



Legislative, Judicial, and Executive

Governmental bodies classified under the Legislative, Judicial, and Executive section of the Governor's Budget are either established as independent entities under the California Constitution, or are departments with a recognized need to operate outside of the administrative oversight and control of an agency secretary. Constitutionally-established bodies include the Legislature, Judicial Branch, Governor's Office, and constitutional officers. This section also includes such independent entities as the Inspector General and the California State Lottery.

0110 Senate

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Senate is comprised of 40 members who are elected for 4-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0960 Support of the Senate	40.0	40.0	40.0	\$134,213	\$139,622	\$145,458
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	40.0	40.0	40.0	\$134,213	\$139,622	\$145,458
FUNDING		2017-18*		2018-19*		2019-20*
0001 General Fund		\$134,213		\$139,622		\$145,458
TOTALS, EXPENDITURES, ALL FUNDS		\$134,213		\$139,622		\$145,458

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 1 and 2.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Legislature SAL Increase	\$-	\$-	-	\$5,836	\$-	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$5,836	\$-	-
Totals, Workload Budget Adjustments	\$-	\$-	-	\$5,836	\$-	-
Totals, Budget Adjustments	\$-	\$-	-	\$5,836	\$-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0110 Senate - Continued**Senate Expenditures by Category**

	2017-18*	2018-19*	2019-20*
General Fund Expenses:			
Salaries of Senators	\$5,680	\$5,850	\$6,145
Mileage of Senators	11	11	11
Session Per Diem	1,557	1,619	1,773
Totals, General Fund Expenses	\$7,248	\$7,480	\$7,929
Operating Fund Expenses:			
Salaries and Employee Benefits	\$115,404	\$116,432	\$120,095
Travel and Per Diem	1,306	2,467	2,997
Automotive Expenses	665	519	291
Automotive Repairs	36	68	40
Telephone	21	33	37
Postage	1,100	1,958	2,115
Freight	53	92	114
Office Supplies	131	289	312
Printing	391	514	621
Publications	44	96	133
Building Expense	2,174	3,492	3,977
Office Alterations	0	50	0
Furniture and Equipment Expense	1	299	544
Contracts	3	83	87
Meals	85	76	91
Ceremonies and Events	5	37	45
All Other Expenses	1,003	911	1,106
Total, Operating Fund Expenses	\$122,422	\$127,416	\$132,605
Operating Fund Transfers:			
Legislative Analyst	\$4,543	\$4,726	\$4,924
Total, Fund Transfers	\$4,543	\$4,726	\$4,924
TOTAL, Senate Expenses	\$134,213	\$139,622	\$145,458

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0110 Senate - Continued

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0960	SUPPORT OF THE SENATE			
	State Operations:			
0001	General Fund	\$134,213	\$139,622	\$145,458
	Totals, State Operations	\$134,213	\$139,622	\$145,458
TOTALS, EXPENDITURES				
	State Operations	134,213	139,622	145,458
	Totals, Expenditures	\$134,213	\$139,622	\$145,458

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	40.0	40.0	40.0	\$5,691	\$5,861	\$5,861
Other Adjustments	-	-	-	-	-	284
Net Totals, Salaries and Wages	40.0	40.0	40.0	\$5,691	\$5,861	\$6,145
Staff Benefits	-	-	-	-	-	-
Totals, Personal Services	40.0	40.0	40.0	\$5,691	\$5,861	\$6,145
OPERATING EXPENSES AND EQUIPMENT				\$128,522	\$133,761	\$139,313
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$134,213	\$139,622	\$145,458

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation (Senate)	\$134,213	\$139,622	\$145,458
TOTALS, EXPENDITURES	\$134,213	\$139,622	\$145,458
0348 Senate Operating Fund			
APPROPRIATIONS			
Government Code section 9129	\$134,213	\$134,213	\$145,458
Non-Budget Act Correction for 2018-19 SAL Increase	-	5,409	-
TOTALS, EXPENDITURES	\$134,213	\$139,622	\$145,458
Less funding provided by General Fund	-134,213	-139,622	-145,458
NET TOTALS, EXPENDITURES	-	-	-
Total Expenditures, All Funds, (State Operations)	\$134,213	\$139,622	\$145,458

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
0348 Senate Operating Fund ^s			
BEGINNING BALANCE	\$14	\$10	\$10
Prior Year Adjustments	-5	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0110 Senate - Continued

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Adjusted Beginning Balance	\$9	\$10	\$10
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	1	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$1</u>	<u>-</u>	<u>-</u>
Total Resources	<u>\$10</u>	<u>\$10</u>	<u>\$10</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0110 Senate (State Operations)	134,213	139,622	145,458
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	-134,213	-139,622	-145,458
FUND BALANCE	<u>\$10</u>	<u>\$10</u>	<u>\$10</u>
Reserve for economic uncertainties	10	10	10

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Baseline Positions	40.0	40.0	40.0	\$5,691	\$5,861	\$5,861
Salary and Other Adjustments	-	-	-	-	-	284
Totals, Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>\$-</u>	<u>\$284</u>
TOTALS, SALARIES AND WAGES	<u>40.0</u>	<u>40.0</u>	<u>40.0</u>	<u>\$5,691</u>	<u>\$5,861</u>	<u>\$6,145</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Assembly is comprised of 80 members who are elected for 2-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0970 Support of the Assembly	80.0	80.0	80.0	\$176,843	\$183,970	\$191,660
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	80.0	80.0	80.0	\$176,843	\$183,970	\$191,660
FUNDING		2017-18*		2018-19*		2019-20*
0001 General Fund		\$176,843		\$183,970		\$191,660
TOTALS, EXPENDITURES, ALL FUNDS		\$176,843		\$183,970		\$191,660

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 1 and 2.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Legislature SAL Increase	\$-	\$-	-	\$7,690	\$-	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$7,690	\$-	-
Totals, Workload Budget Adjustments	\$-	\$-	-	\$7,690	\$-	-
Totals, Budget Adjustments	\$-	\$-	-	\$7,690	\$-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued

Assembly Expenditures By Category

	2017-18*	2018-19*	2019-20*
General Fund Expenses:			
Salaries of Assembly Members	\$10,857	\$11,500	\$12,300
Mileage of Assembly Members	8	8	8
Session Per Diem	2,922	3,150	3,360
Totals, General Fund Expenses	\$13,787	\$14,658	\$15,668
Operating Fund Expenses:			
Salaries and Employee Benefits	\$139,447	\$144,801	\$150,822
Travel and Per Diem	2,501	2,625	2,700
Automotive Expenses	62	64	68
Automotive Repairs	42	44	46
Equipment and Furniture	262	270	285
Building Utilities, Maintenance, and Rent	3,939	4,097	4,150
Office Alterations	80	83	88
Telephone	390	405	427
Postage	210	218	230
Freight	112	116	123
Communications	5,211	5,325	5,364
Office Supplies	631	656	692
Printing	3,620	3,765	3,873
Publications	69	102	108
Study Contracts	0	0	0
Meals	15	17	18
Ceremonies and Events	25	25	27
All Other Expenses	1,897	1,973	2,047
Total, Operating Fund Expenses	\$158,513	\$164,586	\$171,068
Operating Fund Transfers:			
Office of the Legislative Analyst	\$4,543	\$4,726	\$4,924
State Agencies	0	0	0
Total, Fund Transfers	\$4,543	\$4,726	\$4,924
TOTAL, Assembly Expenses	\$176,843	\$183,970	\$191,660

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0970	SUPPORT OF THE ASSEMBLY			
	State Operations:			
0001	General Fund	\$176,843	\$183,970	\$191,660
	Totals, State Operations	\$176,843	\$183,970	\$191,660
TOTALS, EXPENDITURES				
	State Operations	176,843	183,970	191,660
	Totals, Expenditures	\$176,843	\$183,970	\$191,660

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	80.0	80.0	80.0	\$11,376	\$11,222	\$11,222
Other Adjustments	-	-	-	-	-	1,078
Net Totals, Salaries and Wages	80.0	80.0	80.0	\$11,376	\$11,222	\$12,300
Staff Benefits	-	-	-	-	-	-
Totals, Personal Services	80.0	80.0	80.0	\$11,376	\$11,222	\$12,300
OPERATING EXPENSES AND EQUIPMENT				\$165,467	\$172,748	\$179,360
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$176,843	\$183,970	\$191,660

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
011 Budget Act appropriation (Assembly)	\$176,843	\$183,970	\$191,660
TOTALS, EXPENDITURES	\$176,843	\$183,970	\$191,660
0125 Assembly Operating Fund			
APPROPRIATIONS			
Government Code section 9129	\$176,843	\$176,843	\$191,660
Non-Budget Act Correction for 2018-19 SAL Increase	-	7,127	-
TOTALS, EXPENDITURES	\$176,843	\$183,970	\$191,660
Less funding provided by General Fund	-176,843	-183,970	-191,660
NET TOTALS, EXPENDITURES	-	-	-
Total Expenditures, All Funds, (State Operations)	\$176,843	\$183,970	\$191,660

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
0125 Assembly Operating Fund^s			
BEGINNING BALANCE	\$144	\$127	\$127
Prior Year Adjustments	-75	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Adjusted Beginning Balance	\$69	\$127	\$127
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	25	-	-
4172500 Miscellaneous Revenue	33	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$58</u>	<u>-</u>	<u>-</u>
Total Resources	<u>\$127</u>	<u>\$127</u>	<u>\$127</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0120 Assembly (State Operations)	176,843	183,970	191,660
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	-176,843	-183,970	-191,660
FUND BALANCE	<u>\$127</u>	<u>\$127</u>	<u>\$127</u>
Reserve for economic uncertainties	127	127	127
0160 Operating Funds of the Assembly and Senate^s			
BEGINNING BALANCE	\$26	\$35	\$35
Prior Year Adjustments	9	-	-
Adjusted Beginning Balance	<u>\$35</u>	<u>\$35</u>	<u>\$35</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue transfer from the State Project Infrastructure Fund (3292) to the Operating Funds of the Assembly and Senate (0160) per GC Sections 9114.5(a) and 14692(a)(2)(C)	-	6,757	-
Total Revenues, Transfers, and Other Adjustments	<u>-</u>	<u>\$6,757</u>	<u>-</u>
Total Resources	<u>\$35</u>	<u>\$6,792</u>	<u>\$35</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0130 Joint Expenses (Capital Outlay)	-	6,757	-
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>\$6,757</u>	<u>-</u>
FUND BALANCE	<u>\$35</u>	<u>\$35</u>	<u>\$35</u>
Reserve for economic uncertainties	35	35	35

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Baseline Positions	80.0	80.0	80.0	\$11,376	\$11,222	\$11,222
Salary and Other Adjustments	-	-	-	-	-	1,078
Totals, Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>\$-</u>	<u>\$1,078</u>
TOTALS, SALARIES AND WAGES	<u>80.0</u>	<u>80.0</u>	<u>80.0</u>	<u>\$11,376</u>	<u>\$11,222</u>	<u>\$12,300</u>

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0130 Joint Expenses

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. Both houses contribute to support the Legislative Analyst's Office, which provides fiscal and policy advice to the Legislature, and is overseen by the 16-member bipartisan Joint Legislative Budget Committee.

Because the Legislature's programs drive a need for infrastructure investment, the Legislature has a capital outlay program to support this need. For the specifics on the Legislature's capital outlay program see "Infrastructure Overview."

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0980	Support of the Office of the Legislative Analyst	-	-	-	\$9,086	\$9,452	\$9,848
0985	Transferred from Item 0110-001-0001	-	-	-	-4,543	-4,726	-4,924
0990	Transferred from Item 0120-011-0001	-	-	-	-4,543	-4,726	-4,924
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		-	-	-	\$-	\$-	\$-

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0980	SUPPORT OF THE OFFICE OF THE LEGISLATIVE ANALYST			
	State Operations:			
0001	General Fund	\$9,086	\$9,452	\$9,848
	Totals, State Operations	\$9,086	\$9,452	\$9,848
PROGRAM REQUIREMENTS				
0985	TRANSFERRED FROM ITEM 0110-001-0001			
	State Operations:			
0001	General Fund	-\$4,543	-\$4,726	-\$4,924
	Totals, State Operations	-\$4,543	-\$4,726	-\$4,924
PROGRAM REQUIREMENTS				
0990	TRANSFERRED FROM ITEM 0120-011-0001			
	State Operations:			
0001	General Fund	-\$4,543	-\$4,726	-\$4,924
	Totals, State Operations	-\$4,543	-\$4,726	-\$4,924
TOTALS, EXPENDITURES				
	Totals, Expenditures	\$-	\$-	\$-

INFRASTRUCTURE OVERVIEW

The California State Capitol, which serves as the state's working seat of government, began construction in 1860 and was completed in 1874. The Capitol remained largely unchanged until 1949, when additional space requirements drove the need for construction of the Capitol Annex, which attaches to the east side of the original Capitol building. The Annex, which was completed in 1951, houses legislative offices, committee rooms, and the Governor's offices.

Government Code Section 9112(a) authorizes the Joint Rules Committee to pursue the construction of a new, restored, rehabilitated, renovated, or reconstructed capitol building annex and associated projects.

SUMMARY OF PROJECTS

		2017-18*	2018-19*	2019-20*
	State Building Program Expenditures			
0991	CAPITAL OUTLAY Projects			
0005229	Sacramento: Capitol Annex	-	6,757	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0130 Joint Expenses - Continued

		2017-18*	2018-19*	2019-20*
	State Building Program Expenditures			
0991	CAPITAL OUTLAY Projects			
	Study	-	6,757	-
TOTALS, EXPENDITURES, ALL PROJECTS		\$-	\$6,757	\$-
FUNDING		2017-18*	2018-19*	2019-20*
0160	Operating Funds of the Assembly and Senate	\$-	\$6,757	\$-
TOTALS, EXPENDITURES, ALL FUNDS		\$-	\$6,757	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

		2017-18*	2018-19*	2019-20*
3	CAPITAL OUTLAY			
	0160 Operating Funds of the Assembly and Senate			
APPROPRIATIONS				
	Government Code section 9114.5	-	\$1,877	-
	Current Year Adjustment (EO E18/19-107)	-	4,880	-
TOTALS, EXPENDITURES		-	\$6,757	-
Total Expenditures, All Funds, (Capital Outlay)		\$0	\$6,757	\$0

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0150 Contributions to the Legislators' Retirement System

Established in 1947, the Legislators' Retirement System provides retirement and death benefits for legislators, constitutional officers, and legislative statutory officers.

The California Public Employees' Retirement System (CalPERS) administers the Legislators' Retirement System on behalf of its members. Retirement benefits paid are based upon age, years of service, and final compensation. Benefits for death, disability, and surviving dependents of deceased members are also provided under specific conditions. The Legislators' Retirement System is funded through contributions from the state, members of the system, and investment earnings.

Proposition 140, approved by voters in 1990, closed the Legislators' Retirement System to all new legislators after November 6, 1990. Subsequently, the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340) closed the Legislators' Retirement System to all new members effective January 1, 2013. New constitutional officers, statutory officers, Sergeant-at-Arms, and the Insurance Commissioner retain optional membership rights in CalPERS.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0110 Legislators' Retirement System	-	-	-	\$7,037	\$7,608	\$7,689
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$7,037	\$7,608	\$7,689
FUNDING		2017-18*		2018-19*		2019-20*
0820 Legislators Retirement Fund		\$7,037		\$7,608		\$7,689
TOTALS, EXPENDITURES, ALL FUNDS		\$7,037		\$7,608		\$7,689

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 2, Part 1, Chapter 3.5.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$344	-	\$-	\$206	-
Totals, Other Workload Budget Adjustments	\$-	\$344	-	\$-	\$206	-
Totals, Workload Budget Adjustments	\$-	\$344	-	\$-	\$206	-
Totals, Budget Adjustments	\$-	\$344	-	\$-	\$206	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0150 Contributions to the Legislators' Retirement System - Continued

0820 Legislators' Retirement Fund Summary

0820 Legislators' Retirement Fund ^{1/}	PY 2017-18*	CY 2018-19*	BY 2019-20*
Beginning Balance	\$116,884	\$114,780	\$112,694
Prior Year Adjustments	-550		
	<u>\$116,334</u>	<u>\$114,780</u>	<u>\$112,694</u>
Revenues:			
Investment Income	\$5,486	\$5,739	\$5,635
State Contributions	470	512	499
Member Contributions	82	91	89
Contribution Refunds	0	-223	-171
Total Revenues	<u>\$6,038</u>	<u>\$6,119</u>	<u>\$6,052</u>
Expenditures:			
Pension Benefit Payments	\$7,037	\$7,608	\$7,689
Administrative Expenditures ^{2/}	556	597	596
Total Expenditures	<u>\$7,592</u>	<u>\$8,205</u>	<u>\$8,285</u>
Ending Fund Balance	<u>\$114,780</u>	<u>\$112,694</u>	<u>\$110,461</u>

^{1/}This display reflects fund data as of the 2019-20 Governor's Budget.

^{2/}The 2019 Budget Act estimates 2019-20 administrative expenditures to be \$522,000, as approved by the CalPERS Board of Administration at its May 2019 meeting.

0150 Contributions to the Legislators' Retirement System - Continued**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

4 UNCLASSIFIED	2017-18*	2018-19*	2019-20*
0820 Legislators Retirement Fund			
APPROPRIATIONS			
Government Code section 9359.1	\$7,037	\$7,264	\$7,689
Revised Estimates	-	344	-
TOTALS, EXPENDITURES	<u>\$7,037</u>	<u>\$7,608</u>	<u>\$7,689</u>
Total Expenditures, All Funds, (Unclassified)	<u>\$7,037</u>	<u>\$7,608</u>	<u>\$7,689</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0160 Legislative Counsel Bureau

The Legislative Counsel Bureau (Bureau) provides legal assistance to the two houses of the Legislature, and their members and committees, by resolving a large volume of complex legal problems arising in connection with the legislative process. The legal services furnished include rendering opinions, drafting bills, counseling, attending as counsel meetings of legislative committees, and representing the Legislature in litigation. The attorney-client relationship is maintained and all work is confidential.

In addition, the Bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures and compiles and indexes statutes and codes.

The Bureau operates the Legislative Data Center, which provides information technology services in support of the legislative information system and the processing of legislative measures.

Pursuant to Chapter 46 of the Statutes of 2012, the California Commission on Uniform State Laws was transferred into the Bureau. The California Commission on Uniform State Laws presents to the Legislature uniform laws recommended by the National Conference of Commissioners on Uniform State Laws and then promotes passage of these uniform acts.

Pursuant to Chapter 450 of the Statutes of 2018, the Bureau provides advice and investigation services to the Legislature related to workplace misconduct through the Workplace Conduct Unit.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0120 Support	528.6	630.0	634.0	\$104,216	\$109,466	\$110,259
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	528.6	630.0	634.0	\$104,216	\$109,466	\$110,259
FUNDING				2017-18*	2018-19*	2019-20*
0001 General Fund			\$85,863	\$85,863	\$90,272	\$91,731
0995 Reimbursements			109	109	131	131
9740 Central Service Cost Recovery Fund			18,244	18,244	19,063	18,397
TOTALS, EXPENDITURES, ALL FUNDS			\$104,216	\$104,216	\$109,466	\$110,259

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Sections 10200-10249 and 10270-10282.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Workplace Conduct Unit	\$-	\$-	-	\$1,692	\$-	4.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$1,692	\$-	4.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	340	75	-	340	75	-
• Salary Adjustments	1,635	359	-	1,635	359	-
• Miscellaneous Baseline Adjustments	1,506	-	-	1,251	-670	-
• Benefit Adjustments	615	136	-	637	140	-
• Retirement Rate Adjustments	398	87	-	398	87	-
Totals, Other Workload Budget Adjustments	\$4,494	\$657	-	\$4,261	\$-9	-
Totals, Workload Budget Adjustments	\$4,494	\$657	-	\$5,953	\$-9	4.0

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0160 Legislative Counsel Bureau - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Budget Adjustments	\$4,494	\$657	-	\$5,953	\$-9	4.0

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0120	SUPPORT			
	State Operations:			
0001	General Fund	\$85,863	\$90,272	\$91,731
0995	Reimbursements	109	131	131
9740	Central Service Cost Recovery Fund	18,244	19,063	18,397
	Totals, State Operations	\$104,216	\$109,466	\$110,259
TOTALS, EXPENDITURES				
	State Operations	104,216	109,466	110,259
	Totals, Expenditures	\$104,216	\$109,466	\$110,259

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	630.0	630.0	630.0	\$52,109	\$52,109	\$52,109
Other Adjustments	-101.4	-	4.0	-4,734	2,326	2,544
Net Totals, Salaries and Wages	528.6	630.0	634.0	\$47,375	\$54,435	\$54,653
Staff Benefits	-	-	-	23,424	27,755	27,892
Totals, Personal Services	528.6	630.0	634.0	\$70,799	\$82,190	\$82,545
OPERATING EXPENSES AND EQUIPMENT				\$33,417	\$27,276	\$27,714
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$104,216	\$109,466	\$110,259

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$85,863	\$85,778	\$91,731
Allocation for Employee Compensation	-	1,635	-
Allocation for Other Post-Employment Benefits	-	340	-
Allocation for Staff Benefits	-	615	-
Section 3.60 Pension Contribution Adjustment	-	398	-
Chapter 450, Statutes of 2018	-	1,506	-
TOTALS, EXPENDITURES	\$85,863	\$90,272	\$91,731
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$109	\$131	\$131
TOTALS, EXPENDITURES	\$109	\$131	\$131

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0160 Legislative Counsel Bureau - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$18,244	\$18,406	\$18,397
Allocation for Employee Compensation	-	359	-
Allocation for Other Post-Employment Benefits	-	75	-
Allocation for Staff Benefits	-	136	-
Section 3.60 Pension Contribution Adjustment	-	87	-
TOTALS, EXPENDITURES	\$18,244	\$19,063	\$18,397
Total Expenditures, All Funds, (State Operations)	\$104,216	\$109,466	\$110,259

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	630.0	630.0	630.0	\$52,109	\$52,109	\$52,109
Salary and Other Adjustments	-101.4	-	-	-4,734	2,326	1,998
Workload and Administrative Adjustments						
Workplace Conduct Unit						
C.E.A.	-	-	1.0	-	-	200
Dep Legislative Counsel III	-	-	1.0	-	-	142
Dep Legislative Counsel IV	-	-	1.0	-	-	157
Office Techn (Typing)	-	-	1.0	-	-	47
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	4.0	\$-	\$-	\$546
Totals, Adjustments	-101.4	-	4.0	\$-4,734	\$2,326	\$2,544
TOTALS, SALARIES AND WAGES	528.6	630.0	634.0	\$47,375	\$54,435	\$54,653

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

The Lockyer-Isenberg Trial Court Funding Act of 1997 (Chapter 850, Statutes of 1997) provided a stable and consistent funding source for the trial courts. Beginning with fiscal year 1997-98, consolidation of the costs of trial court operation was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994-95 level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained or distributed in accordance with statute. Each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the state General Fund in 1994-95, as adjusted by amounts equivalent to specified fine and fee revenues that counties benefited from in 2003-04. The Trial Court Facilities Act of 2002 (Chapter 1082, statutes of 2002) provided a process for the responsibility for court facilities to be transferred from the counties to the state by July 1, 2007, which was extended to December 31, 2009, by Chapter 9, Statutes of 2008. The Trial Court Facilities Act of 2002 also established several new revenue sources, effective on January 1, 2003. These revenues are deposited into the State Court Facilities Construction Fund for the purpose of funding the construction and maintenance of court facilities throughout the state. Counties contribute revenues for the ongoing operation and maintenance of court facilities based upon historical expenditures for facilities transferred to the state.

The mission of the Judicial Branch is to resolve disputes arising under the law and to interpret and apply the law consistently, impartially, and independently to protect the rights and liberties guaranteed by the Constitutions of California and the United States, in a fair, accessible, effective, and efficient manner.

Because the Judicial Branch's programs drive a need for infrastructure investment, the Judicial Branch has a capital outlay program to support this need. For additional information regarding the Judicial Branch's capital outlay program, see "Infrastructure Overview."

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0130	Supreme Court	161.6	156.2	156.2	\$48,226	\$50,964	\$51,542
0135	Courts of Appeal	805.1	805.0	805.0	234,937	245,624	252,077
0140	Judicial Council	687.3	710.6	731.1	614,739	662,743	676,768
0150	State Trial Court Funding	-	-	-	2,755,332	2,952,899	3,288,447
0155	Habeas Corpus Resource Center	85.4	81.1	81.1	15,585	16,724	16,793
0170	Offset from Local Property Tax Revenue	-	-	-	-48,174	-62,725	-62,725
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		1,739.4	1,752.9	1,773.4	\$3,620,645	\$3,866,229	\$4,222,902
FUNDING					2017-18*	2018-19*	2019-20*
0001	General Fund				\$1,734,618	\$1,933,193	\$2,197,321
0044	Motor Vehicle Account, State Transportation Fund				209	216	216
0159	State Trial Court Improvement and Modernization Fund				10,350	14,772	16,955
0327	Court Interpreters Fund				450	156	156
0587	Family Law Trust Fund				1,256	1,706	1,706
0890	Federal Trust Fund				3,322	6,641	6,637
0932	Trial Court Trust Fund				1,318,082	1,323,744	1,377,511
0942	Special Deposit Fund				30	-	-
0995	Reimbursements				86,851	93,189	122,544
3037	State Court Facilities Construction Fund				129,453	147,800	160,275
3060	Appellate Court Trust Fund				5,636	7,474	7,467
3066	Court Facilities Trust Fund				105,390	108,947	104,030
3085	Mental Health Services Fund				1,128	1,134	1,134
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund				223,210	226,255	225,948
8059	State Community Corrections Performance Incentive Fund				1,053	1,000	1,000
9728	Judicial Branch Workers Compensation Fund				-393	2	2

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

FUNDING	2017-18*	2018-19*	2019-20*
TOTALS, EXPENDITURES, ALL FUNDS	\$3,620,645	\$3,866,229	\$4,222,902

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article VI.

PROGRAM AUTHORITY

0150-State Trial Court Funding:

California Constitution, Article VI, Section 4.

0150037-Court Interpreters:

Trial Court Interpreter Employment and Labor Relations Act, Government Code Sections 71800-71829.

0155-Habeas Corpus Resource Center:

Government Code Sections 68660-68666.

MAJOR PROGRAM CHANGES

- Pretrial Pilot Projects—The Budget includes \$75 million General Fund to be allocated over a two-year period by the Judicial Council for the implementation, operation, and evaluation of programs or efforts in at least 10 courts related to pretrial decision-making.
- Superior Court Judgeships—The Budget includes \$30.4 million General Fund in 2019-20 and \$36.5 million General Fund annually thereafter for 25 additional superior court judgeships.
- Trial Court Case Management System Replacements—The Budget includes \$23.1 million General Fund in 2019-20 and \$6.7 million in 2020-21 to replace 14 case management systems in 10 trial courts.
- Facilities, Operations and Maintenance—The Budget includes \$20.2 million ongoing General Fund to support operations and maintenance of trial court facilities constructed since 2007, and \$15 million one-time for deferred maintenance projects.
- Dependency Counsel—The Budget includes \$20 million ongoing General Fund for court-appointed dependency counsel that represent children and parents at every stage of dependency proceedings. Additionally, the recent federal Families First Prevention Services Act expanded the list of eligible Title IV-E reimbursable activities to include court-appointed dependency counsel costs. The Budget includes \$1.5 million ongoing General Fund for the Judicial Council to administer these federal reimbursements, which are estimated to be \$34 million annually.
- Legal Aid for Renters in Landlord-Tenant Disputes—The Budget includes \$20 million one-time General Fund to provide legal aid for renters in landlord-tenant disputes. Specifically, these additional funds will provide free legal services for landlord-tenant issues, including legal assistance for counseling, renter education programs, and preventing evictions.
- Cannabis Conviction Resentencing Workload, Chapter 993, Statutes of 2018 (AB 1793)—The Budget includes \$13.9 million General Fund in 2019-20 and \$2.9 million in 2020-21 for anticipated resentencing workload associated with Chapter 993, Statutes of 2018 (AB 1793), which expedites the identification, review, and notification of individuals who may be eligible for recall or dismissal, dismissal or sealing, or redesignation of the conviction for specified cannabis-related offenses.
- Language Access Expansion in the California Courts—The Budget includes \$13.6 million ongoing General Fund to continue the expansion of interpreter services for civil matters and to cover increased costs in criminal cases.
- Compensation for State-Level Judiciary Staff—The Budget includes \$9.5 million ongoing General Fund for a general salary increase for state-level judiciary employees at the Judicial Council, California Supreme Court, and Appellate Courts.
- Improvement and Modernization Fund Stabilization—The Budget includes \$8.8 million ongoing to shift existing programs to the General Fund to maintain solvency in the Improvement and Modernization Fund. Specifically, the Budget shifts \$5.6 million for the Litigation Management Program and \$3.2 million for the Personnel Management System.
- Trial Court Security Systems and Equipment—The Budget includes \$6 million ongoing General Fund to refresh, maintain, and replace trial court security equipment and systems.
- Digitizing Court Records—The Budget includes \$5.6 million one-time General Fund to establish a pilot program to digitize paper and film case files at several trial courts.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

- Business Intelligence and Data Analytics—The Budget includes \$5.5 million one-time General Fund to establish and support the foundation of a business intelligence, data analytics, and identity and access management program to improve court efficiencies and service to the public.
- Courts of Appeal Workload—The Budget includes \$5 million ongoing General Fund to address general operational cost increases, workload due to more complex litigation, new case duties related to recent law changes requiring retroactive decisions related to criminal justice reforms, and voter approved initiatives requiring appellate review.
- Implementation and Maintenance of Personnel Management Systems—The Budget includes \$4.5 million ongoing General Fund to complete the first step in migrating to an updated personnel system, also known as Phoenix, and to roll out the system at seven additional trial courts.
- Shriver Act Civil Counsel—The Budget includes \$2.5 million one-time General Fund for the expansion and administration of pilot programs that provide representation to low-income Californians on critical legal issues affecting basic human needs.
- Trial Court Employee Benefits—Beginning in 2019-20, the Budget includes a mechanism to fund trial court employee benefits in the current year. The Budget includes \$22.5 million General Fund for recent trial court employee benefits, which brings the total funding provided by the state to approximately \$150.5 million for these increased costs.
- Trial Court Trust Fund Revenue Backfill—The Budget continues funding commitments made by the state to backfill the Trial Court Trust Fund as a result of declining fine and penalty revenues. The Budget includes a total of \$41.8 million General Fund to backfill the decline of fines and penalty revenues expected in 2019-20.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Superior Court Judgeships	\$-	\$-	-	\$30,417	\$-	-
• Case Management System Replacements	-	-	-	23,146	-	2.0
• Trial Court Facility Maintenance and Operations	-	-	-	20,150	-	-
• Dependency Counsel	-	-	-	20,000	-	-
• Legal Aid for Renters in Landlord-Tenant Disputes	-	-	-	20,000	-	-
• Deferred Maintenance	-	-	-	15,000	-	-
• Cannabis Convictions: Resentencing (AB 1793)	-	-	-	13,901	-	-
• Language Access Expansion in each California Court	-	-	-	9,564	-	-
• Implementation of Phoenix Roadmap	-	-	-	7,713	-3,217	4.0
• Trial Court Security Systems and Equipment	-	-	-	6,000	-	-
• Digitizing Court Records	-	-	-	5,639	-	1.0
• Litigation Management Program	-	-	-	5,600	-5,151	-
• Business Intelligence and Data Analytics	-	-	-	5,514	-	-
• Courts of Appeal Workload	-	-	-	5,000	-	-
• Shriver Act Civil Counsel	-	-	-	2,500	-	-
• Futures Commission IT Directives	-	-	-	2,279	-	-
• Dependency Counsel Title IV-E Funding	-	-	-	1,500	33,955	7.5
• Staffing Plan for Fi\$Cal	-	-	-	952	-	6.0
• Trial Court Employee Benefits Adjustment	-	-	-	-3,404	-	-
• Trial Court Trust Fund Revenue Shortfall Adjustment	-28,000	28,000	-	-10,991	10,991	-
Totals, Workload Budget Change Proposals	-\$28,000	\$28,000	-	\$180,480	\$36,578	20.5
Other Workload Budget Adjustments						
• Pre-Trial Reform	-	-	-	75,000	-	-
• Miscellaneous Baseline Adjustments	35,601	-16,064	-	41,284	-11,276	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Salary Adjustments	14,908	-	-	14,908	-	-
• Retirement Rate Adjustments	1,677	212	-	1,677	212	-
• Benefit Adjustments	410	11	-	478	18	-
• SWCAP	-	-	-	-	-4	-
• Lease Revenue Debt Service Adjustment	-21	-12,756	-	-4	-594	-
Totals, Other Workload Budget Adjustments	\$52,575	\$-28,597	-	\$133,343	\$-11,644	-
Totals, Workload Budget Adjustments	\$24,575	\$-597	-	\$313,823	\$24,934	20.5
Totals, Budget Adjustments	\$24,575	\$-597	-	\$313,823	\$24,934	20.5

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

Trial Court Funding, Expenditures, and Positions - 2017-18 and 2018-19

State and Non-State Trial Court Funding, Expenditures, and Positions - 2017-18 and 2018-19

Court	Prior-Year Actual				Current-Year Estimated			
	State Funding ^{1/} (Program 0150)	Non-State Funding ^{1/}	Total Court Expenditures ^{1/}	Filled Positions as of 7/1/2017 ^{2/}	State Funding ^{3/} (Program 0150)	Non-State Funding ^{3/}	Total Court Expenditures ^{3/}	Filled Positions as of 7/1/2018 ^{2/}
Alameda	89,926,165	8,887,120	95,161,971	653	91,217,068	9,051,494	100,235,476	653
Alpine	599,296	33,557	781,730	4	775,530	33,441	807,133	5
Amador	2,727,859	260,772	3,089,813	28	3,407,257	313,199	3,771,128	27
Butte	12,810,867	892,603	13,536,383	126	14,694,971	884,329	15,553,155	122
Calaveras	2,631,796	163,766	2,746,317	22	3,155,330	155,207	3,169,876	22
Colusa	2,119,700	155,730	2,236,809	14	2,290,437	165,785	2,487,016	13
Contra Costa	51,021,369	5,062,725	56,447,091	321	53,185,432	5,338,014	60,460,555	320
Del Norte	2,992,559	231,567	3,263,741	22	3,395,568	331,780	3,417,482	23
El Dorado	7,824,167	824,797	9,098,945	75	8,743,196	896,103	9,219,026	71
Fresno	60,469,499	4,000,144	65,060,301	497	64,717,128	2,749,997	67,431,570	485
Glenn	2,546,696	509,163	3,108,394	21	2,801,088	477,180	3,269,905	22
Humboldt	8,444,541	191,702	8,856,764	85	10,691,272	196,147	10,983,979	83
Imperial	10,639,640	1,568,645	12,735,517	139	11,256,986	1,263,155	13,358,661	122
Inyo	2,453,094	224,674	2,687,815	17	2,650,253	279,725	2,938,702	16
Kern	59,171,588	13,967,302	74,858,740	491	67,394,959	13,927,458	81,322,417	486
Kings	9,532,200	618,695	9,508,858	82	10,327,642	526,790	10,476,286	81
Lake	3,989,264	82,300	4,107,520	30	4,573,259	62,500	4,819,477	31
Lassen	2,692,406	149,108	2,882,089	22	3,019,560	152,950	3,147,229	19
Los Angeles	703,268,826	37,268,754	767,212,381	4,483	746,948,000	40,184,000	831,180,171	4,492
Madera	10,300,820	227,975	11,153,656	96	10,825,551	272,692	11,514,801	92
Marin	13,854,523	469,323	14,396,057	101	14,319,764	658,700	14,894,689	98
Mariposa	1,516,248	156,176	1,654,816	12	1,781,103	147,264	1,886,850	13
Mendocino	6,742,544	412,615	6,983,571	55	7,425,148	507,035	8,099,466	60
Merced	15,347,985	1,123,599	17,140,803	133	16,992,132	953,800	17,955,671	133
Modoc	1,112,191	71,097	1,250,351	9	1,655,501	60,423	1,694,380	9
Mono	2,200,237	87,131	2,203,126	13	2,200,994	95,022	2,296,016	13
Monterey	22,056,179	1,058,510	23,450,296	185	25,487,141	1,551,158	27,013,161	184
Napa	9,016,648	676,725	10,368,810	66	9,678,266	662,200	10,407,071	68
Nevada	6,283,463	707,237	7,009,784	53	6,708,478	624,899	7,326,317	53
Orange	168,556,732	24,864,698	192,051,437	1,422	178,227,658	23,657,156	201,329,018	1,384
Placer	19,573,790	1,526,166	20,844,402	123	21,696,415	1,519,234	24,640,873	117
Plumas	1,278,682	21,466	1,439,758	11	1,461,682	20,231	1,466,930	10
Riverside	134,714,827	19,969,051	152,014,409	1,064	145,443,649	21,320,677	171,643,906	1,043
Sacramento	86,484,688	5,598,673	93,682,656	662	93,111,606	5,441,634	101,860,208	670
San Benito	2,993,473	65,665	3,047,434	23	3,918,890	67,100	4,144,850	22
San Bernardino	120,141,263	6,111,723	130,232,828	1,017	132,196,871	5,580,169	144,354,381	1,031
San Diego	159,011,240	13,529,208	176,652,822	1,206	168,423,947	12,293,345	179,145,256	1,161
San Francisco	65,660,259	7,579,290	75,558,858	454	69,316,570	5,389,298	75,949,533	431
San Joaquin	39,209,718	2,887,073	42,388,604	324	43,463,977	2,956,414	46,925,706	325
San Luis Obispo	15,345,851	1,485,837	16,847,242	128	17,069,047	1,479,629	18,625,642	126
San Mateo	41,699,411	2,211,140	44,573,282	265	46,247,549	2,202,841	48,384,869	270
Santa Barbara	27,383,636	2,552,610	29,726,750	225	29,862,375	2,638,513	32,590,306	241
Santa Clara	90,183,192	8,163,936	100,359,829	616	98,892,997	6,428,716	105,802,917	628
Santa Cruz	13,975,452	650,786	14,532,472	121	15,555,821	497,171	16,238,497	115
Shasta	15,270,476	3,122,389	18,859,640	173	17,742,849	3,111,434	20,126,792	176
Sierra	784,482	60,047	1,035,471	5	779,829	59,796	875,283	5
Siskiyou	3,713,706	410,816	4,092,498	31	4,002,227	358,150	4,432,585	29
Solano	24,691,518	1,694,160	26,489,725	197	25,977,417	1,904,030	27,981,145	202
Sonoma	26,715,862	1,731,193	28,772,027	185	28,066,067	2,330,457	30,354,167	168
Stanislaus	25,576,431	1,585,642	27,446,843	227	30,461,007	1,504,335	32,412,736	227
Sutter	6,169,994	370,218	6,629,684	56	7,004,155	335,537	7,411,149	53
Tehama	4,798,467	194,978	5,040,763	38	5,506,049	215,574	6,209,962	41
Trinity	2,098,117	119,957	2,152,981	15	2,305,459	76,923	2,426,006	13
Tulare	25,441,472	4,322,730	30,662,097	235	29,138,242	4,560,124	33,864,614	244
Tuolumne	4,055,474	215,080	4,247,034	35	4,680,305	187,571	4,743,410	35
Ventura	43,753,508	8,641,707	53,424,168	361	48,562,872	10,065,050	58,827,907	371
Yolo	12,396,657	1,108,695	13,638,253	106	14,750,974	1,279,660	15,791,584	99
Yuba	5,899,359	581,664	6,812,354	49	6,342,299	589,304	7,137,216	55
Subtotal, Section 1	2,311,870,109	201,523,109	2,556,248,764	17,223	2,492,527,819	200,592,520	2,756,835,114	17,105
Section 2: Funding Not Yet Allocated or Not Distributed to the Trial Courts								
<i>Pending State Funding to the Trial Courts^{4/}</i>								
<i>Compensation adjustment for Superior Court Judges</i>								
					12,990,000			
<i>State Trial Court Funding Not Distributed to the Trial Courts^{5/}</i>								
					479,161,145			
<i>Less: Expenses Made on Behalf of Courts from Courts^{6/}</i>								
					(29,187,759)			
<i>Share of State Trial Court Funding^{6/}</i>								
					(1,825,021)			
					(842,676)			
State Trial Court Funding Total^{8/}								
					2,755,332,000			
					2,952,899,000			

^{1/} Reflects the 2017-18 4th quarter Quarterly Financial Statement information submitted by the superior courts. A detailed breakdown of this data can be found in the Report of Trial Court Revenue, Expenditure, and Fund Balance Constraints for Fiscal Year 2017-18. Non-state funding includes local fees and non-fee revenue, enhanced collections and other reimbursements, grants from non-state entities, etc.

