIREMENTS			
10.10 General Campuses	403,180	435,143	454,799
10.20 Health Sciences	152,859	164,976	172,429
10.30 Agriculture	78,345	84,555	88,375
10.40 Tobacco-Related Diseases	19,849	11,115	11,249
10.50 Breast Cancer Research	26,834	10,443	11,676
10.60 Faculty Grants and Travel	4,466	4,820	5,037
PROGRAM REQUIREMENTS			
15 PUBLIC SERVICE			
State Operations:			
0001 General Fund	\$36,888	\$40,649	\$40,649
0992 Higher Education Fees and Income (UC General Funds)	12,890	14,880	15,615
9999 Restricted Fund Sources	199,127	201,819	211,910
Totals, State Operations	\$248,905	\$257,348	\$268,174
ELEMENT REQUIREMENTS			
15.10 Student Academic Preparation and Educational Partnerships (Subtotal)	34,874	29,957	29,957
15.11 Preuss Charter School	467	-	-
15.12 UC College Preparatory Initiative (Online Courses)	1,455	2,411	2,411
15.13 ASSIST	538	377	377
15.14 Community College Articulation	600	600	600
15.15 Community College Transfer Programs	2,254	2,431	2,431
15.16 EAOP	8,112	7,599	7,599
15.17 Graduate and Professional School Programs	3,792	2,467	2,467
15.18 Math, Engineering, Science Achievement (MESA)	4,223	4,133	4,133
15.19 Puente	2,253	1,133	1,133
15.20 Student Initiated Programs	1,111	414	414
15.21 GEAR UP	5,000	5,000	5,000
15.22 UC Links	354	622	622
15.23 K-20 Intersegmental Alliances	2,439	1,255	1,255
15.24 Evaluation	1,249	863	863
15.25 Other Student Academic Preparation and Educational Partnership Programs	1,027	652	652
15.26 Other Public Service Programs (Subtotal):	214,802	227,391	238,217

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	2011-12*	2012-13*	2013-14*
15.27 California Subject Matter Projects	4,799	5,000	5,000
15.28 California State Summer School for Math and Science	2,428	1,726	1,726
15.29 Cooperative Extension	71,140	72,353	75,971
15.30 Umbilical Cord Blood Collection Program	4,245	2,500	2,500
15.31 Professional Development Programs (Including Lawrence Hall of Sciences & EQUALS)	19,257	21,300	22,381
15.32 Health and Sciences Initiatives (Including Teratogen Registry)	47,500	52,540	55,207
15.33 C.R. Drew University of Medicine and Science	3,811	3,811	3,811
15.34 Cultural Programming	24,392	26,980	28,350
15.35 Other	37,230	41,181	43,271
PROGRAM REQUIREMENTS			
20.10 LIBRARIES ACADEMIC SUPPORT			
State Operations:			
0001 General Fund	\$125,969	\$127,759	\$127,759
0992 Higher Education Fees and Income (UC General Funds)	44,020	42,120	45,570
0992 Higher Education Fees and Income (Student Fees)	30,882	31,285	31,285
9999 Restricted Fund Sources	40,036	52,822	61,863
Totals, State Operations	\$240,907	\$253,986	\$266,477
ELEMENT REQUIREMENTS			
20.11 Campus Libraries	230,336	242,841	254,023
20.12 California Digital Library	10,571	11,145	11,659
PROGRAM REQUIREMENTS			
20.20 OTHER ACADEMIC SUPPORT			
State Operations:			
0001 General Fund	\$87,927	\$106,588	\$106,588
0992 Higher Education Fees and Income (UC General Funds)	30,726	46,216	48,730
0992 Higher Education Fees and Income (Student Fees)	162,315	140,570	140,570
9999 Restricted Fund Sources	810,008	817,519	854,086
Totals, State Operations	\$1,090,976	\$1,110,893	\$1,149,974
ELEMENT REQUIREMENTS			
20.21 Museums and Galleries	21,894	22,293	23,006
20.24 Demonstration Schools	4,893	4,982	5,142
20.25 Vivaria and Other (includes Employee Benefits)	409,593	417,072	430,402
20.27 Dental Clinics	11,905	12,122	12,510
20.28 Optometry Clinics	8,911	9,073	9,363
20.29 Neuropsychiatric Institutes	90,224	91,872	94,808
20.30 Veterinary Medical Teaching Facility	34,101	34,723	35,833
20.31 Vivaria and Other (Health Sciences)	505,268	514,493	530,937
20.32 Occupational Health Centers	4,187	4,263	4,399
PROGRAM REQUIREMENTS			
25 TEACHING HOSPITALS			
State Operations:			
0001 General Fund	\$22,701	\$22,701	\$22,701
9999 Restricted Fund Sources	6,288,149	6,791,201	7,300,541
Totals, State Operations	\$6,310,850	\$6,813,902	\$7,323,242
PROGRAM REQUIREMENTS			
30 STUDENT SERVICES			
State Operations:			

