

8660 Public Utilities Commission

The California Public Utilities Commission (PUC) regulates critical and essential services such as privately owned telecommunications, electric, natural gas, and water companies, in addition to overseeing railroad/rail transit and moving and transportation companies. The PUC is the only agency in the state charged with protecting private utility consumers. As such, the PUC is responsible for ensuring that customers have safe, reliable utility service at reasonable rates, protecting against fraud, and promoting the health of California's economy, which depends on the infrastructure the utilities and the PUC provide.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2011-12	2012-13	2013-14	2011-12*	2012-13*	2013-14*
10 Regulation of Utilities	594.3	714.0	726.5	\$624,386	\$764,641	\$765,437
15 Universal Service Telephone Programs	62.6	70.0	70.0	464,391	520,142	575,768
20 Regulation of Transportation	175.2	190.0	193.0	18,751	21,748	23,592
30.01 Administration	140.7	63.4	63.4	32,196	34,418	35,485
30.02 Distributed Administration	-	-	-	<u>-32,196</u>	<u>-34,418</u>	<u>-35,485</u>
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	972.8	1,037.4	1,052.9	\$1,107,528	\$1,306,531	\$1,364,797

FUNDING				2011-12*	2012-13*	2013-14*
0042	State Highway Account, State Transportation Fund			\$3,563	\$3,702	\$3,848
0046	Public Transportation Account, State Transportation Fund			3,411	4,909	5,434
0412	Transportation Rate Fund			1,719	2,060	2,779
0461	Public Utilities Commission Transportation Reimbursement Account			10,058	11,077	11,531
0462	Public Utilities Commission Utilities Reimbursement Account			83,860	88,481	89,055
0464	California High-Cost Fund-A Administrative Committee Fund			37,199	49,773	49,773
0470	California High-Cost Fund-B Administrative Committee Fund			22,371	36,333	29,342
0471	Universal Lifeline Telephone Service Trust Administrative Committee Fund			260,333	262,730	282,753
0483	Deaf and Disabled Telecommunications Program Administrative Committee Fund			67,969	54,000	63,100
0491	Payphone Service Providers Committee Fund			-	72	72
0493	California Teleconnect Fund Administrative Committee Fund			75,083	77,234	92,429
0890	Federal Trust Fund			3,236	5,093	5,325
0995	Reimbursements			21,168	63,080	62,133
3015	Gas Consumption Surcharge Fund			493,047	584,944	584,549
3089	Public Utilities Commission Ratepayer Advocate Account			23,075	23,043	24,375
3141	California Advanced Services Fund			<u>1,436</u>	<u>40,000</u>	<u>58,299</u>
TOTALS, EXPENDITURES, ALL FUNDS				\$1,107,528	\$1,306,531	\$1,364,797

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

10-Regulation of Utilities:

California Constitution, Article XII; Public Utilities Code, Divisions 1, 2, and 4.

15-Universal Service Telephone Programs:

California Constitution, Article XII; Public Utilities Code, Division 1.

20-Regulation of Transportation:

California Constitution, Article XII; Public Utilities Code, Divisions 1, 2, 4, and 10.

DETAILED BUDGET ADJUSTMENTS

	2012-13*		Positions	2013-14*	
	General Fund	Other Funds		General Fund	Other Funds
Workload Budget Adjustments					

* Dollars in thousands, except in Salary Range.

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	2012-13*			2013-14*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Change Proposals						
• Legal Staff to Support Safety Enforcement	\$-	\$-	-	\$-	\$231	2.0
• Budget Development and Administration Staff	-	-	-	-	210	3.0
• Division of Ratepayer Advocate: Financial Examiners	-	-	-	-	151	2.0
• Division of Ratepayer Advocate: Water Auditors	-	-	-	-	151	2.0
• Division of Ratepayer Advocate: Gas Safety Staff	-	-	-	-	89	1.0
• Expand Demand-Side Program Facilitation	-	-	-	-	88	1.0
• Chapter 610, Statutes of 2012 (SB 594,Wolk): Net Energy Metering	-	-	-	-	78	0.5
• Chapter 729, Statutes of 2012 (SB 379,Fuller): California High-Cost Fund-A Broadband Expansion	-	-	-	-	70	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$1,068	11.5
Other Workload Budget Adjustments						
• Retirement Rate Adjustment	\$-	\$2,027	-	\$-	\$2,027	-
• Employee Compensation Adjustments	-	-4,662	-	-	725	-
• One Time Costs Reduction	-	-	-	-	-1,742	-
• Lease Revenue Debt Service Adjustment	-	-4	-	-	-2,515	-
• Miscellaneous Adjustments	-	-129,857	-	-	-74,211	-
Totals, Other Workload Budget Adjustments	\$-	-\$132,496	-	\$-	-\$75,716	-
Totals, Workload Budget Adjustments	\$-	-\$132,496	-	\$-	-\$74,648	11.5
Policy Adjustments						
• High-Speed Rail Oversight	\$-	\$-	-	\$-	\$330	3.0
• Electric Program Investment Charge Oversight	-	-	-	-	88	1.0
Totals, Policy Adjustments	\$-	\$-	-	\$-	\$418	4.0
Totals, Budget Adjustments	\$-	-\$132,496	-	\$-	-\$74,230	15.5

PROGRAM DESCRIPTIONS

10 - REGULATION OF UTILITIES

The fundamental objectives of this program include ensuring customers have safe, reliable utility service at reasonable rates, protecting against fraud, and promoting the health of California's economy, which depends on the infrastructure the utilities and the PUC provide. Californians spend more than \$38 billion annually for services from industries regulated by the PUC. This includes 11 electricity utilities (80 percent of electric load in California), 1,821 telecommunications carriers, 156 water and sewer utilities, and 6 natural gas utilities, and over 3200 small mobile home park and propane operators.