^{2/} Reflects the filled full-time equivalent positions reported on the Schedule 7As submitted by the superior courts. Does not include judges, who are constitutional officers and not court employees.

^{3/} Reflects the preliminary budgets of 58 superior courts based on courts' final certified 2018-19 Schedule 1 submissions.

^{4/} Reflects pending allocations from the Judicial Council. Courts may have budgeted for some of these funds in section 1 in anticipation of their allocation.

^{5/} See the "State Trial Court Funding Not Distributed to the Trial Courts" display for more detail. Funds either disbursed on behalf of trial courts, for statewide programs, for judges compensation, or to non-court entities, and as a result are not included in the superior courts' state funding in Section 1.

^{6/} See the "State Trial Court Funding Not Distributed to the Trial Courts" display for more detail. These expenses are funded by the trial courts opting to participate in those programs from their "State Funding" revenue distribution amounts reported in Section 1 of the "State and Non-State Trial Court Funding, Expenditures, and Positions" display. Because the funding for these expenses is already included in Section 1, they are subtracted from the total "State Trial Court Funding Not Distributed to Trial Courts" amount used to calculate the total expenditures displayed for "State Trial Court Funding Total".

^{7/} For the prior year, recognizes that the revenues courts report individually as received or to be received from the state will not be equal to the "State Trial Court Funding" expenditure amount reported at the state level due to timing and accounting differences. For the current year, this category reflects either differences in local versus state revenue projections, pending court budgets, or unallocated appropriation.

^{8/} Total state funding for trial courts ties to actual and accrued expenditures for 2017-18 and estimated expenditures for 2018-19 for Program 0150 "State Trial Court Funding" included in the 2019-20 Enacted Budget.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

State Trial Court Funding Not Distributed to the Trial Courts - 2017-18 and 2018-19

Programs by Category ^{1/}	Fund	2017-18 Past Year Actual		2018-19 Current Year Estimate	
		Not From Courts' Share of State Trial Court Funding	From Courts' Share of State Trial Court Funding ^{2/}	Not From Courts' Share of State Trial Court Funding	From Courts' Share of State Trial Court Funding ^{2/}
Audit Services					
Audit Services	IMF	264,908	-	312,000	
Branch Accounting and Procurement Programs					
Trial Court Procurement/TCAS -MSA	IMF			122,000	
Court-Ordered Debt Task Force	IMF	-	-		
Phoenix Program	IMF	2,059,470	-	1,381,205	
Phoenix Program	TCTF	1,340,044	-	1,511,676	-
Budget Services Programs					
Treasury Services - Cash Management	IMF	263,583	-	265,000	
Budget Focused Training and Meetings	IMF	30,391	-	50,000	
Revenue Distribution Training	IMF	6,460	-	9,500	
Trial Court Performance Measures Study	IMF	5,108	-	13,000	
Compensation of Superior Court Judges ^{3/}	TCTF	221,132,119	-	236,766,474	
Other Post Employment Benefits Valuation	TCTF	535,000	-	118,000	
Center for Children, Families, & the Courts Programs					
Domestic Violence Forms Translation	IMF	16,954	-	17,000	
Self-Help Centers	IMF	5,001,210	-	5,000,000	
Self-Help Document Assembly Programs	IMF	58,294	-	60,000	
Statewide Multidisciplinary Education	IMF	21,847	-	67,000	
Statewide Support for Self-Help Programs	IMF	101,012	-	100,000	
Children in Dependency Cases Training	TCTF	120,837	-	113,000	
Court-Appointed Special Advocate Program	TCTF	2,213,000	-	2,713,000	
Direct Payments for Court-Appointed Dependency Counsel ^{4/}	TCTF	89,689,816	-	89,758,634	
Equal Access Fund	TCTF	5,207,900	-	5,482,000	
Equal Access Fund	GF	20,392,000	-	20,392,000	
Sargent Shriver Civil Representation Pilot Program	TCTF	7,241,435	-	6,433,142	
Court Operations Special Services Programs					
Assigned Judges	TCTF	28,063,000	-	28,117,000	
Database Development - Court Interpreters	TCTF	65,568	-	87,000	
Court Interpreter Program (Testing, Development, Recruitment and Education)	IMF	205,111	-	143,000	
Education Programs					
Orientation for New Trial Court Judges	IMF	96,671	-		
B.E. Witkin Judicial College	IMF	180,675	-		
Primary Assignment Orientation and Overviews - New Judges	IMF	138,870	-		
Primary Assignment Orientation and Overviews - Experienced Judges	IMF	110,435	-		
Continuing Judicial Education Courses for Experienced Judges	IMF	10,386	-		
Judicial Institutes	IMF	63,408	-		
Leadership Training	IMF	59,649	-		
Judicial Education	IMF	-	-	745,500	
Trial Court Faculty - Statewide Education Program	IMF	299,994	-		
Faculty Development	IMF	36,712	-		
Regional and Local Continuing Judicial Education Courses	IMF	2,831	-		
CJER Faculty	IMF	-	-	340,000	
Essential Court Management Education	IMF	13,015	-	18,000	
Court Personnel Institutes	IMF	182,636	-		
Regional and Local Court Staff Education Courses	IMF	1,629	-		
Essential Court Personnel Education	IMF	-	-	91,000	
Distance Education	IMF	4,215	-	7,500	

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0250 Judicial Branch - Continued

State Trial Court Funding Not Distributed to the Trial Courts - 2017-18 and 2018-19

Programs by Category ^{1/}	Fund	2017-18 Past Year Actual		2018-19 Current Year Estimate	
		Not From Courts' Share of State Trial Court Funding	From Courts' Share of State Trial Court Funding ^{2/}	Not From Courts' Share of State Trial Court Funding	From Courts' Share of State Trial Court Funding ^{2/}
Facilities Management Programs					
Facility-Related Costs Incurred on Behalf of the Courts	TCTF		7,837,633		8,900,000
Human Resources Programs					
Judicial Branch Workers' Compensation Program ^{5/}	TCTF	478,000	19,231,000	475,000	19,084,000
Judicial Branch Workers' Compensation Program ^{5/}	JBWCF	(42,000)	(62,000)		
Trial Court Labor Relations Academies and Forums	IMF	23,152	-	22,700	
Information Technology Programs					
California Courts Technology Center (CCTC)	IMF	8,862,834	-	7,857,505	
CCTC Operations	IMF		-	1,479,754	
California Courts Technology Center	TCTF		1,077,958		1,045,943
California Courts Protective Order Registry (CCPOR) - Development	IMF		-	325,726	
CCPOR (ROM)	IMF	583,471	-	418,285	
Civil, Small Claims, Probate and Mental Health (V3) Case Management System	TCTF		564,048		564,000
V3 Case Management System	IMF	3,378,001	-	2,595,027	
V3 ICMS/CMS Release Management Support	IMF	-	-	776,811	
V3 CMS Transition BCP	IMF	8,499,979	-	500,000	
Jury Management Systems	IMF	800,027	-	717,000	
Data Integration	IMF	2,208,609	-	1,668,285	
ISB Support	IMF	-	-	554,966	
Statewide Development and Development Support	IMF	3,950,536	-	4,721,364	
Interim Case Management Systems	IMF	1,303,356	-	1,453,628	
Interim Case Management Systems	TCTF		539,120		361,000
Telecommunications Support	IMF	14,989,389	-	9,951,140	
Telecom	IMF		-	5,509,000	
Phoenix Program	IMF	1,455,432	-	1,772,796	
Self-Represented Litigants BCP	IMF		-	0	
SJE Case Management BCP	IMF	4,073,333	-	896,000	
Madera Court Hosting Transition	IMF	572,622	-	0	
Testing Tools - Enterprise Test Management Suite	IMF	40,016	-	0	
Uniform Civil Fees System	IMF	273,679	-	389,084	
Legal Services Programs					
Judicial Performance Defense Insurance	IMF	956,195	-	1,150,000	
Jury System Improvement Projects	IMF	8,562	-	19,000	
Litigation Management Program	IMF	5,469,761	-	4,500,000	
Regional Office Assistance Group	IMF	524,420	-	568,500	
Trial Courts Transactional Assistance Program	IMF	659,000	-	651,000	
Subtotal, Not From Courts' Share of State Trial Court Funding		444,304,567		449,206,202	
Subtotal, From Courts' Share of State Trial Court Funding^{2/}			29,187,759		29,954,943
Total, State Trial Court Funding Not Distributed to the Trial Courts			473,492,326		479,161,145
Subtotal by Fund					
Trial Court Trust Fund	TCTF		385,336,478		401,529,869
State Trial Court Improvement and Modernization Fund	IMF	67,867,848	67,867,848	57,239,276	57,239,276
Judicial Branch Workers' Compensation Fund	JBWCF	(42,000)	(104,000)		-
General Fund	GF		20,392,000		20,392,000
Total, State Trial Court Funding Not Distributed to the Trial Courts			473,492,326		479,161,145

^{1/} Includes all Program 0150 "State Trial Court Funding" expenses from all funds, including the Trial Court Trust Fund (TCTF), State Trial Court Improvement and Modernization Fund (IMF), General Fund (GF), and Judicial Branch Workers' Compensation Fund (JBWCF). The expenses do not necessarily represent all expenses for those programs have a state operations component (e.g., Equal Access Fund) and/or a distribution that is made directly to courts (e.g., court-appointed dependency counsel).

^{2/} These expenses are funded by the trial courts opting to participate in those programs from their "State Funding" revenue distribution amounts reported in Section 1 of the "State and Non-State Trial Court Funding, Expenditures, and Positions" display. Because the funding for these expenses is already included in Section 1, they are subtracted from the total "State Trial Court Funding Not Distributed to the Trial Courts" amount used to calculate the total expenditures displayed for "State Trial Court Funding Total".

^{3/} This reflects judges' compensation paid excluding any amounts related to the reimbursement of courts for payments of judges' compensation as these amounts are already included in the courts' "State Funding" revenue amounts reported in the Trial Court Information section (\$127.4 million in 2017-18 and \$138.3 million in 2018-19). Judges from the Los Angeles, Riverside, and Ventura County Superior Courts are compensated locally and the court/county is reimbursed by the TCTF. In addition, 23 courts in 2017-18 and 2018-19 participate in the local salary reimbursement program in which a small portion of the judges' salary is paid locally and reimbursed by the TCTF.

^{4/} Reflects actual or estimated payments made directly to court-appointed dependency counsel from the TCTF on behalf of superior courts participating in the Dependency Representation, Administration, Funding, and Training (DRAFT) Program. Courts not participating in the DRAFT program are reimbursed up to a maximum amount from the TCTF for payments to court-appointed dependency counsel.

^{5/} For the TCTF, expenses are the annual premiums for 57 participating trial courts from their allocations and for superior court judges from the appropriation for the Compensation of Superior Court Judges that are transferred to the JBWCF. For the JBWCF, these expenses reflect payments of trial court employee and judge related workers' compensation claims from the JBWCF less the amount transferred from the TCTF.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

Judicial Council of California

Expenditures and Positions
By Office 2017-18 and 2018-19

Office	Fund*	2017-18 Actual		2018-19 Estimated	
		Positions ^{1/}	Expenditures	Positions ^{1/}	Expenditures
Executive	GF	10.3	3,323,171	15.0	3,392,183
	SDF	-	29,543	-	-
Legal Services	GF	38.3	9,167,066	48.0	9,403,290
	IMF	3.0	569,555	3.0	668,263
	SCFCF	5.0	1,817,185	5.0	2,035,722
Office of Governmental Affairs	GF	9.6	1,949,074	10.0	1,725,536
Center for Families, Children and the Courts	GF	18.1	4,298,974	21.0	4,282,225
	FLTF	6.2	1,258,699	8.0	1,706,000
	FTF	12.9	2,009,833	14.0	3,186,228
	TCTF	-	905,238	-	1,165,970
	REIMB	12.4	4,457,920	13.0	4,509,568
	MHSF	4.7	1,128,000	6.0	1,134,000
Center for Judicial Education and Research	GF	45.2	8,250,714	48.5	7,375,053
	REIMB	-	91,200	-	113,138
Budget Services	GF	28.9	7,204,720	38.0	14,757,525
	IMF	2.0	293,673	2.0	1,039,237
	TCTF	1.8	282,075	3.0	924,194
	SCFCF	5.4	1,079,539	5.0	1,696,930
Information Technology	GF	73.7	23,800,509	104.0	26,804,077
	IMF	15.3	3,055,757	25.0	3,721,228
	TCTF	-	36,000	-	357,932
	SCFCF	6.6	2,260,118	8.0	2,739,089
Human Resources	GF	36.1	8,660,835	47.0	7,783,735
	SCFCF	4.0	869,540	4.0	994,907
	JBWCF	-	(75,000)	-	2,000
Court Operations Special Services	GF	19.8	4,008,665	28.6	3,359,111
	CIF	-	450,210	-	156,000
	REIMB	-	55,851	-	1,190,200
	IMF	-	66,616	-	182,833
	SCFCF	-	-	-	-
Administrative Services ^{2/}	GF	26.2	3,926,143	-	-
Appellate Court Services	GF	6.2	2,164,898	7.0	1,807,103
Criminal Justice Court Services	GF	17.1	2,260,863	22.0	2,005,515
	MVA	0.9	209,462	1.0	216,000
	FTF	-	255,987	-	153,772
	REIMB	-	67,912	-	269,094
	SCCPIF	0.7	1,052,916	1.0	1,000,000
Public Affairs	GF	8.8	1,602,646	9.0	1,591,154
Special Projects ^{2/}	GF	8.7	1,412,437	-	-
Audit Services	GF	8.9	1,846,953	11.0	1,834,270
	IMF	1.8	291,865	2.0	379,456
	SCFCF	1.0	220,198	1.0	200,140
Judicial Council Trial Court Leadership ^{2/}	GF	17.7	2,912,671	-	-
Leadership Support Services ^{2/}	GF	-	-	57.8	7,962,012
Branch Accounting and Procurement	GF	103.2	23,103,517	115.0	25,734,211
	IMF	0.9	127,490	1.0	155,984
	TCTF	10.5	1,433,893	11.0	1,508,903
	SCFCF	11.9	2,158,514	13.0	1,857,212
Judicial Council of California Office Total		583.8	136,353,644	707.9	153,081,000

* Fund description included on first page of the Judicial Branch budget.

^{1/} Position detail ties to the 2019-20 Salaries and Wages Supplement.^{2/} Beginning in 2018-19, Administrative Services, Judicial Council and Trial Court Leadership, and Special Projects merged to Leadership Support Services.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued**PROGRAM DESCRIPTIONS**

0130 - SUPREME COURT

The Supreme Court is the highest court in the California judicial system. Its decisions are binding on all other California state courts. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the California Constitution, all death penalty judgments are appealed directly to the Supreme Court.

0135 - COURTS OF APPEAL

Established by a constitutional amendment in 1904, the Courts of Appeal are California's intermediate courts of review. The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the state. Cases before the Courts of Appeal involve every area of civil and criminal law.

0140 - JUDICIAL COUNCIL

The Judicial Council of California is the constitutional policy-making body for the state judiciary. The Council consists of 21 voting members and 11 advisory members; the Chief Justice of California serves as chair. The Judicial Council staff serve as the administrative arm of the Council. Staff provide policy support to the Council, administrative accountability in the operation of the courts as specified by law, strategic planning for capital outlay, design, and construction of court facilities; and administrative support for courts in areas such as budget, fiscal services, coordination of the assignment of retired judges, technology, education, legal advice and services, human resources, legislative advocacy, and research.

0140023 - JUDICIAL BRANCH FACILITY PROGRAM

The Judicial Branch Facility Program administers the acquisition, planning, construction, operations, and maintenance of judicial branch facilities. This program is responsible for the development of long-term facilities master plans, facility and real estate management, renovation of existing court facilities, and new courthouse planning, design, and construction.

0150 - STATE TRIAL COURT FUNDING

0150010 - SUPPORT FOR THE OPERATION OF THE TRIAL COURTS

This program's objective is to provide the resources necessary for the statewide trial court system to adjudicate civil and criminal cases. This program includes all allowable trial court administrative costs under Government Code section 77003, except court-appointed dependency counsel, salaries and benefits of Superior Court judges, compensation for assigned judges, support for language interpreters, grants, and expenses on behalf of the trial courts.

0150011 - COURT APPOINTED DEPENDENCY COUNSEL

This program provides funding for court-appointed counsel for children, non-minor dependents and parents in juvenile court dependency proceedings pursuant to Welfare and Institutions Code section 317, subdivisions (b) and (c), and Government Code section 77003, subdivision (a)(4).

0150019 - COMPENSATION OF SUPERIOR COURT JUDGES

This program provides funding for the salaries and state benefits for Superior Court judges.

0150028 - ASSIGNED JUDGES

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the Chief Justice to positions in courts which require assistance due to judicial absences or other factors impacting the ability of a court to avoid case delay.

0150037 - COURT INTERPRETERS

This program supports the provision of qualified language interpreters in criminal, juvenile, family and civil proceedings as required by statute.

0150046 - GRANTS

This program provides funding for government grants providing public services related to the trial courts.

0150095 - EXPENSES ON BEHALF OF THE TRIAL COURTS

0250 Judicial Branch - Continued

This program provides appropriation for statewide programs and services provided to the court or courts by the Judicial Council or payment for services or property of any kind contracted for the court or courts or on behalf of the courts by the Judicial Council, which are paid for or reimbursed by the trial courts. The appropriation also supports the implementation and administration of the Civil Representation Pilot Program.

0155 - HABEAS CORPUS RESOURCE CENTER

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the Supreme Court of California and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

DETAILED EXPENDITURES BY PROGRAM

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
	PROGRAM REQUIREMENTS			
0130	SUPREME COURT			
	State Operations:			
0001	General Fund	\$48,239	\$49,801	\$50,379
3060	Appellate Court Trust Fund	-	1,163	1,163
9728	Judicial Branch Workers Compensation Fund	-13	-	-
	Totals, State Operations	<u>\$48,226</u>	<u>\$50,964</u>	<u>\$51,542</u>
	PROGRAM REQUIREMENTS			
0135	COURTS OF APPEAL			
	State Operations:			
0001	General Fund	\$229,230	\$239,313	\$245,773
0995	Reimbursements	264	-	-
3060	Appellate Court Trust Fund	5,636	6,311	6,304
9728	Judicial Branch Workers Compensation Fund	-193	-	-
	Totals, State Operations	<u>\$234,937</u>	<u>\$245,624</u>	<u>\$252,077</u>
	PROGRAM REQUIREMENTS			
0140	JUDICIAL COUNCIL			
	State Operations:			
0001	General Fund	\$162,357	\$179,401	\$190,998
0044	Motor Vehicle Account, State Transportation Fund	209	216	216
0159	State Trial Court Improvement and Modernization Fund	4,405	6,147	5,928
0327	Court Interpreters Fund	450	156	156
0587	Family Law Trust Fund	1,256	1,706	1,706
0890	Federal Trust Fund	2,266	3,340	3,336
0932	Trial Court Trust Fund	2,657	3,957	3,957
0942	Special Deposit Fund	30	-	-
0995	Reimbursements	30,943	32,682	28,082
3037	State Court Facilities Construction Fund	129,453	147,800	160,275
3066	Court Facilities Trust Fund	105,390	108,947	104,030
3085	Mental Health Services Fund	1,128	1,134	1,134
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	173,210	176,255	175,948
8059	State Community Corrections Performance Incentive Fund	1,053	1,000	1,000
9728	Judicial Branch Workers Compensation Fund	-68	2	2
	Totals, State Operations	<u>\$614,739</u>	<u>\$662,743</u>	<u>\$676,768</u>
	SUBPROGRAM REQUIREMENTS			
0140010	Judicial Council			
	State Operations:			

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0250 Judicial Branch - Continued

		2017-18*	2018-19*	2019-20*
0001	General Fund	\$109,890	\$119,817	\$140,264
0044	Motor Vehicle Account, State Transportation Fund	209	216	216
0159	State Trial Court Improvement and Modernization Fund	4,405	6,147	5,928
0327	Court Interpreters Fund	450	156	156
0587	Family Law Trust Fund	1,256	1,706	1,706
0890	Federal Trust Fund	2,266	3,340	3,336
0932	Trial Court Trust Fund	2,657	3,957	3,957
0942	Special Deposit Fund	30	-	-
0995	Reimbursements	4,675	6,082	6,082
3037	State Court Facilities Construction Fund	8,406	9,524	9,530
3085	Mental Health Services Fund	1,128	1,134	1,134
8059	State Community Corrections Performance Incentive Fund	1,053	1,000	1,000
9728	Judicial Branch Workers Compensation Fund	-68	2	2
	Totals, State Operations	\$136,357	\$153,081	\$173,311
	SUBPROGRAM REQUIREMENTS			
0140023	Judicial Branch Facility Program			
	State Operations:			
0001	General Fund	\$52,467	\$59,584	\$50,734
0995	Reimbursements	26,268	26,600	22,000
3037	State Court Facilities Construction Fund	121,047	138,276	150,745
3066	Court Facilities Trust Fund	105,390	108,947	104,030
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	173,210	176,255	175,948
	Totals, State Operations	\$478,382	\$509,662	\$503,457
	PROGRAM REQUIREMENTS			
0150	STATE TRIAL COURT FUNDING			
	Local Assistance:			
0001	General Fund	\$1,327,451	\$1,511,705	\$1,757,129
0159	State Trial Court Improvement and Modernization Fund	5,945	8,625	11,027
0890	Federal Trust Fund	971	2,275	2,275
0932	Trial Court Trust Fund	1,315,425	1,319,787	1,373,554
0995	Reimbursements	55,644	60,507	94,462
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	50,000	50,000	50,000
9728	Judicial Branch Workers Compensation Fund	-104	-	-
	Totals, Local Assistance	\$2,755,332	\$2,952,899	\$3,288,447
	SUBPROGRAM REQUIREMENTS			
0150010	Support for Operation of Trial Courts			
	Local Assistance:			
0001	General Fund	\$676,898	\$824,091	\$987,946
0159	State Trial Court Improvement and Modernization Fund	5,945	8,625	11,027
0932	Trial Court Trust Fund	1,302,501	1,288,738	1,353,450
0995	Reimbursements	1	1	1
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	50,000	50,000	50,000
9728	Judicial Branch Workers Compensation Fund	-62	-	-
	Totals, Local Assistance	\$2,035,283	\$2,171,455	\$2,402,424
	SUBPROGRAM REQUIREMENTS			
0150011	Court Appointed Dependency Counsel			
	Local Assistance:			
0001	General Fund	\$136,700	\$136,700	\$156,700
0932	Trial Court Trust Fund	-69	-	-

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0250 Judicial Branch - Continued

		2017-18*	2018-19*	2019-20*
0995	Reimbursements	-	-	33,955
	Totals, Local Assistance	\$136,631	\$136,700	\$190,655
	SUBPROGRAM REQUIREMENTS			
0150019	Compensation of Superior Court Judges			
	Local Assistance:			
0001	General Fund	\$356,206	\$369,964	\$413,807
0932	Trial Court Trust Fund	-7,145	6,421	3,297
9728	Judicial Branch Workers Compensation Fund	-42	-	-
	Totals, Local Assistance	\$349,019	\$376,385	\$417,104
	SUBPROGRAM REQUIREMENTS			
0150028	Assigned Judges			
	Local Assistance:			
0001	General Fund	\$28,117	\$29,090	\$29,090
0932	Trial Court Trust Fund	-54	-	-
	Totals, Local Assistance	\$28,063	\$29,090	\$29,090
	SUBPROGRAM REQUIREMENTS			
0150037	Court Interpreters			
	Local Assistance:			
0001	General Fund	\$103,632	\$108,961	\$120,687
0932	Trial Court Trust Fund	4,905	7,821	-
	Totals, Local Assistance	\$108,537	\$116,782	\$120,687
	SUBPROGRAM REQUIREMENTS			
0150051	Child Support Commissioner Program (AB 1058)			
	Local Assistance:			
0001	General Fund	\$57	\$-	\$-
0995	Reimbursements	53,037	54,332	54,332
	Totals, Local Assistance	\$53,094	\$54,332	\$54,332
	SUBPROGRAM REQUIREMENTS			
0150055	California Collaborative and Drug Court Projects			
	Local Assistance:			
0001	General Fund	\$1,102	\$1,160	\$1,160
0995	Reimbursements	1,173	4,588	4,588
	Totals, Local Assistance	\$2,275	\$5,748	\$5,748
	SUBPROGRAM REQUIREMENTS			
0150059	Federal Child Access and Visitation Grant Program			
	Local Assistance:			
0890	Federal Trust Fund	\$881	\$800	\$800
	Totals, Local Assistance	\$881	\$800	\$800
	SUBPROGRAM REQUIREMENTS			
0150063	Federal Court Improvement Grant Program			
	Local Assistance:			
0890	Federal Trust Fund	\$90	\$700	\$700
	Totals, Local Assistance	\$90	\$700	\$700
	SUBPROGRAM REQUIREMENTS			
0150067	Court Appointed Special Advocate (CASA) Program			
	Local Assistance:			
0001	General Fund	\$2,213	\$2,713	\$2,713
	Totals, Local Assistance	\$2,213	\$2,713	\$2,713
	SUBPROGRAM REQUIREMENTS			
0150071	Model Self-Help Program			
	Local Assistance:			

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0250 Judicial Branch - Continued

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
0001	General Fund	\$957	\$957	\$957
	Totals, Local Assistance	<u>\$957</u>	<u>\$957</u>	<u>\$957</u>
	SUBPROGRAM REQUIREMENTS			
0150075	Grants-Other			
	Local Assistance:			
0001	General Fund	\$-	\$16,500	\$-
0995	Reimbursements	1,433	1,586	1,586
	Totals, Local Assistance	<u>\$1,433</u>	<u>\$18,086</u>	<u>\$1,586</u>
	SUBPROGRAM REQUIREMENTS			
0150079	Federal Grants-Other			
	Local Assistance:			
0890	Federal Trust Fund	\$-	\$775	\$775
	Totals, Local Assistance	<u>\$-</u>	<u>\$775</u>	<u>\$775</u>
	SUBPROGRAM REQUIREMENTS			
0150083	Equal Access Fund			
	Local Assistance:			
0001	General Fund	\$20,392	\$20,392	\$42,892
0932	Trial Court Trust Fund	5,208	5,482	5,482
	Totals, Local Assistance	<u>\$25,600</u>	<u>\$25,874</u>	<u>\$48,374</u>
	SUBPROGRAM REQUIREMENTS			
0150087	Family Law Information Centers			
	Local Assistance:			
0001	General Fund	\$345	\$345	\$345
	Totals, Local Assistance	<u>\$345</u>	<u>\$345</u>	<u>\$345</u>
	SUBPROGRAM REQUIREMENTS			
0150091	Civil Case Coordination			
	Local Assistance:			
0001	General Fund	\$832	\$832	\$832
	Totals, Local Assistance	<u>\$832</u>	<u>\$832</u>	<u>\$832</u>
	SUBPROGRAM REQUIREMENTS			
0150095	Expenses on Behalf of the Trial Courts			
	Local Assistance:			
0932	Trial Court Trust Fund	\$10,079	\$11,325	\$11,325
	Totals, Local Assistance	<u>\$10,079</u>	<u>\$11,325</u>	<u>\$11,325</u>
	PROGRAM REQUIREMENTS			
0155	HABEAS CORPUS RESOURCE CENTER			
	State Operations:			
0001	General Fund	\$15,515	\$15,698	\$15,767
0890	Federal Trust Fund	85	1,026	1,026
9728	Judicial Branch Workers Compensation Fund	-15	-	-
	Totals, State Operations	<u>\$15,585</u>	<u>\$16,724</u>	<u>\$16,793</u>
	PROGRAM REQUIREMENTS			
0170	OFFSET FROM LOCAL PROPERTY TAX REVENUE			
	Local Assistance:			
0001	General Fund	-\$48,174	-\$62,725	-\$62,725
	Totals, Local Assistance	<u>-\$48,174</u>	<u>-\$62,725</u>	<u>-\$62,725</u>
	TOTALS, EXPENDITURES			
	State Operations	913,487	976,055	997,180
	Local Assistance	2,707,158	2,890,174	3,225,722
	Totals, Expenditures	<u>\$3,620,645</u>	<u>\$3,866,229</u>	<u>\$4,222,902</u>

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0250 Judicial Branch - Continued

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	1,729.9	1,752.9	1,752.9	\$188,196	\$190,754	\$190,754
Other Adjustments	9.5	-	20.5	9,111	1,145	3,771
Net Totals, Salaries and Wages	1,739.4	1,752.9	1,773.4	\$197,307	\$191,899	\$194,525
Staff Benefits	-	-	-	88,626	104,158	105,234
Totals, Personal Services	1,739.4	1,752.9	1,773.4	\$285,933	\$296,057	\$299,759
OPERATING EXPENSES AND EQUIPMENT				\$551,720	\$602,279	\$619,702
SPECIAL ITEMS OF EXPENSES				75,834	77,719	77,719
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$913,487	\$976,055	\$997,180

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2017-18*	2018-19*	2019-20*
	0001 General Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$398,283	\$418,610	\$458,817
Allocation for Employee Compensation		-	1,145	-
Allocation for Staff Benefits		-	210	-
Section 3.60 Pension Contribution Adjustment		-	1,677	-
Section 6.10 Deferred Maintenance Project Funding		-	50,000	-
Transfer - Court Innovation Grant Admin Cost		-	152	-
Transfer - Workers' Compensation, Judicial Branch Workers' Compensation Fund		-	-892	-
003 Budget Act appropriation		4,550	4,386	4,382
Lease Revenue Debt Service Adjustment		-	-1	-
Lease Revenue Debt Service CY Adjustment		-	-20	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)		894	1	1
Transfer - Workers' Compensation, Judicial Branch Workers' Compensation Fund		-	892	-
012 Budget Act appropriation (transfer to Court Facilities Trust Fund)		8,053	8,053	34,203
013 Budget Act appropriation (loan to Trial Court Trust Fund)		(671)	(491)	(-)
Pending Legislation		-	-	5,514
Prior Year Balances Available:				
Item 0250-001-0001, Budget Act of 2016		43,561	-	-
Totals Available		\$455,341	\$484,213	\$502,917
TOTALS, EXPENDITURES		\$455,341	\$484,213	\$502,917
	0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$209	\$215	\$216
Section 3.60 Pension Contribution Adjustment		-	1	-
Totals Available		\$209	\$216	\$216
TOTALS, EXPENDITURES		\$209	\$216	\$216
	0159 State Trial Court Improvement and Modernization Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$4,405	\$6,211	\$5,928
Allocation for Staff Benefits		-	1	-

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0250 Judicial Branch - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Section 3.60 Pension Contribution Adjustment	-	35	-
Totals Available	\$4,405	\$6,247	\$5,928
Unexpended balance, estimated savings	-	-100	-
TOTALS, EXPENDITURES	\$4,405	\$6,147	\$5,928
0327 Court Interpreters Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$450	\$156	\$156
Totals Available	\$450	\$156	\$156
TOTALS, EXPENDITURES	\$450	\$156	\$156
0587 Family Law Trust Fund			
APPROPRIATIONS			
Family Code section 1852	\$1,256	\$1,706	\$1,706
Totals Available	\$1,256	\$1,706	\$1,706
TOTALS, EXPENDITURES	\$1,256	\$1,706	\$1,706
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,351	\$4,366	\$4,362
Totals Available	\$2,351	\$4,366	\$4,362
TOTALS, EXPENDITURES	\$2,351	\$4,366	\$4,362
0932 Trial Court Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,657	\$3,945	\$3,957
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	11	-
Totals Available	\$2,657	\$3,957	\$3,957
TOTALS, EXPENDITURES	\$2,657	\$3,957	\$3,957
0942 Special Deposit Fund			
APPROPRIATIONS			
Government Code section 16370	\$30	-	-
Totals Available	\$30	-	-
TOTALS, EXPENDITURES	\$30	-	-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$31,207	\$32,682	\$28,082
TOTALS, EXPENDITURES	\$31,207	\$32,682	\$28,082
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$80,579	\$80,752
001 Budget Act appropriation as amended by Chapter 181, Statutes of 2017	74,570	-	-
Allocation for Staff Benefits	-	9	-
Section 3.60 Pension Contribution Adjustment	-	158	-
003 Budget Act appropriation	54,883	79,767	79,523
Lease Revenue Debt Service Adjustment	-	-12,568	-
Lease Revenue Debt Service CY Adjustment	-	-145	-
Totals Available	\$129,453	\$147,800	\$160,275
TOTALS, EXPENDITURES	\$129,453	\$147,800	\$160,275
3060 Appellate Court Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,636	\$7,472	\$7,467
Section 3.60 Pension Contribution Adjustment	-	2	-

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0250 Judicial Branch - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Totals Available	\$5,636	\$7,474	\$7,467
TOTALS, EXPENDITURES	\$5,636	\$7,474	\$7,467
3066 Court Facilities Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$112,083	\$138,233
001 Budget Act appropriation as amended by Chapter 181, Statutes of 2017	113,443	-	-
Transfer to Item 0250-001-3066 - per Provision 1	-	4,917	-
014 Budget Act appropriation	(6,140)	(4,103)	(4,103)
Totals Available	\$113,443	\$117,000	\$138,233
TOTALS, EXPENDITURES	\$113,443	\$117,000	\$138,233
Less funding provided by General Fund	-8,053	-8,053	-34,203
NET TOTALS, EXPENDITURES	\$105,390	\$108,947	\$104,030
3085 Mental Health Services Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,128	\$1,129	\$1,134
Section 3.60 Pension Contribution Adjustment	-	5	-
TOTALS, EXPENDITURES	\$1,128	\$1,134	\$1,134
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$24,686	\$25,000	\$25,000
002 Budget Act appropriation	53,796	54,720	54,720
003 Budget Act appropriation	94,728	96,578	96,228
Lease Revenue Debt Service Adjustment	-	-16	-
Lease Revenue Debt Service CY Adjustment	-	-27	-
Totals Available	\$173,210	\$176,255	\$175,948
TOTALS, EXPENDITURES	\$173,210	\$176,255	\$175,948
8059 State Community Corrections Performance Incentive Fund			
APPROPRIATIONS			
Penal Code section 1233.6	\$1,053	\$1,000	\$1,000
Totals Available	\$1,053	\$1,000	\$1,000
TOTALS, EXPENDITURES	\$1,053	\$1,000	\$1,000
9728 Judicial Branch Workers Compensation Fund			
APPROPRIATIONS			
Government Code section 68114.10	\$605	\$3	\$3
Transfer - Workers' Compensation, Judicial Branch Workers' Compensation Fund	-	892	-
TOTALS, EXPENDITURES	\$605	\$895	\$3
Less funding provided by General Fund	-894	-893	-1
NET TOTALS, EXPENDITURES	-\$289	\$2	\$2
Total Expenditures, All Funds, (State Operations)	\$913,487	\$976,055	\$997,180

2 LOCAL ASSISTANCE

0001 General Fund	2017-18*	2018-19*	2019-20*
APPROPRIATIONS			
101 Budget Act appropriation	\$23,133	\$46,603	\$127,603
102 Budget Act appropriation	68,819	71,502	71,502
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	986,281	1,157,529	1,290,044
Allocation for Employee Compensation	-	13,763	-
Allocation for Staff Benefits	-	200	-
112 Budget Act appropriation (transfer to State Trial Court Improvement and Modernization Fund)	57,518	45,114	69,501
113 Budget Act appropriation (transfer to Trial Court Trust Fund)	55,000	64,294	41,779

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0250 Judicial Branch - Continued