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	2011-12*	2012-13*	2013-14*
0992 Higher Education Fees and Income (Student Fees)	\$276,439	\$291,061	\$291,061
9999 Restricted Fund Sources	392,318	378,302	411,782
Totals, State Operations	\$668,757	\$669,363	\$702,843
ELEMENT REQUIREMENTS			
30.10 Social and Cultural Activities	231,037	231,247	240,256
30.20 Supplementary Educational Services	18,522	18,539	19,263
30.30 Counseling and Career Guidance	78,653	78,724	81,791
30.40 Financial Aid Administration	29,276	29,302	30,444
30.50 Student Admissions and Records	75,814	75,883	78,839
30.60 Student Health Services	235,455	235,668	244,849
PROGRAM REQUIREMENTS			
35 INSTITUTIONAL SUPPORT			
State Operations:			
0001 General Fund	\$205,251	\$231,335	\$231,335
0992 Higher Education Fees and Income (UC General Funds)	71,725	73,863	74,823
0992 Higher Education Fees and Income (Student Fees)	193,914	150,853	150,853
9999 Restricted Fund Sources	191,565	196,418	225,864
Totals, State Operations	\$662,455	\$652,469	\$682,875
ELEMENT REQUIREMENTS			
35.10 Executive Management	153,694	151,376	157,542
35.20 Fiscal Operations	111,849	110,163	114,649
35.30 General Administrative Services	180,699	177,976	185,223
35.40 Logistical Services	85,016	83,735	87,144
35.50 Community Relations	131,197	129,219	134,481
PROGRAM REQUIREMENTS			
40 OPERATION AND MAINTENANCE OF PLANT			
State Operations:			
0001 General Fund	\$224,547	\$226,513	\$428,250
0992 Higher Education Fees and Income (UC General Funds)	78,467	85,137	85,797
0992 Higher Education Fees and Income (Student Fees)	155,304	153,147	153,147
9999 Restricted Fund Sources	66,828	72,925	78,612
Totals, State Operations	\$525,146	\$537,722	\$745,806
ELEMENT REQUIREMENTS			
40.10 Plant Administration	24,156	24,735	24,848
40.20 Building Maintenance	168,047	172,071	172,856
40.30 Grounds Maintenance	21,006	21,509	21,607
40.40 Janitorial	75,096	76,894	77,245
40.50 Utilities Operation	13,129	13,443	13,505
40.60 Utilities Purchase	204,807	209,712	210,668
40.70 Refuse	12,078	12,368	12,424
40.80 Fire Departments	6,827	6,990	7,022
40.90 General Obligation Bond Debt Service Payments	-	-	201,737
PROGRAM REQUIREMENTS			
45 STUDENT FINANCIAL AID			
State Operations:			
0001 General Fund	\$52,199	\$52,199	\$52,199
0992 Higher Education Fees and Income (UC General Funds)	8,140	8,140	8,140
0992 Higher Education Fees and Income (Student Fees)	869,225	928,133	936,729
9999 Restricted Fund Sources	247,588	290,182	297,439

