

1701 Department of Business Oversight

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0067 State Corporations Fund^s			
BEGINNING BALANCE	\$49,956	\$57,415	\$54,150
Prior Year Adjustments	1,539	-	-
Adjusted Beginning Balance	\$51,495	\$57,415	\$54,150
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4121200 Delinquent Fees	-	5	5
4127400 Renewal Fees	10,726	11,021	11,000
4129400 Other Regulatory Licenses and Permits	36,777	35,000	35,000
4129600 Other Regulatory Taxes	1	2	-
4140000 Document Sales	-	1	1
4143500 Miscellaneous Services to the Public	5	8	8
4163000 Investment Income - Surplus Money Investments	111	124	107
4171100 Cost Recoveries - Other	1	408	35
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	13	17	17
4172500 Miscellaneous Revenue	6	2	2
4173000 Penalty Assessments - Other	137	1,157	723
4173500 Settlements and Judgments - Other	4,433	2,699	2,240
Total Revenues, Transfers, and Other Adjustments	\$52,210	\$50,444	\$49,138
Total Resources	\$103,705	\$107,859	\$103,288
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	264	292	304
1701 Business Oversight (State Operations)	45,988	53,329	51,884
8880 Financial Information System for California (State Operations)	37	88	66
Total Expenditures and Expenditure Adjustments	\$46,289	\$53,709	\$52,254
FUND BALANCE	\$57,415	\$54,150	\$51,034
Reserve for economic uncertainties	57,415	54,150	51,034
0240 Local Agency Deposit Security Fund^s			
BEGINNING BALANCE	\$231	\$203	\$142
Prior Year Adjustments	5	-	-
Adjusted Beginning Balance	\$236	\$203	\$142
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129600 Other Regulatory Taxes	356	380	524
4163000 Investment Income - Surplus Money Investments	1	1	1
4173000 Penalty Assessments - Other	1	7	7
Total Revenues, Transfers, and Other Adjustments	\$358	\$388	\$532
Total Resources	\$594	\$591	\$674
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	1	1	1
1701 Business Oversight (State Operations)	390	447	536
8880 Financial Information System for California (State Operations)	-	1	-
Total Expenditures and Expenditure Adjustments	\$391	\$449	\$537

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FUND BALANCE	\$203	\$142	\$137
Reserve for economic uncertainties	203	142	137
0298 Financial Institutions Fund^s			
BEGINNING BALANCE	\$10,475	\$10,720	\$50,816
Prior Year Adjustments	210	-	-
Adjusted Beginning Balance	\$10,685	\$10,720	\$50,816
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	140	419	160
4129600 Other Regulatory Taxes	24,224	25,619	25,530
4163000 Investment Income - Surplus Money Investments	61	70	54
4171100 Cost Recoveries - Other	-	172	-
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	-	1	1
4172500 Miscellaneous Revenue	2,518	2,500	2,500
4173000 Penalty Assessments - Other	1	40,000	96
4173500 Settlements and Judgments - Other	199	-	-
Total Revenues, Transfers, and Other Adjustments	\$27,143	\$68,781	\$28,341
Total Resources	\$37,828	\$79,501	\$79,157
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	131	145	151
1701 Business Oversight (State Operations)	26,956	28,492	29,070
8880 Financial Information System for California (State Operations)	21	48	35
Total Expenditures and Expenditure Adjustments	\$27,108	\$28,685	\$29,256
FUND BALANCE	\$10,720	\$50,816	\$49,901
Reserve for economic uncertainties	10,720	50,816	49,901
0299 Credit Union Fund^s			
BEGINNING BALANCE	\$3,244	\$4,573	\$4,223
Prior Year Adjustments	65	-	-
Adjusted Beginning Balance	\$3,309	\$4,573	\$4,223
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	32	70	36
4129600 Other Regulatory Taxes	7,179	7,625	7,625
4150500 Interest Income - Interfund Loans	433	278	278
4163000 Investment Income - Surplus Money Investments	15	16	14
4171100 Cost Recoveries - Other	18	-	-
4173500 Settlements and Judgments - Other	168	8	56
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Credit Union Fund (0299), per Item 2150-011-0299, Budget Act of 2002	1,350	-	-
Total Revenues, Transfers, and Other Adjustments	\$9,195	\$7,997	\$8,009
Total Resources	\$12,504	\$12,570	\$12,232
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	40	43	44

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1701 Business Oversight (State Operations)	7,885	8,291	10,107
8880 Financial Information System for California (State Operations)	<u>6</u>	<u>13</u>	<u>10</u>
Total Expenditures and Expenditure Adjustments	<u>\$7,931</u>	<u>\$8,347</u>	<u>\$10,161</u>
FUND BALANCE	\$4,573	\$4,223	\$2,071
Reserve for economic uncertainties	4,573	4,223	2,071

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