2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
Trial Court Trust Fund Revenue Shortfall Adjustment	-	-28,000	-
114 Budget Act appropriation (transfer to Trial Court Trust Fund)	136,700	136,700	156,700
Chapter 45, Statutes of 2018 (transfer to Trial Court Trust Fund)	-	4,000	-
Totals Available	\$1,327,451	\$1,511,705	\$1,757,129
TOTALS, EXPENDITURES	\$1,327,451	\$1,511,705	\$1,757,129
Offset from local property tax revenue per Control Section 15.45	-48,174	-62,725	-62,725
NET TOTALS, EXPENDITURES	\$1,279,277	\$1,448,980	\$1,694,404
0159 State Trial Court Improvement and Modernization Fund			
APPROPRIATIONS			
102 Budget Act appropriation	\$63,463	\$57,889	\$80,528
Improvement and Modernization Fund Adjustment	-	-4,000	-
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	(594)	(594)	(594)
Totals Available	\$63,463	\$53,889	\$80,528
Unexpended balance, estimated savings	-	-150	-
TOTALS, EXPENDITURES	\$63,463	\$53,739	\$80,528
Less funding provided by General Fund	-57,518	-45,114	-69,501
NET TOTALS, EXPENDITURES	\$5,945	\$8,625	\$11,027
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$971	\$2,275	\$2,275
Totals Available	\$971	\$2,275	\$2,275
TOTALS, EXPENDITURES	\$971	\$2,275	\$2,275
0932 Trial Court Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,316,414	\$2,534,940	\$2,705,376
Allocation for Employee Compensation	-	13,763	-
Allocation for Staff Benefits	-	200	-
Transfer - Workers' Compensation, Trial Court Trust Fund	-	-19,559	-
Transfer to Item 0250-101-0932, per Provision 5	-	7,821	-
Trial Court Trust Fund Adjustment	-	-29,000	-
102 Budget Act appropriation	136,631	136,700	156,700
115 Budget Act appropriation (transfer to Judicial Branch Workers Compensation Fund)	19,709	1	1
Transfer - Workers' Compensation, Trial Court Trust Fund	-	19,559	-
Chapter 45, Statutes of 2018	-	4,000	-
Prior Year Balances Available:			
Item 0250-101-0932, Budget Act of 2016 as amended by Chapter 318, Statutes of 2016	20,652	-152	-
Totals Available	\$2,493,406	\$2,668,273	\$2,862,077
TOTALS, EXPENDITURES	\$2,493,406	\$2,668,273	\$2,862,077
Less funding provided by General Fund	-1,177,981	-1,348,486	-1,488,523
NET TOTALS, EXPENDITURES	\$1,315,425	\$1,319,787	\$1,373,554
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$55,644	\$60,507	\$94,462
TOTALS, EXPENDITURES	\$55,644	\$60,507	\$94,462
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	(\$5,486)	(\$5,486)	(\$5,486)
TOTALS, EXPENDITURES	-	-	-
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			

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0250 Judicial Branch - Continued

2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
APPROPRIATIONS			
101 Budget Act appropriation	\$50,000	\$50,000	\$50,000
TOTALS, EXPENDITURES	\$50,000	\$50,000	\$50,000
9728 Judicial Branch Workers Compensation Fund			
APPROPRIATIONS			
Government Code section 68114.10	\$19,605	\$1	\$1
Transfer - Workers' Compensation, Trial Court Trust Fund	-	19,559	-
TOTALS, EXPENDITURES	\$19,605	\$19,560	\$1
Less funding provided by Trial Court Trust Fund	-19,709	-19,560	-1
NET TOTALS, EXPENDITURES	-\$104	-	-
Total Expenditures, All Funds, (Local Assistance)	\$2,707,158	\$2,890,174	\$3,225,722
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$3,620,645	\$3,866,229	\$4,222,902

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
0159 State Trial Court Improvement and Modernization Fund^S			
BEGINNING BALANCE	\$9,300	\$14,795	\$9,444
Prior Year Adjustments	-5,979	-	-
Adjusted Beginning Balance	\$3,321	\$14,795	\$9,444
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140000 Document Sales	604	649	689
4163000 Investment Income - Surplus Money Investments	864	1,047	1,047
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	2	-	-
4172000 Fines and Forfeitures	34,445	21,709	19,970
4172500 Miscellaneous Revenue	-	2	2
4173500 Settlements and Judgments - Other	206	311	-
Transfers and Other Adjustments			
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Government Code Section 77209(j)	-13,397	-13,397	-13,397
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Item 0250-111-0159, Budget Acts of 2016, 2017, and 2018	-594	-594	-594
Total Revenues, Transfers, and Other Adjustments	\$22,130	\$9,727	\$7,717
Total Resources	\$25,451	\$24,522	\$17,161
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	4,405	6,147	5,928
0250 Judicial Branch (Local Assistance)	63,463	53,739	80,528
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	306	306	106
Expenditure Adjustments:			
Less funding provided by General Fund (Local Assistance)	-57,518	-45,114	-69,501
Total Expenditures and Expenditure Adjustments	\$10,656	\$15,078	\$17,061
FUND BALANCE	\$14,795	\$9,444	\$100
Reserve for economic uncertainties	14,795	9,444	100
0327 Court Interpreters Fund^S			
BEGINNING BALANCE	\$1,014	\$751	\$810
Prior Year Adjustments	-37	-	-
Adjusted Beginning Balance	\$977	\$751	\$810

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0250 Judicial Branch - Continued

	2017-18*	2018-19*	2019-20*
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	233	222	220
Total Revenues, Transfers, and Other Adjustments	<u>\$233</u>	<u>\$222</u>	<u>\$220</u>
Total Resources	<u>\$1,210</u>	<u>\$973</u>	<u>\$1,030</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	450	156	156
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	9	7	58
Total Expenditures and Expenditure Adjustments	<u>\$459</u>	<u>\$163</u>	<u>\$214</u>
FUND BALANCE	<u>\$751</u>	<u>\$810</u>	<u>\$816</u>
Reserve for economic uncertainties	751	810	816
	0587 Family Law Trust Fund^S		
BEGINNING BALANCE	\$6,507	\$7,732	\$8,693
Prior Year Adjustments	49	-	-
Adjusted Beginning Balance	<u>\$6,556</u>	<u>\$7,732</u>	<u>\$8,693</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	95	108	100
4172500 Miscellaneous Revenue	2,393	2,632	2,702
Total Revenues, Transfers, and Other Adjustments	<u>\$2,488</u>	<u>\$2,740</u>	<u>\$2,802</u>
Total Resources	<u>\$9,044</u>	<u>\$10,472</u>	<u>\$11,495</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	1,256	1,706	1,706
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	56	73	72
Total Expenditures and Expenditure Adjustments	<u>\$1,312</u>	<u>\$1,779</u>	<u>\$1,778</u>
FUND BALANCE	<u>\$7,732</u>	<u>\$8,693</u>	<u>\$9,717</u>
Reserve for economic uncertainties	7,732	8,693	9,717
	0932 Trial Court Trust Fund^S		
BEGINNING BALANCE	\$66,569	\$60,478	\$48,307
Prior Year Adjustments	8,557	-	-
Adjusted Beginning Balance	<u>\$75,126</u>	<u>\$60,478</u>	<u>\$48,307</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	498,600	498,600	498,600
4163000 Investment Income - Surplus Money Investments	1,455	2,225	2,225
4170700 Civil and Criminal Violation Assessment	105,962	99,492	93,165
4171200 Court Filing Fees and Surcharges	489,893	502,747	529,229
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	104	-	-
4172000 Fines and Forfeitures	162,016	162,269	162,196
4172500 Miscellaneous Revenue	17	388	116
4173000 Penalty Assessments - Other	25,542	26,158	25,437
Transfers and Other Adjustments			
Loan from the General Fund (0001) to the Trial Court Trust Fund (0932) per Item 0250-013-0001, Budget Acts of 2017 and 2018	671	491	-
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Trial Court Trust Fund (0932) per Item 0250-111-3037, Budget Acts of 2016, 2017, and 2018	5,486	5,486	5,486

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0250 Judicial Branch - Continued

	2017-18*	2018-19*	2019-20*
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Government Code Section 77209(j)	13,397	13,397	13,397
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Item 0250-111-0159, Budget Acts of 2016, 2017, and 2018	594	594	594
Total Revenues, Transfers, and Other Adjustments	<u>\$1,303,737</u>	<u>\$1,311,847</u>	<u>\$1,330,445</u>
Total Resources	<u>\$1,378,863</u>	<u>\$1,372,325</u>	<u>\$1,378,752</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	2,657	3,957	3,957
0250 Judicial Branch (Local Assistance)	2,493,406	2,668,273	2,862,077
0840 State Controller (State Operations)	174	174	174
9892 Supplemental Pension Payments (State Operations)	-	98	76
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	129	2	66
Expenditure Adjustments:			
Less funding provided by General Fund (Local Assistance)	-136,700	-136,700	-156,700
Less funding provided by General Fund (Local Assistance)	-986,281	-1,175,492	-1,290,044
Less funding provided by General Fund (Local Assistance)	-55,000	-36,294	-41,779
Total Expenditures and Expenditure Adjustments	<u>\$1,318,385</u>	<u>\$1,324,018</u>	<u>\$1,377,827</u>
FUND BALANCE	<u>\$60,478</u>	<u>\$48,307</u>	<u>\$925</u>
Reserve for economic uncertainties	60,478	48,307	925
3037 State Court Facilities Construction Fund^S			
BEGINNING BALANCE	\$387,298	\$317,779	\$257,433
Prior Year Adjustments	2,104	-	-
Adjusted Beginning Balance	<u>\$389,402</u>	<u>\$317,779</u>	<u>\$257,433</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	1,810	14	14
4163000 Investment Income - Surplus Money Investments	3,433	4,487	4,487
4171200 Court Filing Fees and Surcharges	25,172	27,495	29,411
4172500 Miscellaneous Revenue	313	13,028	1,000
4172900 Penalty Assessments - Criminal Fines	50,085	44,930	40,776
4173000 Penalty Assessments - Other	11,949	14,928	15,627
Transfers and Other Adjustments			
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138) per Budget Act Item 0250-311-3037, Budget Act of 2018	-	-10,969	-
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138) per Item 0250-311-3037, Budget Act of 2018	-24,493	-	-
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Trial Court Trust Fund (0932) per Item 0250-111-3037, Budget Acts of 2016, 2017, and 2018	-5,486	-5,486	-5,486
Total Revenues, Transfers, and Other Adjustments	<u>\$62,783</u>	<u>\$88,427</u>	<u>\$85,829</u>
Total Resources	<u>\$452,185</u>	<u>\$406,206</u>	<u>\$343,262</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	129,453	147,800	160,275
8880 Financial Information System for California (State Operations)	-	-	-2
9892 Supplemental Pension Payments (State Operations)	-	323	782
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	4,953	650	3,734
Total Expenditures and Expenditure Adjustments	<u>\$134,406</u>	<u>\$148,773</u>	<u>\$164,789</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

	2017-18*	2018-19*	2019-20*
FUND BALANCE	\$317,779	\$257,433	\$178,473
Reserve for economic uncertainties	317,779	257,433	178,473
3060 Appellate Court Trust Fund^s			
BEGINNING BALANCE	\$12,664	\$12,774	\$10,729
Prior Year Adjustments	2	-	-
Adjusted Beginning Balance	\$12,666	\$12,774	\$10,729
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	183	229	220
4171200 Court Filing Fees and Surcharges	5,945	5,454	5,285
Total Revenues, Transfers, and Other Adjustments	\$6,128	\$5,683	\$5,505
Total Resources	\$18,794	\$18,457	\$16,234
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	5,636	7,474	7,467
9892 Supplemental Pension Payments (State Operations)	-	4	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	384	250	408
Total Expenditures and Expenditure Adjustments	\$6,020	\$7,728	\$7,875
FUND BALANCE	\$12,774	\$10,729	\$8,359
Reserve for economic uncertainties	12,774	10,729	8,359
3066 Court Facilities Trust Fund^s			
BEGINNING BALANCE	\$14,795	\$10,336	\$1,143
Prior Year Adjustments	600	-	-
Adjusted Beginning Balance	\$15,395	\$10,336	\$1,143
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	97,207	98,232	102,646
4152500 Rental of State Property	8,747	5,071	3,955
4163000 Investment Income - Surplus Money Investments	514	532	532
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	3	-	-
Transfers and Other Adjustments			
Revenue Transfer from the State Court Facilities Trust Fund (3066) to the Immediate and Critical Needs Account (3138) per Item 0250-014-3066, Budget Acts of 2017 and 2018	-6,140	-4,081	-4,081
Total Revenues, Transfers, and Other Adjustments	\$100,331	\$99,754	\$103,052
Total Resources	\$115,726	\$110,090	\$104,195
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	113,443	117,000	138,233
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	-8,053	-8,053	-34,203
Total Expenditures and Expenditure Adjustments	\$105,390	\$108,947	\$104,030
FUND BALANCE	\$10,336	\$1,143	\$165
Reserve for economic uncertainties	10,336	1,143	165
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund^s			
BEGINNING BALANCE	\$232,290	\$253,284	\$222,427
Prior Year Adjustments	7,853	-	-
Adjusted Beginning Balance	\$240,143	\$253,284	\$222,427
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

	2017-18*	2018-19*	2019-20*
Revenues:			
4152500 Rental of State Property	4	-	-
4163000 Investment Income - Surplus Money Investments	2,645	2,646	2,646
4171200 Court Filing Fees and Surcharges	30,602	29,558	31,355
4172500 Miscellaneous Revenue	17,929	18,149	17,009
4172900 Penalty Assessments - Criminal Fines	126,413	123,601	114,685
4173000 Penalty Assessments - Other	25,352	25,331	24,991
4173800 Traffic Violations	20,456	19,838	18,305
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Immediate and Critical Needs Account (3138) per Item 0250-011-3138, Budget Act of 2011	-	-	90,000
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138) per Budget Act Item 0250-311-3037, Budget Act of 2018	-	10,969	-
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138) per Item 0250-311-3037, Budget Act of 2018	24,493	-	-
Revenue Transfer from the State Court Facilities Trust Fund (3066) to the Immediate and Critical Needs Account (3138) per Item 0250-014-3066, Budget Acts of 2017 and 2018	6,140	4,081	4,081
Total Revenues, Transfers, and Other Adjustments	<u>\$254,034</u>	<u>\$234,173</u>	<u>\$303,072</u>
Total Resources	<u>\$494,177</u>	<u>\$487,457</u>	<u>\$525,499</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	173,210	176,255	175,948
0250 Judicial Branch (Local Assistance)	50,000	50,000	50,000
0250 Judicial Branch (Capital Outlay)	17,035	33,798	1,366
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	648	4,977	516
Total Expenditures and Expenditure Adjustments	<u>\$240,893</u>	<u>\$265,030</u>	<u>\$227,830</u>
FUND BALANCE	<u>\$253,284</u>	<u>\$222,427</u>	<u>\$297,669</u>
Reserve for economic uncertainties	253,284	222,427	297,669

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	1,729.9	1,752.9	1,752.9	\$188,196	\$190,754	\$190,754
Salary and Other Adjustments	9.5	-	-	9,111	1,145	1,145
Workload and Administrative Adjustments						
Case Management System Replacements						
Various	-	-	2.0	-	-	196
Dependency Counsel Title IV-E Funding						
Various	-	-	7.5	-	-	860
Digitizing Court Records						
Various	-	-	1.0	-	-	98
Futures Commission IT Directives						
Various	-	-	-	-	-	617
Implementation of Phoenix Roadmap						
Various	-	-	4.0	-	-	378
Staffing Plan for Fi\$Cal						
Various	-	-	6.0	-	-	477
TOTALS, WORKLOAD AND ADMINISTRATIVE	<u>-</u>	<u>-</u>	<u>20.5</u>	<u>\$-</u>	<u>\$-</u>	<u>\$2,626</u>

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0250 Judicial Branch - Continued

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
ADJUSTMENTS						
Totals, Adjustments	9.5	-	20.5	\$9,111	\$1,145	\$3,771
TOTALS, SALARIES AND WAGES	1,739.4	1,752.9	1,773.4	\$197,307	\$191,899	\$194,525

INFRASTRUCTURE OVERVIEW

The Judicial Branch consists of the Supreme Court, courts of appeal, trial courts, and the Judicial Council. The Supreme Court is located in the Civic Center Plaza in San Francisco (101,500 square feet) and the Ronald Reagan State Building in Los Angeles (7,600 sf). The courts of appeal are organized into six districts, operate in nine different locations, and consist of approximately 508,000 sf. The trial courts are located in 58 counties statewide, in approximately 500 buildings and 2,100 courtrooms of approximately 16 million sf of usable area and more than 21 million sf of space under Judicial Council responsibility and management. The facilities of the Supreme Court, courts of appeal, and trial courts encompass not only the public courtroom spaces but also the chambers and workspaces where judicial officers and courtroom staff prepare for proceedings; secure areas, including holding cells; and building support functions. Judicial Council administrative facilities, occupying approximately 260,000 sf, are located in San Francisco and Sacramento.

SUMMARY OF PROJECTS

		State Building Program Expenditures	2017-18*	2018-19*	2019-20*
0165	CAPITAL OUTLAY Projects				
0000078	Glenn County: Renovation and Addition to Willows Courthouse Construction		-	38,292	-
0000079	Imperial County: New El Centro Courthouse Construction		-	41,944	17,152
0000101	Riverside County: New Indio Juvenile and Family Courthouse Working Drawings		-	-	1,366
	Construction		-	45,327	19,764
0000102	Riverside County: New Mid-County Civil Courthouse Working Drawings		-	81,458	-
	Construction		-	75,792	-
0000103	Sacramento County: New Sacramento Courthouse Working Drawings		16,000	459,801	-
	Construction		16,000	-	-
0000109	Santa Barbara County: New Santa Barbara Criminal Courthouse Construction		54	-	-
0000111	Shasta County: New Redding Courthouse Construction		-	138,763	-
0000112	Siskiyou County: New Yreka Courthouse Construction		612	59,255	-
0000114	Sonoma County: New Santa Rosa Criminal Courthouse Working Drawings		-	171,986	-
	Construction		-	11,252	-
0000115	Stanislaus County: New Modesto Courthouse Working Drawings		-	160,734	-
	Construction		369	252,495	-
0000119	Tuolumne County: New Sonora Courthouse Construction		-	15,252	-
0002143	Alameda County: East County Hall of Justice Data Center Preliminary Plans		-	237,243	-
			-	57,722	-
			-	57,722	-
			-	1,576	-
			-	1	-

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0250 Judicial Branch - Continued

		2017-18*	2018-19*	2019-20*
	State Building Program Expenditures			
0165	CAPITAL OUTLAY Projects			
	Working Drawings	-	52	-
	Construction	-	1,523	-
TOTALS, EXPENDITURES, ALL PROJECTS		\$17,035	\$1,348,619	\$38,282
FUNDING		2017-18*	2018-19*	2019-20*
0660	Public Buildings Construction Fund	\$-	\$1,314,821	\$36,916
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	17,035	33,798	1,366
TOTALS, EXPENDITURES, ALL FUNDS		\$17,035	\$1,348,619	\$38,282

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

		2017-18*	2018-19*	2019-20*
3	CAPITAL OUTLAY			
	0660 Public Buildings Construction Fund			
APPROPRIATIONS				
301	Budget Act appropriation	-	\$1,314,821	\$36,916
TOTALS, EXPENDITURES		-	\$1,314,821	\$36,916
	3037 State Court Facilities Construction Fund			
APPROPRIATIONS				
311	Budget Act appropriation (transfer to Immediate and Critical Needs Account, State Courts Facilities Construction Fund)	(\$24,493)	(-)	(-)
TOTALS, EXPENDITURES		-	-	-
	3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS				
301	Budget Act appropriation	\$612	\$32,170	\$1,366
Prior Year Balances Available:				
	Item 0250-301-3138, Budget Act of 2015 as reverted by Item 0250-495, BA of 2019	54	-	-
	Item 0250-301-3138, Budget Act of 2016 as reverted by Item 0250-495, Budget Act of 2019	369	-	-
	Item 0250-301-3138, Budget Act of 2017 as reappropriated by Item 0250-491, Budget Act of 2018, and as reverted by Item 0250-495, Budget Act of 2019	-	1,628	-
	Item 0250-302-3138, Budget Act of 2014 as added by Chapter 663, Statutes of 2014 and reappropriated by Item 0250-490, Budget Act of 2016 and Item 0250-491, Budget Act of 2017	16,000	-	-
Totals Available		\$17,035	\$33,798	\$1,366
TOTALS, EXPENDITURES		\$17,035	\$33,798	\$1,366
Total Expenditures, All Funds, (Capital Outlay)		\$17,035	\$1,348,619	\$38,282

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0280 Commission on Judicial Performance

The California Commission on Judicial Performance is the independent state agency responsible for investigating complaints of judicial misconduct and judicial incapacity, and for disciplining judges pursuant to Article VI, Section 18 of the California Constitution. It is the only body with such authority in the state. Its jurisdiction includes all active judges and justices of California's superior courts, Courts of Appeal and Supreme Court, and former judges for conduct prior to retirement or resignation. The Commission also shares authority with the local courts for the oversight of court commissioners and referees. In addition to its disciplinary functions, the Commission is responsible for handling judges' applications for disability retirement.

The Commission's authority is limited to investigating alleged judicial misconduct and, if warranted, imposing discipline. Judicial misconduct usually involves conduct in conflict with the standards set forth in the Code of Judicial Ethics. After investigation and, in some cases a public hearing, the Commission may impose sanctions ranging from confidential discipline to removal from office.

The Commission is composed of 11 members: 3 judges appointed by the Supreme Court; 2 attorneys appointed by the Governor; and 6 lay citizens, of which 2 are appointed by the Governor, 2 are appointed by the Senate Committee on Rules, and 2 are appointed by the Speaker of the Assembly. Members are appointed to four-year terms and may serve two terms. Commission members do not receive a salary.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0180 Commission on Judicial Performance	21.7	20.5	20.5	\$5,173	\$5,243	\$5,342
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	21.7	20.5	20.5	\$5,173	\$5,243	\$5,342
FUNDING			2017-18*	2018-19*	2019-20*	
0001 General Fund			\$5,154	\$5,235	\$5,264	
0995 Reimbursements			26	9	79	
9728 Judicial Branch Workers Compensation Fund			-7	-1	-1	
TOTALS, EXPENDITURES, ALL FUNDS			\$5,173	\$5,243	\$5,342	

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article VI, Sections 8, 18, 18.1 and 18.5; Government Code, Sections 75060 et seq. and 75560 et seq.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$28	\$-	-	\$28	\$-	-
• Benefit Adjustments	2	-	-	3	-	-
• Miscellaneous Baseline Adjustments	-	-	-	3	-	-
Totals, Other Workload Budget Adjustments	\$30	\$-	-	\$34	\$-	-
Totals, Workload Budget Adjustments	\$30	\$-	-	\$34	\$-	-
Totals, Budget Adjustments	\$30	\$-	-	\$34	\$-	-

DETAILED EXPENDITURES BY PROGRAM

	2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0280 Commission on Judicial Performance - Continued

		2017-18*	2018-19*	2019-20*
0180	COMMISSION ON JUDICIAL PERFORMANCE			
	State Operations:			
0001	General Fund	\$5,154	\$5,235	\$5,264
0995	Reimbursements	26	9	79
9728	Judicial Branch Workers Compensation Fund	-7	-1	-1
	Totals, State Operations	\$5,173	\$5,243	\$5,342
	TOTALS, EXPENDITURES			
	State Operations	5,173	5,243	5,342
	Totals, Expenditures	\$5,173	\$5,243	\$5,342

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions	Positions	Positions	Expenditures	Expenditures	Expenditures
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	20.5	20.5	20.5	\$2,541	\$2,574	\$2,574
Other Adjustments	1.2	-	-	184	-	-
Net Totals, Salaries and Wages	21.7	20.5	20.5	\$2,725	\$2,574	\$2,574
Staff Benefits	-	-	-	1,170	1,361	1,317
Totals, Personal Services	21.7	20.5	20.5	\$3,895	\$3,935	\$3,891
OPERATING EXPENSES AND EQUIPMENT				\$1,278	\$1,308	\$1,451
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$5,173	\$5,243	\$5,342

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2017-18*	2018-19*	2019-20*
	0001 General Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$5,147	\$5,204	\$5,263
Allocation for Staff Benefits		-	2	-
Section 3.60 Pension Contribution Adjustment		-	28	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)		7	1	1
Totals Available		\$5,154	\$5,235	\$5,264
TOTALS, EXPENDITURES		\$5,154	\$5,235	\$5,264
	0995 Reimbursements			
APPROPRIATIONS				
Reimbursements		\$26	\$9	\$79
TOTALS, EXPENDITURES		\$26	\$9	\$79
	9728 Judicial Branch Workers Compensation Fund			
Less funding provided by General Fund		-7	-1	-1
NET TOTALS, EXPENDITURES		-\$7	-\$1	-\$1
Total Expenditures, All Funds, (State Operations)		\$5,173	\$5,243	\$5,342

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0280 Commission on Judicial Performance - Continued

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	20.5	20.5	20.5	\$2,541	\$2,574	\$2,574
Salary and Other Adjustments	1.2	-	-	184	-	-
Totals, Adjustments	<u>1.2</u>	<u>-</u>	<u>-</u>	<u>\$184</u>	<u>\$-</u>	<u>\$-</u>
TOTALS, SALARIES AND WAGES	21.7	20.5	20.5	\$2,725	\$2,574	\$2,574

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System

The Judges' Retirement System provides retirement benefit funding for judges on California's Supreme, Appellate, and Superior/Municipal courts. The California Public Employees' Retirement System administers both the Judges' Retirement System and the Judges' Retirement System II on behalf of its members.

The Judges' Retirement System is closed to new members, and a second retirement system for judges was established in 1994. All new judges elected or appointed on or after November 9, 1994 become members of the Judges' Retirement System II. The Judges' Retirement System II provides retirement, disability, and death benefits based on age, years of service, final compensation, and eligibility, as determined by specific sections of the Judges' Retirement System II Law. Judges' Retirement System II members eligible for a service retirement have the option of choosing the monetary credit plan (a lump-sum return of contributions and interest earned) or the defined benefit plan. Judges' Retirement System II members not eligible for a defined benefit receive the balance of their monetary credits (in a lump-sum payment). The Judges' Retirement System II receives contributions from judges equal to 8 percent of salary as well as any investment income. The state's contributions are adjusted annually to maintain actuarial soundness of the fund.

The Judges' Retirement System II provides retirement, disability, and death benefits based on age, years of service, compensation of active judges, and eligibility, as determined by specific sections of the Judges' Retirement Law. Active judges and the state each contribute 8 percent of salary to the Judges' Retirement System. Additional contributions come from filing fees for specific civil cases and investment income. These contributions, however, are not sufficient to fully fund benefit payments. Consequently, current law requires the state to fund the difference between existing contribution sources and the required benefit payments to retired judges.

Effective January 1, 2013, provisions of the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340), require that new judges contribute 50 percent of the normal cost of their pension benefits.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0190 State Operations	-	-	-	\$5,717	\$5,044	\$45,063
0195 Local Assistance	-	-	-	273,296	268,066	302,301
0200 Benefit Payments	-	-	-	240,365	233,022	254,124
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$519,378	\$506,132	\$601,488
FUNDING		2017-18*	2018-19*	2019-20*		
0001 General Fund		\$279,013	\$273,110	\$347,364		
0815 Judges Retirement Fund		208,174	207,240	222,759		
0884 Judges Retirement System II Fund		32,191	25,782	31,365		
TOTALS, EXPENDITURES, ALL FUNDS		\$519,378	\$506,132	\$601,488		

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 8, Chapters 11 and 11.5.

MAJOR PROGRAM CHANGES

- The Budget includes \$40 million General Fund to reflect funding for the class action lawsuit related to the Judges' Retirement System, *Mallano v. John Chiang* (Superior Court of California, County of Los Angeles, Case No. BC-533770), to satisfy the court-ordered post-judgment award of back salaries, associated benefits, and interest related to retired judges.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Mallano Judgment	\$-	\$-	-	\$40,000	\$-	-
• Miscellaneous Baseline Adjustments	4,159	-2,026	-	36,947	13,389	-
Totals, Other Workload Budget Adjustments	\$4,159	\$-2,026	-	\$76,947	\$13,389	-
Totals, Workload Budget Adjustments	\$4,159	\$-2,026	-	\$76,947	\$13,389	-
Totals, Budget Adjustments	\$4,159	\$-2,026	-	\$76,947	\$13,389	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued**Judges' Retirement Fund Summaries**

0815 Judges' Retirement Fund ^{1/2/}	PY 2017-18*	CY 2018-19*	BY 2019-20*
Beginning Balance	\$46,538	\$42,359	\$33,892
Revenues:			
Investment Income	\$3,378	\$800	\$640
State Contributions ^{3/}	199,298	197,966	220,063
Member Contributions	3,007	2,604	2,147
Contribution Refunds	-8	-28	-28
Total Revenues	\$205,675	\$201,342	\$222,822
Expenditures:			
Pension Benefit Payments ^{3/}	\$208,174	\$207,240	\$217,878
Administrative Expenditures ^{4/}	1,680	2,569	2,597
Total Expenditures	\$209,854	\$209,809	\$220,475
Ending Fund Balance	\$42,359	\$33,892	\$36,239
0884 Judges' Retirement System II Fund ^{1/2/}	PY 2017-18*	CY 2018-19*	BY 2019-20*
Beginning Balance	\$1,354,189	\$1,528,901	\$1,723,429
Revenues:			
Investment Income	\$101,820	\$114,957	\$129,581
State Contributions ^{3/}	79,716	78,792	78,792
Member Contributions	27,499	29,661	31,151
Contribution Refunds	-50	-95	-95
Total Revenues	\$208,985	\$223,315	\$239,429
Expenditures:			
Pension Benefit Payments ^{3/}	\$32,191	\$25,782	\$31,796
Administrative Expenditures ^{4/}	2,082	3,005	3,033
Total Expenditures	\$34,273	\$28,787	\$34,829
Ending Fund Balance	\$1,528,901	\$1,723,429	\$1,928,029

^{1/} This display reflects fund data as of the 2019-20 Governor's Budget.

^{2/} This display does not reflect the \$40 million payment in 2019-20 for the post judgment award in Robert M. Mallano, Individually, and on Behalf of a Class of Similarly Situated Persons v. John Chiang, Controller of the State of California (Superior Court of California, County of Los Angeles, Case No. BC-533770).

^{3/} The 2019 Budget Act estimates 2019-20 state contributions to be \$265,785,000 to the Judges' Retirement System, and \$85,226,000 to the Judges' Retirement System II. The 2019 Budget Act estimates 2019-20 pension benefit payments to be \$222,759,000 from the Judges' Retirement Fund and \$31,365,000 from the Judges' Retirement System II Fund. The revisions in the estimates from the 2019-20 Governor's Budget to the 2019 Budget Act are the result of (1) changes in the number of active and retired members in both systems, (2) an increase in member salaries, and (3) an increase in the employer contribution rate to the Judges' Retirement System II, as approved by the CalPERS Board of Administration at its February 2019 meeting.

^{4/} The 2019 Budget Act estimates 2019-20 administrative expenditures to be \$2,045,000 for the Judges' Retirement Fund and \$2,325,000 for the Judges' Retirement System II Fund, as approved by the CalPERS Board of Administration at its May 2019 meeting.

0390 Contributions to the Judges' Retirement System - Continued

DETAILED EXPENDITURES BY PROGRAM

	2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS			
0190 STATE OPERATIONS			
State Operations:			
0001 General Fund	\$5,717	\$5,044	\$45,063
Totals, State Operations	\$5,717	\$5,044	\$45,063
PROGRAM REQUIREMENTS			
0195 LOCAL ASSISTANCE			
Local Assistance:			
0001 General Fund	\$273,296	\$268,066	\$302,301
Totals, Local Assistance	\$273,296	\$268,066	\$302,301
PROGRAM REQUIREMENTS			
0200 BENEFIT PAYMENTS			
Unclassified:			
0815 Judges Retirement Fund	\$208,174	\$207,240	\$222,759
0884 Judges Retirement System II Fund	32,191	25,782	31,365
Totals, Unclassified	\$240,365	\$233,022	\$254,124
TOTALS, EXPENDITURES			
State Operations	5,717	5,044	45,063
Local Assistance	273,296	268,066	302,301
Unclassified	240,365	233,022	254,124
Totals, Expenditures	\$519,378	\$506,132	\$601,488

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$1,150	\$1,150	\$41,150
Government Code section 75101 (JRS I)	1,299	768	419
Revised Estimates	-	-104	-
Government Code section 75600.5 (JRS II)	3,268	2,454	3,494
Revised Estimates	-	776	-
TOTALS, EXPENDITURES	\$5,717	\$5,044	\$45,063
Total Expenditures, All Funds, (State Operations)	\$5,717	\$5,044	\$45,063
2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$193,052	\$190,565	\$219,344
Government Code section 75101 (JRS I)	3,796	2,109	1,225
Revised Estimates	-	-169	-
Government Code section 75600.5 (JRS II)	76,448	71,905	81,732
Revised Estimates	-	3,656	-
TOTALS, EXPENDITURES	\$273,296	\$268,066	\$302,301
Total Expenditures, All Funds, (Local Assistance)	\$273,296	\$268,066	\$302,301

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued

4 UNCLASSIFIED	2017-18*	2018-19*	2019-20*
0815 Judges Retirement Fund			
APPROPRIATIONS			
Government Code section 75025	\$208,174	\$212,578	\$222,759
Revised Estimates	-	-5,338	-
TOTALS, EXPENDITURES	\$208,174	\$207,240	\$222,759
0884 Judges Retirement System II Fund			
APPROPRIATIONS			
Government Code section 75522	\$32,191	\$22,470	\$31,365
Revised Estimates	-	3,312	-
TOTALS, EXPENDITURES	\$32,191	\$25,782	\$31,365
Total Expenditures, All Funds, (Unclassified)	\$240,365	\$233,022	\$254,124
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance and Unclassified)	\$519,378	\$506,132	\$601,488

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0500 Governor's Office

Article V of the California Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained in Sacramento.

The Office of the First Partner is dedicated to furthering the cause of gender equity in California, lifting up women and their families, and breaking down barriers for our youth.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0210	Governor's Office	88.0	108.0	125.0	\$16,570	\$20,036	\$23,784
0215	Office of the First Partner	-	-	7.0	-	-	791
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		88.0	108.0	132.0	\$16,570	\$20,036	\$24,575

FUNDING		2017-18*	2018-19*	2019-20*
0001	General Fund		\$14,056	\$17,223
9740	Central Service Cost Recovery Fund		2,514	2,812
9750	Immigrant Integration Fund		-	1
TOTALS, EXPENDITURES, ALL FUNDS			\$16,570	\$20,036

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Governor's Office Budget Adjustment	\$-	\$-	17.0	\$5,711	\$-	41.0
• Other Post-Employment Benefit Adjustments	78	-	-	78	-	-
• Central Service Function Cost Realignment	-	-	-	-573	573	-
• Miscellaneous Baseline Adjustments	-	-	-	1,075	-	-
• Salary Adjustments	377	-	-	377	-	-
• Benefit Adjustments	144	-	-	147	-	-
• Retirement Rate Adjustments	95	-	-	95	-	-
Totals, Other Workload Budget Adjustments	\$694	\$-	17.0	\$6,910	\$573	41.0
Totals, Workload Budget Adjustments	\$694	\$-	17.0	\$6,910	\$573	41.0
Totals, Budget Adjustments	\$694	\$-	17.0	\$6,910	\$573	41.0

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0210	GOVERNOR'S OFFICE			
State Operations:				
0001	General Fund	\$14,056	\$17,223	\$20,398
9740	Central Service Cost Recovery Fund	2,514	2,812	3,385
9750	Immigrant Integration Fund	-	1	1

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0500 Governor's Office - Continued

		2017-18*	2018-19*	2019-20*
Totals, State Operations		\$16,570	\$20,036	\$23,784
PROGRAM REQUIREMENTS				
0215	OFFICE OF THE FIRST PARTNER			
State Operations:				
0001	General Fund	\$-	\$-	\$791
Totals, State Operations		\$-	\$-	\$791
TOTALS, EXPENDITURES				
State Operations		16,570	20,036	24,575
Totals, Expenditures		\$16,570	\$20,036	\$24,575

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	88.0	91.0	91.0	\$10,067	\$12,567	\$10,317
Other Adjustments	-	17.0	41.0	-113	377	4,945
Net Totals, Salaries and Wages	88.0	108.0	132.0	\$9,954	\$12,944	\$15,262
Staff Benefits	-	-	-	4,419	4,217	6,105
Totals, Personal Services	88.0	108.0	132.0	\$14,373	\$17,161	\$21,367
OPERATING EXPENSES AND EQUIPMENT				\$2,197	\$2,874	\$3,207
SPECIAL ITEMS OF EXPENSES				-	1	1
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$16,570	\$20,036	\$24,575

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$14,056	\$16,529	\$21,189
Allocation for Employee Compensation	-	377	-
Allocation for Other Post-Employment Benefits	-	78	-
Allocation for Staff Benefits	-	144	-
Section 3.60 Pension Contribution Adjustment	-	95	-
Totals Available	\$14,056	\$17,223	\$21,189
TOTALS, EXPENDITURES	\$14,056	\$17,223	\$21,189
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,514	\$2,812	\$3,385
Totals Available	\$2,514	\$2,812	\$3,385
TOTALS, EXPENDITURES	\$2,514	\$2,812	\$3,385
9750 Immigrant Integration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1	\$1
Totals Available	-	\$1	\$1
TOTALS, EXPENDITURES	-	\$1	\$1
Total Expenditures, All Funds, (State Operations)	\$16,570	\$20,036	\$24,575

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0500 Governor's Office - Continued

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	88.0	91.0	91.0	\$10,067	\$12,567	\$10,317
Salary and Other Adjustments	-	17.0	41.0	-113	377	4,945
Totals, Adjustments	-	17.0	41.0	\$-113	\$377	\$4,945
TOTALS, SALARIES AND WAGES	88.0	108.0	132.0	\$9,954	\$12,944	\$15,262

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0509 Governor's Office of Business and Economic Development (GO-Biz)

The Governor's Office of Business and Economic Development (GO-Biz) provides a single point of contact for economic development, business assistance and job creation efforts. The GO-Biz works with companies and organizations across the nation to market the benefits of doing business in California, recruit new businesses, retain businesses, and support private sector job growth. The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, economic growth, export promotion, permit assistance, innovation and entrepreneurship.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0220	Go-Biz	28.8	38.7	44.7	\$5,312	\$7,733	\$28,493
0225	California Business Investment Services	8.1	7.2	12.2	1,773	2,238	2,609
0230	Office of the Small Business Advocate	2.9	2.7	5.7	3,312	23,329	21,412
0235	Infrastructure, Finance and Economic Development	39.8	35.7	36.7	8,373	18,218	19,482
0240	Community Reinvestment Grants Program	-	3.0	7.0	-	10,000	20,000
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		79.6	87.3	106.3	\$18,770	\$61,518	\$91,996
FUNDING					2017-18*	2018-19*	2019-20*
0001	General Fund				\$12,863	\$35,763	\$54,898
0649	California Infrastructure and Economic Development Bank Fund				4,685	11,199	12,463
0918	California Small Business Expansion Fund				28	2,139	2,139
0995	Reimbursements				960	2,061	1,373
3083	Welcome Center Fund				59	111	111
3095	Film Promotion and Marketing Fund				-	10	10
3237	Cost of Implementation Account, Air Pollution Control Fund				175	235	1,002
3314	California Cannabis Tax Fund				-	10,000	-
3348	Cannabis Tax Fund - Governors Office Business and Economic Development - Allocation 2				-	-	20,000
TOTALS, EXPENDITURES, ALL FUNDS					\$18,770	\$61,518	\$91,996

LEGAL CITATIONS AND AUTHORITY

Government Code Sections 12096.1-12100.69, 13995.150-13995.155, 14998-14998.13, 63050-63056, and 63088-63089.98; Corporations Code Sections 14000-14024; and Revenue and Taxation Code Section 34019(d).