The Commission oversees the safety of electric, communications, natural gas, and propane gas utility systems and also performs operation and maintenance audits, outage inspections and investigations of incidents at electric generation facilities. The PUC safety functions include both backward looking investigation and analysis of safety incidents and utility performance, and forward looking risk assessment to identify needed regulatory rule reform and to ensure that limited ratepayer funding is directed at the most critical public safety challenges.

The program also includes the Division of Ratepayer Advocates, which advocates on behalf of public utility customers to obtain the lowest possible rate for service consistent with reliable and safe service levels. The Division balances the interests of all ratepayers to ensure all consumers are treated equitably. The Division is funded by the Public Utility Ratepayer Advocate Account, which funds are utilized exclusively by the Division in the performance of its duties as determined by the director.

In the area of energy regulation, the PUC has a number of programs in place to help consumers, the economy, and the environment. The PUC is working to protect the environment from climate change. The PUC is also committed to renewable power and is working to implement renewable energy goals for utilities. Through the California Solar Initiative, the PUC will provide more than \$2 billion in incentives over the next several years for solar installations.

To ensure consumers have access to sufficient information to make informed telecommunications choices, the PUC created a Telecommunications Consumer Education Initiative called Cal Phone Info, designed to help consumers navigate the increasingly competitive telecommunications market and to learn how to avoid becoming victims of consumer fraud. Cal Phone Info provides consumers with information on issues such as understanding phone bills, illegal practices, buying wireless telephone service, choosing telecommunications companies and services, prepaid phone cards, and avoiding

* Dollars in thousands, except in Salary Range.

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telephone fraud and misleading advertising.

The Commission also administers issuing state franchises to provide video service in California. The Commission is responsible for monitoring video service provider compliance with the anti-discrimination, anti-redlining, and build-out requirements of California's state franchise law.

15 - UNIVERSAL SERVICE TELEPHONE PROGRAMS

The PUC oversees nearly \$1 billion in telecommunications consumer programs including the California Lifeline Fund, California Teleconnect Fund, Deaf and Disabled Telecommunications Program, and California High Cost Funds, all of which provide much-needed services to consumers and communities. The objectives of these 'universal telephone service' programs include: (1) ensuring basic telephone service remains available and affordable to all Californians regardless of geography, language, cultural, ethnic, physical or income differences; (2) encouraging consumer choice among competitive telephone companies; (3) modifying, as necessary, the basic telephone service definition to incorporate new technology for all residential subscribers; and (4) ensuring consumers have access to sufficient information to make informed choices about basic service and universal lifeline telephone services. Specifically, the California High-Cost Fund A program provides supplemental funding to 17 small local telephone companies to minimize rate disparities, which otherwise would occur in basic telephone service costs between rural and metropolitan areas. The California High-Cost Fund B program provides supplemental funding to large telephone companies to minimize disparities which otherwise would occur between high-cost and metropolitan areas. The Deaf and Disabled Telecommunications program provides assistance to deaf, hearing impaired and disabled residents. The Payphone Service Providers program provides payphones to the general public at no charge in the interest of public safety and at locations where payphones otherwise would not be found. The California Teleconnect Fund program provides discounted telecommunication services to qualifying schools, libraries, hospitals and community-based organizations. The California Advanced Services Fund Program encourages the deployment of broadband infrastructure in unserved and underserved rural and urban areas of California.

20 - REGULATION OF TRANSPORTATION

The PUC oversees the safety of all railroads, six major rail transit agencies, five smaller transit systems, and more than 16,000 public and private crossings. The PUC's specially trained and federally certified inspectors inspect all tracks (annually), all train equipment and facilities (semi-annually), investigate all rail accidents resulting in loss of life and property damage, and ensures the safe transportation of hazardous materials. The PUC has exclusive authority to approve or disapprove all highway-rail crossings. The Transportation program also oversees passenger carriers, including privately owned for-hire passenger transportation companies and household goods carriers. The program regulates rates and services, issues certificates and other licenses, and enforces safety standards and insurance requirements.

