

0530 Secretary for California Health and Human Services Agency

The primary mission of the Health and Human Services Agency (HHSA) is to provide policy leadership and direction to the departments, board and programs it oversees, to reduce duplication and fragmentation among HHSA departments in policy development and implementation, to improve coordination among departments on common programs, to ensure programmatic integrity, and to advance the Governor's priorities on health and human services issues.

The HHSA accomplishes its mission through the administration and coordination of state and federal programs for public health, health care services, social services, public assistance, health planning and licensing, and rehabilitation. These programs touch the lives of millions of California's most needy and vulnerable residents. The HHSA is committed to striking a balance between the twin imperatives of maintaining access to essential health and human services for California's most disadvantaged and at-risk residents while constantly pursuing ways to better manage and control costs.

The following departments and entities fall under the purview of the HHSA:

- Department of Aging
- Department of Child Support Services
- Department of Community Services and Development
- Department of Developmental Services
- Emergency Medical Services Authority
- Department of Health Care Services
- Department of Managed Health Care
- Department of Public Health
- Department of Rehabilitation
- Department of Social Services
- Department of State Hospitals
- Office of Statewide Health Planning and Development

3-YR EXPENDITURES AND POSITIONS

| | | Positions | | | Expenditures | | |
|--|---|--------------|--------------|--------------|------------------|------------------|------------------|
| | | 2013-14 | 2014-15 | 2015-16 | 2013-14* | 2014-15* | 2015-16* |
| 0280 | Secretary of California Health and Human Services | 25.7 | 34.3 | 34.3 | \$5,262 | \$9,024 | \$8,376 |
| 0285 | California Office of Health Information Integrity (CALOHII) | 17.2 | 12.5 | 11.2 | 18,449 | 3,774 | 3,773 |
| 0290 | Office of Systems Integration | 168.1 | 215.4 | 211.1 | 296,541 | 342,046 | 345,901 |
| 0295 | Office of the Patient Advocate | 10.7 | 7.3 | 6.1 | 2,360 | 2,124 | 2,089 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | 221.7 | 269.5 | 262.7 | \$322,612 | \$356,968 | \$360,139 |
| FUNDING | | | | | 2013-14* | 2014-15* | 2015-16* |
| 0001 | General Fund | | | | \$2,417 | \$4,480 | \$4,125 |
| 0890 | Federal Trust Fund | | | | 1,968 | 3,643 | 3,643 |
| 0995 | Reimbursements | | | | 3,471 | 4,272 | 3,672 |
| 3151 | Internal Health Information Integrity Quality Improvement Account | | | | 25 | - | - |
| 3163 | California Health Information Technology and Exchange Fund | | | | 15,738 | - | 1 |
| 3209 | Office of Patient Advocate Trust Fund | | | | 2,137 | 2,124 | 2,089 |
| 9740 | Central Service Cost Recovery Fund | | | | 819 | 849 | 1,154 |
| 9745 | California Health and Human Services Automation Fund | | | | 296,037 | 341,600 | 345,455 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | | \$322,612 | \$356,968 | \$360,139 |

LEGAL CITATIONS AND AUTHORITY

0280-Secretary for Health and Human Services:

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

0285-The California Office of Health Information Integrity:

Health and Safety Code Division 110, Section 130300 et seq. Health and Safety Code Section 130200 et seq, 130250 et seq, 130275 et seq.

0290-Office of Systems Integration:

Government Code, Title 2, Division 3, Part 2.5, Section 12803.3.

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† Past year appropriations are net of subsequent budget adjustments.

0530 Secretary for California Health and Human Services Agency - Continued

0295-Office of the Patient Advocate:

Health and Safety Code Division 115, Section 136000 et seq.

DETAILED BUDGET ADJUSTMENTS

| | 2014-15* | | | 2015-16* | | |
|--|--------------|------------------|------------|---------------|-----------------|-------------|
| | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • EBT 3 Project-Transition to New EBT Services | \$- | \$- | - | \$- | \$1,584 | - |
| • Health Care Complaint Data Reporting | - | - | - | - | 206 | 1.0 |
| • Appeals Case Management System Limited-Term Position Extension | - | -2,546 | - | - | 176 | 1.0 |
| Totals, Workload Budget Change Proposals | \$- | -\$2,546 | - | \$- | \$1,966 | 2.0 |
| Other Workload Budget Adjustments | | | | | | |
| • Retirement Rate Adjustments | \$108 | \$498 | - | \$108 | \$497 | - |
| • Salary Adjustments | 69 | 324 | - | 70 | 322 | - |
| • Benefit Adjustments | 24 | 136 | - | 28 | 158 | - |
| • SWCAP | - | - | - | - | 96 | - |
| • Pro Rata | - | - | - | - | 30 | - |
| • Miscellaneous Baseline Adjustments | - | -9,823 | 4.9 | -307 | 7,514 | -1.1 |
| Totals, Other Workload Budget Adjustments | \$201 | -\$8,865 | 4.9 | -\$101 | \$8,617 | -1.1 |
| Totals, Workload Budget Adjustments | \$201 | -\$11,411 | 4.9 | -\$101 | \$10,583 | 0.9 |
| Totals, Budget Adjustments | \$201 | -\$11,411 | 4.9 | -\$101 | \$10,583 | 0.9 |