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Local Cannabis Equity Grant Program	\$-	\$-	-	\$15,000	\$-	-
• Claremont Pomona Locally Grown Power	-	-	-	2,100	-	-
• Los Angeles Cleantech Incubator	-	-	-	2,000	-	-
• City of Glendale Tech Accelerator	-	-	-	1,000	-	-
• Office of Small Business Advocate - Central Valley and Grants Programs	-	-	-	806	-	3.0
• International Trade Program Specialists	-	-	-	592	-	3.0
• Information Technology Infrastructure and Desktop Support Services	-	-	-	487	-	3.0

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Local Economic Development Liaison Services (SB 635)	-	-	-	148	-	1.0
• Central Valley Economic Development	-	-	-	40	-	-
• Zero Emission Vehicle Infrastructure Unit	-	-	-	-	767	4.0
• California Infrastructure and Economic Development Bank Credit Officer	-	-	-	-	263	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$22,173	\$1,030	15.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	59	40	-	59	40	-
• Salary Adjustments	227	138	-	227	138	-
• Benefit Adjustments	87	50	-	89	51	-
• Retirement Rate Adjustments	56	33	-	56	33	-
• Miscellaneous Baseline Adjustments	-298	647	-	-298	959	-
Totals, Other Workload Budget Adjustments	\$131	\$908	-	\$133	\$1,221	-
Totals, Workload Budget Adjustments	\$131	\$908	-	\$22,306	\$2,251	15.0
Totals, Budget Adjustments	\$131	\$908	-	\$22,306	\$2,251	15.0

PROGRAM DESCRIPTIONS

0220 - GO-Biz

The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, and economic growth, and export promotion. This program makes recommendations to the Governor and the Legislature regarding policies, programs, and actions to advance statewide economic goals.

0225 - CALIFORNIA BUSINESS INVESTMENT SERVICES

This program serves employers, corporate executives, business owners, and site location consultants who are considering California for business investment and expansion. This program convenes teams on key business development issues. This program works with local, state, and federal partners to attract, retain, and grow businesses in addition to providing permit assistance and helping businesses succeed in California. The Innovation Hub (iHub) initiative improves the state's national and global competitiveness by stimulating partnerships, economic development, and job creation around specific research clusters through state-designated iHubs.

0230 - OFFICE OF THE SMALL BUSINESS ADVOCATE

The director of the Office of the Small Business Advocate (OSBA) serves as the principal advocate in the state on behalf of small businesses, including legislation and administrative regulations that affect small business. The OSBA is responsible for disseminating information about programs and services provided by the state that benefit small businesses, and how small businesses can participate in these programs and services. The OSBA responds to issues from small businesses concerning the actions of state agencies, state laws and regulations adversely affecting those businesses. The OSBA maintains and distributes an annual list of persons serving as small business ombudsmen throughout state government.

0235010 - CALIFORNIA FILM COMMISSION

The California Film Commission (CFC) enhances California's status as the leader in motion picture, television and commercial production. The Commission is tasked with retaining and increasing motion picture production and to see that it continues to create jobs and boost business throughout the State. A one-stop office for filmmakers, the Commission supports productions of all sizes and budgets with a variety of services. In addition to issuing film permits for all state properties, administering the film and TV tax credit program, maintaining an extensive location library, and offering production assistance on a wide variety of issues, CFC also works closely with cities and counties with the goal of creating "film friendly" policies that are consistent state-wide.

0235019 - DIVISION OF TOURISM

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

The California Tourism Market Act provides for the marketing of California through an assessment of businesses that benefit from travel and tourism. The objective of the Tourism Assessment Program is to identify potentially assessable businesses, assist companies with determining the appropriate amount of their self-assessment, and collect the fee.

0235028 - CALIFORNIA INFRASTRUCTURE AND ECONOMIC DEVELOPMENT BANK

California Infrastructure and Economic Development Bank (IBank) was created to finance public infrastructure and private development that promote a healthy climate for jobs, contribute to a strong economy and improve the quality of life in California communities. IBank has a broad authority to issue tax-exempt and taxable revenue bonds, provide financing to public agencies, provide credit enhancements, acquire or lease facilities, and leverage State and Federal funds. IBank's current programs include the infrastructure state revolving fund, 501(c)(3) tax-exempt and taxable revenue bond program, industrial development revenue bond program, exempt facility revenue bond program, governmental bond program and the Clean Energy Finance Center (CEFC) and the Statewide Energy Efficiency Program under the CEFC.

0235037 - SMALL BUSINESS LOAN GUARANTEE PROGRAM

The Small Business Loan Guarantee Program (SBLGP) promotes local economic development by providing guarantees for loans issued to small businesses from financial institutions, typically banks, that otherwise would not approve a term loan or line of credit to a small business. As a result of the SBLGP, participating small businesses are able to secure financing that allows them to grow and expand their business. The loan guarantee serves as a credit enhancement and an incentive for financial institutions to make loans to small businesses that otherwise would not be eligible for such financing.

0235046 - CALIFORNIA WELCOME CENTERS

California Welcome Centers are visitor information centers that are readily accessible to and recognizable by tourists to encourage tourism in California and provide benefits to the state economy. The objective of the California Welcome Center Program is to determine the locality of underserved travelers, designate a welcome center, and establish operating standards across the network.

0240 - COMMUNITY REINVESTMENT GRANT PROGRAM

The California Community Reinvestment Grants Program (CalCRG) was established on July 1, 2018 to implement the competitive grant program mandated by Proposition 64, the Adult Use of Marijuana Act, and the provisions specified in Revenue and Taxation Code section 34019(d). The CalCRG administers grants for local public health departments and qualified community-based nonprofit organizations to support various mental health treatment, substance use treatment, job placement, legal services and other health and wellness-related programs for communities disproportionately affected by past federal and state drug policies in California. GO-Biz must award grants annually and at least 50 percent of the grant funding will be allocated to qualified community-based nonprofit organizations.

DETAILED EXPENDITURES BY PROGRAM

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
	PROGRAM REQUIREMENTS			
0220	GO-BIZ			
	State Operations:			
0001	General Fund	\$4,994	\$7,190	\$8,118
0995	Reimbursements	143	308	-
3237	Cost of Implementation Account, Air Pollution Control Fund	175	235	235
	Totals, State Operations	<u>\$5,312</u>	<u>\$7,733</u>	<u>\$8,353</u>
	Local Assistance:			
0001	General Fund	\$-	\$-	\$20,140
	Totals, Local Assistance	<u>\$-</u>	<u>\$-</u>	<u>\$20,140</u>
	PROGRAM REQUIREMENTS			
0225	CALIFORNIA BUSINESS INVESTMENT SERVICES			
	State Operations:			
0001	General Fund	\$1,240	\$1,808	\$1,792
0995	Reimbursements	533	430	50
3237	Cost of Implementation Account, Air Pollution Control Fund	-	-	767
	Totals, State Operations	<u>\$1,773</u>	<u>\$2,238</u>	<u>\$2,609</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0509 Governor's Office of Business and Economic Development (GO-Biz) -
Continued**

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
	PROGRAM REQUIREMENTS			
0230	OFFICE OF THE SMALL BUSINESS ADVOCATE			
	State Operations:			
0001	General Fund	\$3,312	\$23,329	\$21,412
	Totals, State Operations	<u>\$3,312</u>	<u>\$23,329</u>	<u>\$21,412</u>
	PROGRAM REQUIREMENTS			
0235	INFRASTRUCTURE, FINANCE AND ECONOMIC DEVELOPMENT			
	State Operations:			
0001	General Fund	\$3,317	\$3,436	\$3,436
0649	California Infrastructure and Economic Development Bank Fund	4,685	5,699	6,963
0918	California Small Business Expansion Fund	28	2,139	2,139
0995	Reimbursements	284	1,323	1,323
3083	Welcome Center Fund	59	111	111
3095	Film Promotion and Marketing Fund	-	10	10
	Totals, State Operations	<u>\$8,373</u>	<u>\$12,718</u>	<u>\$13,982</u>
	Local Assistance:			
0649	California Infrastructure and Economic Development Bank Fund	\$-	\$5,500	\$5,500
	Totals, Local Assistance	<u>\$-</u>	<u>\$5,500</u>	<u>\$5,500</u>
	SUBPROGRAM REQUIREMENTS			
0235010	California Film Commission			
	State Operations:			
0001	General Fund	\$2,294	\$2,376	\$2,376
3095	Film Promotion and Marketing Fund	-	10	10
	Totals, State Operations	<u>\$2,294</u>	<u>\$2,386</u>	<u>\$2,386</u>
	SUBPROGRAM REQUIREMENTS			
0235019	Tourism			
	State Operations:			
0001	General Fund	\$162	\$194	\$194
0995	Reimbursements	284	624	624
3083	Welcome Center Fund	-	1	1
	Totals, State Operations	<u>\$446</u>	<u>\$819</u>	<u>\$819</u>
	SUBPROGRAM REQUIREMENTS			
0235028	California Infrastructure and Economic Development Bank			
	State Operations:			
0649	California Infrastructure and Economic Development Bank Fund	4,685	5,699	6,963
0995	Reimbursements	-	212	212
	Totals, State Operations	<u>\$4,685</u>	<u>\$5,911</u>	<u>\$7,175</u>
	Local Assistance:			
0649	California Infrastructure and Economic Development Bank Fund	\$-	\$5,500	\$5,500
	Totals, Local Assistance	<u>\$-</u>	<u>\$5,500</u>	<u>\$5,500</u>
	SUBPROGRAM REQUIREMENTS			
0235037	Small Business Expansion			
	State Operations:			
0001	General Fund	\$861	\$866	\$866
0918	California Small Business Expansion Fund	28	2,139	2,139
0995	Reimbursements	-	487	487
	Totals, State Operations	<u>\$889</u>	<u>\$3,492</u>	<u>\$3,492</u>
	SUBPROGRAM REQUIREMENTS			
0235046	Welcome Center Program			
	State Operations:			

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**0509 Governor's Office of Business and Economic Development (GO-Biz) -
Continued**

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
3083	Welcome Center Fund	\$59	\$110	\$110
	Totals, State Operations	\$59	\$110	\$110
PROGRAM REQUIREMENTS				
0240	COMMUNITY REINVESTMENT GRANTS PROGRAM			
	State Operations:			
3314	California Cannabis Tax Fund	\$-	\$400	\$-
3348	Cannabis Tax Fund - Governors Office Business and Economic Development - Allocation 2	-	-	800
	Totals, State Operations	\$-	\$400	\$800
	Local Assistance:			
3314	California Cannabis Tax Fund	\$-	\$9,600	\$-
3348	Cannabis Tax Fund - Governors Office Business and Economic Development - Allocation 2	-	-	19,200
	Totals, Local Assistance	\$-	\$9,600	\$19,200
TOTALS, EXPENDITURES				
	State Operations	18,770	46,418	47,156
	Local Assistance	-	15,100	44,840
	Totals, Expenditures	\$18,770	\$61,518	\$91,996

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PERSONAL SERVICES						
Baseline Positions	75.3	87.3	91.3	\$5,541	\$6,779	\$7,008
Other Adjustments	4.3	-	15.0	1,084	365	1,901
Net Totals, Salaries and Wages	79.6	87.3	106.3	\$6,625	\$7,144	\$8,909
Staff Benefits	-	-	-	3,469	6,318	7,160
Totals, Personal Services	79.6	87.3	106.3	\$10,094	\$13,462	\$16,069
OPERATING EXPENSES AND EQUIPMENT				\$7,815	\$30,108	\$28,239
SPECIAL ITEMS OF EXPENSES				861	2,848	2,848
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$18,770	\$46,418	\$47,156

2 Local Assistance	Expenditures		
	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Grants and Subventions - Governmental		\$9,600	\$39,340
Other Special Items of Expense		5,500	5,500
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$-	\$15,100	\$44,840

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$12,002	\$34,771	\$33,897
Allocation for Employee Compensation	-	227	-
Allocation for Other Post-Employment Benefits	-	59	-
Allocation for Staff Benefits	-	87	-

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

	2017-18*	2018-19*	2019-20*
1 STATE OPERATIONS			
Contracted Fiscal Services Funding Removal	-	-298	-
Section 3.60 Pension Contribution Adjustment	-	56	-
011 Budget Act appropriation (transfer to Small Business Expansion Fund)	861	861	861
Totals Available	<u>\$12,863</u>	<u>\$35,763</u>	<u>\$34,758</u>
TOTALS, EXPENDITURES	<u>\$12,863</u>	<u>\$35,763</u>	<u>\$34,758</u>
0649 California Infrastructure and Economic Development Bank Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,685	\$5,525	\$6,963
Allocation for Employee Compensation	-	113	-
Allocation for Other Post-Employment Benefits	-	32	-
Allocation for Staff Benefits	-	42	-
Contracted Fiscal Services Funding Removal	-	-41	-
Section 3.60 Pension Contribution Adjustment	-	28	-
Totals Available	<u>\$4,685</u>	<u>\$5,699</u>	<u>\$6,963</u>
TOTALS, EXPENDITURES	<u>\$4,685</u>	<u>\$5,699</u>	<u>\$6,963</u>
0918 California Small Business Expansion Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$28	\$141	\$152
Allocation for Employee Compensation	-	6	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Corporations Code section 14030	-	1,848	1,848
Corporations Code section 14030(a) (default payments)	861	1,000	1,000
Totals Available	<u>\$889</u>	<u>\$3,000</u>	<u>\$3,000</u>
TOTALS, EXPENDITURES	<u>\$889</u>	<u>\$3,000</u>	<u>\$3,000</u>
Less funding provided by General Fund	-861	-861	-861
NET TOTALS, EXPENDITURES	<u>\$28</u>	<u>\$2,139</u>	<u>\$2,139</u>
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$960	\$2,061	\$1,373
TOTALS, EXPENDITURES	<u>\$960</u>	<u>\$2,061</u>	<u>\$1,373</u>
3083 Welcome Center Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$59	\$111	\$111
Totals Available	<u>\$59</u>	<u>\$111</u>	<u>\$111</u>
TOTALS, EXPENDITURES	<u>\$59</u>	<u>\$111</u>	<u>\$111</u>
3095 Film Promotion and Marketing Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$10	\$10
Totals Available	<u>-</u>	<u>\$10</u>	<u>\$10</u>
TOTALS, EXPENDITURES	<u>-</u>	<u>\$10</u>	<u>\$10</u>
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$175	\$227	\$1,002
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	<u>\$175</u>	<u>\$235</u>	<u>\$1,002</u>

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
1 STATE OPERATIONS			
TOTALS, EXPENDITURES	\$175	\$235	\$1,002
3314 California Cannabis Tax Fund			
APPROPRIATIONS			
Revenue and Taxation Code section 34019(d)	-	\$400	-
TOTALS, EXPENDITURES	-	\$400	-
3348 Cannabis Tax Fund - Governors Office Business and Economic Development - Allocation 2			
APPROPRIATIONS			
Revenue and Taxation Code section 34019(d)	-	-	\$800
TOTALS, EXPENDITURES	-	-	\$800
Total Expenditures, All Funds, (State Operations)	\$18,770	\$46,418	\$47,156
2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$20,140
TOTALS, EXPENDITURES	-	-	\$20,140
0649 California Infrastructure and Economic Development Bank Fund			
APPROPRIATIONS			
Government Code section 63050	-	\$5,500	\$5,500
Totals Available	-	\$5,500	\$5,500
TOTALS, EXPENDITURES	-	\$5,500	\$5,500
3314 California Cannabis Tax Fund			
APPROPRIATIONS			
Revenue and Taxation Code section 34019(d)	-	\$9,600	-
TOTALS, EXPENDITURES	-	\$9,600	-
3348 Cannabis Tax Fund - Governors Office Business and Economic Development - Allocation 2			
APPROPRIATIONS			
Revenue and Taxation Code section 34019(d)	-	-	\$19,200
TOTALS, EXPENDITURES	-	-	\$19,200
Total Expenditures, All Funds, (Local Assistance)	\$0	\$15,100	\$44,840
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$18,770	\$61,518	\$91,996

FUND CONDITION STATEMENTS

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
3083 Welcome Center Fund^S			
BEGINNING BALANCE	\$116	\$107	\$63
Prior Year Adjustments	-29	-	-
Adjusted Beginning Balance	\$87	\$107	\$63
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	90	80	80
Total Revenues, Transfers, and Other Adjustments	\$90	\$80	\$80
Total Resources	\$177	\$187	\$143
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	59	111	111
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	11	13	7

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Total Expenditures and Expenditure Adjustments	\$70	\$124	\$118
FUND BALANCE	<u>\$107</u>	<u>\$63</u>	<u>\$25</u>
Reserve for economic uncertainties	107	63	25
3095 Film Promotion and Marketing Fund^s			
BEGINNING BALANCE	\$31	\$34	\$32
Adjusted Beginning Balance	<u>\$31</u>	<u>\$34</u>	<u>\$32</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140000 Document Sales	3	10	10
Total Revenues, Transfers, and Other Adjustments	<u>\$3</u>	<u>\$10</u>	<u>\$10</u>
Total Resources	<u>\$34</u>	<u>\$44</u>	<u>\$42</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	-	10	10
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	2	-
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>\$12</u>	<u>\$10</u>
FUND BALANCE	<u>\$34</u>	<u>\$32</u>	<u>\$32</u>
Reserve for economic uncertainties	34	32	32
3348 Cannabis Tax Fund - Governors Office Business and Economic Development - Allocation 2^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue Transfer from the California Cannabis Tax Fund (3314) to Cannabis Tax Fund - Governor's Office of Business and Economic Development - Allocation 2 (3348) per Revenue Tax Code Section 34019(d)	-	-	20,000
Total Revenues, Transfers, and Other Adjustments	<u>-</u>	<u>-</u>	<u>\$20,000</u>
Total Resources	<u>-</u>	<u>-</u>	<u>\$20,000</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	-	-	800
0509 Governor's Office of Business and Economic Development (GO-Biz) (Local Assistance)	-	-	19,200
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>-</u>	<u>\$20,000</u>
FUND BALANCE	<u>-</u>	<u>-</u>	<u>-</u>

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	75.3	87.3	91.3	\$5,541	\$6,779	\$7,008
Salary and Other Adjustments	4.3	-	-	1,084	365	365
Workload and Administrative Adjustments						
California Infrastructure and Economic Development Bank Credit Officer						
C.E.A. - C	-	-	1.0	-	-	141
Information Technology Infrastructure and Desktop Support Services						
Info Tech Mgr I	-	-	1.0	-	-	98
Info Tech Spec I	-	-	1.0	-	-	74

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**0509 Governor's Office of Business and Economic Development (GO-Biz) -
Continued**

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Info Tech Spec II	-	-	1.0	-	-	90
International Trade Program Specialists						
Staff Svcs Mgr II (Mgrial)	-	-	3.0	-	-	286
Local Economic Development Liaison Services (SB 635)						
Staff Svcs Mgr I	-	-	1.0	-	-	78
Office of Small Business Advocate - Central Valley and Grants Programs						
Various	-	-	3.0	-	-	389
Zero Emission Vehicle Infrastructure Unit						
Assoc Govtl Program Analyst	-	-	1.0	-	-	66
Staff Svcs Mgr I	-	-	1.0	-	-	78
Various	-	-	2.0	-	-	236
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	15.0	\$-	\$-	\$1,536
Totals, Adjustments	4.3	-	15.0	\$1,084	\$365	\$1,901
TOTALS, SALARIES AND WAGES	79.6	87.3	106.3	\$6,625	\$7,144	\$8,909

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0511 Secretary for Government Operations Agency

The Government Operations Agency is responsible for coordinating state operations, including procurement, information technology, and human resources. The mission of the Government Operations Agency is to improve management and accountability of government programs, increase efficiency, and promote better and more coordinated operations decisions. The Government Operations Agency oversees the Department of General Services, Department of Human Resources, Department of Technology, Franchise Tax Board, State Personnel Board, Victim Compensation Board, Office of Administrative Law, California Public Employees' Retirement System, and California State Teachers' Retirement System. Beginning July 1, 2018, the Government Operations Agency assumed oversight responsibility for the California Complete Count Census Program. The Census Program will be an extensive statewide outreach and awareness campaign designed to encourage and support full participation by all Californians in the upcoming 2020 Census. Lastly, beginning July 1, 2019, the Government Operations Agency will administer the newly established Office of Digital Innovation, whose primary focus is the efficient delivery of government services.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0250 Administration of Government Operations Agency	14.6	16.6	17.6	\$3,387	\$4,645	\$4,408
0255 State Planning and Policy Development	-	22.0	-	-	90,300	87,931
0256 Digital Innovation	-	-	50.0	-	-	26,156
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	14.6	38.6	67.6	\$3,387	\$94,945	\$118,495
FUNDING			2017-18*	2018-19*	2019-20*	
0001 General Fund			\$1,107	\$91,659	\$112,188	
0995 Reimbursements			2,280	2,786	6,307	
3212 Timber Regulation and Forest Restoration Fund			-	500	-	
TOTALS, EXPENDITURES, ALL FUNDS			\$3,387	\$94,945	\$118,495	

LEGAL CITATIONS AND AUTHORITY

Government Code sections 12803.2 and 12815.

MAJOR PROGRAM CHANGES

- The Budget includes \$87.9 million (\$86.9 million one-time General Fund) for California Complete Count - Census 2020. This includes \$4 million one-time General Fund for the California Housing and Population Sample Enumeration to identify barriers to a complete count and effective outreach efforts in order to prepare for the 2020 Census.
- The Budget includes \$26.2 million (\$23.7 million one-time General Fund), \$14.6 million ongoing (\$9.6 million General Fund), and 50 positions to establish the Office of Digital Innovation (Office) within the Government Operations Agency. This includes \$10 million one-time General Fund to allow the Office to assist departments with the tools and resources necessary to address prioritized needs and to demonstrate transformational customer-focused digital service delivery.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Additional Census Outreach Funding	\$-	\$-	-	\$50,000	\$-	-
• Census Outreach for Community Based Organizations and Local Governments	-	-	-	30,000	-	-
• Census Post Enumeration Survey	-	-	-	4,000	-	-
• 2020 Census Funding	-	-	-	2,931	1,000	-

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0511 Secretary for Government Operations Agency - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Blockchain Working Group Report (AB 2658)	-	-	-	241	-	1.0
• Permanently Establish Director of Performance Improvement	-	-	-	-	200	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$87,172	\$1,200	2.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	7	14	-	7	14	-
• Salary Adjustments	30	58	-	30	58	-
• Benefit Adjustments	10	20	-	11	20	-
• Retirement Rate Adjustments	7	14	-	7	14	-
• Miscellaneous Baseline Adjustments	-100	-	-	-100	-	-
Totals, Other Workload Budget Adjustments	\$-46	\$106	-	\$-45	\$106	-
Totals, Workload Budget Adjustments	\$-46	\$106	-	\$87,127	\$1,306	2.0
Policy Adjustments						
• Office of Digital Innovation	-	-	-	23,656	2,500	50.0
Totals, Policy Adjustments	-	-	-	23,656	2,500	50.0
Totals, Budget Adjustments	\$-46	\$106	-	\$110,783	\$3,806	52.0

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0250	ADMINISTRATION OF GOVERNMENT OPERATIONS AGENCY			
State Operations:				
0001	General Fund	\$1,107	\$1,359	\$1,601
0995	Reimbursements	2,280	2,786	2,807
3212	Timber Regulation and Forest Restoration Fund	-	500	-
Totals, State Operations		\$3,387	\$4,645	\$4,408
PROGRAM REQUIREMENTS				
0255	STATE PLANNING AND POLICY DEVELOPMENT			
State Operations:				
0001	General Fund	\$-	\$90,300	\$86,931
0995	Reimbursements	-	-	1,000
Totals, State Operations		\$-	\$90,300	\$87,931
PROGRAM REQUIREMENTS				
0256	DIGITAL INNOVATION			
State Operations:				
0001	General Fund	\$-	\$-	\$23,656
0995	Reimbursements	-	-	2,500
Totals, State Operations		\$-	\$-	\$26,156
TOTALS, EXPENDITURES				
State Operations		3,387	94,945	118,495
Totals, Expenditures		\$3,387	\$94,945	\$118,495

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0511 Secretary for Government Operations Agency - Continued

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	14.6	38.6	15.6	\$1,720	\$4,825	\$1,842
Other Adjustments	-	-	52.0	236	88	5,981
Net Totals, Salaries and Wages	14.6	38.6	67.6	\$1,956	\$4,913	\$7,823
Staff Benefits	-	-	-	870	2,506	3,467
Totals, Personal Services	14.6	38.6	67.6	\$2,826	\$7,419	\$11,290
OPERATING EXPENSES AND EQUIPMENT				\$561	\$37,526	\$97,205
SPECIAL ITEMS OF EXPENSES				-	50,000	10,000
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3,387	\$94,945	\$118,495

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,107	\$91,705	\$112,188
Allocation for Employee Compensation	-	30	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	-	10	-
Contracted Fiscal Services Funding Removal	-	-100	-
Section 3.60 Pension Contribution Adjustment	-	7	-
Totals Available	\$1,107	\$91,659	\$112,188
TOTALS, EXPENDITURES	\$1,107	\$91,659	\$112,188
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,280	\$2,786	\$6,307
TOTALS, EXPENDITURES	\$2,280	\$2,786	\$6,307
3212 Timber Regulation and Forest Restoration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$500	-
TOTALS, EXPENDITURES	-	\$500	-
Total Expenditures, All Funds, (State Operations)	\$3,387	\$94,945	\$118,495

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	14.6	38.6	15.6	\$1,720	\$4,825	\$1,842
Salary and Other Adjustments	-	-	-	236	88	88
Workload and Administrative Adjustments						
Blockchain Working Group Report (AB 2658)						
Staff Svcs Mgr I	-	-	1.0	-	-	80
Permanently Establish Director of Performance Improvement						
C.E.A.	-	-	1.0	-	-	135
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	2.0	\$-	\$-	\$215

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0511 Secretary for Government Operations Agency - Continued

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Proposed New Positions						
Office of Digital Innovation						
Various	-	-	50.0	-	-	5,678
TOTALS, PROPOSED NEW POSTIONS	-	-	50.0	\$-	\$-	\$5,678
Totals, Adjustments	-	-	52.0	\$236	\$88	\$5,981
TOTALS, SALARIES AND WAGES	14.6	38.6	67.6	\$1,956	\$4,913	\$7,823

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0515 Secretary for Business, Consumer Services, and Housing Agency

The Business, Consumer Services and Housing Agency (Agency) is responsible for overseeing departments, boards, commissions and agencies that provide the following services to the people of California: protection of the public through the licensing and regulation of more than 100 businesses and 200 professional categories; regulation of financial services and state-licensed financial institutions; enforcement of the sale of alcoholic beverages; regulation of the horse racing industry; protection of civil rights; safe and affordable housing opportunities; and earthquake safety. The Agency is comprised of the following entities: the Department of Consumer Affairs, the Department of Real Estate, the Department of Housing and Community Development, the Department of Fair Employment and Housing, the Department of Business Oversight, the Department of Alcoholic Beverage Control, the Alcoholic Beverage Control Appeals Board, the California Housing Finance Agency, the California Horse Racing Board, the Alfred E. Alquist Seismic Safety Commission, and the Cannabis Control Appeals Panel. In addition, the Agency is charged with administration of the Homeless Coordinating and Financing Council.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0260 Support	15.3	21.3	29.3	\$3,086	\$504,355	\$655,726
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	15.3	21.3	29.3	\$3,086	\$504,355	\$655,726

FUNDING	2017-18*	2018-19*	2019-20*
0001 General Fund	\$85	\$500,647	\$652,017
0067 State Corporations Fund	211	238	238
0240 Local Agency Deposit Security Fund	1	1	1
0298 Financial Institutions Fund	104	118	118
0299 Credit Union Fund	28	31	31
0317 Real Estate Fund	-	254	254
0995 Reimbursements	2,358	2,744	2,745
3036 Alcohol Beverage Control Fund	261	281	281
3153 Horse Racing Fund	38	41	41
TOTALS, EXPENDITURES, ALL FUNDS	\$3,086	\$504,355	\$655,726

LEGAL CITATIONS AND AUTHORITY

Government Code, Sections 12800, 12804, 12804.5, 12855, and 12856.

MAJOR PROGRAM CHANGES

- Homeless Youth Act of 2018 (SB 918) - The Budget provides \$389,000 General Fund in 2019-20 for the Homeless Coordinating and Financing Council to implement the Homeless Youth Act of 2018. These positions will coordinate with stakeholders and develop performance outcomes in order to set goals and measure progress to end youth homelessness.
- Planning and Progress Grants to Address Homelessness - The Budget provides \$650 million General Fund to support local efforts to address homelessness. The Agency will allocate funding to local jurisdictions for shelters, navigation centers, rapid rehousing programs, and other evidence based solutions.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Planning and Progress Grants to Address Homelessness	\$-	\$-	-	\$650,000	\$-	-
• Homeless Coordinating and Financing Council	-	-	-	981	-	6.0

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0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Homeless Youth Act of 2018 (SB 918)	-	-	-	389	-	2.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$651,370	\$-	8.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	4	18	-	4	18	-
• Contracted Fiscal Services Funding Removal	-19	-17	-	-19	-17	-
• Salary Adjustments	15	77	-	15	77	-
• Benefit Adjustments	5	28	-	5	29	-
• Retirement Rate Adjustments	4	18	-	4	18	-
Totals, Other Workload Budget Adjustments	\$9	\$124	-	\$9	\$125	-
Totals, Workload Budget Adjustments	\$9	\$124	-	\$651,379	\$125	8.0
Totals, Budget Adjustments	\$9	\$124	-	\$651,379	\$125	8.0

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0260	SUPPORT			
State Operations:				
0001	General Fund	\$85	\$1,647	\$2,017
0067	State Corporations Fund	211	238	238
0240	Local Agency Deposit Security Fund	1	1	1
0298	Financial Institutions Fund	104	118	118
0299	Credit Union Fund	28	31	31
0317	Real Estate Fund	-	254	254
0995	Reimbursements	2,358	2,744	2,745
3036	Alcohol Beverage Control Fund	261	281	281
3153	Horse Racing Fund	38	41	41
Totals, State Operations		\$3,086	\$5,355	\$5,726
Local Assistance:				
0001	General Fund	\$-	\$499,000	\$650,000
Totals, Local Assistance		\$-	\$499,000	\$650,000
TOTALS, EXPENDITURES				
State Operations		3,086	5,355	5,726
Local Assistance		-	499,000	650,000
Totals, Expenditures		\$3,086	\$504,355	\$655,726

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	14.3	21.3	21.3	\$1,673	\$2,306	\$2,306
Other Adjustments	1.0	-	8.0	121	692	794
Net Totals, Salaries and Wages	15.3	21.3	29.3	\$1,794	\$2,998	\$3,100
Staff Benefits	-	-	-	626	1,074	1,458
Totals, Personal Services	15.3	21.3	29.3	\$2,420	\$4,072	\$4,558

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0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
OPERATING EXPENSES AND EQUIPMENT				\$666	\$1,283	\$1,168
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3,086	\$5,355	\$5,726

2 Local Assistance	Expenditures		
	2017-18*	2018-19*	2019-20*
Grants and Subventions - Governmental	\$-	\$499,000	\$650,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$-	\$499,000	\$650,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$85	\$638	\$2,017
Allocation for Employee Compensation	-	15	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	5	-
Contracted Fiscal Services Funding Removal	-	-19	-
Provision 1 of Item 0515-101-0001	-	1,000	-
Section 3.60 Pension Contribution Adjustment	-	4	-
Totals Available	\$85	\$1,647	\$2,017
TOTALS, EXPENDITURES	\$85	\$1,647	\$2,017
0067 State Corporations Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$211	\$230	\$238
Allocation for Employee Compensation	-	6	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	2	-
Contracted Fiscal Services Funding Removal	-	-4	-
Section 3.60 Pension Contribution Adjustment	-	2	-
Totals Available	\$211	\$238	\$238
TOTALS, EXPENDITURES	\$211	\$238	\$238
0240 Local Agency Deposit Security Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1	\$1	\$1
TOTALS, EXPENDITURES	\$1	\$1	\$1
0298 Financial Institutions Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$104	\$114	\$118
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Contracted Fiscal Services Funding Removal	-	-2	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	\$104	\$118	\$118
TOTALS, EXPENDITURES	\$104	\$118	\$118
0299 Credit Union Fund			
APPROPRIATIONS			

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0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

	2017-18*	2018-19*	2019-20*
1 STATE OPERATIONS			
001 Budget Act appropriation	\$28	\$31	\$31
Allocation for Employee Compensation	-	1	-
Contracted Fiscal Services Funding Removal	-	-1	-
Totals Available	\$28	\$31	\$31
TOTALS, EXPENDITURES	\$28	\$31	\$31
0317 Real Estate Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$249	\$254
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	2	-
Contracted Fiscal Services Funding Removal	-	-4	-
Section 3.60 Pension Contribution Adjustment	-	1	-
TOTALS, EXPENDITURES	-	\$254	\$254
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,358	\$2,744	\$2,745
TOTALS, EXPENDITURES	\$2,358	\$2,744	\$2,745
3036 Alcohol Beverage Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$261	\$277	\$281
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	2	-
Contracted Fiscal Services Funding Removal	-	-5	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	\$261	\$281	\$281
TOTALS, EXPENDITURES	\$261	\$281	\$281
3153 Horse Racing Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$38	\$41	\$41
Allocation for Employee Compensation	-	1	-
Contracted Fiscal Services Funding Removal	-	-1	-
Totals Available	\$38	\$41	\$41
TOTALS, EXPENDITURES	\$38	\$41	\$41
Total Expenditures, All Funds, (State Operations)	\$3,086	\$5,355	\$5,726
2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$500,000	\$650,000
Provision 1 of Item 0515-101-0001	-	-1,000	-
TOTALS, EXPENDITURES	-	\$499,000	\$650,000
Total Expenditures, All Funds, (Local Assistance)	\$0	\$499,000	\$650,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$3,086	\$504,355	\$655,726

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0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	14.3	21.3	21.3	\$1,673	\$2,306	\$2,306
Salary and Other Adjustments	1.0	-	-	121	692	92
Workload and Administrative Adjustments						
Homeless Coordinating and Financing Council						
C.E.A. - C	-	-	1.0	-	-	151
Assoc Govtl Program Analyst	-	-	1.0	-	-	68
Office Techn (Typing)	-	-	1.0	-	-	42
Staff Svcs Mgr I	-	-	1.0	-	-	80
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	88
Staff Svcs Mgr III	-	-	1.0	-	-	101
Homeless Youth Act of 2018 (SB 918)						
Staff Svcs Mgr I	-	-	1.0	-	-	80
Staff Svcs Mgr II (Mgrial)	-	-	1.0	-	-	92
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	8.0	\$-	\$-	\$702
Totals, Adjustments	1.0	-	8.0	\$121	\$692	\$794
TOTALS, SALARIES AND WAGES	15.3	21.3	29.3	\$1,794	\$2,998	\$3,100

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0521 Secretary for Transportation Agency

The California State Transportation Agency develops and coordinates the policies and programs of the state's transportation entities to achieve the state's mobility, safety and environmental sustainability objectives.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0270	Administration of Transportation Agency	22.6	26.8	26.8	\$8,847	\$30,034	\$19,336
0275	California Traffic Safety Program	29.1	32.0	32.0	94,324	119,154	131,766
0276	Transit and Intercity Rail Capital Program	1.1	1.2	1.2	209,096	628,048	279,652
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		52.8	60.0	60.0	\$312,267	\$777,236	\$430,754

FUNDING		2017-18*	2018-19*	2019-20*
0001	General Fund	\$3,500	\$20,500	\$10,800
0044	Motor Vehicle Account, State Transportation Fund	2,671	3,204	3,206
0046	Public Transportation Account, State Transportation Fund	18,423	54,818	56,978
0890	Federal Trust Fund	93,007	118,817	131,428
0995	Reimbursements	3,993	2,667	2,668
3228	Greenhouse Gas Reduction Fund	190,673	577,230	225,674
TOTALS, EXPENDITURES, ALL FUNDS		\$312,267	\$777,236	\$430,754

LEGAL CITATIONS AND AUTHORITY

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• North Coast Railroad Authority Dissolution	\$-	\$-	-	\$10,800	\$-	-
• Federal Traffic Safety Grant Authority Adjustment	-	-	-	-	34,680	-
• North Coast Railroad Authority Assessment (SB 1029)	-	-	-	-	3,000	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$10,800	\$37,680	-
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	-	58	-	-	59	-
• Miscellaneous Baseline Adjustments	-	54,015	-	-	90,699	-
• Salary Adjustments	-	212	-	-	215	-
• Benefit Adjustments	-	82	-	-	85	-
• Retirement Rate Adjustments	-	52	-	-	52	-
• Carryover/Reappropriation	-	307,811	-	-	-	-
• Legislation with an Appropriation	-	4,000	-	-	-	-
• SWCAP	-	-	-	-	-156	-
Totals, Other Workload Budget Adjustments	\$-	\$366,230	-	\$-	\$90,954	-
Totals, Workload Budget Adjustments	\$-	\$366,230	-	\$10,800	\$128,634	-
Totals, Budget Adjustments	\$-	\$366,230	-	\$10,800	\$128,634	-

PROGRAM DESCRIPTIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0521 Secretary for Transportation Agency - Continued

0270 - ADMINISTRATION OF TRANSPORTATION AGENCY

The Administration of the Transportation Agency, under direction of the Secretary, advises the Governor on major policy and program matters and provides oversight and support of the Agency's departments and programs.