DETAILED EXPENDITURES BY PROGRAM

	2011-12*	2012-13*	2013-14*
PROGRAM REQUIREMENTS			
10 REGULATION OF UTILITIES			
State Operations:			
0462 Public Utilities Commission Utilities Reimbursement Account	\$83,860	\$88,481	\$89,055
0890 Federal Trust Fund	3,236	5,093	5,325
0995 Reimbursements	21,168	63,080	62,133
3015 Gas Consumption Surcharge Fund	493,047	584,944	584,549
3089 Public Utilities Commission Ratepayer Advocate Account	23,075	23,043	24,375
Totals, State Operations	\$624,386	\$764,641	\$765,437
ELEMENT REQUIREMENTS			
10.10 Regulation of Rates	\$563,478	\$701,344	\$701,767
State Operations:			
0462 Public Utilities Commission Utilities Reimbursement Account	60,119	68,035	69,035
0890 Federal Trust Fund	1,165	1,936	1,666
0995 Reimbursements	9,147	46,429	46,517
3015 Gas Consumption Surcharge Fund	493,047	584,944	584,549
10.15 Office of Ratepayer Advocates	\$23,075	\$27,078	\$27,375
State Operations:			
0995 Reimbursements	-	4,035	3,000
3089 Public Utilities Commission Ratepayer Advocate Account	23,075	23,043	24,375

* Dollars in thousands, except in Salary Range.

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	2011-12*	2012-13*	2013-14*
10.20 Service and Facilities	\$20,486	\$16,160	\$16,236
State Operations:			
0462 Public Utilities Commission Utilities Reimbursement Account	18,194	14,710	14,284
0890 Federal trust Fund	414	1,450	1,952
0995 Reimbursements	1,878	-	-
10.30 Certification	\$12,224	\$14,886	\$14,886
State Operations:			
0462 Public Utilities Commission Utilities Reimbursement Account	2,081	2,270	2,270
0995 Reimbursements	10,143	12,616	12,616
10.40 Safety	\$5,123	\$5,173	\$5,173
State Operations:			
0462 Public Utilities Commission Utilities Reimbursement Account	3,466	3,466	3,466
0890 Federal Trust Fund	1,657	1,707	1,707
PROGRAM REQUIREMENTS			
15 UNIVERSAL SERVICE TELEPHONE PROGRAMS			
State Operations:			
0464 California High-Cost Fund-A Administrative Committee Fund	\$37,199	\$49,773	\$49,773
0470 California High-Cost Fund-B Administrative Committee Fund	22,371	36,333	29,342
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund	260,333	262,730	282,753
0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund	67,969	54,000	63,100
0491 Payphone Service Providers Committee Fund	-	72	72
0493 California Teleconnect Fund Administrative Committee Fund	75,083	77,234	92,429
3141 California Advanced Services Fund	1,436	40,000	58,299
Totals, State Operations	\$464,391	\$520,142	\$575,768
ELEMENT REQUIREMENTS			
15.10 California High-Cost Fund-A Program	\$37,199	\$49,773	\$49,773
State Operations:			
0464 California High-Cost Fund-A Administrative Committee Fund	37,199	49,773	49,773
15.20 California High-Cost Fund-B Program	\$22,371	\$36,333	\$29,342
State Operations:			
0470 California High-Cost Fund-B Administrative Committee Fund	22,371	36,333	29,342
15.30 Universal Lifeline Telephone Service Program	\$260,333	\$262,730	\$282,753
State Operations:			
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund	260,333	262,730	282,753
15.40 Deaf and Disabled Telecommunications Program	\$67,969	\$54,000	\$63,100
State Operations:			
0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund	67,969	54,000	63,100
15.50 Payphone Service Providers Program	\$-	\$72	\$72

* Dollars in thousands, except in Salary Range.

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	2011-12*	2012-13*	2013-14*
State Operations:			
0491 Payphone Service Providers Committee Fund	-	72	72
15.60 California Teleconnect Fund Program	\$75,083	\$77,234	\$92,429
State Operations:			
0493 California Teleconnect Fund Administrative Committee Fund	75,083	77,234	92,429
15.70 California Advanced Services Fund Program	\$1,436	\$40,000	\$58,299
State Operations:			
3141 California Advanced Services Fund	1,436	40,000	58,299
PROGRAM REQUIREMENTS			
20 REGULATION OF TRANSPORTATION			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$3,563	\$3,702	\$3,848
0046 Public Transportation Account, State Transportation Fund	3,411	4,909	5,434
0412 Transportation Rate Fund	1,719	2,060	2,779
0461 Public Utilities Commission Transportation Reimbursement Account	10,058	11,077	11,531
Totals, State Operations	\$18,751	\$21,748	\$23,592
ELEMENT REQUIREMENTS			
20.10 Regulation of Rates	\$117	\$129	\$129
State Operations:			
0412 Transportation Rate Fund	6	9	9
0461 Public Utilities Commission Transportation Reimbursement Account	111	120	120
20.20 Service and Facilities	\$3,286	\$3,793	\$4,307
State Operations:			
0412 Transportation Rate Fund	955	1,212	1,574
0461 Public Utilities Commission Transportation Reimbursement Account	2,331	2,581	2,733
20.30 Licensing	\$2,753	\$3,054	\$3,562
State Operations:			
0412 Transportation Rate Fund	758	839	1,196
0461 Public Utilities Commission Transportation Reimbursement Account	1,995	2,215	2,366
20.40 Safety	\$12,595	\$14,772	\$15,594
State Operations:			
0042 State Highway Account, State Transportation Fund	3,563	3,702	3,848
0046 Public Transportation Account, State Transportation Fund	3,411	4,909	5,434
0461 Public Utilities Commission Transportation Reimbursement Account	5,621	6,161	6,312
PROGRAM REQUIREMENTS			
30 ADMINISTRATION			
ELEMENT REQUIREMENTS			
30.01 Administration	32,196	34,418	-35,485
30.02 Distributed Administration	-32,196	-34,418	-35,485
TOTALS, EXPENDITURES			
State Operations	1,107,528	1,306,531	1,364,797

* Dollars in thousands, except in Salary Range.