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	<u>2011-12*</u>	<u>2012-13*</u>	<u>2013-14*</u>
Totals, State Operations	\$1,177,152	\$1,278,654	\$1,294,507
PROGRAM REQUIREMENTS			
50 AUXILIARY ENTERPRISES			
State Operations:			
9999 Restricted Fund Sources	<u>\$992,096</u>	<u>\$950,099</u>	<u>\$973,849</u>
Totals, State Operations	\$992,096	\$950,099	\$973,849
PROGRAM REQUIREMENTS			
55 PROVISIONS FOR ALLOCATION			
State Operations:			
0001 General Fund	\$145,609	\$100,787	\$110,981
0992 Higher Education Fees and Income (UC General Funds)	67,179	58,805	-
9999 Restricted Fund Sources	<u>960</u>	<u>16,804</u>	<u>16,804</u>
Totals, State Operations	\$213,748	\$176,396	\$127,785
PROGRAM REQUIREMENTS			
60 PROGRAM MAINTENANCE - FIXED COSTS, ECONOMIC FACTORS AND SALARY INCREASES			
State Operations:			
0001 General Fund	<u>\$-</u>	<u>\$-</u>	<u>\$243,031</u>
Totals, State Operations	\$-	\$-	\$243,031
PROGRAM REQUIREMENTS			
70.10 INSTRUCTION EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	<u>\$374,683</u>	<u>\$370,936</u>	<u>\$377,686</u>
Totals, State Operations	\$374,683	\$370,936	\$377,686
PROGRAM REQUIREMENTS			
70.20 RESEARCH EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	<u>\$3,561,026</u>	<u>\$3,413,506</u>	<u>\$3,468,087</u>
Totals, State Operations	\$3,561,026	\$3,413,506	\$3,468,087
PROGRAM REQUIREMENTS			
70.30 PUBLIC SERVICE EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	<u>\$301,911</u>	<u>\$288,023</u>	<u>\$292,343</u>
Totals, State Operations	\$301,911	\$288,023	\$292,343
PROGRAM REQUIREMENTS			
70.40 ACADEMIC SUPPORT EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	<u>\$247,169</u>	<u>\$231,721</u>	<u>\$235,197</u>
Totals, State Operations	\$247,169	\$231,721	\$235,197
PROGRAM REQUIREMENTS			
70.50 TEACHING HOSPITALS EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	<u>\$14,296</u>	<u>\$11,437</u>	<u>\$11,666</u>
Totals, State Operations	\$14,296	\$11,437	\$11,666
PROGRAM REQUIREMENTS			
70.60 STUDENT SERVICES EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	<u>\$47,828</u>	<u>\$44,002</u>	<u>\$44,662</u>
Totals, State Operations	\$47,828	\$44,002	\$44,662

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	<u>2011-12*</u>	<u>2012-13*</u>	<u>2013-14*</u>
PROGRAM REQUIREMENTS			
70.70 INSTITUTIONAL SUPPORT EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	<u>\$112,429</u>	<u>\$70,247</u>	<u>\$71,302</u>
Totals, State Operations	\$112,429	\$70,247	\$71,302
PROGRAM REQUIREMENTS			
70.80 OPERATION AND MAINTENANCE OF PLANT EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	<u>\$14,797</u>	<u>\$14,427</u>	<u>\$14,643</u>
Totals, State Operations	\$14,797	\$14,427	\$14,643
PROGRAM REQUIREMENTS			
70.90 STUDENT FINANCIAL AID EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	<u>\$595,454</u>	<u>\$601,409</u>	<u>\$610,430</u>
Totals, State Operations	\$595,454	\$601,409	\$610,430
PROGRAM REQUIREMENTS			
70.95 AUXILIARY ENTERPRISES EXTRAMURAL PROGRAMS			
State Operations:			
9999 Restricted Fund Sources	<u>\$20,316</u>	<u>\$16,253</u>	<u>\$16,740</u>
Totals, State Operations	\$20,316	\$16,253	\$16,740
PROGRAM REQUIREMENTS			
80 DEPARTMENT OF ENERGY LABORATORY (LBNL)			
State Operations:			
9999 Restricted Fund Sources	<u>\$822,808</u>	<u>\$810,000</u>	<u>\$810,000</u>
Totals, State Operations	\$822,808	\$810,000	\$810,000
TOTALS, EXPENDITURES			
0001 General Fund	2,272,373	2,377,339	2,845,801
0992 Higher Education Fees and Income (UC General Funds)	792,340	848,466	816,061
0007 Breast Cancer Research Account	25,819	9,959	11,058
0046 Public Transportation Account, State Transportation Fund	980	980	980
0234 Research Account, Cigarette and Tobacco Products Surtax Fund	19,849	11,115	11,249
0308 Earthquake Risk Reduction Fund of 1996	1,000	1,000	1,000
0321 Oil Spill Response Trust Fund	2,000	2,000	2,000
0814 California State Lottery Education Fund	29,856	37,194	37,194
0890 Federal Trust Fund (GEAR-UP)	5,000	5,000	5,000
0895 Federal Funds - Not In State Treasury	15,244	18,000	18,000
0945 California Breast Cancer Research Fund	1,015	484	618
0992 Higher Education Fees and Income (Student Fees)	3,022,602	3,000,581	3,029,232
0993 University Funds--Unclassified	11,266,419	11,914,503	12,665,677
0995 Reimbursements	960	1	1
1017 Umbilical Cord Blood Collection Program Fund	4,245	2,500	2,500
3054 Health Care Benefit Fund	1,843	2,000	2,000
7895 Extramural Federal Funds - Not In State Treasury	2,895,534	2,743,275	2,743,275