0275 - CALIFORNIA TRAFFIC SAFETY PROGRAM

The California Traffic Safety Program develops the California Highway Safety Plan. The plan uses available state and federal resources to identify and address major traffic safety problems throughout the state.

0276 - TRANSIT AND INTERCITY RAIL CAPITAL PROGRAM

The Transit and Intercity Rail Capital Program provides grants to encourage low carbon transit operations through the Transit, Affordable Housing, and Sustainable Communities Program. The program goals include reducing greenhouse gas emissions, improving mobility access across the state, and providing benefits to California's disadvantaged communities.

DETAILED EXPENDITURES BY PROGRAM

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PROGRAM REQUIREMENTS			
0270 ADMINISTRATION OF TRANSPORTATION AGENCY			
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	\$2,154	\$2,667	\$2,668
0046 Public Transportation Account, State Transportation Fund	-	-	3,000
0890 Federal Trust Fund	200	200	200
0995 Reimbursements	2,993	2,667	2,668
Totals, State Operations	\$5,347	\$5,534	\$8,536
Local Assistance:			
0001 General Fund	\$3,500	\$20,500	\$10,800
0046 Public Transportation Account, State Transportation Fund	-	4,000	-
Totals, Local Assistance	\$3,500	\$24,500	\$10,800
PROGRAM REQUIREMENTS			
0275 CALIFORNIA TRAFFIC SAFETY PROGRAM			
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	\$517	\$537	\$538
0890 Federal Trust Fund	43,396	81,824	44,027
0995 Reimbursements	1,000	-	-
Totals, State Operations	\$44,913	\$82,361	\$44,565
Local Assistance:			
0890 Federal Trust Fund	\$49,411	\$36,793	\$87,201
Totals, Local Assistance	\$49,411	\$36,793	\$87,201
PROGRAM REQUIREMENTS			
0276 TRANSIT AND INTERCITY RAIL CAPITAL PROGRAM			
State Operations:			
0046 Public Transportation Account, State Transportation Fund	\$6	\$6	\$6
3228 Greenhouse Gas Reduction Fund	58	71	71
Totals, State Operations	\$64	\$77	\$77
Local Assistance:			
0046 Public Transportation Account, State Transportation Fund	\$18,417	\$50,812	\$53,972
3228 Greenhouse Gas Reduction Fund	190,615	577,159	225,603
Totals, Local Assistance	\$209,032	\$627,971	\$279,575
TOTALS, EXPENDITURES			

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0521 Secretary for Transportation Agency - Continued

	2017-18*	2018-19*	2019-20*
State Operations	50,324	87,972	53,178
Local Assistance	261,943	689,264	377,576
Totals, Expenditures	\$312,267	\$777,236	\$430,754

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	60.0	60.0	60.0	\$5,086	\$5,186	\$5,186
Other Adjustments	-7.2	-	-	-272	212	215
Net Totals, Salaries and Wages	52.8	60.0	60.0	\$4,814	\$5,398	\$5,401
Staff Benefits	-	-	-	2,435	2,861	2,863
Totals, Personal Services	52.8	60.0	60.0	\$7,249	\$8,259	\$8,264
OPERATING EXPENSES AND EQUIPMENT				\$4,271	\$3,954	\$6,800
SPECIAL ITEMS OF EXPENSES				38,804	75,759	38,114
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$50,324	\$87,972	\$53,178

2 Local Assistance	Expenditures		
	2017-18*	2018-19*	2019-20*
Consulting and Professional Services - Interdepartmental - Other	-\$532	-\$568	-\$570
Grants and Subventions - Governmental	262,475	689,832	378,146
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$261,943	\$689,264	\$377,576

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,671	\$3,070	\$3,206
Allocation for Employee Compensation	-	71	-
Allocation for Other Post-Employment Benefits	-	18	-
Allocation for Staff Benefits	-	27	-
Section 3.60 Pension Contribution Adjustment	-	18	-
Totals Available	\$2,671	\$3,204	\$3,206
TOTALS, EXPENDITURES	\$2,671	\$3,204	\$3,206
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6	\$6	\$3,006
TOTALS, EXPENDITURES	\$6	\$6	\$3,006
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,792	\$6,108	\$6,113
Allocation for Employee Compensation	-	82	-
Allocation for Other Post-Employment Benefits	-	25	-
Allocation for Staff Benefits	-	32	-
Section 3.60 Pension Contribution Adjustment	-	18	-
002 Budget Act appropriation	31,925	53,842	38,114

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0521 Secretary for Transportation Agency - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Prior Year Balances Available:			
Item 0521-002-0890, Budget Act of 2016	6,879	-	-
Item 0521-002-0890, Budget Act of 2017	-	21,917	-
Totals Available	\$43,596	\$82,024	\$44,227
TOTALS, EXPENDITURES	\$43,596	\$82,024	\$44,227
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$3,993	\$2,667	\$2,668
TOTALS, EXPENDITURES	\$3,993	\$2,667	\$2,668
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$58	\$70	\$71
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	\$58	\$71	\$71
TOTALS, EXPENDITURES	\$58	\$71	\$71
Total Expenditures, All Funds, (State Operations)	\$50,324	\$87,972	\$53,178
2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$3,500	\$12,500	\$10,800
Chapter 46, Statutes of 2018	-	8,000	-
TOTALS, EXPENDITURES	\$3,500	\$20,500	\$10,800
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$9,000
Public Utilities Code section 99312.3	18,417	42,916	44,972
Carryover	-	7,686	-
Chapter 934, Statutes of 2018	-	4,000	-
Miscellaneous Baseline Adjustment	-	210	-
Totals Available	\$18,417	\$54,812	\$53,972
TOTALS, EXPENDITURES	\$18,417	\$54,812	\$53,972
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$36,793	\$36,793	\$87,201
Prior Year Balances Available:			
Item 0521-101-0890, Budget Act of 2016	12,618	-	-
Totals Available	\$49,411	\$36,793	\$87,201
TOTALS, EXPENDITURES	\$49,411	\$36,793	\$87,201
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
Health and Safety Code section 39719(b)(1)(A)	\$55,615	\$245,146	\$225,603
Carryover	-	278,208	-
Miscellaneous Baseline Adjustment	-	53,876	-
Past Year Expenditure Adjustments	-	-71	-
Prior Year Balances Available:			
Item 0521-101-3228, Budget Act of 2016 as added by Chapter 370, Statutes of 2016	135,000	-	-
Totals Available	\$190,615	\$577,159	\$225,603
TOTALS, EXPENDITURES	\$190,615	\$577,159	\$225,603
Total Expenditures, All Funds, (Local Assistance)	\$261,943	\$689,264	\$377,576

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0521 Secretary for Transportation Agency - Continued

2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$312,267	\$777,236	\$430,754

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	60.0	60.0	60.0	\$5,086	\$5,186	\$5,186
Salary and Other Adjustments	-7.2	-	-	-272	212	215
Totals, Adjustments	-7.2	-	-	\$-272	\$212	\$215
TOTALS, SALARIES AND WAGES	52.8	60.0	60.0	\$4,814	\$5,398	\$5,401

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0530 Secretary for California Health and Human Services Agency

The primary mission of the Health and Human Services Agency (HHSA) is to provide policy leadership and direction to the departments, board and programs it oversees, to reduce duplication and fragmentation among HHSA departments in policy development and implementation, to improve coordination among departments on common programs, to ensure programmatic integrity, and to advance the Governor’s priorities on health and human services issues.

The HHSA accomplishes its mission through the administration and coordination of state and federal programs for public health, health care services, social services, public assistance, health planning and licensing, and rehabilitation. These programs touch the lives of millions of California’s most needy and vulnerable residents. The HHSA is committed to striking a balance between maintaining access to essential health and human services for California’s most disadvantaged and at-risk residents while pursuing ways to better manage and control costs.

The following departments and entities fall under the purview of the HHSA:

- Department of Aging
- Department of Child Support Services
- Department of Community Services and Development
- Department of Developmental Services
- Emergency Medical Services Authority
- Department of Health Care Services
- Department of Managed Health Care
- Department of Public Health
- Department of Rehabilitation
- Department of Social Services
- Department of State Hospitals
- Office of Statewide Health Planning and Development

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0280	Secretary of California Health and Human Services	41.7	28.4	42.4	\$7,854	\$8,792	\$24,139
0285	California Office of Health Information Integrity (CALOHII)	3.7	9.2	9.2	1,425	1,793	1,793
0290	Office of Systems Integration	280.8	315.6	322.6	437,246	470,650	505,380
0295	Office of the Patient Advocate	6.4	10.2	10.2	1,855	2,145	2,146
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		332.6	363.4	384.4	\$448,380	\$483,380	\$533,458
FUNDING				2017-18*	2018-19*	2019-20*	
0001	General Fund				\$3,877	\$5,758	\$19,925
0995	Reimbursements				3,548	3,947	3,948
3209	Office of Patient Advocate Trust Fund				1,855	2,145	2,146
9740	Central Service Cost Recovery Fund				2,368	1,419	2,598
9745	California Health and Human Services Automation Fund				436,732	470,111	504,841
TOTALS, EXPENDITURES, ALL FUNDS					\$448,380	\$483,380	\$533,458

LEGAL CITATIONS AND AUTHORITY

0280-Secretary for Health and Human Services:
Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

0285-The California Office of Health Information Integrity:
Health and Safety Code Division 110, Section 130300 et seq. Health and Safety Code Section 130200 et seq, 130250 et seq,

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0530 Secretary for California Health and Human Services Agency - Continued

130275 et seq.

0290-Office of Systems Integration:
Government Code, Title 2, Division 3, Part 2.5, Section 12803.3.

0295-Office of the Patient Advocate:
Health and Safety Code Division 115, Section 136000 et seq.

MAJOR PROGRAM CHANGES

- The Budget includes \$6.6 million General Fund on a one-time basis to establish and support the Early Childhood Policy Council, which will advise the Governor and the Legislature on statewide early learning and care policy.
- The Budget includes \$5 million General Fund on a one-time basis for the Health and Human Services Agency, in conjunction with the State Board of Education and Superintendent, to contract with research organizations for the development of a master plan to improve the state's early learning and care system.
- The Budget includes one-time \$5 million to create the Healthy California for All Commission, which will analyze California's existing health care delivery system and design options for a unified health care financing system, including but not limited to a single payer system.
- The Budget includes ongoing \$2.5 million General Fund to establish the Office of the Surgeon General, and fund the appointments of a Deputy Secretary for Early Childhood Initiatives and Deputy Secretary for Behavioral Health.
- The Budget includes \$640,000 General Fund in 2019-20 and \$603,000 General Fund annually thereafter for the reorganization of the Office of the Secretary.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Healthy California for All Commission	\$-	\$-	-	\$5,000	\$-	-
• Master plan for Early Learning and Care	-	-	-	5,000	-	-
• Staffing Resources for Governor's Appointments	-	-	-	2,571	-	9.0
• Early Childhood Policy Council	-	-	-	2,200	-	-
• Staffing Resources for the Reorganization of the Office of the Secretary	-	-	-	640	-	5.0
• Implementation of an Electronic Visit Verification solution in CMIPS II	-	-	-	-	22,236	-
• Medi-Cal Eligibility Data System Modernization Project Multi-Departmental Team	-	-	-	-	18,647	19.0
• CalHEERS System Integrator Contract Transition Activities	-	-	-	-	17,627	-
• Electronic Visit Verification Phase II Planning	-	-	-	-	2,440	3.0
• State Verification Hub Planning Activities	-	-	-	-	747	1.0
• Statewide Automated Welfare System Consolidation	-	-	-	-	136	1.0
• Revert Funding for Council on Health Care Delivery Systems	-5,000	-	-	-	-	-
Totals, Workload Budget Change Proposals	\$-5,000	\$-	-	\$15,411	\$61,833	38.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	33	376	-	33	376	-
• Salary Adjustments	121	1,241	-	121	1,241	-
• Retirement Rate Adjustments	50	290	-	50	290	-
• Benefit Adjustments	46	476	-	46	495	-

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0530 Secretary for California Health and Human Services Agency - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Miscellaneous Baseline Adjustments	-	17,449	-	-1,179	759	-
Totals, Other Workload Budget Adjustments	\$250	\$19,832	-	-\$929	\$3,161	-
Totals, Workload Budget Adjustments	-\$4,750	\$19,832	-	\$14,482	\$64,994	38.0
Totals, Budget Adjustments	-\$4,750	\$19,832	-	\$14,482	\$64,994	38.0

PROGRAM DESCRIPTIONS

0280 - SECRETARY FOR HEALTH AND HUMAN SERVICES

The Secretary for California Health and Human Services Agency provides the Governor with the highest level of advice on state health, human services and related budget policy issues. The Secretary also provides the highest level of leadership and oversight of the agency-wide efforts to promote the health and well-being of a growing and increasingly diverse California population.

0285 - THE CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY

The California Office of Health Information Integrity (CalOHII) is responsible for statewide leadership, coordination, policy formation, direction, and oversight responsibilities for federal Health Insurance Portability and Accountability Act (HIPAA) requirements. As the leading resource for providing HIPAA expertise to the State of California, CalOHII exercises full authority relative to state entities to establish policy, provide direction to state entities, monitor progress and report on implementation efforts based on state and federal laws.

0290 - OFFICE OF SYSTEMS INTEGRATION

This Office provides project management services for automation projects for the Health and Human Services Agency, the Department of Social Services, the Department of Health Care Services, the Department of Public Health, and the Health Benefit Exchange, including:

- Appeals Case Management System
- California Healthcare Eligibility, Enrollment and Retention System Project.
- Case Management, Information and Payrolling System II
- Child Welfare Services/Case Management System
- Child Welfare Services - New System
- Electronic Benefit Transfer System
- Electronic Women, Infants and Children Management Information System Project
- Medi-Cal Eligibility Data System Modernization
- Statewide Automated Welfare System
- Welfare Data Tracking Implementation Project

0295 - OFFICE OF THE PATIENT ADVOCATE

The mission of the Office of the Patient Advocate is to improve the access to and quality of health care services by promoting transparency and accountability. The Office of the Patient Advocate is mandated to produce a number of public reports on health care service topics. Current reporting activities include:

- Online Annual Health Care Quality Report Cards on health plans and medical groups,
- A Baseline Review and annual report on the State Consumer Assistance Call Centers and health care complaint data,
- Online Timely Access Compliance report on California Department of Managed Health Care health care services plans and providers, and
- Model protocols for State Consumer Assistance Call Centers.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0530 Secretary for California Health and Human Services Agency - Continued**DETAILED EXPENDITURES BY PROGRAM**

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PROGRAM REQUIREMENTS			
0280 SECRETARY OF CALIFORNIA HEALTH AND HUMAN SERVICES			
State Operations:			
0001 General Fund	\$3,034	\$4,922	\$19,089
0995 Reimbursements	2,452	2,451	2,452
9740 Central Service Cost Recovery Fund	2,368	1,419	2,598
Totals, State Operations	\$7,854	\$8,792	\$24,139
PROGRAM REQUIREMENTS			
0285 CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY (CALOHII)			
State Operations:			
0001 General Fund	\$843	\$836	\$836
0995 Reimbursements	582	957	957
Totals, State Operations	\$1,425	\$1,793	\$1,793
PROGRAM REQUIREMENTS			
0290 OFFICE OF SYSTEMS INTEGRATION			
State Operations:			
0995 Reimbursements	514	539	539
9745 California Health and Human Services Automation Fund	436,732	470,111	504,841
Totals, State Operations	\$437,246	\$470,650	\$505,380
PROGRAM REQUIREMENTS			
0295 OFFICE OF THE PATIENT ADVOCATE			
State Operations:			
3209 Office of Patient Advocate Trust Fund	\$1,855	\$2,145	\$2,146
Totals, State Operations	\$1,855	\$2,145	\$2,146
TOTALS, EXPENDITURES			
State Operations	448,380	483,380	533,458
Totals, Expenditures	\$448,380	\$483,380	\$533,458

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	359.4	363.4	346.4	\$31,554	\$33,171	\$31,640
Other Adjustments	-26.8	-	38.0	-1,650	1,362	5,044
Net Totals, Salaries and Wages	332.6	363.4	384.4	\$29,904	\$34,533	\$36,684
Staff Benefits	-	-	-	14,377	20,502	21,919
Totals, Personal Services	332.6	363.4	384.4	\$44,281	\$55,035	\$58,603
OPERATING EXPENSES AND EQUIPMENT				\$404,099	\$428,345	\$469,855
SPECIAL ITEMS OF EXPENSES				-	-	5,000
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$448,380	\$483,380	\$533,458

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0530 Secretary for California Health and Human Services Agency - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,034	\$9,704	\$23,489
Allocation for Employee Compensation	-	103	-
Allocation for Other Post-Employment Benefits	-	28	-
Allocation for Staff Benefits	-	40	-
Revert Funding for Council on Health Care Delivery Systems	-	-5,000	-
Section 3.60 Pension Contribution Adjustment	-	47	-
017 Budget Act appropriation	843	804	836
Allocation for Employee Compensation	-	18	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	3	-
Totals Available	\$3,877	\$5,758	\$24,325
Balance available in subsequent years	-	-	-4,400
TOTALS, EXPENDITURES	\$3,877	\$5,758	\$19,925
0890 Federal Trust Fund			
TOTALS, EXPENDITURES	-	-	-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$3,548	\$3,947	\$3,948
TOTALS, EXPENDITURES	\$3,548	\$3,947	\$3,948
3209 Office of Patient Advocate Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,855	\$2,107	\$2,146
Allocation for Employee Compensation	-	21	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	5	-
Totals Available	\$1,855	\$2,145	\$2,146
TOTALS, EXPENDITURES	\$1,855	\$2,145	\$2,146
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,368	\$1,395	\$2,598
Allocation for Employee Compensation	-	15	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	5	-
TOTALS, EXPENDITURES	\$2,368	\$1,419	\$2,598
9745 California Health and Human Services Automation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$436,732	\$450,437	\$504,841
Allocation for Employee Compensation	-	1,149	-
Allocation for Other Post-Employment Benefits	-	351	-
Allocation for Staff Benefits	-	445	-
Contracted Fiscal Services Funding Removal	-	-420	-
Revised Expenditure Authority per Provision 1	-	17,869	-
Section 3.60 Pension Contribution Adjustment	-	280	-
Totals Available	\$436,732	\$470,111	\$504,841
TOTALS, EXPENDITURES	\$436,732	\$470,111	\$504,841
Total Expenditures, All Funds, (State Operations)	\$448,380	\$483,380	\$533,458

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0530 Secretary for California Health and Human Services Agency - Continued**FUND CONDITION STATEMENTS**

	2017-18*	2018-19*	2019-20*
3209 Office of Patient Advocate Trust Fund^S			
BEGINNING BALANCE	\$1,769	\$2,012	\$1,966
Prior Year Adjustments	10	-	-
Adjusted Beginning Balance	\$1,779	\$2,012	\$1,966
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	34	15	15
Transfers and Other Adjustments			
Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	165	154	145
Revenue Transfer from Managed Care Fund (0933) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	1,898	1,953	2,001
Total Revenues, Transfers, and Other Adjustments	\$2,097	\$2,122	\$2,161
Total Resources	\$3,876	\$4,134	\$4,127
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0530 Secretary for California Health and Human Services Agency (State Operations)	1,855	2,145	2,146
8880 Financial Information System for California (State Operations)	2	-	-
9892 Supplemental Pension Payments (State Operations)	-	13	21
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	7	10	14
Total Expenditures and Expenditure Adjustments	\$1,864	\$2,168	\$2,181
FUND BALANCE	\$2,012	\$1,966	\$1,946
Reserve for economic uncertainties	2,012	1,966	1,946

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	359.4	363.4	346.4	\$31,554	\$33,171	\$31,640
Salary and Other Adjustments	-26.8	-	-	-1,650	1,362	1,362
Workload and Administrative Adjustments						
Electronic Visit Verification Phase II Planning						
Temporary Help	-	-	3.0	-	-	267
Medi-Cal Eligibility Data System Modernization Project Multi-Departmental Team						
Temporary Help	-	-	19.0	-	-	1,782
Staffing Resources for Governor's Appointments						
Administrative Asst	-	-	1.0	-	-	66
C.E.A.	-	-	2.0	-	-	264
Info Officer II	-	-	1.0	-	-	82
Info Officer III C.E.A.	-	-	1.0	-	-	110
Research Scientist IV	-	-	1.0	-	-	105
Various	-	-	3.0	-	-	516
Staffing Resources for the Reorganization of the Office of the Secretary						
Assoc Govtl Program Analyst	-	-	2.0	-	-	132
Office Techn (Typing)	-	-	1.0	-	-	40
Research Data Spec II	-	-	1.0	-	-	79
Staff Svcs Mgr I	-	-	1.0	-	-	78

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0530 Secretary for California Health and Human Services Agency - Continued

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Statewide Automated Welfare System Consolidation						
Temporary Help	-	-	1.0	-	-	65
State Verification Hub Planning Activities						
Temporary Help	-	-	1.0	-	-	96
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	38.0	\$-	\$-	\$3,682
Totals, Adjustments	-26.8	-	38.0	\$-1,650	\$1,362	\$5,044
TOTALS, SALARIES AND WAGES	332.6	363.4	384.4	\$29,904	\$34,533	\$36,684

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0540 Secretary of the Natural Resources Agency

The mission of the Natural Resources Agency is to restore, protect and manage the state's natural, historical and cultural resources for current and future generations using creative approaches and solutions based on science, collaboration and respect for all involved communities. The secretary for Natural Resources, a member of the Governor's Cabinet, sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions and conservancies, and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

The Natural Resources Agency consists of the departments of Forestry and Fire Protection, Conservation, Fish and Wildlife, Parks and Recreation, and Water Resources; the California Conservation Corps; Exposition Park; California Science Center; California African American Museum; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the Energy Resources Conservation and Development Commission; the Wildlife Conservation Board; the Delta Protection Commission; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; the San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; the Baldwin Hills Conservancy; the San Diego River Conservancy; the Sierra Nevada Conservancy; the Sacramento-San Joaquin Delta Conservancy; the Native American Heritage Commission; and the Special Resources Program.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0320 Administration of Natural Resources Agency	44.3	56.9	57.9	\$137,816	\$372,426	\$376,150
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	44.3	56.9	57.9	\$137,816	\$372,426	\$376,150
FUNDING				2017-18*	2018-19*	2019-20*
0001 General Fund				\$7,514	\$59,595	\$116,252
0140 California Environmental License Plate Fund				4,802	21,291	6,510
0183 Environmental Enhancement and Mitigation Program Fund				2,175	11,869	7,049
0200 Fish and Game Preservation Fund				-	148	59
0263 Off-Highway Vehicle Trust Fund				-	31	12
0392 State Parks and Recreation Fund				-	104	41
0516 Harbors and Watercraft Revolving Fund				-	12	5
0890 Federal Trust Fund				-	-	1
0995 Reimbursements				1,247	1,614	9,117
1018 Lake Tahoe Science and Lake Improvement Account, General Fund				150	150	749
3046 Oil, Gas, and Geothermal Administrative Fund				-	148	59
3117 Alternative and Renewable Fuel and Vehicle Technology Fund				-	327	131
3212 Timber Regulation and Forest Restoration Fund				765	1,793	1,494
3228 Greenhouse Gas Reduction Fund				495	69,641	30,000
3237 Cost of Implementation Account, Air Pollution Control Fund				933	292	292
6015 River Protection Subaccount				2,193	2,207	-
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund				78	3,387	-
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002				833	2,002	283
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006				22,549	4,388	3,282
6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006				10	-	-
6076 California Ocean Protection Trust Fund				14,074	6,039	6,039
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014				79,903	40,334	1,349
6088 California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund				-	146,865	193,237
8058 California Cultural and Historical Endowment Fund				95	189	189
TOTALS, EXPENDITURES, ALL FUNDS				\$137,816	\$372,426	\$376,150

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0540 Secretary of the Natural Resources Agency - Continued

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 12800, 12801, 12802.5, 12805, 12807-12810, 12850-12850.7, 12851-12855. Public Resources Code Sections 32300, 36000, 85000. Education Code Sections 20053, 20080, 20092.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Legislative Investment: Camp Rebuilds	\$-	\$-	-	\$23,500	\$-	-
• Legislative Investment: Jurupa Mountain Conservation	-	-	-	15,000	-	-
• Legislative Investment: Discovery Science Center of Orange County	-	-	-	10,000	-	-
• Legislative Investment: Los Angeles Natural History Museum	-	-	-	9,000	-	-
• Legislative Investment: Oceanside Beachfront Improvement	-	-	-	8,000	-	-
• Legislative Investment: Del Mar Bluffs Stabilization	-	-	-	6,130	-	-
• Legislative Investment: Columbia Memorial Space Center	-	-	-	5,800	-	-
• Legislative Investment: Sabercat Trail Bridge	-	-	-	5,700	-	-
• Legislative Investment: Defensible Space Assistance Program	-	-	-	5,000	-	-
• Legislative Investment: Compton Creek Watershed Planning	-	-	-	3,000	-	-
• Legislative Investment: Lower Los Angeles River	-	-	-	3,000	-	-
• Legislative Investment: Multi-Benefit Stormwater Capture	-	-	-	3,000	-	-
• Legislative Investment: Santa Fe Springs and South El Monte and Community Center - City of Santa Fe Springs	-	-	-	2,520	-	-
• Legislative Investment: Fullerton Boys and Girls Club	-	-	-	2,500	-	-
• Legislative Investment: Santa Fe Springs and South El Monte and Community Center - City of El Monte	-	-	-	2,090	-	-
• Legislative Investment: Cloverdale Ranch and Gordon Ridge	-	-	-	2,000	-	-
• Legislative Investment: Blue Mountain Trail and Wilderness	-	-	-	1,300	-	-
• Legislative Investment: Santa Fe Springs and South El Monte and Community Center - City of La Puente Park	-	-	-	1,160	-	-
• Digital Migration Technical Adjustment	-	-	-	1,005	2,842	-
• Legislative Investment: Pannell Center Summer Nights	-	-	-	750	-	-
• Legislative Investment: City of Lakewood Parks	-	-	-	700	-	-
• Legislative Investment: Santa Cruz Port District	-	-	-	530	-	-
• Legislative Investment: City of Paramount Park	-	-	-	500	-	-
• Legislative Investment: Chinatown Planning Grant	-	-	-	250	-	-

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0540 Secretary of the Natural Resources Agency - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Legislative Investment: City of Maywood Parks	-	-	-	200	-	-
• Legislative Investment: City of South Gate Park	-	-	-	200	-	-
• Legislative Investment: City of Hawaiian Gardens Park	-	-	-	150	-	-
• Legislative Investment: Korean Federation Sprinkler System	-	-	-	100	-	-
• Legislative Investment: Clearlake Community Center	-	-	-	70	-	-
• Proposition 68: Continuation of Various Resources Programs	-	-	-	-	191,219	5.0
• Cap and Trade Expenditure Plan: Urban Greening	-	-	-	-	30,000	-
• Proposition 68: California River Recreation Creek and Waterway Improvements	-	-	-	-	1,240	-
• Scientific Study of Lake Tahoe Clarity	-	-	-	-	500	-
• Proposition 68: Statewide Bond Costs	-	-	-	-	425	2.0
• Proposition 68: Ocean Protection Council - Advancing Ocean and Coastal Health, Productivity, and Resiliency	-	-	-	-	284	2.0
• Joint Lake Tahoe Science and Water Quality Program Manager Net-Zero Position Shift	-	-	-	-	99	1.0
• Digital Migration	-	-	-	-	29	-
• Resources Agency Technical Proposals: Prop 68 Reversion	-	-115	-	-	-	-
• Technical Adjustment: Armenian Museum Fund Shift	-	-	-	-1,000	-	-
Totals, Workload Budget Change Proposals	\$-	\$-115	-	\$112,155	\$226,638	10.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	6	61	-	6	61	-
• Adult Use of Marijuana Act: Youth Community Access	-	-	-	-	5,364	-
• Transfer from Item 0540-101-0001, per Provision 2	-19,000	-	-	-	-	-
• Transfer from Item 0650-601-3228 to Item 0540-501-3228, per Health and Safety Code Section 39719	-	150	-	-	-	-
• Salary Adjustments	18	262	-	18	262	-
• Benefit Adjustments	7	81	-	7	84	-
• Retirement Rate Adjustments	4	61	-	4	61	-
• Miscellaneous Baseline Adjustments	13,923	82,181	-	-	-83	-
Totals, Other Workload Budget Adjustments	\$-5,042	\$82,796	-	\$35	\$5,749	-
Totals, Workload Budget Adjustments	\$-5,042	\$82,681	-	\$112,190	\$232,387	10.0
Totals, Budget Adjustments	\$-5,042	\$82,681	-	\$112,190	\$232,387	10.0

PROGRAM DESCRIPTIONS

0320 - Administration of Natural Resources Agency

The Natural Resources Agency sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions, and conservancies, and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

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0540 Secretary of the Natural Resources Agency - Continued**DETAILED EXPENDITURES BY PROGRAM**

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PROGRAM REQUIREMENTS			
0320 ADMINISTRATION OF NATURAL RESOURCES AGENCY			
State Operations:			
0001 General Fund	\$2,599	\$3,897	\$4,102
0140 California Environmental License Plate Fund	4,802	6,291	6,510
0183 Environmental Enhancement and Mitigation Program Fund	295	349	349
0200 Fish and Game Preservation Fund	-	148	59
0263 Off-Highway Vehicle Trust Fund	-	31	12
0392 State Parks and Recreation Fund	-	104	41
0516 Harbors and Watercraft Revolving Fund	-	12	5
0890 Federal Trust Fund	-	-	1
0995 Reimbursements	1,247	1,614	3,753
1018 Lake Tahoe Science and Lake Improvement Account, General Fund	150	150	749
3046 Oil, Gas, and Geothermal Administrative Fund	-	148	59
3117 Alternative and Renewable Fuel and Vehicle Technology Fund	-	327	131
3212 Timber Regulation and Forest Restoration Fund	765	1,793	1,494
3228 Greenhouse Gas Reduction Fund	150	150	-
3237 Cost of Implementation Account, Air Pollution Control Fund	933	292	292
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	7	-	-
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	124	283	283
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	2,420	3,494	3,282
6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006	10	-	-
6076 California Ocean Protection Trust Fund	4,419	6,039	6,039
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014	63,006	29,254	1,349
6088 California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund	-	31,365	1,747
8058 California Cultural and Historical Endowment Fund	95	189	189
Totals, State Operations	\$81,022	\$85,930	\$30,446
Local Assistance:			
0001 General Fund	\$4,915	\$55,698	\$112,150
0140 California Environmental License Plate Fund	-	15,000	-
0183 Environmental Enhancement and Mitigation Program Fund	1,880	11,520	6,700
0995 Reimbursements	-	-	5,364
3228 Greenhouse Gas Reduction Fund	345	69,491	30,000
6015 River Protection Subaccount	2,193	2,207	-
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	71	3,387	-
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	709	1,719	-
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	20,129	894	-
6076 California Ocean Protection Trust Fund	9,655	-	-
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014	16,897	11,080	-
6088 California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund	-	115,500	191,490
Totals, Local Assistance	\$56,794	\$286,496	\$345,704
TOTALS, EXPENDITURES			
State Operations	81,022	85,930	30,446
Local Assistance	56,794	286,496	345,704

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0540 Secretary of the Natural Resources Agency - Continued

	2017-18*	2018-19*	2019-20*
Totals, Expenditures	\$137,816	\$372,426	\$376,150

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	40.8	56.9	47.9	\$3,677	\$5,124	\$4,367
Other Adjustments	3.5	-	10.0	839	1,224	2,059
Net Totals, Salaries and Wages	44.3	56.9	57.9	\$4,516	\$6,348	\$6,426
Staff Benefits	-	-	-	2,017	2,740	2,839
Totals, Personal Services	44.3	56.9	57.9	\$6,533	\$9,088	\$9,265
OPERATING EXPENSES AND EQUIPMENT				\$11,491	\$46,232	\$18,704
SPECIAL ITEMS OF EXPENSES				62,998	30,610	2,477
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$81,022	\$85,930	\$30,446

2 Local Assistance	Expenditures		
	2017-18*	2018-19*	2019-20*
Consulting and Professional Services - Interdepartmental - Other	\$21,754	\$-	\$-
Grants and Subventions - Governmental	35,040	286,496	345,704
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$56,794	\$286,496	\$345,704

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,599	\$3,862	\$4,102
Allocation for Employee Compensation	-	18	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	4	-
TOTALS, EXPENDITURES	\$2,599	\$3,897	\$4,102
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
002 Budget Act appropriation (transfer to Environmental Enhancement and Mitigation Program Fund)	(\$7,000)	(\$7,000)	(\$7,000)
TOTALS, EXPENDITURES	-	-	-
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
011 Budget Act appropriation (transfer to California Environmental License Plate Fund)	(\$6,300)	(-)	(-)
TOTALS, EXPENDITURES	-	-	-
0140 California Environmental License Plate Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,802	\$6,071	\$6,510
Allocation for Employee Compensation	-	95	-
Allocation for Other Post-Employment Benefits	-	43	-
Allocation for Staff Benefits	-	44	-

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0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Item 9800 Scheduling Correction	-	2	-
Section 3.60 Pension Contribution Adjustment	-	36	-
Totals Available	<u>\$4,802</u>	<u>\$6,291</u>	<u>\$6,510</u>
TOTALS, EXPENDITURES	<u>\$4,802</u>	<u>\$6,291</u>	<u>\$6,510</u>
0183 Environmental Enhancement and Mitigation Program Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$295	\$336	\$349
Allocation for Employee Compensation	-	9	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	2	-
Totals Available	<u>\$295</u>	<u>\$349</u>	<u>\$349</u>
TOTALS, EXPENDITURES	<u>\$295</u>	<u>\$349</u>	<u>\$349</u>
0200 Fish and Game Preservation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$146	\$59
Allocation for Employee Compensation	-	2	-
TOTALS, EXPENDITURES	<u>-</u>	<u>\$148</u>	<u>\$59</u>
0263 Off-Highway Vehicle Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$31	\$12
TOTALS, EXPENDITURES	<u>-</u>	<u>\$31</u>	<u>\$12</u>
0392 State Parks and Recreation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$103	\$41
Allocation for Employee Compensation	-	1	-
TOTALS, EXPENDITURES	<u>-</u>	<u>\$104</u>	<u>\$41</u>
0516 Harbors and Watercraft Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$12	\$5
TOTALS, EXPENDITURES	<u>-</u>	<u>\$12</u>	<u>\$5</u>
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$1
Totals Available	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	<u>-</u>	<u>-</u>	<u>\$1</u>
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,247	\$1,614	\$3,753
TOTALS, EXPENDITURES	<u>\$1,247</u>	<u>\$1,614</u>	<u>\$3,753</u>
1018 Lake Tahoe Science and Lake Improvement Account, General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$150	\$150	\$749
TOTALS, EXPENDITURES	<u>\$150</u>	<u>\$150</u>	<u>\$749</u>
3046 Oil, Gas, and Geothermal Administrative Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$146	\$59
Allocation for Employee Compensation	-	2	-
TOTALS, EXPENDITURES	<u>-</u>	<u>\$148</u>	<u>\$59</u>
3117 Alternative and Renewable Fuel and Vehicle Technology Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$321	\$131

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Allocation for Employee Compensation	-	4	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-
TOTALS, EXPENDITURES	<u>-</u>	<u>\$327</u>	<u>\$131</u>
3212 Timber Regulation and Forest Restoration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$765	\$1,762	\$1,494
Allocation for Employee Compensation	-	17	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	4	-
Totals Available	<u>\$765</u>	<u>\$1,793</u>	<u>\$1,494</u>
TOTALS, EXPENDITURES	<u>\$765</u>	<u>\$1,793</u>	<u>\$1,494</u>
3228 Greenhouse Gas Reduction Fund			
Prior Year Balances Available:			
Public Resources Code section 75200.3 and Health and Safety Code section 39719(b)(1)(C)	150	150	-
TOTALS, EXPENDITURES	<u>\$150</u>	<u>\$150</u>	<u>-</u>
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$933	\$278	\$292
Allocation for Employee Compensation	-	9	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	2	-
Totals Available	<u>\$933</u>	<u>\$292</u>	<u>\$292</u>
TOTALS, EXPENDITURES	<u>\$933</u>	<u>\$292</u>	<u>\$292</u>
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$7	-	-
Allocation for Employee Compensation	-	1	-
Allocation for Staff Benefits	-	1	-
Item 9800 Scheduling Correction	-	-2	-
Totals Available	<u>\$7</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$7</u>	<u>-</u>	<u>-</u>
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002			
APPROPRIATIONS			
001 Budget Act appropriation	\$124	\$267	\$283
Allocation for Employee Compensation	-	14	-
Allocation for Staff Benefits	-	2	-
Totals Available	<u>\$124</u>	<u>\$283</u>	<u>\$283</u>
TOTALS, EXPENDITURES	<u>\$124</u>	<u>\$283</u>	<u>\$283</u>
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,415	\$2,289	\$3,282
Allocation for Employee Compensation	-	33	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	5	-
Prior Year Balances Available:			
State operations expenditure from local assistance appropriation	5	1,162	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Totals Available	<u>\$2,420</u>	<u>\$3,494</u>	<u>\$3,282</u>
TOTALS, EXPENDITURES	<u>\$2,420</u>	<u>\$3,494</u>	<u>\$3,282</u>
6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006			
Prior Year Balances Available:			
Item 0540-001-6052, Budget Act of 2013 as reappropriated by Item 0540-492, Budget Act of 2014 as added by Chapter 1, Statutes of 2015	10	-	-
TOTALS, EXPENDITURES	<u>\$10</u>	<u>-</u>	<u>-</u>
6076 California Ocean Protection Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,419	\$6,035	\$6,039
Allocation for Employee Compensation	-	1	-
Allocation for Other Post-Employment Benefits	-	3	-
Totals Available	<u>\$4,419</u>	<u>\$6,039</u>	<u>\$6,039</u>
TOTALS, EXPENDITURES	<u>\$4,419</u>	<u>\$6,039</u>	<u>\$6,039</u>
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014			
APPROPRIATIONS			
001 Budget Act appropriation	\$480	\$1,301	\$1,349
Allocation for Employee Compensation	-	24	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	3	-
Prior Year Balances Available:			
Item 0540-001-6083, Budget Act of 2016 as reappropriated by Item 0540-491, Budget Act of 2019	62,526	27,921	-
Totals Available	<u>\$63,006</u>	<u>\$29,254</u>	<u>\$1,349</u>
TOTALS, EXPENDITURES	<u>\$63,006</u>	<u>\$29,254</u>	<u>\$1,349</u>
6088 California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$31,411	\$1,747
Allocation for Employee Compensation	-	41	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	12	-
Section 3.60 Pension Contribution Adjustment	-	8	-
Totals Available	<u>-</u>	<u>\$31,480</u>	<u>\$1,747</u>
Unexpended balance, estimated savings	-	-115	-
TOTALS, EXPENDITURES	<u>-</u>	<u>\$31,365</u>	<u>\$1,747</u>
8058 California Cultural and Historical Endowment Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$95	\$183	\$189
Allocation for Employee Compensation	-	4	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Totals Available	<u>\$95</u>	<u>\$189</u>	<u>\$189</u>
TOTALS, EXPENDITURES	<u>\$95</u>	<u>\$189</u>	<u>\$189</u>
Total Expenditures, All Funds, (State Operations)	<u>\$81,022</u>	<u>\$85,930</u>	<u>\$30,446</u>
2 LOCAL ASSISTANCE			
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$4,915	\$60,775	\$112,150
Transfer from Item 0540-101-0001, per Provision 2	-	-19,000	-
Prior Year Balances Available:			