PROGRAM DESCRIPTIONS

0280 - SECRETARY FOR HEALTH AND HUMAN SERVICES

The Secretary for California Health and Human Services Agency provides the Governor with the highest level of advice on state health, human services and related budget policy issues. The Secretary also provides the highest level of leadership and oversight of the agency-wide efforts to promote the health and well being of a growing and increasingly diverse California population.

0285 - THE CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY

The California Office of Health Information Integrity (CalOHII), under the direction of the Deputy Secretary, Health Information Exchange (HIE), is responsible for statewide leadership, coordination, policy formation, direction, and oversight responsibilities for federal Health Insurance Portability and Accountability Act (HIPAA) requirements. As the leading resource for providing HIPAA expertise to the State of California, CalOHII exercises full authority relative to state entities to establish policy, provide direction to state entities, monitor progress and report on implementation efforts based on state and federal laws. In addition, CalOHII promotes the development of standards to facilitate and expand electronic HIE as HIPAA privacy and security standards correlate with the baseline standards for HIE.

0290 - OFFICE OF SYSTEMS INTEGRATION

This Office provides project management services for automation projects for the Health and Human Services Agency, the Department of Social Services, the Department of Health Care Services, and the Health Benefit Exchange, including:

- Child Welfare Services/Case Management System
- Statewide Automated Welfare System
- Statewide Fingerprint Imaging System
- Electronic Benefit Transfer System
- Case Management, Information and Payrolling System II
- California Healthcare Eligibility, Enrollment and Retention System Project.
- Appeals Case Management System
- Child Welfare Services - New System
- Welfare Data Tracking Implementation Project

0295 - OFFICE OF THE PATIENT ADVOCATE

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† Past year appropriations are net of subsequent budget adjustments.

0530 Secretary for California Health and Human Services Agency - Continued

The mission of the Office of the Patient Advocate (OPA) is to improve the access to and quality of health care services for all Californians. With its commitment to transparency and accountability, OPA produces a number of mandated public reporting services and products including the annual Health Care Quality Report Cards for health plans and medical groups. OPA also has begun their new responsibilities for preparing annual Complaint Data Reports and Baseline Review for the State Consumer Assistance Call Centers; annual Timely Access Complaint Rate reporting, and the development of model protocols for State Consumer Assistance Call Centers.

DETAILED EXPENDITURES BY PROGRAM

| | | <u>2013-14*</u> | <u>2014-15*</u> | <u>2015-16*</u> |
|-----------------------------|--|------------------|------------------|------------------|
| PROGRAM REQUIREMENTS | | | | |
| 0280 | SECRETARY OF CALIFORNIA HEALTH AND HUMAN SERVICES | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$688 | \$2,040 | \$1,687 |
| 0890 | Federal Trust Fund | 1,464 | 3,643 | 3,643 |
| 0995 | Reimbursements | 2,291 | 2,492 | 1,892 |
| 9740 | Central Service Cost Recovery Fund | 819 | 849 | 1,154 |
| | Totals, State Operations | \$5,262 | \$9,024 | \$8,376 |
| PROGRAM REQUIREMENTS | | | | |
| 0285 | CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY (CALOHII) | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$1,729 | \$2,440 | \$2,438 |
| 0995 | Reimbursements | 957 | 1,334 | 1,334 |
| 3151 | Internal Health Information Integrity Quality Improvement Account | 25 | - | - |
| 3163 | California Health Information Technology and Exchange Fund | 15,738 | - | 1 |
| | Totals, State Operations | \$18,449 | \$3,774 | \$3,773 |
| PROGRAM REQUIREMENTS | | | | |
| 0290 | OFFICE OF SYSTEMS INTEGRATION | | | |
| | State Operations: | | | |
| 0890 | Federal Trust Fund | \$504 | \$- | \$- |
| 0995 | Reimbursements | - | 446 | 446 |
| 9745 | California Health and Human Services Automation Fund | 296,037 | 341,600 | 345,455 |
| | Totals, State Operations | \$296,541 | \$342,046 | \$345,901 |
| PROGRAM REQUIREMENTS | | | | |
| 0295 | OFFICE OF THE PATIENT ADVOCATE | | | |
| | State Operations: | | | |
| 0995 | Reimbursements | \$223 | \$- | \$- |
| 3209 | Office of Patient Advocate Trust Fund | 2,137 | 2,124 | 2,089 |
| | Totals, State Operations | \$2,360 | \$2,124 | \$2,089 |
| TOTALS, EXPENDITURES | | | | |
| | State Operations | 322,612 | 356,968 | 360,139 |
| | Totals, Expenditures | \$322,612 | \$356,968 | \$360,139 |