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	2011-12*	2012-13*	2013-14*
7895 Extramural Federal Funds (Department of Energy)	822,808	810,000	810,000
8054 California Cancer Research Fund	425	425	425
9993 Extramural Nonfederal Unclassified Funds (State Agency Agreements)	328,912	316,073	316,073
9993 Extramural Nonfederal Unclassified Funds (Private Gifts, Contracts, and Grants)	1,568,830	1,615,895	1,696,690
9993 Extramural Nonfederal Unclassified Funds (Other University Funds)	496,633	386,718	386,718
Totals, Expenditures	\$23,574,687	\$24,103,508	\$25,401,552

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2011-12	2012-13	2013-14	2011-12*	2012-13*	2013-14*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	87,390.9	87,600.2	87,600.2	\$6,988,143	\$7,034,740	\$7,034,740
Net Totals, Salaries and Wages	87,390.9	87,600.2	87,600.2	\$6,988,143	\$7,034,740	\$7,034,740
Staff Benefits	-	-	-	2,166,324	2,321,464	2,462,159
Totals, Personal Services	87,390.9	87,600.2	87,600.2	\$9,154,467	\$9,356,204	\$9,496,899
OPERATING EXPENSES AND EQUIPMENT				\$8,307,503	\$8,875,343	\$9,951,897
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$17,461,970	\$18,231,547	\$19,448,796

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2011-12*	2012-13*	2013-14*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,097,082	\$-	\$-
Adjustment per Section 3.94	-100,000	-	-
001 Budget Act appropriation as amended by Chapter 29, Statutes of 2012	-	2,053,749	-
Amendment per Chapter 31, Statutes of 2012	-	37,635	-
001 Budget Act appropriation	-	-	2,790,801
002 Budget Act appropriation (cash available in subsequent years)	(55,000)	(55,000)	(55,000)
003 Budget Act appropriation	202,227	211,990	-
Adjustment per Section 4.30	-1,422	-785	-
004 Budget Act appropriation	15,000	15,000	-
005 Budget Act appropriation	4,750	4,750	-
Payment of prior year claims per Provision 1	55,000	55,000	55,000
Totals Available	\$2,272,637	\$2,377,339	\$2,845,801
Unexpended balance, estimated savings	-264	-	-
TOTALS, EXPENDITURES	\$2,272,373	\$2,377,339	\$2,845,801
0007 Breast Cancer Research Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,959	\$9,959	\$11,058
Prior year balances available:			
Item 6440-001-0007, Budget Act of 2009	9,684	-	-
Item 6440-001-0007, Budget Act of 2010	9,092	2,916	-
Totals Available	\$28,735	\$12,875	\$11,058

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

1 STATE OPERATIONS	2011-12*	2012-13*	2013-14*
Unexpended balance, estimated savings	-	-2,916	-
Balance available in subsequent years	<u>-2,916</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$25,819	\$9,959	\$11,058
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
011 Budget Act appropriation (transfer to Earthquake Risk Reduction Fund of 1996)	<u>(\$1,000)</u>	<u>(\$1,000)</u>	<u>(\$1,000)</u>
TOTALS, EXPENDITURES	\$-	\$-	\$-
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$980</u>	<u>\$980</u>	<u>\$980</u>
TOTALS, EXPENDITURES	\$980	\$980	\$980
0234 Research Account, Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$12,681	\$11,115	\$11,249
Prior year balances available:			
Item 6440-001-0234, Budget Act of 2009	2,239	-	-
Item 6440-001-0234, Budget Act of 2010	<u>4,929</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$19,849	\$11,115	\$11,249
0308 Earthquake Risk Reduction Fund of 1996			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$1,384</u>	<u>\$1,000</u>	<u>\$1,000</u>
Totals Available	\$1,384	\$1,000	\$1,000
Unexpended balance, estimated savings	<u>-384</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,000	\$1,000	\$1,000
0321 Oil Spill Response Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>
TOTALS, EXPENDITURES	\$2,000	\$2,000	\$2,000
0814 California State Lottery Education Fund			
APPROPRIATIONS			
Government Code Section 8880.5	<u>\$29,856</u>	<u>\$37,194</u>	<u>\$37,194</u>
TOTALS, EXPENDITURES	\$29,856	\$37,194	\$37,194
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,500	\$5,000	\$5,000
Budget Adjustment	<u>1,500</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$5,000	\$5,000	\$5,000
0895 Federal Funds - Not In State Treasury			
APPROPRIATIONS			
United States appropriations	<u>\$15,244</u>	<u>\$18,000</u>	<u>\$18,000</u>
TOTALS, EXPENDITURES	\$15,244	\$18,000	\$18,000
0945 California Breast Cancer Research Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$484	\$484	\$618
Prior year balances available:			
Item 6440-001-0945, Budget Act of 2009	166	-	-
Item 6440-001-0945, Budget Act of 2010	<u>531</u>	<u>-</u>	<u>-</u>
Totals Available	\$1,181	\$484	\$618
Unexpended balance, estimated savings	<u>-166</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,015	\$484	\$618