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0540 Secretary of the Natural Resources Agency - Continued

2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
Item 0540-101-0001, Budget Act of 2017	-	13,923	-
Totals Available	\$4,915	\$55,698	\$112,150
TOTALS, EXPENDITURES	\$4,915	\$55,698	\$112,150
0140 California Environmental License Plate Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$15,000	-
TOTALS, EXPENDITURES	-	\$15,000	-
0183 Environmental Enhancement and Mitigation Program Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,880	\$6,700	\$6,700
Prior Year Balances Available:			
Item 0540-101-0183, Budget Act of 2017	-	4,820	-
Totals Available	\$1,880	\$11,520	\$6,700
TOTALS, EXPENDITURES	\$1,880	\$11,520	\$6,700
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	-	-	\$5,364
TOTALS, EXPENDITURES	-	-	\$5,364
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$40,000	\$30,000
Prior Year Balances Available:			
Item 0540-101-3228, Budget Act of 2016 as added by Chapter 370, Statutes of 2016 as reappropriated by Item 0540-491, Budget Act of 2018	345	3,491	-
Item 0540-101-3228, Budget Act of 2017 as added by Chapter 249, Statutes of 2017	-	26,000	-
Totals Available	\$345	\$69,491	\$30,000
TOTALS, EXPENDITURES	\$345	\$69,491	\$30,000
6015 River Protection Subaccount			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,193	-	-
Prior Year Balances Available:			
Item 0540-101-6015, Budget Act of 2017	-	2,207	-
Totals Available	\$2,193	\$2,207	-
TOTALS, EXPENDITURES	\$2,193	\$2,207	-
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$500	-
Prior Year Balances Available:			
Item 0540-101-6029, Budget Act of 2002 as reappropriated by Item 0540-492, Budget Act of 2004, Item 0540-490, Budget Acts of 2007, 2011, 2016, and 2019, and as reverted by Item 0540-495, Budget Act of 2006	25	476	-
Item 0540-101-6029, Budget Act of 2014 as reappropriated by Item 0540-490, Budget Act of 2017	-11	1,621	-
Item 0540-101-6029, Budget Act of 2016	57	790	-
Totals Available	\$71	\$3,387	-
TOTALS, EXPENDITURES	\$71	\$3,387	-
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002			
Prior Year Balances Available:			
Chapter 230, Statutes of 2004 (Section 40) as reappropriated by Item 0540-490, Budget Acts of 2008 and 2012, and as reappropriated by Item 0540-491, Budget Act of 2015	376	-	-
Item 0540-101-6031, Budget Act of 2006 as reappropriated by Item 0540-490, Budget Acts of 2011, 2014, and 2017	-929	1,481	-

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0540 Secretary of the Natural Resources Agency - Continued

	2017-18*	2018-19*	2019-20*
2 LOCAL ASSISTANCE			
Item 0540-101-6031, Budget Act of 2016 as reappropriated by Item 0540-490, Budget Act of 2019	1,262	238	-
Totals Available	<u>\$709</u>	<u>\$1,719</u>	<u>-</u>
TOTALS, EXPENDITURES	\$709	\$1,719	-
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006			
APPROPRIATIONS			
101 Budget Act appropriation	\$20,464	-	-
Prior Year Balances Available:			
Item 0540-101-6051, Budget Act of 2008 as reappropriated by Item 0540-490, Budget Acts of 2011, 2014, and 2017	-175	175	-
Item 0540-101-6051, Budget Act of 2010 as reappropriated by Item 0540-490, Budget Acts of 2013, 2014 and 2017	-81	246	-
Item 0540-101-6051, Budget Act of 2011 as reappropriated by Item 0540-490, Budget Acts of 2014 and 2017	-79	136	-
Item 0540-101-6051, Budget Act of 2012 as reappropriated by Item 0540-491, Budget Act of 2015 and by Item 0540-490, Budget Act of 2019	-1	-	-
Item 0540-101-6051, Budget Act of 2016 as reappropriated by Item 0540-490, Budget Act of 2019	1	337	-
Totals Available	<u>\$20,129</u>	<u>\$894</u>	<u>-</u>
TOTALS, EXPENDITURES	\$20,129	\$894	-
6076 California Ocean Protection Trust Fund			
Prior Year Balances Available:			
Local assistance expenditure from capital outlay appropriation	9,655	-	-
Totals Available	<u>\$9,655</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$9,655	-	-
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014			
APPROPRIATIONS			
101 Budget Act appropriation	\$9,107	-	-
Prior Year Balances Available:			
Item 0540-101-6083, Budget Act of 2015	77	-	-
Item 0540-101-6083, Budget Act of 2016	7,713	1,587	-
Item 0540-101-6083, Budget Act of 2017	-	9,493	-
Totals Available	<u>\$16,897</u>	<u>\$11,080</u>	<u>-</u>
TOTALS, EXPENDITURES	\$16,897	\$11,080	-
6088 California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$115,500	\$191,490
TOTALS, EXPENDITURES	<u>-</u>	<u>\$115,500</u>	<u>\$191,490</u>
Total Expenditures, All Funds, (Local Assistance)	<u>\$56,794</u>	<u>\$286,496</u>	<u>\$345,704</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$137,816	\$372,426	\$376,150

FUND CONDITION STATEMENTS †

	2017-18*	2018-19*	2019-20*
0183 Environmental Enhancement and Mitigation Program Fund^S			
BEGINNING BALANCE	\$32,141	\$33,352	\$29,161
Prior Year Adjustments	-4,293	-	-
Adjusted Beginning Balance	<u>\$27,848</u>	<u>\$33,352</u>	<u>\$29,161</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	693	693	693

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0540 Secretary of the Natural Resources Agency - Continued

	2017-18*	2018-19*	2019-20*
Transfers and Other Adjustments			
Revenue Transfer from State Highway Account, State Transportation Fund (0042) to Environmental Enhancement and Mitigation Program Fund (0183) per Budget Act Item 2660-022-0042, Budget Act of 2014	7,000	7,000	7,000
Total Revenues, Transfers, and Other Adjustments	<u>\$7,693</u>	<u>\$7,693</u>	<u>\$7,693</u>
Total Resources	<u>\$35,541</u>	<u>\$41,045</u>	<u>\$36,854</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0540 Secretary of the Natural Resources Agency (State Operations)	295	349	349
0540 Secretary of the Natural Resources Agency (Local Assistance)	1,880	11,520	6,700
9892 Supplemental Pension Payments (State Operations)	-	4	8
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	14	11	12
Total Expenditures and Expenditure Adjustments	<u>\$2,189</u>	<u>\$11,884</u>	<u>\$7,069</u>
FUND BALANCE	<u>\$33,352</u>	<u>\$29,161</u>	<u>\$29,785</u>
Reserve for economic uncertainties	33,352	29,161	29,785
3212 Timber Regulation and Forest Restoration Fund^s			
BEGINNING BALANCE	\$30,737	\$27,612	\$17,048
Prior Year Adjustments	1,610	-	-
Adjusted Beginning Balance	<u>\$32,347</u>	<u>\$27,612</u>	<u>\$17,048</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4171000 Cost Recoveries - Delinquent Receivables	-21	-	-
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	1	-	-
4172400 Forest Product Sales	43,474	46,000	46,000
4173000 Penalty Assessments - Other	11	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$43,465</u>	<u>\$46,000</u>	<u>\$46,000</u>
Total Resources	<u>\$75,812</u>	<u>\$73,612</u>	<u>\$63,048</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0511 Secretary for Government Operations Agency (State Operations)	-	500	-
0540 Secretary of the Natural Resources Agency (State Operations)	765	1,793	1,494
3480 Department of Conservation (State Operations)	4,242	4,428	4,429
3540 Department of Forestry and Fire Protection (State Operations)	21,725	26,719	25,133
3540 Department of Forestry and Fire Protection (Local Assistance)	1,520	1,945	-
3600 Department of Fish and Wildlife (State Operations)	7,854	7,837	9,853
3600 Department of Fish and Wildlife (Local Assistance)	3,500	2,000	1,000
3855 Sierra Nevada Conservancy (Local Assistance)	-	1,000	-
3940 State Water Resources Control Board (State Operations)	3,476	4,432	4,433
3940 State Water Resources Control Board (Local Assistance)	2,000	2,000	1,000
7600 California Department of Tax and Fee Administration (State Operations)	856	1,279	1,271
8880 Financial Information System for California (State Operations)	47	5	-2
9892 Supplemental Pension Payments (State Operations)	-	442	899
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	2,215	2,184	1,965
Total Expenditures and Expenditure Adjustments	<u>\$48,200</u>	<u>\$56,564</u>	<u>\$51,475</u>
FUND BALANCE	<u>\$27,612</u>	<u>\$17,048</u>	<u>\$11,573</u>
Reserve for economic uncertainties	27,612	17,048	11,573
3312 Natural Resources and Parks Preservation Fund^s			
BEGINNING BALANCE	-	-	100,000
Adjusted Beginning Balance	<u>-</u>	<u>-</u>	<u>\$100,000</u>
Total Resources	<u>-</u>	<u>-</u>	<u>\$100,000</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			

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0540 Secretary of the Natural Resources Agency - Continued

	2017-18*	2018-19*	2019-20*
Expenditures:			
3790 Department of Parks and Recreation (Capital Outlay)	-	-	100,000
Expenditure Adjustments:			
Less funding provided by General Fund (Capital Outlay)	-	-100,000	-
Total Expenditures and Expenditure Adjustments	-	-\$100,000	\$100,000
FUND BALANCE	-	\$100,000	-
Reserve for economic uncertainties	-	100,000	-

† Fiscal year 2017-18 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2017-18 ending fund balance will be reflected as a prior year adjustment in the 2020-21 Governor’s Budget publication.

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	40.8	56.9	47.9	\$3,677	\$5,124	\$4,367
Salary and Other Adjustments	3.5	-	-	839	1,339	1,174
Workload and Administrative Adjustments						
Joint Lake Tahoe Science and Water Quality Program Manager Net-Zero Position Shift						
Environmental Program Mgr I (Mgrial)	-	-	1.0	-	-	85
Proposition 68: Continuation of Various Resources Programs						
Assoc Park & Recr Spec	-	-	5.0	-	-	395
Various	-	-	-	-	-	43
Proposition 68: Ocean Protection Council - Advancing Ocean and Coastal Health, Productivity, and Resiliency						
Environmental Scientist	-	-	2.0	-	-	160
Proposition 68: Statewide Bond Costs						
C.E.A. - B	-	-	1.0	-	-	122
Info Officer II	-	-	1.0	-	-	80
Resources Agency Technical Proposals: Prop 68 Reversion						
Various	-	-	-	-	-115	-
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	10.0	\$-	-\$115	\$885
Totals, Adjustments	3.5	-	10.0	\$839	\$1,224	\$2,059
TOTALS, SALARIES AND WAGES	44.3	56.9	57.9	\$4,516	\$6,348	\$6,426

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0552 Office of the Inspector General

The Office of the Inspector General (OIG) protects public safety by safeguarding the integrity of California's correctional system. OIG is responsible for contemporaneous oversight of the California Department of Corrections and Rehabilitation's (CDCR) internal affairs investigations, use of force, and the employee disciplinary process. When requested by the Governor, the Senate Committee on Rules, or the Speaker of the Assembly, the Inspector General reviews the policies, practices, and procedures of CDCR. The Inspector General reviews the Governor's candidates for appointment to serve as warden for the state's adult correctional institutions and as superintendents for the state's juvenile facilities, and conducts metric-oriented inspection programs to periodically review delivery of medical care at each state prison. OIG receives communications from individuals alleging improper governmental activity and maintains a toll-free public telephone number to receive allegations of wrongdoing by employees of CDCR; conducts formal reviews of complaints of retaliation from CDCR employees against upper management where a legally cognizable cause of action is present; and reviews the mishandling of sexual abuse incidents within correctional institutions. OIG provides critical public transparency for the state correctional system by publicly reporting its findings.

In addition, OIG is responsible for the California Rehabilitation Oversight Board (Board) that examines CDCR's various mental health, substance abuse, educational, and employment programs for inmates and parolees. The Board meets at least twice annually to recommend modifications, additions, and eliminations of offender rehabilitation and treatment programs. The Board also submits biannual reports to the Governor, the Legislature, and the public to convey its findings on the effectiveness of treatment efforts, rehabilitation needs of offenders, gaps in offender rehabilitation services, and levels of offender participation and success.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0330 Office of the Inspector General	111.3	107.8	107.8	\$22,896	\$24,558	\$24,618
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	111.3	107.8	107.8	\$22,896	\$24,558	\$24,618
FUNDING		2017-18*		2018-19*		2019-20*
0001 General Fund		\$22,896		\$24,558		\$24,618
TOTALS, EXPENDITURES, ALL FUNDS		\$22,896		\$24,558		\$24,618

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Penal Code, Part III, Title 1, Chapter 3, Section 2641 and Title 7, Chapter 8.2, Sections 6125 to 6141.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$122	\$-	-	\$122	\$-	-
• Salary Adjustments	521	-	-	572	-	-
• Benefit Adjustments	180	-	-	189	-	-
• Retirement Rate Adjustments	93	-	-	93	-	-
• Miscellaneous Baseline Adjustments	-184	-	-	-184	-	-
Totals, Other Workload Budget Adjustments	\$732	\$-	-	\$792	\$-	-
Totals, Workload Budget Adjustments	\$732	\$-	-	\$792	\$-	-
Totals, Budget Adjustments	\$732	\$-	-	\$792	\$-	-

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0552 Office of the Inspector General - Continued

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0330	OFFICE OF THE INSPECTOR GENERAL			
State Operations:				
0001	General Fund	\$22,896	\$24,558	\$24,618
	Totals, State Operations	\$22,896	\$24,558	\$24,618
TOTALS, EXPENDITURES				
	State Operations	22,896	24,558	24,618
	Totals, Expenditures	\$22,896	\$24,558	\$24,618

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	107.8	107.8	107.8	\$12,706	\$13,174	\$13,174
Other Adjustments	3.5	-	-	863	521	572
Net Totals, Salaries and Wages	111.3	107.8	107.8	\$13,569	\$13,695	\$13,746
Staff Benefits	-	-	-	6,032	7,302	7,311
Totals, Personal Services	111.3	107.8	107.8	\$19,601	\$20,997	\$21,057
OPERATING EXPENSES AND EQUIPMENT				\$3,295	\$3,561	\$3,561
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$22,896	\$24,558	\$24,618

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$22,896	\$23,826	\$24,618
Allocation for Employee Compensation	-	521	-
Allocation for Other Post-Employment Benefits	-	122	-
Allocation for Staff Benefits	-	180	-
Contracted Fiscal Services Funding Removal	-	-184	-
Section 3.60 Pension Contribution Adjustment	-	93	-
TOTALS, EXPENDITURES	\$22,896	\$24,558	\$24,618
Total Expenditures, All Funds, (State Operations)	\$22,896	\$24,558	\$24,618

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	107.8	107.8	107.8	\$12,706	\$13,174	\$13,174
Salary and Other Adjustments	3.5	-	-	863	521	572
Totals, Adjustments	3.5	-	-	\$863	\$521	\$572
TOTALS, SALARIES AND WAGES	111.3	107.8	107.8	\$13,569	\$13,695	\$13,746

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection

The Secretary for Environmental Protection is the cabinet level agency responsible for protecting human health and the environment. California Environmental Protection Agency programs reduce greenhouse gas emissions, restore and protect environmental quality, and protect public health. The Secretary coordinates the state's environmental regulatory programs and ensures fair and consistent enforcement of environmental law, which safeguards the state's residents and promotes the state's economic vitality. The Secretary also administers environmental justice, environmental law enforcement, emergency preparedness and response, Certified Unified Program Agencies, and scientific review programs. The Secretary leads greenhouse gas emission reduction and climate change activities in state government, and is responsible for coordinating the implementation of Chapter 488, Statutes of 2006 (AB 32). The Secretary also centralizes coordination of California-Mexico border environmental efforts.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0340 Support	75.9	69.4	75.4	\$20,133	\$23,100	\$25,152
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	75.9	69.4	75.4	\$20,133	\$23,100	\$25,152
FUNDING				2017-18*	2018-19*	2019-20*
0001 General Fund				\$3,007	\$3,048	\$3,033
0014 Hazardous Waste Control Account				361	371	371
0028 Unified Program Account				4,969	5,208	4,209
0044 Motor Vehicle Account, State Transportation Fund				2,067	2,143	2,144
0106 Department of Pesticide Regulation Fund				998	1,023	1,023
0115 Air Pollution Control Fund				1,312	1,729	2,104
0133 California Beverage Container Recycling Fund				-	375	375
0193 Waste Discharge Permit Fund				611	1,002	1,002
0226 California Tire Recycling Management Fund				127	133	133
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund				49	32	96
0387 Integrated Waste Management Account, Integrated Waste Management Fund				266	279	279
0439 Underground Storage Tank Cleanup Fund				1,343	1,376	1,377
0557 Toxic Substances Control Account				-	375	-
0679 State Water Quality Control Fund				195	201	201
0890 Federal Trust Fund				300	300	300
0995 Reimbursements				1,755	2,128	2,128
1006 Rural CUPA Reimbursement Account				988	-	-
3058 Water Rights Fund				35	37	37
3228 Greenhouse Gas Reduction Fund				-	-	3,000
3237 Cost of Implementation Account, Air Pollution Control Fund				1,179	1,208	1,208
8013 Environmental Enforcement and Training Account				571	2,132	2,132
TOTALS, EXPENDITURES, ALL FUNDS				\$20,133	\$23,100	\$25,152

LEGAL CITATIONS AND AUTHORITY

Governor's Reorganization Plan No. 1 of 1991; Government Code Section 12812.6; Health and Safety Code, Division 37, Section 57000 et seq.; Public Resources Code Sections 71000 and 71110; and Governor's Reorganization Plan No. 2 of 2012.

MAJOR PROGRAM CHANGES

- Major Program Change: Transition to a Carbon-Neutral Economy and Zero-Emission Vehicle Strategy—A one-time increase of \$3 million Greenhouse Gas Reduction Fund for two studies to: (1) identify strategies to decrease the demand and supply of fossil fuels and evaluate pathways to achieve a carbon-neutral economy by 2045, and (2) significantly reduce emissions from vehicles and achieve carbon neutrality in the transportation sector.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Process Improvement Positions	\$-	\$-	-	\$985	\$-	6.0
• Cap and Trade Expenditure Plan: Transition to a Carbon-Neutral Economy & Emission Reductions in the Transportation Sector	-	-	-	-	3,000	-
• Environmental Justice Grants	-	-	-	-	1,500	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$985	\$4,500	6.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	4	63	-	4	63	-
• Salary Adjustments	18	252	-	18	252	-
• Benefit Adjustments	8	98	-	8	101	-
• Retirement Rate Adjustments	5	61	-	5	61	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-936	-
Totals, Other Workload Budget Adjustments	\$35	\$474	-	\$35	\$-459	-
Totals, Workload Budget Adjustments	\$35	\$474	-	\$1,020	\$4,041	6.0
Totals, Budget Adjustments	\$35	\$474	-	\$1,020	\$4,041	6.0

PROGRAM DESCRIPTIONS

0340 - SUPPORT PROGRAM

The Secretary for Environmental Protection's primary program objectives are restoring, protecting, and enhancing environmental quality, and protecting public health while fostering economic vitality. The Secretary oversees the state's environmental regulatory programs with particular emphasis on promoting "cross-media" coordination, enhancing risk assessment (especially for disadvantaged communities and other sensitive populations), enforcing laws consistently and fairly, and objectively measuring and reporting results.

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0340	SUPPORT			
	State Operations:			
0001	General Fund	\$2,172	\$2,213	\$2,198
0014	Hazardous Waste Control Account	361	371	371
0028	Unified Program Account	4,969	5,208	4,209
0044	Motor Vehicle Account, State Transportation Fund	2,067	2,143	2,144
0106	Department of Pesticide Regulation Fund	998	1,023	1,023
0115	Air Pollution Control Fund	1,312	1,354	1,354
0193	Waste Discharge Permit Fund	611	627	627
0226	California Tire Recycling Management Fund	127	133	133
0235	Public Resources Account, Cigarette and Tobacco Products Surtax Fund	49	32	96
0387	Integrated Waste Management Account, Integrated Waste Management Fund	266	279	279
0439	Underground Storage Tank Cleanup Fund	1,343	1,376	1,377
0679	State Water Quality Control Fund	195	201	201
0890	Federal Trust Fund	300	300	300
0995	Reimbursements	1,755	2,128	2,128

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0555 Secretary for Environmental Protection - Continued

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
3058 Water Rights Fund	35	37	37
3228 Greenhouse Gas Reduction Fund	-	-	3,000
3237 Cost of Implementation Account, Air Pollution Control Fund	1,179	1,208	1,208
8013 Environmental Enforcement and Training Account	571	2,132	2,132
Totals, State Operations	\$18,310	\$20,765	\$22,817
Local Assistance:			
0001 General Fund	\$835	\$835	\$835
0115 Air Pollution Control Fund	-	375	750
0133 California Beverage Container Recycling Fund	-	375	375
0193 Waste Discharge Permit Fund	-	375	375
0557 Toxic Substances Control Account	-	375	-
1006 Rural CUPA Reimbursement Account	988	-	-
Totals, Local Assistance	\$1,823	\$2,335	\$2,335
TOTALS, EXPENDITURES			
State Operations	18,310	20,765	22,817
Local Assistance	1,823	2,335	2,335
Totals, Expenditures	\$20,133	\$23,100	\$25,152

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	69.4	69.4	69.4	\$6,053	\$6,198	\$6,198
Other Adjustments	6.5	-	6.0	594	270	798
Net Totals, Salaries and Wages	75.9	69.4	75.4	\$6,647	\$6,468	\$6,996
Staff Benefits	-	-	-	3,493	2,901	3,183
Totals, Personal Services	75.9	69.4	75.4	\$10,140	\$9,369	\$10,179
OPERATING EXPENSES AND EQUIPMENT				\$7,599	\$8,800	\$10,042
SPECIAL ITEMS OF EXPENSES				571	2,596	2,596
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$18,310	\$20,765	\$22,817

2 Local Assistance	<u>Expenditures</u>		
	2017-18*	2018-19*	2019-20*
Grants and Subventions - Governmental	\$1,823	\$2,335	\$2,335
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,823	\$2,335	\$2,335

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,172	\$2,178	\$2,198
Allocation for Employee Compensation	-	18	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	8	-
Section 3.60 Pension Contribution Adjustment	-	5	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Totals Available	\$2,172	\$2,213	\$2,198
TOTALS, EXPENDITURES	\$2,172	\$2,213	\$2,198
0014 Hazardous Waste Control Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$361	\$362	\$371
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	\$361	\$371	\$371
TOTALS, EXPENDITURES	\$361	\$371	\$371
0028 Unified Program Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,969	\$5,005	\$4,209
Allocation for Employee Compensation	-	107	-
Allocation for Other Post-Employment Benefits	-	28	-
Allocation for Staff Benefits	-	42	-
Section 3.60 Pension Contribution Adjustment	-	26	-
Totals Available	\$4,969	\$5,208	\$4,209
TOTALS, EXPENDITURES	\$4,969	\$5,208	\$4,209
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,067	\$2,087	\$2,144
Allocation for Employee Compensation	-	30	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	-	11	-
Section 3.60 Pension Contribution Adjustment	-	8	-
Totals Available	\$2,067	\$2,143	\$2,144
TOTALS, EXPENDITURES	\$2,067	\$2,143	\$2,144
0106 Department of Pesticide Regulation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$998	\$999	\$1,023
Allocation for Employee Compensation	-	13	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	3	-
Totals Available	\$998	\$1,023	\$1,023
TOTALS, EXPENDITURES	\$998	\$1,023	\$1,023
0115 Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,312	\$1,316	\$1,354
Allocation for Employee Compensation	-	20	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	8	-
Section 3.60 Pension Contribution Adjustment	-	5	-
Totals Available	\$1,312	\$1,354	\$1,354
TOTALS, EXPENDITURES	\$1,312	\$1,354	\$1,354
0193 Waste Discharge Permit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$611	\$612	\$627

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0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Allocation for Employee Compensation	-	8	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	2	-
Totals Available	\$611	\$627	\$627
TOTALS, EXPENDITURES	\$611	\$627	\$627
0226 California Tire Recycling Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$127	\$128	\$133
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Totals Available	\$127	\$133	\$133
TOTALS, EXPENDITURES	\$127	\$133	\$133
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$49	\$32	\$96
Totals Available	\$49	\$32	\$96
TOTALS, EXPENDITURES	\$49	\$32	\$96
0387 Integrated Waste Management Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$266	\$267	\$279
Allocation for Employee Compensation	-	6	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	2	-
Totals Available	\$266	\$279	\$279
TOTALS, EXPENDITURES	\$266	\$279	\$279
0439 Underground Storage Tank Cleanup Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,343	\$1,344	\$1,377
Allocation for Employee Compensation	-	17	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	5	-
Totals Available	\$1,343	\$1,376	\$1,377
TOTALS, EXPENDITURES	\$1,343	\$1,376	\$1,377
0679 State Water Quality Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$195	\$196	\$201
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Totals Available	\$195	\$201	\$201
TOTALS, EXPENDITURES	\$195	\$201	\$201
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$300	\$300	\$300
TOTALS, EXPENDITURES	\$300	\$300	\$300
0995 Reimbursements			
APPROPRIATIONS			

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0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Reimbursements	\$1,755	\$2,128	\$2,128
TOTALS, EXPENDITURES	\$1,755	\$2,128	\$2,128
3058 Water Rights Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$35	\$37	\$37
Totals Available	\$35	\$37	\$37
TOTALS, EXPENDITURES	\$35	\$37	\$37
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$3,000
TOTALS, EXPENDITURES	-	-	\$3,000
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,179	\$1,181	\$1,208
Allocation for Employee Compensation	-	16	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	3	-
Totals Available	\$1,179	\$1,208	\$1,208
TOTALS, EXPENDITURES	\$1,179	\$1,208	\$1,208
8013 Environmental Enforcement and Training Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$571	\$2,132	\$2,132
Totals Available	\$571	\$2,132	\$2,132
TOTALS, EXPENDITURES	\$571	\$2,132	\$2,132
Total Expenditures, All Funds, (State Operations)	\$18,310	\$20,765	\$22,817
2 LOCAL ASSISTANCE			
	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Rural CUPA Reimbursement Account)	\$835	\$835	\$835
TOTALS, EXPENDITURES	\$835	\$835	\$835
0115 Air Pollution Control Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$375	\$750
TOTALS, EXPENDITURES	-	\$375	\$750
0133 California Beverage Container Recycling Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$375	\$375
TOTALS, EXPENDITURES	-	\$375	\$375
0193 Waste Discharge Permit Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$375	\$375
TOTALS, EXPENDITURES	-	\$375	\$375
0557 Toxic Substances Control Account			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$375	-
TOTALS, EXPENDITURES	-	\$375	-
1006 Rural CUPA Reimbursement Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,823	\$835	\$835

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0555 Secretary for Environmental Protection - Continued

2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
Totals Available	\$1,823	\$835	\$835
TOTALS, EXPENDITURES	\$1,823	\$835	\$835
Less funding provided by General Fund	-835	-835	-835
NET TOTALS, EXPENDITURES	\$988	-	-
Total Expenditures, All Funds, (Local Assistance)	\$1,823	\$2,335	\$2,335
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$20,133	\$23,100	\$25,152

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
0028 Unified Program Account^s			
BEGINNING BALANCE	\$2,970	\$1,307	\$304
Prior Year Adjustments	770	-	-
Adjusted Beginning Balance	\$3,740	\$1,307	\$304
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	5,846	8,644	8,644
4163000 Investment Income - Surplus Money Investments	18	34	8
4172500 Miscellaneous Revenue	19	-	-
Total Revenues, Transfers, and Other Adjustments	\$5,883	\$8,678	\$8,652
Total Resources	\$9,623	\$9,985	\$8,956
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0555 Secretary for Environmental Protection (State Operations)	4,969	5,208	4,209
0690 Office of Emergency Services (State Operations)	565	909	910
3540 Department of Forestry and Fire Protection (State Operations)	488	718	720
3940 State Water Resources Control Board (State Operations)	590	619	619
3960 Department of Toxic Substances Control (State Operations)	1,124	1,411	1,348
3980 Office of Environmental Health Hazard Assessment (State Operations)	161	183	183
8880 Financial Information System for California (State Operations)	10	1	-1
9892 Supplemental Pension Payments (State Operations)	-	80	160
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	409	552	445
Total Expenditures and Expenditure Adjustments	\$8,316	\$9,681	\$8,593
FUND BALANCE	\$1,307	\$304	\$363
Reserve for economic uncertainties	1,307	304	363
1006 Rural CUPA Reimbursement Account^s			
BEGINNING BALANCE	\$1,794	\$806	\$806
Adjusted Beginning Balance	\$1,794	\$806	\$806
Total Resources	\$1,794	\$806	\$806
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0555 Secretary for Environmental Protection (Local Assistance)	1,823	835	835
Expenditure Adjustments:			
Less funding provided by General Fund (Local Assistance)	-835	-835	-835
Total Expenditures and Expenditure Adjustments	\$988	-	-
FUND BALANCE	\$806	\$806	\$806
Reserve for economic uncertainties	806	806	806

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Baseline Positions	69.4	69.4	69.4	\$6,053	\$6,198	\$6,198
Salary and Other Adjustments	6.5	-	-	594	270	270
Workload and Administrative Adjustments						
Process Improvement Positions						
Assoc Govtl Program Analyst	-	-	1.0	-	-	67
Atty	-	-	1.0	-	-	92
C.E.A.	-	-	1.0	-	-	143
Info Officer I (Spec)	-	-	1.0	-	-	67
Staff Svcs Mgr I	-	-	2.0	-	-	159
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	6.0	\$-	\$-	\$528
Totals, Adjustments	6.5	-	6.0	\$594	\$270	\$798
TOTALS, SALARIES AND WAGES	75.9	69.4	75.4	\$6,647	\$6,468	\$6,996

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0559 Secretary for Labor and Workforce Development Agency

The Labor and Workforce Development Agency was established to address issues relating to California workers and their employers. The Agency is responsible for labor law enforcement, workforce development, and benefit payment and adjudication. The Agency works to combat the underground economy and help legitimate businesses and workers in California through a combination of enforcement and education activities.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0350 Office of the Secretary of Labor and Workforce Development	13.3	12.3	39.3	\$2,831	\$3,056	\$5,526
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	13.3	12.3	39.3	\$2,831	\$3,056	\$5,526
FUNDING		2017-18*		2018-19*		2019-20*
0001 General Fund		\$-		\$-		\$2,470
0995 Reimbursements		2,500		2,622		2,609
3078 Labor and Workforce Development Fund		331		434		447
TOTALS, EXPENDITURES, ALL FUNDS		\$2,831		\$3,056		\$5,526

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 12800 and 12813.

MAJOR PROGRAM CHANGES

- Future of Work Commission and Agency Operations – The budget provides \$2,470,000 and 27.0 positions to establish the Commission on California's Workforce and Future of Work, including 18 board members, and 3.0 positions for 2 years to support the Commission, and \$500,000 to contract for labor market research. This will also provide the Labor and Workforce Development Agency resources to enhance its labor enforcement and strategy operations in order to improve worker protections and workforce services and to adapt to changing labor markets.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Future of Work and Agency Operations	\$-	\$-	-	\$2,470	\$-	27.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$2,470	\$-	27.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	-	15	-	-	15	-
• Salary Adjustments	-	62	-	-	62	-
• Benefit Adjustments	-	21	-	-	21	-
• Retirement Rate Adjustments	-	15	-	-	15	-
Totals, Other Workload Budget Adjustments	\$-	\$113	-	\$-	\$113	-
Totals, Workload Budget Adjustments	\$-	\$113	-	\$2,470	\$113	27.0
Totals, Budget Adjustments	\$-	\$113	-	\$2,470	\$113	27.0

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0559 Secretary for Labor and Workforce Development Agency - Continued

DETAILED EXPENDITURES BY PROGRAM

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PROGRAM REQUIREMENTS				
0350	OFFICE OF THE SECRETARY OF LABOR AND WORKFORCE DEVELOPMENT			
State Operations:				
0001	General Fund	\$-	\$-	\$2,470
0995	Reimbursements	2,500	2,622	2,609
3078	Labor and Workforce Development Fund	331	434	447
Totals, State Operations		<u>\$2,831</u>	<u>\$3,056</u>	<u>\$5,526</u>
TOTALS, EXPENDITURES				
State Operations		2,831	3,056	5,526
Totals, Expenditures		<u>\$2,831</u>	<u>\$3,056</u>	<u>\$5,526</u>

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PERSONAL SERVICES						
Baseline Positions	12.3	12.3	12.3	\$1,468	\$1,488	\$1,488
Other Adjustments	1.0	-	27.0	100	62	1,134
Net Totals, Salaries and Wages	<u>13.3</u>	<u>12.3</u>	<u>39.3</u>	<u>\$1,568</u>	<u>\$1,550</u>	<u>\$2,622</u>
Staff Benefits	-	-	-	754	712	1,210
Totals, Personal Services	<u>13.3</u>	<u>12.3</u>	<u>39.3</u>	<u>\$2,322</u>	<u>\$2,262</u>	<u>\$3,832</u>
OPERATING EXPENSES AND EQUIPMENT				\$509	\$794	\$1,694
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				<u>\$2,831</u>	<u>\$3,056</u>	<u>\$5,526</u>

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$2,470
TOTALS, EXPENDITURES	<u>-</u>	<u>-</u>	<u>\$2,470</u>
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,500	\$2,622	\$2,609
TOTALS, EXPENDITURES	<u>\$2,500</u>	<u>\$2,622</u>	<u>\$2,609</u>
3078 Labor and Workforce Development Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$331	\$424	\$447
Allocation for Employee Compensation	-	6	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	1	-
TOTALS, EXPENDITURES	<u>\$331</u>	<u>\$434</u>	<u>\$447</u>
Total Expenditures, All Funds, (State Operations)	<u>\$2,831</u>	<u>\$3,056</u>	<u>\$5,526</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0559 Secretary for Labor and Workforce Development Agency - Continued**FUND CONDITION STATEMENTS**

	2017-18*	2018-19*	2019-20*
3078 Labor and Workforce Development Fund^s			
BEGINNING BALANCE	\$37,210	\$65,080	\$90,290
Prior Year Adjustments	5	-	-
Adjusted Beginning Balance	\$37,215	\$65,080	\$90,290
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4121800 Employment Agency Filing Fees	333	333	333
4173500 Settlements and Judgments - Other	34,068	34,000	34,000
Total Revenues, Transfers, and Other Adjustments	\$34,401	\$34,333	\$34,333
Total Resources	\$71,616	\$99,413	\$124,623
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0559 Secretary for Labor and Workforce Development Agency (State Operations)	331	434	447
7300 Agricultural Labor Relations Board (State Operations)	1,013	1,233	1,233
7350 Department of Industrial Relations (State Operations)	4,561	6,749	8,781
8880 Financial Information System for California (State Operations)	10	1	-1
9892 Supplemental Pension Payments (State Operations)	-	41	74
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	621	665	605
Total Expenditures and Expenditure Adjustments	\$6,536	\$9,123	\$11,139
FUND BALANCE	\$65,080	\$90,290	\$113,484
Reserve for economic uncertainties	65,080	90,290	113,484

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	12.3	12.3	12.3	\$1,468	\$1,488	\$1,488
Salary and Other Adjustments	1.0	-	-	100	62	62
Workload and Administrative Adjustments						
Future of Work and Agency Operations						
Assoc Govtl Program Analyst	-	-	1.0	-	-	67
Asst Secty	-	-	1.0	-	-	124
Commissioner per Diem	-	-	18.0	-	-	36
Dep Atty IV	-	-	1.0	-	-	139
Deputy Secretary	-	-	2.0	-	-	271
Director	-	-	1.0	-	-	168
Info Tech Mgr II	-	-	1.0	-	-	119
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	56
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	92
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	27.0	\$-	\$-	\$1,072
Totals, Adjustments	1.0	-	27.0	\$100	\$62	\$1,134
TOTALS, SALARIES AND WAGES	13.3	12.3	39.3	\$1,568	\$1,550	\$2,622

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0650 Office of Planning and Research

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, policy development, and legislative analysis. OPR formulates long-range state goals and policies to address land use, climate change, population growth and distribution, urban expansion, infrastructure development, groundwater sustainability and drought response, and resource protection. OPR also maintains and updates the General Plan Guidelines, the California Environmental Quality Act (CEQA) Guidelines, and operates the CEQA Clearinghouse, coordinating CEQA filings and state compliance issues. OPR acts as the state's liaison to a variety of entities including local government, planning professionals, small business, and the military. OPR houses and supports the Strategic Growth Council. In addition, OPR houses California Volunteers, a program aimed to increase the number and impact of Californians involved with service and volunteering throughout the state.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0360 State Planning & Policy Development	29.7	12.2	15.2	\$16,321	\$45,017	\$47,792
0365 California Volunteers	15.3	21.3	21.3	32,837	75,661	52,363
0370 Strategic Growth Council	12.7	14.0	20.0	1,816	1,258,178	517,013
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	57.7	47.5	56.5	\$50,974	\$1,378,856	\$617,168

FUNDING	2017-18*	2018-19*	2019-20*
0001 General Fund	\$9,222	\$74,014	\$68,076
0890 Federal Trust Fund	35,859	41,659	27,974
0995 Reimbursements	3,752	4,548	4,549
3228 Greenhouse Gas Reduction Fund	1,665	1,258,018	516,031
9740 Central Service Cost Recovery Fund	476	617	538
TOTALS, EXPENDITURES, ALL FUNDS	\$50,974	\$1,378,856	\$617,168

LEGAL CITATIONS AND AUTHORITY

Fish and Game Code sections: 711.4, 1854, and 1856; Government Code sections: 4530-4535.3, 7562, 7564, 13073.5, 17525, 50470; 63024, 65025-65049, 65040.15, 65059.1-65059.3, 65073, 65352, 65352.3, 65400, 65565.1, 65850.8, 65940, 65944, 66521, and 99502; Health and Safety Code sections: 25199.7, 25395.23, 25395.41, 39719, and 50901; Public Contract Code section 10340; Public Resources Code sections: 4205, 5024.5, 21071, 21080.3-21080.4, 21083, 21083.05, 21086, 21091, 21092.2, 21094.5.5, 21095, 21108, 21152.1, 21153, 21159.9, 21161, 21165, 25404, 30400, 30415, 30420, 71350-71360, and 75121; California Code of Regulations: title 2, section 1896.38; title 14, sections: 763, 1683.7, 15000-15007, 15053, 15062, 15073, 15075, 15082, 15087, 15094, 15105, 15205, 15300.3, 16000-16041, and 16500; title 20, section 1862; title 21, section 8122.