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

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0530 Secretary for California Health and Human Services Agency - Continued

| 1 State Operations | Positions | | | Expenditures | | |
|--|--------------|--------------|--------------|------------------|------------------|------------------|
| | 2013-14 | 2014-15 | 2015-16 | 2013-14* | 2014-15* | 2015-16* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 221.7 | 264.6 | 261.8 | \$17,848 | \$21,214 | \$21,015 |
| Total Adjustments | - | 4.9 | 0.9 | - | 586 | 607 |
| Net Totals, Salaries and Wages | 221.7 | 269.5 | 262.7 | \$17,848 | \$21,800 | \$21,622 |
| Staff Benefits | - | - | - | 6,980 | 10,496 | 10,255 |
| Totals, Personal Services | 221.7 | 269.5 | 262.7 | \$24,828 | \$32,296 | \$31,877 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$297,784 | \$324,672 | \$328,262 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS | | | | \$322,612 | \$356,968 | \$360,139 |
| (State Operations) | | | | | | |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2013-14*† | 2014-15* | 2015-16* |
|---|-----------------|----------------|----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,128 | \$1,886 | \$1,687 |
| Allocation for Employee Compensation | - | 52 | - |
| Allocation for Staff Benefits | - | 18 | - |
| Retirement Baseline Adjustments | - | 83 | - |
| 017 Budget Act appropriation | 2,017 | 2,391 | 2,438 |
| Allocation for Employee Compensation | - | 17 | - |
| Allocation for Staff Benefits | - | 7 | - |
| Retirement Baseline Adjustments | - | 25 | - |
| Prior Year Balances Available: | | | |
| Chapter 47, Statutes of 2012 | 1 | 1 | - |
| Totals Available | \$3,146 | \$4,480 | \$4,125 |
| Unexpended balance, estimated savings | -728 | - | - |
| Balance available in subsequent years | -1 | - | - |
| TOTALS, EXPENDITURES | \$2,417 | \$4,480 | \$4,125 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,968 | \$3,643 | \$3,643 |
| TOTALS, EXPENDITURES | \$1,968 | \$3,643 | \$3,643 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$3,471 | \$4,272 | \$3,672 |
| TOTALS, EXPENDITURES | \$3,471 | \$4,272 | \$3,672 |
| 3151 Internal Health Information Integrity Quality Improvement Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$25 | \$24 | - |
| Removal of Internal Health Information Integrity Quality Improvement Account (3151) | - | -24 | - |
| TOTALS, EXPENDITURES | \$25 | \$- | \$- |
| 3163 California Health Information Technology and Exchange Fund | | | |
| APPROPRIATIONS | | | |
| 017 Budget Act appropriation | \$20,999 | \$9,798 | \$1 |
| Reduction of California Health Information Technology and Exchange Fund (3163) | - | -9,798 | - |
| Totals Available | \$20,999 | \$- | \$1 |

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0530 Secretary for California Health and Human Services Agency - Continued

| 1 STATE OPERATIONS | 2013-14*† | 2014-15* | 2015-16* |
|--|------------------|------------------|------------------|
| Unexpended balance, estimated savings | -5,261 | - | - |
| TOTALS, EXPENDITURES | \$15,738 | \$- | \$1 |
| 3209 Office of Patient Advocate Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,730 | \$2,093 | \$2,089 |
| Allocation for Employee Compensation | - | 11 | - |
| Allocation for Staff Benefits | - | 4 | - |
| Retirement Baseline Adjustments | - | 16 | - |
| Totals Available | \$2,730 | \$2,124 | \$2,089 |
| Unexpended balance, estimated savings | -593 | - | - |
| TOTALS, EXPENDITURES | \$2,137 | \$2,124 | \$2,089 |
| 9740 Central Service Cost Recovery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$819 | \$849 | \$1,154 |
| TOTALS, EXPENDITURES | \$819 | \$849 | \$1,154 |
| 9745 California Health and Human Services Automation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$365,562 | \$343,236 | \$345,455 |
| 7A Current Service Level Adjustment | - | -1 | - |
| Allocation for Employee Compensation | - | 308 | - |
| Allocation for Staff Benefits | - | 130 | - |
| Appeals Case Management System Limited-Term Position Extension | - | -2,546 | - |
| Retirement Baseline Adjustments | - | 473 | - |
| Totals Available | \$365,562 | \$341,600 | \$345,455 |
| Unexpended balance, estimated savings | -69,525 | - | - |
| TOTALS, EXPENDITURES | \$296,037 | \$341,600 | \$345,455 |
| Total Expenditures, All Funds, (State Operations) | \$322,612 | \$356,968 | \$360,139 |