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	<u>2011-12*</u>	<u>2012-13*</u>	<u>2013-14*</u>
Totals, Expenditures	\$1,107,528	\$1,306,531	\$1,364,797

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2011-12	2012-13	2013-14	2011-12*	2012-13*	2013-14*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	972.8	1,037.4	1,037.4	\$76,881	\$79,602	\$83,780
Total Adjustments	-	-	15.5	-	-	898
Net Totals, Salaries and Wages	972.8	1,037.4	1,052.9	\$76,881	\$79,602	\$84,678
Staff Benefits	-	-	-	21,527	27,861	29,637
Totals, Personal Services	972.8	1,037.4	1,052.9	\$98,408	\$107,463	\$114,315
OPERATING EXPENSES AND EQUIPMENT				\$46,653	\$88,954	\$105,549
SPECIAL ITEMS OF EXPENSE						
Base Rental and Fees/Insurance				\$5,029	\$5,100	\$2,589
California High-Cost Fund-A Program				37,199	49,773	49,776
California High-Cost Fund-B Program				22,371	36,333	29,342
Universal Lifeline Telephone Service Program				260,333	262,730	282,753
Deaf and Disabled Telecommunications Program				67,969	54,000	63,100
California Teleconnect Fund Program				75,083	77,234	92,429
California Advanced Services Fund Program				1,436	40,000	40,000
Gas Consumption Surcharge Program				493,047	584,944	584,944
Totals, Special Items of Expense				\$962,467	\$1,110,114	\$1,144,933
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,107,528	\$1,306,531	\$1,364,797

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2011-12*	2012-13*	2013-14*
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,610	\$3,775	\$3,848
Allocation for employee compensation	4	12	-
Adjustment per Section 3.60	10	57	-
Adjustment per Section 3.90	-58	-142	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-3	-	-
TOTALS, EXPENDITURES	\$3,563	\$3,702	\$3,848
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,055	\$5,006	\$5,434
Allocation for employee compensation	5	16	-
Adjustment per Section 3.60	11	75	-
Adjustment per Section 3.90	-66	-188	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-3	-	-
Totals Available	\$4,002	\$4,909	\$5,434
Unexpended balance, estimated savings	-591	-	-
TOTALS, EXPENDITURES	\$3,411	\$4,909	\$5,434
0412 Transportation Rate Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

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1 STATE OPERATIONS	2011-12*	2012-13*	2013-14*
001 Budget Act appropriation	\$2,695	\$2,703	\$2,779
Allocation for employee compensation	3	8	-
Adjustment per Section 3.60	7	41	-
Adjustment per Section 3.90	-43	-102	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-2	-	-
Totals Available	\$2,660	\$2,650	\$2,779
Unexpended balance, estimated savings	-941	-590	-
TOTALS, EXPENDITURES	\$1,719	\$2,060	\$2,779
0461 Public Utilities Commission Transportation Reimbursement Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$11,103	\$11,293	\$11,531
Allocation for employee compensation	12	35	-
Adjustment per Section 3.60	30	166	-
Adjustment per Section 3.90	-179	-417	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-9	-	-
Totals Available	\$10,957	\$11,077	\$11,531
Unexpended balance, estimated savings	-899	-	-
TOTALS, EXPENDITURES	\$10,058	\$11,077	\$11,531
0462 Public Utilities Commission Utilities Reimbursement Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$82,627	\$-	\$-
Allocation for employee compensation	93	-	-
Adjustment per Section 3.60	222	-	-
Adjustment per Section 3.90	-1,341	-	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-68	-	-
Adjustments per Section 3.91(b) (Technology Rate Reductions)	-2	-	-
001 Budget Act appropriation as amended by Chapter 630, Statutes of 2012	-	84,698	-
Allocation for employee compensation	-	263	-
Adjustment per Section 3.60	-	1,261	-
Adjustment per Section 3.90	-	-3,163	-
001 Budget Act appropriation	-	-	86,466
003 Budget Act appropriation	5,112	5,104	2,589
Adjustment per Section 4.30	-5	-4	-
011 Budget Act appropriation (transfer to the Public Utilities Commission Ratepayer Advocate Account)	(23,248)	(23,500)	(24,375)
Chapter 1, Statutes of 2011, First Extraordinary session (California Public Utilities Commission)	322	-	-
Prior year balances available:			
Chapter 1, Statutes of 2011, First Extraordinary session (California Public Utilities Commission)	-	322	-
Totals Available	\$86,960	\$88,481	\$89,055
Unexpended balance, estimated savings	-2,778	-	-
Balance available in subsequent years	-322	-	-
TOTALS, EXPENDITURES	\$83,860	\$88,481	\$89,055
0464 California High-Cost Fund-A Administrative Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$56,339	\$49,773	\$49,773
Totals Available	\$56,339	\$49,773	\$49,773
Unexpended balance, estimated savings	-19,140	-	-
TOTALS, EXPENDITURES	\$37,199	\$49,773	\$49,773

* Dollars in thousands, except in Salary Range.

