

## 1701 Business Oversight

## FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
<b>0067 State Corporations Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$57,929	\$49,956	\$46,376
Prior Year Adjustments	-88	-	-
Adjusted Beginning Balance	\$57,841	\$49,956	\$46,376
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4121200 Delinquent Fees	1	1	1
4127400 Renewal Fees	7,904	7,904	7,904
4129400 Other Regulatory Licenses and Permits	37,711	38,000	38,000
4140000 Document Sales	1	1	1
4143500 Miscellaneous Services to the Public	13	13	13
4163000 Investment Income - Surplus Money Investments	75	75	75
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	31	31	31
4173000 Penalty Assessments - Other	1,972	3	3
4173500 Settlements and Judgments - Other	921	205	205
Transfers and Other Adjustments			
Revenue Transfer from the State Corporations Fund (0067) to the General Fund (0001) per Item 1701-011-0067, Budget Act of 2013.	-15,000	-	-
Total Revenues, Transfers, and Other Adjustments	\$33,629	\$46,233	\$46,233
Total Resources	\$91,470	\$96,189	\$92,609
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	158	273	287
1701 Business Oversight (State Operations)	41,150	49,502	52,200
8880 Financial Information System for California (State Operations)	207	37	88
Total Expenditures and Expenditure Adjustments	\$41,515	\$49,812	\$52,575
FUND BALANCE	\$49,956	\$46,376	\$40,034
Reserve for economic uncertainties	49,956	46,376	40,034
<b>0240 Local Agency Deposit Security Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$347	\$231	\$158
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	\$345	\$231	\$158
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4121200 Delinquent Fees	1	1	1
4129600 Other Regulatory Taxes	295	354	354
4163000 Investment Income - Surplus Money Investments	1	1	1
4173000 Penalty Assessments - Other	5	5	5
Total Revenues, Transfers, and Other Adjustments	\$302	\$361	\$361
Total Resources	\$647	\$592	\$519
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	2	1	1
1701 Business Oversight (State Operations)	412	432	441
8880 Financial Information System for California (State Operations)	2	-	1

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

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	2013-14*	2014-15*	2015-16*
Total Expenditures and Expenditure Adjustments	\$416	\$433	\$443
FUND BALANCE	\$231	\$158	\$76
Reserve for economic uncertainties	231	158	76
<b>0298 Financial Institutions Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$10,559	\$10,475	\$11,081
Prior Year Adjustments	504	-	-
Adjusted Beginning Balance	\$11,063	\$10,475	\$11,081
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	223	222	222
4129600 Other Regulatory Taxes	22,505	24,126	24,126
4163000 Investment Income - Surplus Money Investments	45	46	46
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	3	3	3
4172500 Miscellaneous Revenue	3,112	3,700	3,700
Total Revenues, Transfers, and Other Adjustments	\$25,888	\$28,097	\$28,097
Total Resources	\$36,951	\$38,572	\$39,178
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	78	136	142
1701 Business Oversight (State Operations)	26,277	27,333	27,788
8880 Financial Information System for California (State Operations)	120	21	48
Total Expenditures and Expenditure Adjustments	\$26,476	\$27,491	\$27,978
FUND BALANCE	\$10,475	\$11,081	\$11,200
Reserve for economic uncertainties	10,475	11,081	11,200
<b>0299 Credit Union Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$1,683	\$3,244	\$3,847
Prior Year Adjustments	-73	-	-
Adjusted Beginning Balance	\$1,610	\$3,244	\$3,847
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	28	28	28
4129600 Other Regulatory Taxes	7,486	7,176	7,176
4150500 Interest Income - Interfund Loans	400	-	-
4163000 Investment Income - Surplus Money Investments	9	9	9
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Credit Union Fund (0299), per Item 2150-011-0299, Budget Act of 2002	1,350	-	-
Loan Repayment from the General Fund (0001) to the Credit Union Fund (0299), per Item 2150-011-0299, Budget Act of 2002	-	1,350	-
Total Revenues, Transfers, and Other Adjustments	\$9,273	\$8,563	\$7,213
Total Resources	\$10,883	\$11,807	\$11,060
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	25	41	43
1701 Business Oversight (State Operations)	7,580	7,913	8,061
8880 Financial Information System for California (State Operations)	34	6	13

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Total Expenditures and Expenditure Adjustments	\$7,639	\$7,961	\$8,117
FUND BALANCE	\$3,244	\$3,847	\$2,943
Reserve for economic uncertainties	3,244	3,847	2,943

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