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

1 STATE OPERATIONS	2011-12*	2012-13*	2013-14*
0992 Higher Education Fees and Income			
APPROPRIATIONS			
Student fee revenue	\$3,022,602	\$3,000,581	\$3,029,232
General Fund income	<u>792,340</u>	<u>848,466</u>	<u>816,061</u>
TOTALS, EXPENDITURES	\$3,814,942	\$3,849,047	\$3,845,293
0993 University Funds--Unclassified			
APPROPRIATIONS			
Current revenues--budgeted funds	<u>\$11,266,419</u>	<u>\$11,914,503</u>	<u>\$12,665,677</u>
TOTALS, EXPENDITURES	\$11,266,419	\$11,914,503	\$12,665,677
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$960	\$1	\$1
1017 Umbilical Cord Blood Collection Program Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$4,618</u>	<u>\$3,000</u>	<u>\$2,500</u>
Totals Available	\$4,618	\$3,000	\$2,500
Unexpended balance, estimated savings	<u>-373</u>	<u>-500</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4,245	\$2,500	\$2,500
3054 Health Care Benefits Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$1,900</u>	<u>\$2,000</u>	<u>\$2,000</u>
Totals Available	\$1,900	\$2,000	\$2,000
Unexpended balance, estimated savings	<u>-57</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,843	\$2,000	\$2,000
7895 Extramural Federal Funds - Not in State Treasury			
APPROPRIATIONS			
Federal contracts and grants	\$2,895,534	\$2,743,275	\$2,743,275
Student Financial Aid	(488,138)	(488,138)	(438,138)
Major Department of Energy-supported laboratories	<u>822,808</u>	<u>810,000</u>	<u>810,000</u>
TOTALS, EXPENDITURES	\$3,718,342	\$3,553,275	\$3,553,275
8054 California Cancer Research Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$425</u>	<u>\$425</u>	<u>\$425</u>
TOTALS, EXPENDITURES	\$425	\$425	\$425
9993 Extramural Nonfederal Unclassified Funds			
APPROPRIATIONS			
State of California (State agency agreements)	\$328,912	\$316,073	\$316,073
Private gifts, contracts and grants	1,568,830	1,615,895	1,696,690
Other university funds	<u>496,633</u>	<u>386,718</u>	<u>386,718</u>
TOTALS, EXPENDITURES	\$2,394,375	\$2,318,686	\$2,399,481
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$23,574,687	\$24,103,508	\$25,401,552

FUND CONDITION STATEMENTS

	2011-12*	2012-13*	2013-14*
0308 Earthquake Risk Reduction Fund of 1996 ^s			
BEGINNING BALANCE			
Prior year adjustments	-	\$407	\$407
Adjusted Beginning Balance	<u>\$407</u>	<u>-</u>	<u>-</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS	\$407	\$407	\$407
Transfers and Other Adjustments:			

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	2011-12*	2012-13*	2013-14*
FO0042 From State Highway Account, State Transportation Fund per Item 6440-011-0042, Budget Acts	1,000	1,000	1,000
Total Revenues, Transfers, and Other Adjustments	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
Total Resources	\$1,407	\$1,407	\$1,407
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
6440 University of California (State Operations)	<u>1,000</u>	<u>1,000</u>	<u>1,000</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
FUND BALANCE	\$407	\$407	\$407
Reserve for economic uncertainties	407	407	407
0945 California Breast Cancer Research Fund ^N			
BEGINNING BALANCE	\$152	\$468	\$436
Prior year adjustments	<u>879</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,031	\$468	\$436
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
216000 Fees and Licenses	<u>459</u>	<u>459</u>	<u>459</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$459</u>	<u>\$459</u>	<u>\$459</u>
Total Resources	\$1,490	\$927	\$895
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
6440 University of California (State Operations)	1,015	484	618
7730 Franchise Tax Board (State Operations)	<u>7</u>	<u>7</u>	<u>7</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,022</u>	<u>\$491</u>	<u>\$625</u>
FUND BALANCE	\$468	\$436	\$270
1017 Umbilical Cord Blood Collection Program Fund ^S			
BEGINNING BALANCE	\$1,222	-	-
Prior year adjustments	<u>653</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,875	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	<u>2,370</u>	<u>\$2,500</u>	<u>\$2,500</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,370</u>	<u>\$2,500</u>	<u>\$2,500</u>
Total Resources	\$4,245	\$2,500	\$2,500
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
6440 University of California (State Operations)	<u>4,245</u>	<u>2,500</u>	<u>2,500</u>
Total Expenditures and Expenditure Adjustments	<u>\$4,245</u>	<u>\$2,500</u>	<u>\$2,500</u>
FUND BALANCE	-	-	-
3054 Health Care Benefits Fund ^S			
BEGINNING BALANCE	-	\$262	\$262
Prior year adjustments	<u>\$262</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$262	\$262	\$262
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	<u>1,843</u>	<u>2,000</u>	<u>2,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,843</u>	<u>\$2,000</u>	<u>\$2,000</u>
Total Resources	\$2,105	\$2,262	\$2,262
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