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1 STATE OPERATIONS	2011-12*	2012-13*	2013-14*
0470 California High-Cost Fund-B Administrative Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$47,711	\$36,333	\$29,342
Totals Available	\$47,711	\$36,333	\$29,342
Unexpended balance, estimated savings	-25,340	-	-
TOTALS, EXPENDITURES	\$22,371	\$36,333	\$29,342
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$375,006	\$354,985	\$282,753
Adjustment per Section 3.91 (b) Operational Efficiency Plan	-7,909	-	-
Amended by Chapter 630, Statutes of 2012	-	-74,985	-
Totals Available	\$367,097	\$280,000	\$282,753
Unexpended balance, estimated savings	-106,764	-17,270	-
TOTALS, EXPENDITURES	\$260,333	\$262,730	\$282,753
0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$69,028	\$76,012	\$63,100
Amended by Chapter 630, Statutes of 2012	-	-22,012	-
Totals Available	\$69,028	\$54,000	\$63,100
Unexpended balance, estimated savings	-1,059	-	-
TOTALS, EXPENDITURES	\$67,969	\$54,000	\$63,100
0491 Payphone Service Providers Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$72	\$72	\$72
Totals Available	\$72	\$72	\$72
Unexpended balance, estimated savings	-72	-	-
TOTALS, EXPENDITURES	\$-	\$72	\$72
0493 California Teleconnect Fund Administrative Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$75,094	\$92,234	\$92,429
Amended by Chapter 630, Statutes of 2012	-	-15,000	-
Totals Available	\$75,094	\$77,234	\$92,429
Unexpended balance, estimated savings	-11	-	-
TOTALS, EXPENDITURES	\$75,083	\$77,234	\$92,429
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,195	\$5,193	\$5,325
Allocation for employee compensation	5	16	-
Adjustment per Section 3.60	11	77	-
Adjustment per Section 3.90	-68	-193	-
Budget Adjustment	-907	-	-
TOTALS, EXPENDITURES	\$3,236	\$5,093	\$5,325
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$21,168	\$63,080	\$62,133
3015 Gas Consumption Surcharge Fund			
APPROPRIATIONS			
011 Budget Act appropriation (transfer to General Fund)	(\$155,000)	\$-	\$-
Public Utilities Code Section 895	493,047	584,944	584,549
TOTALS, EXPENDITURES	\$493,047	\$584,944	\$584,549

* Dollars in thousands, except in Salary Range.

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1 STATE OPERATIONS	2011-12*	2012-13*	2013-14*
3089 Public Utilities Commission Ratepayer Advocate Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$23,421	\$23,500	\$24,375
Allocation for employee compensation	26	73	-
Adjustment per Section 3.60	63	350	-
Adjustment per Section 3.90	-380	-880	-
Adjustment per Section 3.91 (b) Cell Phone Reductions	-19	-	-
Adjustments per Section 3.91(b) (Technology Rate Reductions)	-1	-	-
Totals Available	\$23,110	\$23,043	\$24,375
Unexpended balance, estimated savings	-35	-	-
TOTALS, EXPENDITURES	\$23,075	\$23,043	\$24,375
3141 California Advanced Services Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$24,831	\$40,000	\$58,299
Totals Available	\$24,831	\$40,000	\$58,299
Unexpended balance, estimated savings	-23,395	-	-
TOTALS, EXPENDITURES	\$1,436	\$40,000	\$58,299
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,107,528	\$1,306,531	\$1,364,797

FUND CONDITION STATEMENTS

	2011-12*	2012-13*	2013-14*
0051 Propane Safety Inspection and Enforcement Program Trust Fund ^s			
BEGINNING BALANCE	\$94	-	-
Prior year adjustments	-94	-	-
Adjusted Beginning Balance	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
120600 Quarterly Public Utility Commission Fees	121	\$114	\$114
Transfers and Other Adjustments:			
TO0462 To Public Utilities Commission Utilities Reimbursement Account per Public Utilities Code Section 4458	-121	-114	-114
Total Revenues, Transfers, and Other Adjustments	-	-	-
Total Resources	-	-	-
FUND BALANCE	-	-	-
0412 Transportation Rate Fund ^s			
BEGINNING BALANCE	\$845	\$360	\$707
Prior year adjustments	-794	-	-
Adjusted Beginning Balance	\$51	\$360	\$707
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
120600 Quarterly Public Utility Commission Fees	1,983	2,349	2,349
125700 Other Regulatory Licenses and Permits	73	85	85
141200 Sales of Documents	-	5	5
150300 Income From Surplus Money Investments	3	8	8
Transfers and Other Adjustments:			
TO0293 To Motor Carriers Safety Improvement Fund per Public Utilities Code Section 5003.1	-24	-26	-26
Total Revenues, Transfers, and Other Adjustments	\$2,035	\$2,421	\$2,421
Total Resources	\$2,086	\$2,781	\$3,128

* Dollars in thousands, except in Salary Range.