MAJOR PROGRAM CHANGES

- California Volunteers—The Budget includes \$20 million one-time General Fund to increase the number of full-time Americorps members and supplement the existing Segal Americorps Education Award Pell Grants, to provide participants with grants totaling \$10,000.
- Higher Education Innovation Grants—The Budget includes \$10 million one-time General Fund to support grants to higher education institutions to implement innovative educational strategies in the San Joaquin and Inland Empire regions of the state.
- Longitudinal Educational Data—The Budget includes \$10 million one-time General Fund to plan for and develop a longitudinal data system.

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0650 Office of Planning and Research - Continued

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• California Volunteers	\$-	\$-	-	\$20,000	\$-	-
• Higher Education Innovation Grants	-	-	-	10,000	-	-
• Longitudinal Data Proposal TBL	-	-	-	10,000	-	-
• Health in All Policies Staff	-	-	-	430	-	3.0
• Regional Climate Collaborative Program Implementation (SB 1072)	-	-	-	392	-	3.0
• California For All Emergency Preparedness Campaign Administration	-	-	-	387	-	-
• FI\$Cal Accounting Staff Increase	-	-	-	334	-	3.0
• Study of Tax Increment Financing Tools (SB 961)	-	-	-	190	-	-
• Cap and Trade Expenditure Plan: Transformative Climate Communities	-	-	-	-	60,000	-
• Cap and Trade Expenditure Plan: Climate Change Technology and Solutions Initiative	-	-	-	-	5,000	-
• Cap and Trade Expenditure Plan: Technical Assistance to Disadvantaged Communities	-	-	-	-	2,000	-
• Administration Resources	-	-	-	-	-	-
• Innovation Award Program	-	-	-	-	-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$41,733	\$67,000	9.0
Other Workload Budget Adjustments						
• Central Service Function Cost Realignment	-	-	-	79	-79	-
• Other Post-Employment Benefit Adjustments	3	1	-	3	1	-
• Adjustment to Reflect Greenhouse Gas Reduction Fund Auction Proceeds	-	13,386	-	-	96,554	-
• Carryover/Reappropriation	-	605,166	-	12,500	-	-
• Salary Adjustments	122	84	-	122	84	-
• Benefit Adjustments	47	32	-	48	33	-
• Retirement Rate Adjustments	17	27	-	17	27	-
• Miscellaneous Baseline Adjustments	30,000	104,461	-	-	52,600	-
• SWCAP	-	-	-	-	-6	-
Totals, Other Workload Budget Adjustments	\$30,189	\$723,157	-	\$12,769	\$149,214	-
Totals, Workload Budget Adjustments	\$30,189	\$723,157	-	\$54,502	\$216,214	9.0
Totals, Budget Adjustments	\$30,189	\$723,157	-	\$54,502	\$216,214	9.0

PROGRAM DESCRIPTIONS

0360 - STATE PLANNING AND POLICY DEVELOPMENT

The major activities of the State Planning and Policy Development program include: (1) recommending and implementing state policies on land-use and growth planning, including General Plan Guidelines; (2) coordinating the implementation of the California Environmental Quality Act (CEQA), including: (a) operating the State Clearinghouse; (b) maintaining an online database for the electronic submission and archiving of CEQA documents; (c) developing CEQA guidelines; (d) providing technical assistance; (e) streamlining permitting; and (f) providing training; (3) supporting the Governor's Advisor for Military Affairs and Governor's Military Council; (4) providing policy research for the Governor and Cabinet; (5) providing technical advice to, and developing guidelines for, local governments on land use planning and compliance with environmental statutes and regulations; (6) developing a program to coordinate regional and local efforts with state climate adaptation strategies regarding the impacts of climate change; (7) supporting drought and fire response efforts; (8) supporting development of state plans including, but not limited to, the Water Action Plan, the State Multi-Hazard Mitigation Plan, the Safeguarding California Plan, the Integrated Energy Policy Report, and the 5-Year Infrastructure Plan; (9) supporting multiple efforts to address climate

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0650 Office of Planning and Research - Continued

change and response to carbon pollution; (10) administering the Integrated Climate Adaptation and Resiliency Program to coordinate regional and local efforts with state climate adaptation strategies to adapt to the impacts of climate change; (11) serving as the primary point of contact for information on federal grants related to community, economic, and local development, as well as the coordinator to manage and maximize federal grant opportunities within the state; (12) administering Land Conservation and Conveyance Plan, California Education Learning Lab, and Precision Medicine funding; (13) conducting a study reviewing the Master Plan for Higher Education; (14) establishing the Rural Economic Development Steering Committee to prioritize sustainable rural economic development in alignment with the state's existing climate goals; and (15) conducting other activities as the Governor may direct.

0365 - CALIFORNIA VOLUNTEERS

California Volunteers is charged with increasing the number and impact of Californians involved with service and volunteering throughout the state. California Volunteers administers programs such as AmeriCorps and Disaster Volunteering and Preparedness, and guides policy development to support the nonprofit and service fields. Through the efforts of California Volunteers, Californians of all ages and abilities are provided ongoing opportunities to volunteer, to become better prepared to respond in emergencies and disasters, and ultimately, to embrace a lifetime ethic of service.

0370 - STRATEGIC GROWTH COUNCIL

The Council coordinates interagency efforts and partners with local and regional government stakeholders to promote sustainable development, improving air and water quality, protecting natural resources and agricultural lands, and reducing greenhouse gas emissions. The Council administers the Transformative Climate Communities Program, the Affordable Housing and Sustainable Communities Program, and the Sustainable Agricultural Lands Conservation Program, developing guidelines, reviewing applications, and providing funding as part of greenhouse gas reduction efforts associated with cap and trade funds. The Council also administers a technical assistance program to support all Greenhouse Gas Reduction Fund programs to assist in the development of projects that maximize greenhouse gas reductions. The Council administers Climate Change and Adaptation Research Program funding. The Council is charged with review of the California 5-Year Infrastructure plan and with making grants and loans to institutions for planning and implementing land uses that achieve the goals of the State's Planning Priorities. The Council also oversees the Health in All Policies program, and sponsors research on infill development, conservation, and other planning issues.

DETAILED EXPENDITURES BY PROGRAM

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
	PROGRAM REQUIREMENTS			
0360	STATE PLANNING & POLICY DEVELOPMENT			
	State Operations:			
0001	General Fund	\$6,620	\$42,967	\$35,820
0890	Federal Trust Fund	300	6	6
0995	Reimbursements	3,739	1,427	1,428
9740	Central Service Cost Recovery Fund	476	617	538
	Totals, State Operations	\$11,135	\$45,017	\$37,792
	Local Assistance:			
0001	General Fund	\$1,600	\$-	\$10,000
0890	Federal Trust Fund	3,586	-	-
	Totals, Local Assistance	\$5,186	\$-	\$10,000
	PROGRAM REQUIREMENTS			
0365	CALIFORNIA VOLUNTEERS			
	State Operations:			
0001	General Fund	\$851	\$887	\$1,274
0890	Federal Trust Fund	1,264	1,974	1,968
0995	Reimbursements	13	3,121	3,121
	Totals, State Operations	\$2,128	\$5,982	\$6,363
	Local Assistance:			
0001	General Fund	\$-	\$30,000	\$20,000
0890	Federal Trust Fund	30,709	39,679	26,000

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0650 Office of Planning and Research - Continued

		2017-18*	2018-19*	2019-20*
Totals, Local Assistance		\$30,709	\$69,679	\$46,000
PROGRAM REQUIREMENTS				
0370	STRATEGIC GROWTH COUNCIL			
State Operations:				
0001	General Fund	\$151	\$160	\$982
3228	Greenhouse Gas Reduction Fund	1,665	37,241	8,231
Totals, State Operations		\$1,816	\$37,401	\$9,213
Local Assistance:				
3228	Greenhouse Gas Reduction Fund	\$-	\$1,220,777	\$507,800
Totals, Local Assistance		\$-	\$1,220,777	\$507,800
TOTALS, EXPENDITURES				
State Operations		15,079	88,400	53,368
Local Assistance		35,895	1,290,456	563,800
Totals, Expenditures		\$50,974	\$1,378,856	\$617,168

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	45.5	47.5	47.5	\$3,359	\$3,551	\$3,625
Other Adjustments	12.2	-	9.0	1,289	206	1,340
Net Totals, Salaries and Wages	57.7	47.5	56.5	\$4,648	\$3,757	\$4,965
Staff Benefits	-	-	-	1,969	2,231	2,398
Totals, Personal Services	57.7	47.5	56.5	\$6,617	\$5,988	\$7,363
OPERATING EXPENSES AND EQUIPMENT				\$8,962	\$42,412	\$34,005
SPECIAL ITEMS OF EXPENSES				-500	40,000	12,000
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$15,079	\$88,400	\$53,368
2 Local Assistance						
				Expenditures		
				2017-18*	2018-19*	2019-20*
Grants and Subventions - Governmental				35,895	1,290,456	563,800
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)				\$35,895	\$1,290,456	\$563,800

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$7,622	\$43,825	\$15,576
Allocation for Employee Compensation	-	122	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	47	-
Section 3.60 Pension Contribution Adjustment	-	17	-
Pending Legislation (P-20 Longitudinal Data System)	-	-	10,000
Prior Year Balances Available:			

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0650 Office of Planning and Research - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Item 0650-001-0001, Budget Act of 2016 as reappropriated by Item 0650-491, Budget Act of 2019	-	-	2,500
Item 0650-001-0001, Budget Act of 2017 as reappropriated by Item 0650-491, Budget Act of 2019	-	-	10,000
Totals Available	\$7,622	\$44,014	\$38,076
TOTALS, EXPENDITURES	\$7,622	\$44,014	\$38,076
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,564	\$1,959	\$1,974
Allocation for Employee Compensation	-	12	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	4	-
Totals Available	\$1,564	\$1,980	\$1,974
TOTALS, EXPENDITURES	\$1,564	\$1,980	\$1,974
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$3,752	\$4,548	\$4,549
TOTALS, EXPENDITURES	\$3,752	\$4,548	\$4,549
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$21,165	\$8,231
001 Budget Act appropriation as amended by Chapter 249, Statutes of 2017	1,235	-	-
Allocation for Employee Compensation	-	40	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	16	-
Section 3.60 Pension Contribution Adjustment	-	9	-
Prior Year Balances Available:			
Item 0650-001-3228, Budget Act of 2017 as amended by Chapter 249, Statutes of 2017	-	10,930	-
State operations expenditure from local assistance appropriation	430	3,080	-
State operations expenditure from local assistance appropriation	-	2,000	-
Totals Available	\$1,665	\$37,241	\$8,231
TOTALS, EXPENDITURES	\$1,665	\$37,241	\$8,231
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$476	\$608	\$538
Allocation for Employee Compensation	-	4	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	3	-
TOTALS, EXPENDITURES	\$476	\$617	\$538
Total Expenditures, All Funds, (State Operations)	\$15,079	\$88,400	\$53,368
2 LOCAL ASSISTANCE			
	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,600	-	\$10,000
Chapter 1, Statutes of 2019 (AB 72) Emergency Preparedness Campaign	-	30,000	-
102 Budget Act appropriation	-	-	20,000
TOTALS, EXPENDITURES	\$1,600	\$30,000	\$30,000
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$34,295	\$26,000	\$26,000

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0650 Office of Planning and Research - Continued

2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
Adjustment per Control Section 28.00, AmeriCorps	-	13,679	-
Totals Available	\$34,295	\$39,679	\$26,000
TOTALS, EXPENDITURES	\$34,295	\$39,679	\$26,000
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$40,000	\$60,000
Adjustment per Control Section 1.50, Transformative Climate Communities Program Administration	-	-2,000	-
Health and Safety Code section 39719(b)(1)(C)	-	487,453	447,800
Adjustment to Reflect Greenhouse Gas Reduction Fund Auction Proceeds	-	13,386	-
Carryover	-	448,656	-
Miscellaneous Baseline Adjustments	-	98,200	-
Transfer from Item 0650-601-3228 to Item 0540-501-3228, per Health and Safety Code Section 39719	-	-150	-
Transfer from Item 0650-601-3228 to Item 2240-501-3228, per Health and Safety Code Section 39719	-	-6,068	-
Transfer from Item 0650-601-3228 to Item 3480-602-3228, per Health and Safety Code Section 39719	-	-1,200	-
Prior Year Balances Available:			
Item 0650-101-3228, BA of 2017 as added by Chapter 249, Statutes of 2017, as reappropriated by Item 0650-491, BA of 2018 and Item 0650-492, BA of 2019	-	9,500	-
Item 0650-101-3228, Budget Act of 2016 as added by Chapter 370, Statutes of 2016 and as reappropriated by Item 0650-491, Budget Act of 2018	-	133,000	-
Totals Available	-	\$1,220,777	\$507,800
TOTALS, EXPENDITURES	-	\$1,220,777	\$507,800
Total Expenditures, All Funds, (Local Assistance)	\$35,895	\$1,290,456	\$563,800
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$50,974	\$1,378,856	\$617,168

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	45.5	47.5	47.5	\$3,359	\$3,551	\$3,625
Salary and Other Adjustments	12.2	-	-	1,289	206	206
Workload and Administrative Adjustments						
California For All Emergency Preparedness Campaign Administration						
Various	-	-	-	-	-	387
FI\$Cal Accounting Staff Increase						
Assoc Intergovtl Program Analyst	-	-	2.0	-	-	142
Jr Staff Analyst	-	-	1.0	-	-	53
Regional Climate Collaborative Program Implementation (SB 1072)						
Asst Intergovtl Program Analyst (Limited Term 10-01-2029)	-	-	2.0	-	-	125
Sr Projs Analyst - Office of Plan & Research (Limited Term 10-01-2029)	-	-	1.0	-	-	108
Study of Tax Increment Financing Tools (SB 961)						
Temporary Help	-	-	-	-	-	68
Health in All Policies Staff						
Sr Intergovtl Program Analyst	-	-	1.0	-	-	73
Sr Projs Analyst - Office of Plan & Research	-	-	1.0	-	-	108
Staff Intergovtl Program Analyst	-	-	1.0	-	-	70
TOTALS, WORKLOAD AND ADMINISTRATIVE	-	-	9.0	\$-	\$-	\$1,134

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0650 Office of Planning and Research - Continued

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
ADJUSTMENTS						
Totals, Adjustments	12.2	-	9.0	\$1,289	\$206	\$1,340
TOTALS, SALARIES AND WAGES	57.7	47.5	56.5	\$4,648	\$3,757	\$4,965

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0690 Office of Emergency Services

The principal objective of the Office of Emergency Services (Cal OES) is to protect lives and property, build capabilities, and support our communities for a resilient California. The Cal OES Director serves as both the Governor's Homeland Security Advisor and Emergency Management Director, with responsibility to implement and facilitate the state's homeland security and counter-terrorism strategy. The Cal OES serves the public through effective collaboration in preparing for, protecting against, responding to, recovering from, and mitigating the impacts of all hazards and threats.

On a day-to-day basis, Cal OES provides leadership, assistance, training, and support to state and local agencies and coordinates with federal agencies in planning and preparing for the most effective use of federal, state, local, and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid whereby a jurisdiction relies first on its own resources, and then requests assistance from its neighbors. The Cal OES' plans and programs are coordinated with those of the federal government, other states, private sector, utilities, and state and local agencies within California.

During an emergency, Cal OES functions as the Governor's immediate staff to provide guidance and coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes. It also acts as the conduit for federal assistance through natural disaster grants and federal agency support. Additionally, Cal OES is responsible for the development and coordination of a comprehensive state strategy related to all hazards, including terrorism, which includes prevention, preparedness, mitigation, response, and recovery.

The Cal OES implements the state homeland security strategy by overseeing the State Threat Assessment System (STAS), which includes management of the State Threat Assessment Center (STAC). The STAS is comprised of four regional and one major urban area Fusion Centers that assist in the detection, prevention, investigation, and response to criminal and terrorist activity; and information and intelligence sharing between local, state, federal, tribal agencies, and private sector partners. The STAC operates as the state's information-sharing clearinghouse for strategic threat analysis, counter-terrorism, situational awareness reporting, and case analysis for statewide leadership, agencies, and public safety community. Further, Cal OES improves the criminal justice system in California by providing financial and technical assistance to local governments, state agencies, and the private sector for homeland security, public safety, and victim services.

Because Cal OES' programs drive a need for infrastructure investment, Cal OES has a capital outlay program to support this need. For the specifics on Cal OES' capital outlay program, see "Infrastructure Overview."

3-YEAR EXPENDITURES AND POSITIONS †

	Positions			Expenditures					
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*			
0380	Emergency Management Services	206.2	211.7	243.7	\$53,560	\$123,530	\$118,622		
0385	Special Programs and Grant Management	285.0	296.9	348.9	1,290,922	1,289,475	1,425,026		
0395	Public Safety Communications	403.5	371.8	387.8	156,929	178,418	257,667		
9900100	Administration	109.5	154.2	166.2	16,121	23,053	25,009		
9900200	Administration - Distributed	-	-	-	-16,121	-23,053	-25,009		
TOTALS, POSITIONS AND EXPENDITURES (All Programs)				1,004.2	1,034.6	1,146.6	\$1,501,411	\$1,591,423	\$1,801,315
FUNDING					2017-18*	2018-19*	2019-20*		
0001	General Fund				\$312,455	\$347,676	\$453,814		
0022	State Emergency Telephone Number Account				80,861	95,949	114,398		
0028	Unified Program Account				565	909	910		
0029	Nuclear Planning Assessment Special Account				3,097	3,332	3,407		
0425	Victim - Witness Assistance Fund				-30	-	-		
0437	State Assistance For Fire Equipment Account				1	100	100		
0890	Federal Trust Fund				983,709	1,015,941	1,126,243		
0903	State Penalty Fund				12,156	9,471	9,472		
0995	Reimbursements				5,074	5,542	5,015		
3034	Antiterrorism Fund				1,009	1,298	798		
3228	Greenhouse Gas Reduction Fund				25,000	25,026	1,140		
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006				903	2,831	2,832		
8039	Disaster Resistant Communities Account				-	207	207		
8069	Child Victims of Human Trafficking Fund				268	172	-		
8093	California Sexual Violence Victim Services Fund				158	250	250		

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0690 Office of Emergency Services - Continued

FUNDING	2017-18*	2018-19*	2019-20*
8104 California Domestic Violence Victims Fund	117	250	250
9751 Public Safety Communications Revolving Fund	76,068	82,469	82,479
TOTALS, EXPENDITURES, ALL FUNDS	\$1,501,411	\$1,591,423	\$1,801,315

† Fiscal year 2017-18 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2017-18 ending fund balance will be reflected as a prior year adjustment in the 2020-21 Governor’s Budget publication.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 7; Government Code, Title 5, Division 2, Part 1, Chapter 1, Article 6; Penal Code, Part 4, Title 6, Chapter 3.

MAJOR PROGRAM CHANGES

- California Disaster Assistance Act — The Budget includes an augmentation of \$28.8 million one-time General Fund to increase the amount of funding available through the California Disaster Assistance Act (CDAA), which is used to repair, restore, or replace public real property damaged or destroyed by a disaster, and to reimburse local governments for costs associated with certain emergency activities undertaken in response to a state of emergency. This augmentation increases total available funding for CDAA to \$91.4 million in 2019-20.
- Public Safety Power Shutdown — The Budget includes \$75 million one-time General Fund to improve resiliency of the state's critical infrastructure and provide grants to communities to improve local planning and preparedness in response to utility-led Public Safety Power Shutdown events.
- State Emergency Telephone Number Account, Broadband Communication, and 9-1-1 Advancements and Integration — The Budget includes a \$50 million one-time General Fund investment, that will be deposited into the State Emergency Telephone Network Account (SETNA), to continue implementing improvements to the state's 9-1-1 system. In addition, the Budget includes \$1 million ongoing SETNA to support the implementation and workload associated with emergency communications coordination and First Responder Network Authority broadband network services.
- Disaster Preparedness, Response, and Recovery — The Budget includes \$26.6 million General Fund and \$900,000 Federal Trust Fund (FTF) in 2019-20 and \$6.6 million General Fund and \$900,000 FTF ongoing to enhance the state's disaster preparedness, response, and recovery capabilities, support the continuity of state government during disasters, and maximize eligible federal reimbursements.
- Mutual Aid System — The Budget includes \$25 million ongoing General Fund for prepositioning of existing OES and local government resources that are part of the statewide mutual aid system with the goal of enhancing disaster response readiness. Prepositioning occurs in areas of identified potential fire threat, which is determined through various means such as weather modeling, high wind zones, low humidity, and dense fire load. The prepositioned resources include, but are not limited to, fire engines, trucks, personnel, and strike teams.
- California Earthquake Early Warning System — The Budget includes \$16.3 million one-time General Fund to complete activities associated with finishing the build-out of the California Earthquake Early Warning System, including finishing seismic stations installation, adding GPS stations to the network, improving telemetry, and launching a statewide education and outreach campaign. The California Earthquake Early Warning System is anticipated to be operative statewide by June 2021.
- California State Nonprofit Security Grant Program — The Budget includes \$15 million one-time General Fund to support target hardening and other physical security enhancements to assist non-profit organizations that are at high risk of a terrorist attack and that have historically been targets of hate-motivated violence based on ideology and beliefs.

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0690 Office of Emergency Services - Continued

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Public Safety Power Shutdown Resiliency	\$-	\$-	-	\$75,000	\$-	-
• Disaster Preparedness and Response	-	-	-	26,605	874	88.0
• Resources for Mutual Aid System	-	-	-	25,000	-	-
• California Earthquake Early Warning	-	-	-	16,300	-	-
• Nonprofit Security Grant Program	-	-	-	15,000	-	-
• California Interoperable Public Safety Radio System	-	-	-	10,790	-	8.0
• Human Trafficking Victim Assistance Program	-	-	-	10,000	-	-
• Legislative Investment: Homeless Youth Emergency Services and Housing Program	-	-	-	6,670	-	-
• Legislative Investment: California Internet Crimes Against Children Task Force	-	-	-	5,000	-	-
• Legislative Investment: Domestic and Sexual Violence Prevention Funding	-	-	-	5,000	-	-
• Legislative Investment: Los Banos Fire Emergency Operations Center	-	-	-	5,000	-	-
• Legislative Investment: School Safety and Communications Interoperability Technology Grants	-	-	-	5,000	-	-
• Legislative Investment: Orange County First Responder Pilot Program	-	-	-	4,500	-	-
• Legislative Investment: Santa Clara County Fire Department Satellite Emergency Systems	-	-	-	4,000	-	-
• Legislative Investment: San Jose Fire Training Center	-	-	-	3,000	-	-
• Legislative Investment: San Jose Emergency Operations Center	-	-	-	2,500	-	-
• Deferred Maintenance	-	-	-	1,000	-	-
• Legislative Investment: San Francisco Firefighting Emergency Equipment	-	-	-	1,000	-	-
• Legislative Investment: City of Campbell Emergency Operations Center	-	-	-	550	-	-
• Legislative Investment: City of Lodi Cyber Security Upgrade	-	-	-	500	-	-
• Training Grants for District Attorneys Association	-	-	-	250	-	-
• Federal Trust Fund Authority Increase	-	-	-	-	110,000	-
• Broadband Communication and 9-1-1 Integration	-	-	-	-	1,003	6.0
• Student, Teachers, and Officers Preventing (STOP) School Violence Act of 2018 Federal Trust Fund Authority Increase	-	-	-	-	1,000	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$222,665	\$112,877	102.0
Other Workload Budget Adjustments						
• California Disaster Assistance Act Adjustment	-	-	-	28,750	-	-
• Other Post-Employment Benefit Adjustments	298	686	-	298	686	-
• Adjustment per Government Code Section 8610.5	-	-	-	-	75	-
• Chapter 449, Statutes of 2018 (SB 862)	13,500	-	-	-	-	-
• Miscellaneous Baseline Adjustments	24,000	-39,741	-	50,000	-34,729	8.0
• Salary Adjustments	684	2,384	-	684	2,384	-
• Benefit Adjustments	265	889	-	270	910	-

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0690 Office of Emergency Services - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Retirement Rate Adjustments	226	605	-	226	605	-
• SWCAP	-	-	-	-	70	-
• Lease Revenue Debt Service Adjustment	-22	-	-	-21	-	-
Totals, Other Workload Budget Adjustments	\$38,951	\$-35,177	-	\$80,207	\$-29,999	8.0
Totals, Workload Budget Adjustments	\$38,951	\$-35,177	-	\$302,872	\$82,878	110.0
Totals, Budget Adjustments	\$38,951	\$-35,177	-	\$302,872	\$82,878	110.0

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0690 Office of Emergency Services - Continued

November 2018 Wildfire Costs as of 2019-20 Governor's Budget

(dollars in thousands)

	Governor's Budget 2018-19	Enactment 2019-20	Difference from Governor's Budget	Purpose
Camp Fire				
Department of Resources Recycling and Recovery*	\$2,076,680	\$2,076,680	\$0	Debris removal
Office of Emergency Services*	391,450	395,150	\$3,700	Debris removal, EMAC, staging, state ops, fire response, etc.
Office of Emergency Services	200,000	20,000	-\$180,000	Contingencies
Department of Forestry and Fire Protection*	93,751	93,751	\$0	Fire response
Department of General Services	26,862	45,006	\$18,144	Equipment, base camp, and logistics response
Department of Toxic Substances Control*	11,208	11,208	\$0	Debris removal
Department of Social Services	7,724	9,000	\$1,276	State Supplemental Grant
Military Department	6,981	9,953	\$2,972	Disaster response and coordination
Conservation Corps	4,782	592	-\$4,190	Disaster response and coordination
Department of Water Resources	2,407	2,719	\$312	Disaster response and coordination
Highway Patrol	2,217	7,606	\$5,389	Disaster response and coordination
Department of Social Services	3,909	2,200	-\$1,709	Disaster response and coordination
Emergency Medical Services Authority	941	1,532	\$591	Disaster response and coordination
Department of Motor Vehicles	625	296	-\$329	Disaster Recovery Center
Department of Corrections and Rehabilitation	366	355	-\$11	Disaster response and coordination
Department of Corrections and Rehabilitation	317	148	-\$169	Shelter support
Department of Motor Vehicles	80	312	\$232	Facilities remediation
Contractor State License Board	70	96	\$26	Disaster Recovery Center
Department of Fish and Wildlife	44	97	\$53	Disaster response and coordination
Department of Rehabilitation	13	13	\$0	Disaster response and coordination
Department of Parks and Recreation	7	7	\$0	Fleet service and repairs
Subtotal Camp Fire	\$2,830,434	\$2,676,721	-\$153,713	
Woolsey and Hill Fires				
Department of Resources Recycling and Recovery*	\$140,517	\$185,593	\$45,076	Debris removal
Department of Forestry and Fire Protection*	64,608	64,608	\$0	Fire response
Office of Emergency Services*	27,130	27,130	\$0	Debris removal and disaster response and coordination
Department of Toxic Substances Control*	2,912	2,912	\$0	Debris removal
Department of Parks and Recreation	973	973	\$0	Damage assessment and equipment replacement
Department of Motor Vehicles	389	155	-\$234	Disaster Recovery Center
Highway Patrol	262	442	\$180	Disaster response and coordination
Department of Corrections and Rehabilitation	112	49	-\$63	Disaster response and coordination
Department of Water Resources	101	101	\$0	Flood fight container support
Military Department	60	525	\$465	Disaster response and coordination
Contractor State License Board	60	69	\$9	Disaster Recovery Center
Department of General Services	48	43	-\$5	Logistics response
Department of Fish and Wildlife	21	49	\$28	Disaster response and coordination
Santa Monica Mountains Conservancy	\$0	500	\$500	Debris removal, Emergency Protective Measures
Department of Motor Vehicles	8	26	\$18	Facilities remediation
Subtotal Woolsey and Hill Fires	\$237,201	\$283,175	\$45,974	
Total Estimated Expenditures	\$3,067,635	\$2,959,896	-\$107,739	
Total Estimated Federal Cost Share^{1/}	-\$2,144,546	-\$2,625,951	-\$481,405	
Net General Fund Cost	\$923,090	\$333,945	-\$589,144	

^{1/}All Governor's Budget eligible cost shares were estimated at 75 percent.

*Assumes a federal cost share of 90 percent of eligible expenditures. Remaining eligible expenditures are estimated at a federal cost share of 75 percent.

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0690 Office of Emergency Services - Continued

Program 0385-Victim Services Projects, Local Assistance

Component	Program Name	Source of Funds	Actual Expenditures 2017-18	Proposed Expenditures 2018-19	Proposed Expenditures 2019-20
Public Safety / Victim Services					
0385.101	Victim-Witness Assistance	0903 State Penalty Fund	7,963	5,894	5,894
0385.102	Victims' Legal Resource Center	0001 General Fund	37	37	37
0385.151	Domestic Violence ^{1/}	0001 General Fund	20,602	30,602	20,602
0385.151	Domestic Violence ^{1/}	0890 Federal Trust Fund	8,551	8,510	8,510
0385.152	Family Violence Prevention	0001 General Fund	45	5,045	5,045
0385.161	Violence Against Women Act	0890 Federal Trust Fund	14,773	14,300	14,300
0385.301	Rape Crisis ^{1/}	0001 General Fund	45	5,045	45
0385.301	Rape Crisis ^{1/}	0903 State Penalty Fund	2,314	1,710	1,710
0385.351	Homeless Youth	0001 General Fund	10,356	1,356	7,026
0385.351	Homeless Youth	0903 State Penalty Fund	-	344	344
0385.352	Youth Emergency Telephone Refer	0001 General Fund	314	314	314
0385.353	Child Sexual Abuse & Exploitation	0903 State Penalty Fund	622	115	115
0385.354	Child Sexual Abuse Prevention/Tmng	0001 General Fund	272	272	272
0385.451	Victims of Crime Act	0890 Federal Trust Fund	225,179	232,000	282,000
0385.504	Project Safe Neighborhoods	0890 Federal Trust Fund	450	700	700
0385.523	Forensic Science Improvement Act	0890 Federal Trust Fund	841	2,090	2,090
0385.541	Public Pros/Pub Defender Training ^{1/}	0001 General Fund	-	-	250
0385.541	Public Pros/Pub Defender Training ^{1/}	0903 State Penalty Fund	450	450	450
0385.902	Child Justice Act	0890 Federal Trust Fund	1,426	1,800	1,800
0385.908	Internet Crimes Against Children	0001 General Fund	1,000	5,000	5,000
0385.911	Child Victims of Human Trafficking	8069 Child Victims Human Traff Fund	268	172	-
0385.912	Human Trafficking Victims Assistance	0001 General Fund	5,000	10,000	10,000
0385.913	CA Sexual Violence Victim Services	8093 CA Sexual Violence Victim Services Fund	158	250	250
0385.918	California Domestic Violence Victims Program	8104 California Domestic Violence Victims Fund	117	250	250
0385.920	Improving Outcomes for Child & Youth Victims	0890 Federal Trust Fund	576	-	-
0385.921	Family Justice Centers	0001 General Fund	-	10,000	-
0385.923	STOP School Violence	0890 Federal Trust Fund	-	-	450
Public Safety / Victim Services Total			\$301,359	\$336,256	\$367,454
Total, Program 0385-Victim Services Projects, Local Assistance			\$301,359	\$336,256	\$367,454

^{1/} Program has multiple funding sources. \$301,359 \$336,256 \$367,454

0690 Office of Emergency Services - Continued**PROGRAM DESCRIPTIONS****0380 - EMERGENCY MANAGEMENT SERVICES**

Through the Emergency Management Services and Homeland Security Program, Cal OES provides effective use of federal, state, and local resources, through the mutual aid system to jurisdictions whose resources and services are overextended in a disaster situation. In addition, this program develops and coordinates a comprehensive state strategy related to an all-hazards approach that includes prevention, preparedness, mitigation, response, and recovery consistent with the National Strategy on Homeland Security. Central to this effort is maintaining operational readiness at all levels of government. Within this program, Cal OES also trains emergency managers, responders, and state and local leaders in preparedness and response to all hazards.

0385 - SPECIAL PROGRAMS AND GRANT MANAGEMENT

The Cal OES serves as the State Administering Agent for federal homeland security, emergency management, and victim services grants and is the primary liaison with the Federal Emergency Management Agency, the United States Department of Homeland Security, and other federal agencies. Additionally, Cal OES serves as an advocate for local public safety, emergency management, and public and private agencies operating public safety and victim service programs. As part of its grant management role, Cal OES administers the California Disaster Assistance Act and provides eligible local agencies with financial assistance to recover from disasters. Included in this program is the State Threat Assessment Center which is the centerpiece of the state's intelligence information sharing environment and serves as the Governor's designated primary fusion center.

0395 - PUBLIC SAFETY COMMUNICATIONS

Public Safety Communications (PSC) serves the State of California by providing public safety communications to the state's first responders and oversight of the 9-1-1 system to the people of California. The PSC is dedicated to the preservation and protection of human life and public safety by delivering reliable and dependable communication services keeping the public connected during times of crisis.

9900 - ADMINISTRATION AND EXECUTIVE

The Administration and Executive Program provides the overall policy direction of Cal OES and support services such as accounting, fiscal, personnel, information technology, and business services.

DETAILED EXPENDITURES BY PROGRAM †

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PROGRAM REQUIREMENTS				
0380	EMERGENCY MANAGEMENT SERVICES			
State Operations:				
0001	General Fund	\$32,393	\$38,554	\$61,678
0028	Unified Program Account	565	909	910
0029	Nuclear Planning Assessment Special Account	648	1,199	1,210
0437	State Assistance For Fire Equipment Account	100	100	100
0890	Federal Trust Fund	13,940	22,833	22,702
0995	Reimbursements	5,074	5,522	4,995
3034	Antiterrorism Fund	462	680	680
3228	Greenhouse Gas Reduction Fund	-	25,026	1,140
8039	Disaster Resistant Communities Account	-	207	207
Totals, State Operations		<u>\$53,182</u>	<u>\$95,030</u>	<u>\$93,622</u>
Local Assistance:				
0001	General Fund	\$-	\$28,500	\$25,000
0029	Nuclear Planning Assessment Special Account	378	-	-
Totals, Local Assistance		<u>\$378</u>	<u>\$28,500</u>	<u>\$25,000</u>
PROGRAM REQUIREMENTS				
0385	SPECIAL PROGRAMS AND GRANT MANAGEMENT			

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0690 Office of Emergency Services - Continued

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
	State Operations:			
0001	General Fund	\$166,105	\$47,322	\$48,654
0425	Victim - Witness Assistance Fund	-30	-	-
0437	State Assistance For Fire Equipment Account	-99	-	-
0890	Federal Trust Fund	48,811	62,294	63,925
0903	State Penalty Fund	807	958	959
0995	Reimbursements	-	20	20
3034	Antiterrorism Fund	47	118	118
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	903	2,831	2,832
	Totals, State Operations	\$216,544	\$113,543	\$116,508
	Local Assistance:			
0001	General Fund	\$113,957	\$233,300	\$257,692
0029	Nuclear Planning Assessment Special Account	2,071	2,133	2,197
0890	Federal Trust Fund	920,958	930,814	1,039,616
0903	State Penalty Fund	11,349	8,513	8,513
3034	Antiterrorism Fund	500	500	-
3228	Greenhouse Gas Reduction Fund	25,000	-	-
8069	Child Victims of Human Trafficking Fund	268	172	-
8093	California Sexual Violence Victim Services Fund	158	250	250
8104	California Domestic Violence Victims Fund	117	250	250
	Totals, Local Assistance	\$1,074,378	\$1,175,932	\$1,308,518
	PROGRAM REQUIREMENTS			
0395	PUBLIC SAFETY COMMUNICATIONS			
	State Operations:			
0001	General Fund	\$-	\$-	\$60,790
0022	State Emergency Telephone Number Account	2,368	16,361	-29,873
9751	Public Safety Communications Revolving Fund	76,068	82,469	82,479
	Totals, State Operations	\$78,436	\$98,830	\$113,396
	Local Assistance:			
0022	State Emergency Telephone Number Account	\$78,493	\$79,588	\$144,271
	Totals, Local Assistance	\$78,493	\$79,588	\$144,271
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0001	General Fund	\$16,121	\$23,053	\$25,009
	Totals, State Operations	\$16,121	\$23,053	\$25,009
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$16,121	-\$23,053	-\$25,009
	Totals, State Operations	-\$16,121	-\$23,053	-\$25,009
	TOTALS, EXPENDITURES			
	State Operations	348,162	307,403	323,526
	Local Assistance	1,153,249	1,284,020	1,477,789
	Totals, Expenditures	\$1,501,411	\$1,591,423	\$1,801,315

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0690 Office of Emergency Services - Continued

† Fiscal year 2017-18 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2017-18 ending fund balance will be reflected as a prior year adjustment in the 2020-21 Governor's Budget publication.