	2011-12*	2012-13*	2013-14*
Expenditures:			
6440 University of California (State Operations)	1,843	2,000	2,000
Total Expenditures and Expenditure Adjustments	\$1,843	\$2,000	\$2,000
FUND BALANCE	\$262	\$262	\$262
Reserve for economic uncertainties	262	262	262

INFRASTRUCTURE OVERVIEW

The University of California (UC) system comprises ten campuses (Berkeley, Davis, Irvine, Los Angeles, Merced, Riverside, San Diego, San Francisco, Santa Barbara, and Santa Cruz) which operate 150 institutes, centers, bureaus, and research laboratories throughout the state. Nine of the campuses offer undergraduate, graduate, and professional education--with one other, San Francisco, devoted exclusively to the graduate health sciences. In addition, the University operates teaching hospitals and clinics in six counties. The UC system has more than 5,500 buildings with over 130 million gross square feet on approximately 30,000 acres.

SUMMARY OF PROJECTS

State Building Program Expenditures		2011-12*	2012-13*	2013-14*
99	CAPITAL OUTLAY			
	Major Projects			
99.01	BERKELEY CAMPUS	\$425,800	\$44,600	\$-
99.01.000	Nonstate Funded Projects	348,336 ^{PWCEn}	-	-
99.01.245	Campbell Hall Seismic Replacement Building	77,464 ^{Cn}	-	-
99.01.260	Helios Energy Research Facility	-	44,600 ^{Cn}	-
99.02	SAN FRANCISCO CAMPUS	\$48,454	\$-	\$-
99.02.000	Nonstate Funded Projects	44,679 ^{PWCEn}	-	-
99.02.155	Telemedicine and PRIME (Programs in Medical Education) - Urban Underserved Education Facility	3,775 ^{CEb}	-	-
99.03	DAVIS CAMPUS	\$92,445	\$3,109	\$-
99.03.000	Nonstate Funded Projects	53,118 ^{PWCEn}	-	-
99.03.350	Veterinary Medicine 3B	2,280 ^{Cn}	-	-
99.03.355	King Hall Renovation and Expansion	29,891 ^{Cbn}	-	-
99.03.365	Telemedicine Resource Center and Rural PRIME (Programs in Medical Education) Facility	7,156 ^{CEb}	3,109 ^{Eb}	-
99.04	LOS ANGELES CAMPUS	\$292,507	\$14,412	\$104,545
99.04.000	Nonstate Funded Projects	174,133 ^{PWCEn}	-	-
99.04.290	Telemedicine and PRIME (Programs in Medical Education) Facilities, Phase 2	6,786 ^{Vb}	14,412 ^{Vb}	-
99.04.325	CHS South Tower Seismic Renovation	111,588 ^{WCn}	-	104,545 ^{Cn}
99.05	RIVERSIDE CAMPUS	\$13,018	\$33,114	\$-
99.05.000	Nonstate Funded Projects	7,348 ^{PWCEn}	-	-
99.05.190	Materials Science and Engineering Building	4,620 ^{Eb}	-	-
99.05.200	Environmental Health & Safety Expansion	1,050 ^{Wn}	17,621 ^{Cn}	-
99.05.220	Boyce Hall and Webber Hall Renovations	-	1,189 ^{Cb}	-
99.05.225	East Campus Infrastructure Improvements, Phase 2	-	14,304 ^{Vbn}	-
99.06	SAN DIEGO CAMPUS	\$99,108	\$7,735	\$-
99.06.000	Nonstate Funded Projects	90,326 ^{PWCEn}	-	-
99.06.365	SIO Research Support Facilities	613 ^{PWb}	5,735 ^{Cn}	-
99.06.375	Structural and Materials Engineering Building	7,466 ^{Ebn}	-	-
99.06.390	Management School Facility Phase 2	703 ^{Vn}	-	-
99.06.395	Telemedicine and PRIME (Programs in Medical Education)-Health Equity Education Facility	-	2,000 ^{Eb}	-