8660 Public Utilities Commission - Continued

	2011-12*	2012-13*	2013-14*
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
8660 Public Utilities Commission (State Operations)	1,719	2,060	2,779
8880 Financial Information System for California (State Operations)	7	14	12
Total Expenditures and Expenditure Adjustments	<u>\$1,726</u>	<u>\$2,074</u>	<u>\$2,791</u>
FUND BALANCE	\$360	\$707	\$337
Reserve for economic uncertainties	360	707	337
0461 Public Utilities Commission Transportation Reimbursement Account ^s			
BEGINNING BALANCE	\$4,537	\$5,645	\$5,249
Prior year adjustments	<u>-582</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3,955	\$5,645	\$5,249
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
120600 Quarterly Public Utility Commission Fees	10,003	9,216	9,216
120700 Penalties on Pub Util Comm Qtrly Fees	2	-	-
125700 Other Regulatory Licenses and Permits	1,772	1,528	1,528
150300 Income From Surplus Money Investments	<u>-</u>	<u>2</u>	<u>2</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$11,777</u>	<u>\$10,746</u>	<u>\$10,746</u>
Total Resources	\$15,732	\$16,391	\$15,995
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1	1	-
8660 Public Utilities Commission (State Operations)	10,058	11,077	11,531
8880 Financial Information System for California (State Operations)	<u>28</u>	<u>64</u>	<u>52</u>
Total Expenditures and Expenditure Adjustments	<u>\$10,087</u>	<u>\$11,142</u>	<u>\$11,583</u>
FUND BALANCE	\$5,645	\$5,249	\$4,412
Reserve for economic uncertainties	5,645	5,249	4,412
0462 Public Utilities Commission Utilities Reimbursement Account ^s			
BEGINNING BALANCE	\$4,008	\$22,592	\$27,150
Prior year adjustments	<u>17,710</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$21,718	\$22,592	\$27,150
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
120600 Quarterly Public Utility Commission Fees	107,752	117,378	117,378
141200 Sales of Documents	6	11	11
150500 Interest Income From Interfund Loans	-	-	266
161000 Escheat of Unclaimed Checks & Warrants	10	-	-
161400 Miscellaneous Revenue	307	46	46
Transfers and Other Adjustments:			
FO0001 From General Fund Loan Repayment per Item 8660-012-0462, BA of 2010 as added by Chapter 13/2011	-	-	25,000
FO0051 From Propane Safety Inspection and Enforcement Program Trust Fund per Public Utilities Code Section 4458	121	114	114
TO3089 To Public Utilities Commission Ratepayer Advocate Account per Item 8660-011-0462, Budget Acts	<u>-23,248</u>	<u>-23,871</u>	<u>-24,375</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$84,948</u>	<u>\$93,678</u>	<u>\$118,440</u>
Total Resources	\$106,666	\$116,270	\$145,590
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			

* Dollars in thousands, except in Salary Range.

8660 Public Utilities Commission - Continued

	2011-12*	2012-13*	2013-14*
0840 State Controller (State Operations)	9	11	-
3980 Office of Environmental Health Hazard Assessment (State Operations)	-	136	139
8660 Public Utilities Commission (State Operations)	83,860	88,481	89,055
8880 Financial Information System for California (State Operations)	<u>205</u>	<u>492</u>	<u>413</u>
Total Expenditures and Expenditure Adjustments	<u>\$84,074</u>	<u>\$89,120</u>	<u>\$89,607</u>
FUND BALANCE	\$22,592	\$27,150	\$55,983
Reserve for economic uncertainties	22,592	27,150	55,983
0464 California High-Cost Fund-A Administrative Committee Fund ^s			
BEGINNING BALANCE	\$53,643	\$18,946	\$22,544
Prior year adjustments	<u>2,418</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$56,061	\$18,946	\$22,544
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	78	53,596	55,300
150300 Income From Surplus Money Investments	<u>145</u>	<u>49</u>	<u>49</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$223</u>	<u>\$53,645</u>	<u>\$55,349</u>
Total Resources	\$56,284	\$72,591	\$77,893
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	6	8	-
8660 Public Utilities Commission (State Operations)	37,199	49,773	49,773
8880 Financial Information System for California (State Operations)	<u>133</u>	<u>266</u>	<u>228</u>
Total Expenditures and Expenditure Adjustments	<u>\$37,338</u>	<u>\$50,047</u>	<u>\$50,001</u>
FUND BALANCE	\$18,946	\$22,544	\$27,892
Reserve for economic uncertainties	18,946	22,544	27,892
0470 California High-Cost Fund-B Administrative Committee Fund ^s			
BEGINNING BALANCE	\$103,480	\$22,698	\$32,712
Prior year adjustments	<u>-106,395</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	-\$2,915	\$22,698	\$32,712
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	48,006	46,505	47,400
150300 Income From Surplus Money Investments	98	42	42
150500 Interest Income From Interfund Loans	-	-	767
Transfers and Other Adjustments:			
FO0001 From General Fund Loan Repayment per Item 8660-011-0470, BA of 2010 as added by Chapter 13/2011	<u>-</u>	<u>-</u>	<u>75,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$48,104</u>	<u>\$46,547</u>	<u>\$123,209</u>
Total Resources	\$45,189	\$69,245	\$155,921
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	5	6	-
8660 Public Utilities Commission (State Operations)	22,371	36,333	29,342
8880 Financial Information System for California (State Operations)	<u>115</u>	<u>194</u>	<u>167</u>
Total Expenditures and Expenditure Adjustments	<u>\$22,491</u>	<u>\$36,533</u>	<u>\$29,509</u>
FUND BALANCE	\$22,698	\$32,712	\$126,412
Reserve for economic uncertainties	22,698	32,712	126,412
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund ^s			