FUND CONDITION STATEMENTS

| | 2013-14* | 2014-15* | 2015-16* |
|---|-----------------|-----------------|-----------------|
| 3163 California Health Information Technology and Exchange Fund ^s | | | |
| BEGINNING BALANCE | - | \$1 | \$1 |
| Prior Year Adjustments | \$253 | - | - |
| Adjusted Beginning Balance | \$253 | \$1 | \$1 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments | | | |
| Revenue Transfer from Federal Fund (0890) to Health Information Technology and Exchange Fund (3163) per Health and Safety Code Section 130255 | 15,487 | - | - |
| Total Revenues, Transfers, and Other Adjustments | \$15,487 | - | - |
| Total Resources | \$15,740 | \$1 | \$1 |
| EXPENDITURE AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0530 Secretary for California Health and Human Services Agency (State Operations) | 15,740 | - | - |
| Total Expenditures and Expenditure Adjustments | \$15,740 | - | - |
| FUND BALANCE | \$1 | \$1 | \$1 |
| Reserve for economic uncertainties | 1 | 1 | 1 |
| 3209 Office of Patient Advocate Trust Fund ^s | | | |
| BEGINNING BALANCE | \$402 | \$1,047 | \$1,016 |

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0530 Secretary for California Health and Human Services Agency - Continued

| | 2013-14* | 2014-15* | 2015-16* |
|--|----------------|----------------|----------------|
| Prior Year Adjustments | <u>71</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$473 | \$1,047 | \$1,016 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 4163000 Investment Income - Surplus Money Investments | 3 | - | - |
| Transfers and Other Adjustments | | | |
| Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011 | 268 | 209 | 209 |
| Revenue Transfer from Managed Care Fund (0933) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011 | 2,439 | 1,884 | 1,880 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$2,710</u> | <u>\$2,093</u> | <u>\$2,089</u> |
| Total Resources | \$3,183 | \$3,140 | \$3,105 |
| EXPENDITURE AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0530 Secretary for California Health and Human Services Agency (State Operations) | <u>2,136</u> | <u>2,124</u> | <u>2,089</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$2,136</u> | <u>\$2,124</u> | <u>\$2,089</u> |
| FUND BALANCE | \$1,047 | \$1,016 | \$1,016 |
| Reserve for economic uncertainties | 1,047 | 1,016 | 1,016 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions | | | Expenditures | | |
|---|--------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2013-14 | 2014-15 | 2015-16 | 2013-14* | 2014-15* | 2015-16* |
| Totals, Authorized Positions | 221.7 | 264.6 | 261.8 | \$17,848 | \$21,214 | \$21,015 |
| Salary and Other Adjustments | - | 4.9 | -1.1 | - | 586 | 463 |
| Workload and Administrative Adjustments | | | | | | |
| Appeals Case Management System Limited-Term Position Extension | | | | | | |
| Sr Info Sys Analyst (Spec) (Limited Term 06-30-2016) | - | - | 1.0 | - | - | 77 |
| Health Care Complaint Data Reporting | | | | | | |
| Hlth Program Spec I | <u>-</u> | <u>-</u> | <u>1.0</u> | <u>-</u> | <u>-</u> | <u>67</u> |
| TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS | <u>-</u> | <u>-</u> | <u>2.0</u> | <u>\$-</u> | <u>\$-</u> | <u>\$144</u> |
| Totals, Adjustments | <u>-</u> | <u>4.9</u> | <u>0.9</u> | <u>\$-</u> | <u>\$586</u> | <u>\$607</u> |
| TOTALS, SALARIES AND WAGES | <u>221.7</u> | <u>269.5</u> | <u>262.7</u> | <u>\$17,848</u> | <u>\$21,800</u> | <u>\$21,622</u> |

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