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

State Building Program Expenditures		2011-12*	2012-13*	2013-14*
99.07	SANTA CRUZ CAMPUS	\$33,465	\$9,952	\$-
99.07.000	Nonstate Funded Projects	33,465 ^{PWCEn}	-	-
99.07.185	Infrastructure Improvements Phase 2	-	7,732 ^{Cb}	-
99.07.190	Biomedical Sciences Facility	-	2,220 ^{Eb}	-
99.08	SANTA BARBARA CAMPUS	\$49,232	\$70,202	\$-
99.08.000	Nonstate Funded Projects	48,032 ^{PWCEn}	-	-
99.08.145	Davidson Library Addition and Renewal	1,200 ^{Wn}	70,202 ^{Cn}	-
99.09	IRVINE CAMPUS	\$60,374	\$45,429	\$-
99.09.000	Nonstate Funded Projects	54,837 ^{PWCEn}	-	-
99.09.355	Social and Behavioral Sciences Building	2,855 ^{Eb}	-	-
99.09.360	Primary Electrical Improvements, Step 3	-	1,178 ^{Cb}	-
99.09.365	Humanities Building	2,682 ^{Ebn}	-	-
99.09.390	Business Unit 2	-	44,251 ^{Vn}	-
99.10	AGRICULTURE AND NATURAL RESOURCES	\$1,909	\$-	\$-
99.10.065	Hopland Research and Extension Center, Field Laboratory and Multipurpose Facility	1,909 ^{Vbn}	-	-
99.11	MERCED CAMPUS	\$101,197	\$8,800	\$-
99.11.000	Nonstate Funded Projects	17,456 ^{PWCEn}	-	-
99.11.045	Social Sciences and Management Building	3,908 ^{Eb}	-	-
99.11.050	Science and Engineering Building 2	77,583 ^{Cn}	-	-
99.11.055	Site Development and Infrastructure 4	450 ^{PWb}	4,050 ^{CEb}	-
99.11.065	Site Development and Infrastructure 6	1,800 ^{WCb}	-	-
99.11.075	Classroom and Academic Office Building	-	4,750 ^{PWb}	-
Totals, Major Projects		\$1,217,509	\$237,353	\$104,545
TOTALS, EXPENDITURES, ALL PROJECTS		\$1,217,509	\$237,353	\$104,545
FUNDING		2011-12*	2012-13*	2013-14*
0658	1996 Higher Education Capital Outlay Bond Fund	\$1,063	\$10,582	\$-
0660	Public Buildings Construction Fund	247,073	116,186	26,129
0668	Public Buildings Construction Fund Subaccount	-	45,330	-
0705	Higher Education Capital Outlay Bond Fund of 1992	4,500	1,200	-
0791	June 1990 Higher Education Capital Outlay Bond Fund	660	-	-
0994	Other Unclassified Funds	914,916	27,202	78,416
6048	2006 University Capital Outlay Bond Fund	49,297	36,853	-
TOTALS, EXPENDITURES, ALL FUNDS		\$1,217,509	\$237,353	\$104,545

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY		2011-12*	2012-13*	2013-14*
0658 1996 Higher Education Capital Outlay Bond Fund				
APPROPRIATIONS				
301 Budget Act appropriation as added by Chapter 630, Statutes of 2012		\$-	\$6,532	\$-
Prior year balances available:				
Item 6440-301-0658, Budget Act of 2010, as reappropriated by Item 6440-492, Budget Act of 2011, and Item 6440-491, Budget Act of 2012		5,113	4,050	-
Totals Available		\$5,113	\$10,582	\$-
Balance available in subsequent years		-4,050	-	-
TOTALS, EXPENDITURES		\$1,063	\$10,582	\$-