* Dollars in thousands, except in Salary Range.

8660 Public Utilities Commission - Continued

	2011-12*	2012-13*	2013-14*
BEGINNING BALANCE	\$305,737	\$90,598	\$4,176
Prior year adjustments	<u>-185,917</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$119,820	\$90,598	\$4,176
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	183,611	177,298	349,205
125900 Delinquent Fees	2	31	31
150300 Income From Surplus Money Investments	631	823	823
150500 Interest Income From Interfund Loans	2,882	-	-
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 8660-011-0471, BA of 2008 amended by Ch.2 3X/2009	45,000	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$232,126</u>	<u>\$178,152</u>	<u>\$350,059</u>
Total Resources	\$351,946	\$268,750	\$354,235
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	44	52	-
8660 Public Utilities Commission (State Operations)	260,333	262,730	282,753
8880 Financial Information System for California (State Operations)	<u>971</u>	<u>1,792</u>	<u>1,628</u>
Total Expenditures and Expenditure Adjustments	<u>\$261,348</u>	<u>\$264,574</u>	<u>\$284,381</u>
FUND BALANCE	\$90,598	\$4,176	\$69,854
Reserve for economic uncertainties	90,598	4,176	69,854

0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund

	2011-12*	2012-13*	2013-14*
BEGINNING BALANCE	\$46,132	\$11,119	\$11,585
Prior year adjustments	<u>-30,000</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$16,132	\$11,119	\$11,585
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	31,940	55,000	55,000
150300 Income From Surplus Money Investments	229	229	229
150500 Interest Income From Interfund Loans	1,301	-	-
161000 Escheat of Unclaimed Checks & Warrants	205	205	205
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 8660-011-0483, BA of 2008 amended by Ch.2 3X/2009	30,000	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$63,675</u>	<u>\$55,434</u>	<u>\$55,434</u>
Total Resources	\$79,807	\$66,553	\$67,019
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	7	9	-
6120 California State Library (Local Assistance)	552	552	552
8660 Public Utilities Commission (State Operations)	67,969	54,000	63,100
8880 Financial Information System for California (State Operations)	<u>160</u>	<u>407</u>	<u>349</u>
Total Expenditures and Expenditure Adjustments	<u>\$68,688</u>	<u>\$54,968</u>	<u>\$64,001</u>
FUND BALANCE	\$11,119	\$11,585	\$3,018
Reserve for economic uncertainties	11,119	11,585	3,018

0491 Payphone Service Providers Committee Fund^s

BEGINNING BALANCE	\$219	\$218	\$147
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* Dollars in thousands, except in Salary Range.

8660 Public Utilities Commission - Continued

	2011-12*	2012-13*	2013-14*
Prior year adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$217	\$218	\$147
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	<u>1</u>	<u>1</u>	<u>1</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1</u>	<u>\$1</u>	<u>\$1</u>
Total Resources	\$218	\$219	\$148
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
8660 Public Utilities Commission (State Operations)	<u>-</u>	<u>72</u>	<u>72</u>
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>\$72</u>	<u>\$72</u>
FUND BALANCE	\$218	\$147	\$76
Reserve for economic uncertainties	218	147	76
0493 California Teleconnect Fund Administrative Committee Fund ^s			
BEGINNING BALANCE	\$44,482	-\$41,987	\$779
Prior year adjustments	<u>-69,247</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	-\$24,765	-\$41,987	\$779
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	12,981	51,123	92,040
125900 Delinquent Fees	-	3	3
150300 Income From Surplus Money Investments	99	180	300
150500 Interest Income From Interfund Loans	4,788	7,397	-
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 8660-011-0493 Budget Act of 2003	<u>40,000</u>	<u>61,800</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$57,868</u>	<u>\$120,503</u>	<u>\$92,343</u>
Total Resources	\$33,103	\$78,516	\$93,122
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	7	10	-
8660 Public Utilities Commission (State Operations)	75,083	77,234	92,429
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>493</u>	<u>423</u>
Total Expenditures and Expenditure Adjustments	<u>\$75,090</u>	<u>\$77,737</u>	<u>\$92,852</u>
FUND BALANCE	-\$41,987	\$779	\$270
Reserve for economic uncertainties	-41,987	779	270
3015 Gas Consumption Surcharge Fund ^s			
BEGINNING BALANCE	\$31,056	\$157,847	\$135,498
Prior year adjustments	<u>144,377</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$175,433	\$157,847	\$135,498
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
120300 Energy Resource Surcharge	501,024	590,191	590,191
150300 Income From Surplus Money Investments	434	414	414
Transfers and Other Adjustments:			
TO3109 To Natural Gas Subaccount, Public Interest Research, Development, & Demonstration Fd per Item 3360-011-3015, Budget Acts	<u>-24,000</u>	<u>-24,000</u>	<u>-24,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$477,458</u>	<u>\$566,605</u>	<u>\$566,605</u>
Total Resources	\$652,891	\$724,452	\$702,103
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			