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

3 CAPITAL OUTLAY	2011-12*	2012-13*	2013-14*
0660 Public Buildings Construction Fund			
APPROPRIATIONS			
Prior year balances available:			
Item 6440-301-0660, Budget Act of 2007, as reappropriated by Item 6440-491, Budget Acts of 2009 and 2012	\$30,000	\$30,000	\$-
Item 6440-301-0660, Budget Act of 2008, as reappropriated by Item 6440-491, Budget Act of 2012	62,636	15,984	-
Item 6440-301-0660, Budget Act of 2010, as reappropriated by Item 6440-494, Budget Act of 2011, and Item 6440-491, Budget Act of 2012	334,882	96,331	26,129
Augmentation per Government Code Sections 16352, 16409 and 16354	4,904	-	-
Totals Available	\$432,422	\$142,315	\$26,129
Unexpended balance, estimated savings	-43,034	-	-
Balance available in subsequent years	<u>-142,315</u>	<u>-26,129</u>	<u>-</u>
TOTALS, EXPENDITURES	\$247,073	\$116,186	\$26,129
0668 Public Buildings Construction Fund Subaccount			
APPROPRIATIONS			
301 Budget Act appropriation	\$5,735	\$-	\$-
302 Budget Act appropriation	39,595	-	-
Prior year balances available:			
Item 6440-301-0668, Budget Act of 2011	-	5,735	-
Item 6440-302-0668, Budget Act of 2011	-	39,595	-
Totals Available	\$45,330	\$45,330	\$-
Balance available in subsequent years	<u>-45,330</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$45,330	\$-
0705 Higher Education Capital Outlay Bond Fund of 1992			
APPROPRIATIONS			
301 Budget Act appropriation as added by Chapter 630, Statutes of 2012	\$-	\$1,200	\$-
Prior year balances available:			
Item 6440-301-0705, Budget Act of 2008, as reappropriated by Item 6440-492, Budget Act of 2011	2,855	-	-
Item 6440-301-0705, Budget Act of 2009, as reappropriated by Item 6440-491, Budget Act of 2012	1,645	-	-
TOTALS, EXPENDITURES	\$4,500	\$1,200	\$-
0791 June 1990 Higher Education Capital Outlay Bond Fund			
APPROPRIATIONS			
Prior year balances available:			
Item 6440-301-0791, Budget Act of 2009, as reappropriated by Item 6440-491, Budget Act of 2012	\$660	\$-	\$-
TOTALS, EXPENDITURES	\$660	\$-	\$-
0994 Other Unclassified Funds			
APPROPRIATIONS			
Nonstate funds	\$914,916	\$27,202	\$78,416
TOTALS, EXPENDITURES	\$914,916	\$27,202	\$78,416
6048 2006 University Capital Outlay Bond Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$7,045	\$-	\$-
301 Budget Act appropriation as added by Chapter 575, Statutes of 2012	-	4,750	-
302 Budget Act appropriation	2,267	-	-
Prior year balances available:			
Item 6440-301-6048, Budget Act of 2006, as reappropriated by Item 6440-491, Budget Acts of 2007, 2008, and 2009 & Item 6440-492, Budget Act of 2011	18,865	2,365	-
Reversion per Government Code Sections 16351, 16351.5 and 16408	-	-1,187	-

* Dollars in thousands, except in Salary Range.

6440 University of California - Continued

3 CAPITAL OUTLAY	2011-12*	2012-13*	2013-14*
Item 6440-301-6048, Budget Act of 2007, as reappropriated by Item 6440-492, Budget Acts of 2010 and 2011	3,132	2,571	-
Reversion per Government Code Sections 16351, 16351.5 and 16408	-	-1,382	-
Item 6440-301-6048, Budget Act of 2008, as reappropriated by Item 6440-492, Budget Act of 2011	4,620	-	-
Item 6440-301-6048, Budget Act of 2010, as reappropriated by Item 6440-492, Budget Act of 2011	1,800	-	-
Item 6440-301-6048, Budget Act of 2011	-	2,220	-
Item 6440-302-6048, Budget Act of 2007, as reappropriated by Item 6440-491, Budget Act of 2009 and 2012	9,628	8,060	-
Reversion per Government Code Sections 16351, 16351.5 and 16408	-	-65	-
Item 6440-304-6048, Budget Act of 2007 as reappropriated by Item 6440-491, Budget Acts of 2008 and 2009, and Item 6440-492, Budget Act of 2011	10,265	3,109	-
Item 6440-304-6048, BA of 2008 as amended by Ch 269, Stats of 2008, as reapprop. by Item 6440-491, BA 2009, and Item 6440-492, BA 2011	3,775	-	-
Item 6440-305-6048, Budget Act of 2007, as reappropriated by Items 6440-491 and 6440-493, BA of 2009 and 2011, and Item 6440-491, BA of 2012	2,000	2,000	-
Item 6440-305-6048, Budget Act of 2009, as reappropriated by Item 6440-492, Budget Act of 2011, and Item 6440-491, Budget Act of 2012	21,198	14,412	-
Totals Available	\$84,595	\$36,853	\$-
Unexpended balance, estimated savings	-561	-	-
Balance available in subsequent years	-34,737	-	-
TOTALS, EXPENDITURES	\$49,297	\$36,853	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$1,217,509	\$237,353	\$104,545

* Dollars in thousands, except in Salary Range.