* Dollars in thousands, except in Salary Range.

8660 Public Utilities Commission - Continued

	2011-12*	2012-13*	2013-14*
Expenditures:			
0840 State Controller (State Operations)	61	87	-
0860 State Board of Equalization (State Operations)	670	790	850
8660 Public Utilities Commission (State Operations)	493,047	584,944	584,549
8880 Financial Information System for California (State Operations)	<u>1,266</u>	<u>3,133</u>	<u>2,687</u>
Total Expenditures and Expenditure Adjustments	<u>\$495,044</u>	<u>\$588,954</u>	<u>\$588,086</u>
FUND BALANCE	\$157,847	\$135,498	\$114,017
Reserve for economic uncertainties	157,847	135,498	114,017
3089 Public Utilities Commission Ratepayer Advocate Account ^s			
BEGINNING BALANCE	\$3,969	\$4,781	\$5,517
Prior year adjustments	<u>676</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$4,645	\$4,781	\$5,517
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	20	38	38
Transfers and Other Adjustments:			
FO0462 From Public Utilities Commission Utilities Reimbursement Account per Item 8660-011-0462, Budget Acts	<u>23,248</u>	<u>23,871</u>	<u>24,375</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$23,268</u>	<u>\$23,909</u>	<u>\$24,413</u>
Total Resources	\$27,913	\$28,690	\$29,930
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	2	4	-
8660 Public Utilities Commission (State Operations)	23,075	23,043	24,375
8880 Financial Information System for California (State Operations)	<u>55</u>	<u>126</u>	<u>108</u>
Total Expenditures and Expenditure Adjustments	<u>\$23,132</u>	<u>\$23,173</u>	<u>\$24,483</u>
FUND BALANCE	\$4,781	\$5,517	\$5,447
Reserve for economic uncertainties	4,781	5,517	5,447
3141 California Advanced Services Fund ^s			
BEGINNING BALANCE	\$115,336	\$43,462	\$25,068
Prior year adjustments	<u>-81,534</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$33,802	\$43,462	\$25,068
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	11,000	21,700	22,120
150300 Income From Surplus Money Investments	157	124	124
150500 Interest Income From Interfund Loans	-	-	799
Transfers and Other Adjustments:			
FO0001 From General Fund Loan Repayment per Item 8660-011-3141, BA of 2010 as added by Chapter 13/2011	<u>-</u>	<u>-</u>	<u>75,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$11,157</u>	<u>\$21,824</u>	<u>\$98,043</u>
Total Resources	\$44,959	\$65,286	\$123,111
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	3	4	-
8660 Public Utilities Commission (State Operations)	1,436	40,000	58,299
8880 Financial Information System for California (State Operations)	<u>58</u>	<u>214</u>	<u>183</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,497</u>	<u>\$40,218</u>	<u>\$58,482</u>
FUND BALANCE	\$43,462	\$25,068	\$64,629

* Dollars in thousands, except in Salary Range.

8660 Public Utilities Commission - Continued

	2011-12*	2012-13*	2013-14*
Reserve for economic uncertainties	43,462	25,068	64,629

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2011-12	2012-13	2013-14	2011-12*	2012-13*	2013-14*
Totals, Authorized Positions	972.8	1,037.4	1,037.4	\$76,881	\$79,602	\$83,780
Proposed New Positions:				Salary Range		
Sr. Utilities Engineer- Spec	-	-	2.0	8,122-9,870	-	162
Administrative Law Judge II	-	-	2.5	7,858-9,509	-	195
Regulatory Analyst V	-	-	1.0	6,110-7,425	-	61
Regulatory Analyst III	-	-	2.0	5,064-6,156	-	101
Public Utility Financial Examiner III	-	-	4.0	4,611-5,882	-	189
Utilities Engineer	-	-	1.0	4,608-8,379	-	58
Associate Budget Analyst	-	-	3.0	4,400-5,348	-	132
Totals Proposed New Positions	-	-	15.5	\$-	\$-	\$898
Total Adjustments	-	-	15.5	\$-	\$-	\$898
TOTALS, SALARIES AND WAGES	972.8	1,037.4	1,052.9	\$76,881	\$79,602	\$84,678

* Dollars in thousands, except in Salary Range.