EXPENDITURES BY CATEGORY †

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	893.0	1,034.6	1,036.6	\$81,017	\$98,085	\$98,239
Other Adjustments	111.2	-	110.0	5,111	3,498	6,875
Net Totals, Salaries and Wages	1,004.2	1,034.6	1,146.6	\$86,128	\$101,583	\$105,114
Staff Benefits	-	-	-	39,171	49,435	52,575
Totals, Personal Services	1,004.2	1,034.6	1,146.6	\$125,299	\$151,018	\$157,689
OPERATING EXPENSES AND EQUIPMENT				\$222,863	\$156,385	\$165,837
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$348,162	\$307,403	\$323,526

2 Local Assistance	Expenditures		
	2017-18*	2018-19*	2019-20*
Goods - Other	\$25,000	\$-	\$-
Grants and Subventions - Governmental	1,128,249	1,284,020	1,477,789
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,153,249	\$1,284,020	\$1,477,789

† Fiscal year 2017-18 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2017-18 ending fund balance will be reflected as a prior year adjustment in the 2020-21 Governor's Budget publication.

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS †

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$191,817	\$74,015	\$94,711
Allocation for Employee Compensation	-	675	-
Allocation for Other Post-Employment Benefits	-	293	-
Allocation for Staff Benefits	-	261	-
Pending Legislation	-	10,000	-
Pending Legislation Adjustment	-	-10,000	-
Section 3.60 Pension Contribution Adjustment	-	222	-
Section 6.10 Deferred Maintenance Project Funding	-	4,000	-
003 Budget Act appropriation	5,789	5,479	5,458
Lease Revenue Debt Service CY Adjustment	-	-22	-
004 Budget Act appropriation	892	931	953
Allocation for Employee Compensation	-	9	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	-	4	-
006 Budget Act appropriation	-	-	20,000

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0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
011 Budget Act appropriation (transfer to State Emergency Telephone Number Account)	-	-	50,000
Chapter 1, Statutes of 2019 (AB 72) Emergency Preparedness	(-)	(10,000)	(-)
Totals Available	\$198,498	\$85,876	\$171,122
TOTALS, EXPENDITURES	\$198,498	\$85,876	\$171,122
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,368	\$18,653	\$20,127
Allocation for Employee Compensation	-	113	-
Allocation for Other Post-Employment Benefits	-	29	-
Allocation for Staff Benefits	-	43	-
Section 3.60 Pension Contribution Adjustment	-	27	-
State Emergency Telephone Number Account Adjustment	-	-2,504	-
Totals Available	\$2,368	\$16,361	\$20,127
TOTALS, EXPENDITURES	\$2,368	\$16,361	\$20,127
Less funding provided by General Fund	-	-	-50,000
NET TOTALS, EXPENDITURES	\$2,368	\$16,361	-\$29,873
0028 Unified Program Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$565	\$877	\$910
Allocation for Employee Compensation	-	16	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	5	-
Totals Available	\$565	\$909	\$910
TOTALS, EXPENDITURES	\$565	\$909	\$910
0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$648	\$1,165	\$1,210
Allocation for Employee Compensation	-	15	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	6	-
Totals Available	\$648	\$1,199	\$1,210
TOTALS, EXPENDITURES	\$648	\$1,199	\$1,210
0425 Victim - Witness Assistance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-\$30	-	-
TOTALS, EXPENDITURES	-\$30	-	-
0437 State Assistance For Fire Equipment Account			
APPROPRIATIONS			
Government Code section 8589.16	\$1	\$100	\$100
Totals Available	\$1	\$100	\$100
TOTALS, EXPENDITURES	\$1	\$100	\$100
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$62,751	\$83,478	\$86,627
Allocation for Employee Compensation	-	819	-
Allocation for Other Post-Employment Benefits	-	298	-
Allocation for Staff Benefits	-	304	-
Section 3.60 Pension Contribution Adjustment	-	228	-

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0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Totals Available	\$62,751	\$85,127	\$86,627
TOTALS, EXPENDITURES	\$62,751	\$85,127	\$86,627
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$807	\$921	\$959
Allocation for Employee Compensation	-	19	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	5	-
Totals Available	\$807	\$958	\$959
TOTALS, EXPENDITURES	\$807	\$958	\$959
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$5,074	\$5,542	\$5,015
TOTALS, EXPENDITURES	\$5,074	\$5,542	\$5,015
3034 Antiterrorism Fund			
APPROPRIATIONS			
010 Budget Act appropriation	\$509	\$768	\$798
Allocation for Employee Compensation	-	13	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	5	-
Totals Available	\$509	\$798	\$798
TOTALS, EXPENDITURES	\$509	\$798	\$798
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$25,000	\$1,140
Allocation for Employee Compensation	-	14	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	3	-
TOTALS, EXPENDITURES	-	\$25,026	\$1,140
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$903	\$2,787	\$2,832
Allocation for Employee Compensation	-	23	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	-	8	-
Section 3.60 Pension Contribution Adjustment	-	6	-
Totals Available	\$903	\$2,831	\$2,832
TOTALS, EXPENDITURES	\$903	\$2,831	\$2,832
8039 Disaster Resistant Communities Account			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$207	\$207
Totals Available	-	\$207	\$207
TOTALS, EXPENDITURES	-	\$207	\$207
9751 Public Safety Communications Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$76,068	\$80,048	\$82,479
Allocation for Employee Compensation	-	1,314	-

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0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Allocation for Other Post-Employment Benefits	-	307	-
Allocation for Staff Benefits	-	491	-
Section 3.60 Pension Contribution Adjustment	-	309	-
Totals Available	<u>\$76,068</u>	<u>\$82,469</u>	<u>\$82,479</u>
TOTALS, EXPENDITURES	<u>\$76,068</u>	<u>\$82,469</u>	<u>\$82,479</u>
Total Expenditures, All Funds, (State Operations)	<u>\$348,162</u>	<u>\$307,403</u>	<u>\$323,526</u>

2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$45,630	\$99,376	\$99,641
Chapter 449, Statutes of 2018 (SB 862)	-	13,500	-
Pending Legislation	-	50,000	-
Pending Legislation Adjustment	-	-50,000	-
102 Budget Act appropriation	500	-	-
103 Budget Act appropriation	-	-	15,000
Chapter 1, Statutes of 2019 (AB 72) Emergency Preparedness	-	20,000	-
104 Budget Act appropriation	-	-	75,000
112 Budget Act appropriation	-	127,237	91,364
112 Budget Act appropriation as amended by Chapter 54, Statutes of 2017	64,797	-	-
115 Budget Act appropriation	3,030	1,687	1,687
Totals Available	<u>\$113,957</u>	<u>\$261,800</u>	<u>\$282,692</u>
TOTALS, EXPENDITURES	<u>\$113,957</u>	<u>\$261,800</u>	<u>\$282,692</u>

0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$78,493	\$119,000	\$144,271
State Emergency Telephone Number Account Adjustment	-	-39,412	-
Totals Available	<u>\$78,493</u>	<u>\$79,588</u>	<u>\$144,271</u>
TOTALS, EXPENDITURES	<u>\$78,493</u>	<u>\$79,588</u>	<u>\$144,271</u>

0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,449	\$2,133	\$2,197
Totals Available	<u>\$2,449</u>	<u>\$2,133</u>	<u>\$2,197</u>
TOTALS, EXPENDITURES	<u>\$2,449</u>	<u>\$2,133</u>	<u>\$2,197</u>

0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$669,160	\$669,766	\$729,766
Control Section 28.00 - Bulletproof Vest Partnership Program	-	1,648	-
102 Budget Act appropriation	251,798	259,400	309,850
Totals Available	<u>\$920,958</u>	<u>\$930,814</u>	<u>\$1,039,616</u>
TOTALS, EXPENDITURES	<u>\$920,958</u>	<u>\$930,814</u>	<u>\$1,039,616</u>

0903 State Penalty Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$11,349	\$8,513	\$8,513
Totals Available	<u>\$11,349</u>	<u>\$8,513</u>	<u>\$8,513</u>
TOTALS, EXPENDITURES	<u>\$11,349</u>	<u>\$8,513</u>	<u>\$8,513</u>

3034 Antiterrorism Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$500	\$500	-
TOTALS, EXPENDITURES	<u>\$500</u>	<u>\$500</u>	<u>-</u>

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0690 Office of Emergency Services - Continued

2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
101 Budget Act appropriation as added by Chapter 249, Statutes of 2017	\$25,000	-	-
TOTALS, EXPENDITURES	\$25,000	-	-
8069 Child Victims of Human Trafficking Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$268	\$172	-
TOTALS, EXPENDITURES	\$268	\$172	-
8084 American Red Cross, California Chapters Fund			
TOTALS, EXPENDITURES	-	-	-
8093 California Sexual Violence Victim Services Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$158	\$250	\$250
Totals Available	\$158	\$250	\$250
TOTALS, EXPENDITURES	\$158	\$250	\$250
8104 California Domestic Violence Victims Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$117	\$250	\$250
Totals Available	\$117	\$250	\$250
TOTALS, EXPENDITURES	\$117	\$250	\$250
Total Expenditures, All Funds, (Local Assistance)	\$1,153,249	\$1,284,020	\$1,477,789
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$1,501,411	\$1,591,423	\$1,801,315

† Fiscal year 2017-18 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2017-18 ending fund balance will be reflected as a prior year adjustment in the 2020-21 Governor's Budget publication.

FUND CONDITION STATEMENTS †

	2017-18*	2018-19*	2019-20*
0022 State Emergency Telephone Number Account[§]			
BEGINNING BALANCE	\$52,640	\$36,653	\$6,086
Prior Year Adjustments	8,200	-	-
Adjusted Beginning Balance	<u>\$60,840</u>	<u>\$36,653</u>	<u>\$6,086</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140500 Emergency Telephone User's Surcharge	60,524	60,141	115,210
4171100 Cost Recoveries - Other	1	2	2
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	10	-	-
Transfers and Other Adjustments			
Loan from the General Fund (0001) to the State Emergency Telephone Number Account (0022), per Item 0690-011-0001, Budget Act 2018 (AB 72)	-	10,000	-
Revenue Transfer from Prepaid MTS 911 Account (3266) to State Emergency Telephone Number Account (0022) per Chapter 885, Statutes of 2014 (AB 1717) Section 8 Revenue and Taxation Code 42023 (a)	1,445	1,410	1,408
Total Revenues, Transfers, and Other Adjustments	<u>\$61,980</u>	<u>\$71,553</u>	<u>\$116,620</u>
Total Resources	<u>\$122,820</u>	<u>\$108,206</u>	<u>\$122,706</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	2,368	16,361	20,127

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0690 Office of Emergency Services - Continued

	2017-18*	2018-19*	2019-20*
Adjusted Beginning Balance	\$23	\$23	\$23
Total Resources	\$23	\$23	\$23
FUND BALANCE	\$23	\$23	\$23
Reserve for economic uncertainties	23	23	23
0425 Victim - Witness Assistance Fund^S			
BEGINNING BALANCE	\$322	-	\$2
Prior Year Adjustments	-252	-	-
Adjusted Beginning Balance	\$70	-	\$2
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4131500 Felony Conviction Penalties	2	\$2	-
4163000 Investment Income - Surplus Money Investments	7	-	-
Total Revenues, Transfers, and Other Adjustments	\$9	\$2	-
Total Resources	\$79	\$2	\$2
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	-30	-	-
8880 Financial Information System for California (State Operations)	2	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	107	-	-
Total Expenditures and Expenditure Adjustments	\$79	-	-
FUND BALANCE	-	\$2	\$2
Reserve for economic uncertainties	-	2	2
0437 State Assistance For Fire Equipment Account^S			
BEGINNING BALANCE	\$1,867	\$1,861	\$2,061
Prior Year Adjustments	-5	-	-
Adjusted Beginning Balance	\$1,862	\$1,861	\$2,061
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4170400 Capital Asset Sales Proceeds	-	300	100
Total Revenues, Transfers, and Other Adjustments	-	\$300	\$100
Total Resources	\$1,862	\$2,161	\$2,161
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	1	100	100
Total Expenditures and Expenditure Adjustments	\$1	\$100	\$100
FUND BALANCE	\$1,861	\$2,061	\$2,061
Reserve for economic uncertainties	1,861	2,061	2,061
0903 State Penalty Fund^N			
BEGINNING BALANCE	-	\$1,019	\$11,763
Adjusted Beginning Balance	-	\$1,019	\$11,763
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172240 Fines and Penalties - External - Other	\$93,642	81,041	81,041
Transfers and Other Adjustments			
Revenue Transfer from the State Penalty Fund (0903) to the Fish and Game Preservation Fund (0200) per Penal Code 1464	-450	-450	-450
Revenue Transfer from the State Penalty Fund (0903) to the Restitution Fund (0214) per Proposed Item 7870-011-0903	-9,100	-6,534	-6,534
Revenue Transfer from the State Penalty Fund (0903) to the Traumatic Brain Injury Fund (0311) per Proposed Item 5160-011-0903	-800	-800	-
Total Revenues, Transfers, and Other Adjustments	\$83,292	\$73,257	\$74,057

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0690 Office of Emergency Services - Continued

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
FUND BALANCE	\$85	\$86	\$87
Reserve for economic uncertainties	85	86	87
3112 Equality in Prevention and Services for Domestic Abuse Fund^S			
BEGINNING BALANCE	\$27	\$52	\$76
Prior Year Adjustments	1	-	-
Adjusted Beginning Balance	<u>\$28</u>	<u>\$52</u>	<u>\$76</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	24	24	24
Total Revenues, Transfers, and Other Adjustments	<u>\$24</u>	<u>\$24</u>	<u>\$24</u>
Total Resources	<u>\$52</u>	<u>\$76</u>	<u>\$100</u>
FUND BALANCE	\$52	\$76	\$100
Reserve for economic uncertainties	52	76	100
3260 Regional Railroad Accident Preparedness and Immediate Response Fund^S			
BEGINNING BALANCE	\$965	\$1,233	\$767
Prior Year Adjustments	391	-	-
Adjusted Beginning Balance	<u>\$1,356</u>	<u>\$1,233</u>	<u>\$767</u>
Total Resources	<u>\$1,356</u>	<u>\$1,233</u>	<u>\$767</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
7600 California Department of Tax and Fee Administration (State Operations)	123	-	-
9892 Supplemental Pension Payments (State Operations)	-	-	7
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	466	47
Total Expenditures and Expenditure Adjustments	<u>\$123</u>	<u>\$466</u>	<u>\$54</u>
FUND BALANCE	\$1,233	\$767	\$713
Reserve for economic uncertainties	1,233	767	713
3266 Prepaid MTS 911 Account^S			
BEGINNING BALANCE	\$903	-	-
Prior Year Adjustments	-903	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue Transfer from Prepaid MTS 911 Account (3266) to State Emergency Telephone Number Account (0022) per Chapter 885, Statutes of 2014 (AB 1717) Section 8 Revenue and Taxation Code Section 42023 (a)	-1,445	-\$1,410	-\$1,408
Revenue Transfer from Prepaid Mobile Telephony Services Surcharge Fund (3251) to Prepaid MTS 911 Account (3266) per Revenue and Taxation Code Section 42023(a)	1,445	1,410	1,408
FUND BALANCE	<u>-</u>	<u>-</u>	<u>-</u>

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CHANGES IN AUTHORIZED POSITIONS †

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Baseline Positions	893.0	1,034.6	1,036.6	\$81,017	\$98,085	\$98,239
Salary and Other Adjustments	111.2	-	8.0	5,111	3,498	3,498
Workload and Administrative Adjustments						

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0690 Office of Emergency Services - Continued

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Broadband Communication and 9-1-1 Integration						
Program Mgr II	-	-	1.0	-	-	87
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	50
Telecomms Sys Analyst II	-	-	3.0	-	-	207
Telecomms Sys Mgr I (Supvr)	-	-	1.0	-	-	82
California Interoperable Public Safety Radio System						
Assoc Telecomms Engr	-	-	5.0	-	-	515
Sr Telecomms Engr	-	-	1.0	-	-	118
Sr Telecomms Techn	-	-	1.0	-	-	81
Telecomms Sys Mgr I (Spec)	-	-	1.0	-	-	76
Disaster Preparedness and Response						
Accounting Administrator I (Supvr)	-	-	2.0	-	-	100
Accounting Administrator II	-	-	1.0	-	-	65
Accounting Administrator III	-	-	1.0	-	-	50
Accounting Officer (Spec)	-	-	1.0	-	-	28
Assoc Accounting Analyst	-	-	7.0	-	-	336
Assoc Govtl Program Analyst	-	-	10.0	-	-	135
Assoc Mgmt Auditor	-	-	1.0	-	-	55
Assoc Pers Analyst	-	-	3.0	-	-	101
C.E.A.	-	-	2.0	-	-	144
Communications Opr	-	-	2.0	-	-	53
Communications Supvr	-	-	1.0	-	-	30
Disaster Assistance Programs Spec I	-	-	2.0	-	-	55
Disaster Assistance Programs Spec II	-	-	1.0	-	-	33
Emergency Svcs Coord	-	-	7.0	-	-	155
Info Tech Assoc	-	-	7.0	-	-	63
Info Tech Spec I	-	-	6.0	-	-	75
Info Tech Supvr II	-	-	1.0	-	-	-
Office Asst (Gen)	-	-	2.0	-	-	-
Program Mgr I	-	-	3.0	-	-	79
Program Mgr II	-	-	1.0	-	-	43
Research Data Analyst II	-	-	2.0	-	-	70
Sr Accounting Officer (Spec)	-	-	2.0	-	-	101
Sr Coord (Fire & Rescue Svcs)	-	-	1.0	-	-	53
Sr Emergency Svcs Coord	-	-	3.0	-	-	83
Staff Svcs Analyst (Gen)	-	-	8.0	-	-	33
Staff Svcs Mgr I	-	-	6.0	-	-	120
Staff Svcs Mgr II (Supvry)	-	-	2.0	-	-	-
Staff Svcs Mgr III	-	-	2.0	-	-	101
Warehouse Mgr I	-	-	1.0	-	-	-
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	102.0	\$-	\$-	\$3,377
Totals, Adjustments	111.2	-	110.0	\$5,111	\$3,498	\$6,875
TOTALS, SALARIES AND WAGES	1,004.2	1,034.6	1,146.6	\$86,128	\$101,583	\$105,114

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0690 Office of Emergency Services - Continued

INFRASTRUCTURE OVERVIEW

The Office of Emergency Services' infrastructure includes a headquarters facility, an administrative building, and an Inland Regional Operations Center in Sacramento County; a Coastal Regional Operations Center in Fairfield in Solano County; a Southern Regional Operations Center located at Los Alamitos Joint Forces Training Base in Orange County; the California Specialized Training Institute at Camp San Luis Obispo; and various small field offices throughout the state.

The Public Safety Communications division (PSC) is comprised of a main leased complex in Sacramento and 45 field locations throughout the state. These locations include 8 area offices and 37 area shops, positioned geographically to facilitate maintenance and installation services to remote communication sites and customers throughout the state. In addition, the PSC maintains and operates 10 communications vaults/towers and a total of more than 3,500 radio frequency points of presence.

SUMMARY OF PROJECTS

		State Building Program Expenditures	2017-18*	2018-19*	2019-20*
0405	CAPITAL OUTLAY Projects				
0000121	Relocation of Red Mountain Communications Site, Del Norte County		-	17,791	2,778
	Acquisition		-	-	1,517
	Preliminary Plans		-	553	-
	Working Drawings		-	-	1,261
	Construction		-	15,382	-
	Equipment		-	1,856	-
0000985	Sacramento: Fire Apparatus Maintenance Shop and General Purpose Warehouse		-	-	2,200
	Acquisition		-	-	2,200
TOTALS, EXPENDITURES, ALL PROJECTS			\$-	\$17,791	\$4,978
FUNDING			2017-18*	2018-19*	2019-20*
0001	General Fund		\$-	\$17,791	\$4,978
TOTALS, EXPENDITURES, ALL FUNDS			\$-	\$17,791	\$4,978

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY		2017-18*	2018-19*	2019-20*
	0001 General Fund			
APPROPRIATIONS				
301	Budget Act appropriation	-	\$15,382	\$3,717
Prior Year Balances Available:				
	Item 0690-301-0001, Budget Act of 2015 as reappropriated by Item 0690-491, Budget Acts of 2016, 2017, 2018, and 2019, and as reverted by Item 0690-495, Budget Act of 2016	-	1,261	1,261
	Item 0690-301-0001, Budget Act of 2017	-	2,409	-
	Totals Available	-	\$19,052	\$4,978
	Balance available in subsequent years	-	-1,261	-
TOTALS, EXPENDITURES		-	\$17,791	\$4,978
Total Expenditures, All Funds, (Capital Outlay)		\$0	\$17,791	\$4,978

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0720 Governor's Portrait

These funds are budgeted for the traditional painting of the Governor's portrait. The portrait will be displayed in the State Capitol Building along with the portraits of all Governors of California.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0410 Governor's Portrait	-	-	-	\$-	\$25	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$25	\$-
FUNDING	2017-18*		2018-19*		2019-20*	
0001 General Fund	\$-		\$25		\$-	
TOTALS, EXPENDITURES, ALL FUNDS	\$-		\$25		\$-	

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0410 GOVERNOR'S PORTRAIT	State Operations:			
0001 General Fund		\$-	\$25	\$-
	Totals, State Operations	\$-	\$25	\$-
TOTALS, EXPENDITURES				
	State Operations	-	25	-
	Totals, Expenditures	\$-	\$25	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$25	-
TOTALS, EXPENDITURES	-	\$25	-
Total Expenditures, All Funds, (State Operations)	\$0	\$25	\$0

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0730 Governor Elect and Outgoing Governor

These funds are to provide assistance to the Governor-elect and the outgoing Governor, during the transition period following the election, in carrying out the duties described under the provisions of Government Code Sections 12015 and 12015.5.

The law provides that state agencies furnish to the Governor-elect any information or assistance necessary in the preparation of the annual state budget and for the orderly transfer of the executive power. This gives the Governor-elect approximately two months to formulate policies and to incorporate them in the various programs included in the Budget, which will be transmitted to the Legislature.

The law also provides for the outgoing Governor to appoint persons for up to a two-month period, to assist in concluding matters arising from official duties during the last term of office.

Of the \$1,000,000 budgeted, \$865,000 is for the Governor-elect and \$135,000 is for the outgoing Governor.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0420 Transition Funding	-	-	-	\$-	\$1,000	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$1,000	\$-
FUNDING		2017-18*	2018-19*	2019-20*		
0001 General Fund		\$-	\$1,000	\$-		
TOTALS, EXPENDITURES, ALL FUNDS		\$-	\$1,000	\$-		

LEGAL CITATIONS AND AUTHORITY

Government Code Sections 12015 and 12015.5.

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
	PROGRAM REQUIREMENTS			
0420	TRANSITION FUNDING			
	State Operations:			
0001	General Fund	\$-	\$1,000	\$-
	Totals, State Operations	\$-	\$1,000	\$-
	TOTALS, EXPENDITURES			
	State Operations	-	1,000	-
	Totals, Expenditures	\$-	\$1,000	\$-

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
OPERATING EXPENSES AND EQUIPMENT				\$-	\$1,000	\$-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$-	\$1,000	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0730 Governor Elect and Outgoing Governor - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1,000	-
TOTALS, EXPENDITURES	-	\$1,000	-
Total Expenditures, All Funds, (State Operations)	\$0	\$1,000	\$0

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0750 Office of the Lieutenant Governor

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California and a voting member of the Board of Trustees of the California State University system.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees the control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state land-use planning and revenues, and related interstate issues. During alternate years, when the Lieutenant Governor serves as Chairperson of the State Lands Commission, the Lieutenant Governor also serves as a member of the Ocean Protection Council.

In addition, under state statutes, the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance to the Governor, Legislature, and private sector regarding the development of California's economy.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0430 General Activities	8.0	7.0	11.0	\$1,259	\$1,526	\$2,027
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	8.0	7.0	11.0	\$1,259	\$1,526	\$2,027
FUNDING	2017-18*		2018-19*		2019-20*	
0001 General Fund	\$1,259		\$1,526		\$2,027	
TOTALS, EXPENDITURES, ALL FUNDS	\$1,259		\$1,526		\$2,027	

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V, Sections 9 and 10, and Article IX, Section 9; Education Code Section 66602; Government Code Sections 8704, 8575, 14999-14999.8, and 15364.2; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Lieutenant Governor Support Staff	\$-	\$-	-	\$500	\$-	4.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$500	\$-	4.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	5	-	-	5	-	-
• Salary Adjustments	23	-	-	23	-	-
• Benefit Adjustments	8	-	-	9	-	-
• Retirement Rate Adjustments	7	-	-	7	-	-
Totals, Other Workload Budget Adjustments	\$43	\$-	-	\$44	\$-	-
Totals, Workload Budget Adjustments	\$43	\$-	-	\$544	\$-	4.0
Totals, Budget Adjustments	\$43	\$-	-	\$544	\$-	4.0

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0750 Office of the Lieutenant Governor - Continued

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
	PROGRAM REQUIREMENTS			
0430	GENERAL ACTIVITIES			
	State Operations:			
0001	General Fund	\$1,259	\$1,526	\$2,027
	Totals, State Operations	\$1,259	\$1,526	\$2,027
	TOTALS, EXPENDITURES			
	State Operations	1,259	1,526	2,027
	Totals, Expenditures	\$1,259	\$1,526	\$2,027

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions					
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	7.0	7.0	7.0	\$807	\$807	\$807
Other Adjustments	1.0	-	4.0	-61	23	523
Net Totals, Salaries and Wages	8.0	7.0	11.0	\$746	\$830	\$1,330
Staff Benefits	-	-	-	305	326	327
Totals, Personal Services	8.0	7.0	11.0	\$1,051	\$1,156	\$1,657
OPERATING EXPENSES AND EQUIPMENT				\$208	\$370	\$370
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,259	\$1,526	\$2,027

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,259	\$1,483	\$2,027
Allocation for Employee Compensation	-	23	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	8	-
Section 3.60 Pension Contribution Adjustment	-	7	-
Totals Available	\$1,259	\$1,526	\$2,027
TOTALS, EXPENDITURES	\$1,259	\$1,526	\$2,027
Total Expenditures, All Funds, (State Operations)	\$1,259	\$1,526	\$2,027

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	7.0	7.0	7.0	\$807	\$807	\$807
Salary and Other Adjustments	1.0	-	-	-61	23	23
Workload and Administrative Adjustments						
Lieutenant Governor Support Staff						
Various	-	-	4.0	-	-	500

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0750 Office of the Lieutenant Governor - Continued

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	4.0	\$-	\$-	\$500
Totals, Adjustments	1.0	-	4.0	\$-61	\$23	\$523
TOTALS, SALARIES AND WAGES	8.0	7.0	11.0	\$746	\$830	\$1,330

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0820 Department of Justice

The constitutional office of the Attorney General, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice.

The Department of Justice is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissioners, and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust, and civil laws; and assists district attorneys in the administration of justice. The Department provides oversight, enforcement, education, and regulation of California's firearms/dangerous weapon laws; provides evaluation and analysis of physical evidence; regulates legal gambling activities in California; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair, and illegal activities.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0435 Division of Legal Services	1,616.5	1,694.4	1,717.4	\$437,147	\$507,320	\$518,187
0440 Law Enforcement	957.1	967.6	1,082.0	216,304	274,078	299,916
0445 California Justice Information Services	962.8	1,020.4	1,052.4	187,557	214,193	229,879
9900100 Administration	876.3	926.1	937.1	114,562	127,099	135,446
9900200 Administration - Distributed	-	-	-	-114,562	-127,099	-135,446
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	4,412.7	4,608.5	4,788.9	\$841,008	\$995,591	\$1,047,982
FUNDING				2017-18*	2018-19*	2019-20*
0001 General Fund				\$234,541	\$293,988	\$333,402
0012 Attorney General Antitrust Account				2,597	4,842	4,864
0017 Fingerprint Fees Account				82,798	85,780	87,740
0032 Firearm Safety Account				335	345	346
0044 Motor Vehicle Account, State Transportation Fund				26,840	27,879	27,933
0142 Department of Justice Sexual Habitual Offender Fund				2,579	2,659	2,662
0158 Travel Seller Fund				735	1,448	1,451
0256 Sexual Predator Public Information Account				177	177	177
0367 Indian Gaming Special Distribution Fund				20,414	21,906	21,944
0378 False Claims Act Fund				20,400	18,072	16,118
0460 Dealers Record of Sale Special Account				22,954	23,954	23,406
0566 Department of Justice Child Abuse Fund				410	441	441
0567 Gambling Control Fund				13,316	15,562	15,424
0569 Gambling Control Fines and Penalties Account				245	269	269
0641 Domestic Violence Restraining Order Reimbursement Fund				1,018	1,018	1,018
0890 Federal Trust Fund				38,126	43,735	43,863
0903 State Penalty Fund				3,250	2,478	2,478
0942 Special Deposit Fund				369	2,119	2,119
0995 Reimbursements				24,663	43,503	45,680
1008 Firearms Safety and Enforcement Special Fund				9,882	10,329	9,762
3016 Missing Persons DNA Data Base Fund				3,011	3,590	3,595
3053 Public Rights Law Enforcement Special Fund				13,548	18,250	18,292
3086 DNA Identification Fund				48,791	54,359	55,924
3087 Unfair Competition Law Fund				22,814	24,247	29,431
3088 Registry of Charitable Trusts Fund				4,637	6,068	6,017
3240 Secondhand Dealer and Pawnbroker Fund				351	653	655
3285 Electronic Recording Authorization Fund				249	300	300
3297 Major League Sporting Event Raffle Fund				309	335	667
3303 Ammunition Safety and Enforcement Special Fund				7,723	11,094	13,663

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0820 Department of Justice - Continued

FUNDING		2017-18*	2018-19*	2019-20*
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	41,288	-	-
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	36,000	38,543
9731	Legal Services Revolving Fund	190,868	237,973	238,016
9740	Central Service Cost Recovery Fund	1,770	2,218	1,782
TOTALS, EXPENDITURES, ALL FUNDS		\$841,008	\$995,591	\$1,047,982

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V, Section 13.

PROGRAM AUTHORITY

0435-Legal Services: California Constitution, Article V, Section 13; Business and Professions Code Sections 16700, 17200, and 17500; Civil Code Section 51 et seq.; Government Code Sections 4458, 11040 et seq., 11157, 12510 et seq., 12525.5, 12580 et seq., 12600 et seq., 12650 et seq., 12657 et seq., 12989.3, and 16645-16649; Health and Safety Code Sections 19958.5, 25180, 25249.7, and 104555-104558; Penal Code Sections 320.5, 320.6, 1256, 1475, 1548.3, 13012, and 13519.4; and Revenue and Taxation Code Section 30165.1-30165.2.

0440-Law Enforcement:

California Constitution, Article V, Section 13; Business and Professions Code Sections 7583.26, 19800-19807; Penal Code Sections 295, 295.1, 297, 319-337z, 830.1, 832.15, 11006-11054, 11060, 11061, 11061.5, 13511, 14160-14167, 14250, 18900, 26190, 27560, 28225-28245, 29055, 30900-30905, 31005, 31115, 32010, 32015-32025, 32655, 32715, 33305; Government Code Sections 15001.1 and 15001.2; and Health and Safety Code Sections 11100-11103, 11106-11106.7, 11450-11454, 11641, and 11646.

0445-California Justice Information Services:

California Constitution, Article V, Section 13; Government Code Sections 15150-15167 and 27390-27399; and Penal Code Sections 11102.1, 11105, and 14200-14213.

9900-Directorate and Administration:

Government Code Section 12519.

MAJOR PROGRAM CHANGES

- Sex Offender Registry, Chapter 541, Statutes of 2017 (SB 384)—The Budget includes \$17.2 million General Fund in 2019-20, \$15.7 million in 2020-21, and \$13.2 million in 2021-22 to continue the state's commitment to implementing Chapter 541, Statutes of 2017 (SB 384), which requires tiered registration of sex offenders.
- Armed Prohibited Persons (APPS) Program—The Budget includes \$17.5 million ongoing General Fund for APPS—\$11.9 million to shift the existing APPS program to the General Fund and \$5.6 million to support increased APPS workload.
- Bureau of Forensic Services—The Budget includes \$15 million one-time General Fund and \$10 million one-time Fingerprint Fees Account to backfill the continued decline in fine and fee revenues in the DNA Identification Fund. This funding will enable the Department of Justice to continue processing forensic evidence for client counties. The Budget also includes \$2.6 million one-time General Fund to replace critical forensic laboratory equipment.
- Bureau of Firearms—The Budget includes \$6.9 million Dealers' Record of Sale Account in 2019-20 and \$6.4 million annually thereafter to implement and enforce new laws related to firearms.
- Cardroom and Third-Party Provider Workload—The Budget includes \$4.4 million Gambling Control Fund in 2019-20 and 2020-21 to continue funding 32 positions that process license applications, renewals, and background investigations for cardrooms and third-party providers to reduce the current backlog of license applications.
- Human Trafficking Task Forces—The Budget includes \$4.2 million General Fund in 2019-20 and \$3.4 million ongoing thereafter to establish two task forces to address statewide human trafficking by focusing on the worst sexual predators.
- Peace Officer Radio Replacement—The Budget includes \$2.9 million General Fund to replace aging radios with new

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0820 Department of Justice - Continued

interoperable equipment that will improve communication between agencies in emergency situations.

- Testing Sexual Assault Evidence Kits—The Budget includes \$2 million one-time General Fund for grants to local law enforcement agencies to submit sexual assault evidence kits to a crime lab for testing. The Budget also includes \$854,000 one-time General Fund for the Department of Justice to test sexual assault evidence kits that are submitted by local law enforcement agencies.
- Hate Crimes in California—The Budget includes \$797,000 General Fund in 2019-20 and \$693,000 annually thereafter to address audit findings identifying gaps in data collection and reporting on hate crimes.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Sex Offenders: Registration (SB 384)	\$-	\$-	-	\$17,203	\$-	13.0
• Armed Prohibited Persons Systems Investigations	-	-	-	16,901	-11,300	26.0
• DNA Identification Fund Revenue Backfill	-	-	-	15,000	10,000	-
• Human Trafficking/Sexual Predator Apprehension Teams	-	-	-	4,192	-	15.0
• Peace Officer Radio Replacement	-	-	-	2,865	-	-
• Sexual Assault Evidence Kits	-	-	-	2,854	-	-
• Forensic Laboratory Equipment Refresh	-	-	-	2,600	-	-
• Payment of Settlements and Judgments	-	-	-	2,069	-	-
• California Consumer Privacy Act of 2018 (AB 375 & SB 1121)	-	-	-	1,827	2,912	23.0
• Sex Trafficking in Sacramento	-	-	-	1,500	-	-
• Cannabis Conviction: Resentencing (AB 1793)	-	-	-	985	-	-
• California State Auditor Report: Hate Crimes in California	-	-	-	797	-	5.0
• Justice HR	-	-	-	669	1,485	-
• Armed and Prohibited Persons System Funding Adjustment	-	-	-	575	-575	-
• Theft: Aggregation: Organized Crime (AB 1065)	-	-	-	327	-	1.0
• Peace Officers Release of Records (SB 1421)	-	-	-	155	-	1.0
• Crime Prevention and Investigation: Informational Databases (AB 2222)	-	-	-	135	-	1.0
• Peace Officers, Video and Audio Recordings, Disclosure (AB 748)	-	-	-	135	-	1.0
• Bureau of Firearms Workload	-	-	-	-	6,875	43.0
• Cardroom and Third-Party Provider Workload	-	-	-	-	4,399	-
• Firearms and Ammunitions: Prohibited Possession: Transfer to Licensed Dealer (SB 746)	-	-	-	-	1,895	1.0
• California Internet Consumer Protection and Net Neutrality Act of 2018 (SB 822)	-	-	-	-	1,846	9.0
• Firearm Unsafe Handguns (AB 1872, AB 2165)	-	-	-	-	1,666	2.0
• Prescription Forms (AB 1753 & AB 149)	-	-	-	-	1,179	1.0
• CURES Interstate Data Sharing (AB 1751)	-	-	-	-	1,017	3.0
• Mental Health; Firearms (AB 1968)	-	-	-	-	887	4.0
• Subsequent Arrest Notification (AB 2461)	-	-	-	-	831	5.0
• Firearms: Transfers (SB 1100)	-	-	-	-	775	3.0
• Major League Sports Raffle Program (AB 888)	-	-	-	-	667	2.0
• CURES Workload	-	-	-	-	474	-

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0820 Department of Justice - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Price Gouging, State of Emergency (AB 1919)	-	-	-	-	365	2.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$70,789	\$25,398	161.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	1,332	3,114	-	1,332	3,114	-
• Central Service Function Cost Recovery	-	-	-	444	-444	-
• Legal Services Revolving Fund Augmentation, Land Law Section, per Provision 1 of Item 0820-001-9731	-	3,080	13.0	-	-	-
• Legal Services Revolving Fund Augmentation, Licensing Section, per Provision 1 of Item 0820-001-9731	-	2,932	13.0	-	-	-
• Salary Adjustments	6,925	8,780	-	6,925	8,780	-
• Benefit Adjustments	1,910	3,732	-	1,967	3,853	-
• Retirement Rate Adjustments	1,341	2,930	-	1,341	2,930	-
• Miscellaneous Baseline Adjustments	895	-2,500	2.2	-	21,218	61.6
• SWCAP	-	-	-	-	128	-
• Carryover/Reappropriation	-	1,220	-	-	-	-
• Lease Revenue Debt Service Adjustment	-1	-	-	-11	-	-
Totals, Other Workload Budget Adjustments	\$12,402	\$23,288	28.2	\$11,998	\$39,579	61.6
Totals, Workload Budget Adjustments	\$12,402	\$23,288	28.2	\$82,787	\$64,977	222.6
Totals, Budget Adjustments	\$12,402	\$23,288	28.2	\$82,787	\$64,977	222.6

PROGRAM DESCRIPTIONS

0435 - LEGAL SERVICES

Legal Services is organized into three elements: (1) Civil Law, (2) Criminal Law, and (3) Public Rights.

Civil Law represents the State of California and its officers, agencies, departments, boards, commissions, and employees in civil matters. It provides advice to these clients, defends cases brought against them and prosecutes cases to vindicate state interests. Deputy Attorneys General in Civil Law are responsible for managing and litigating cases before administrative tribunals, and in both state and federal courts at the trial level and on appeal, including appeals before the United States and California Supreme Courts. Deputies work in one of nine sections: Business and Tax; Cannabis Control; Correctional Law; Employment and Administrative Mandates; Government Law; Health, Education and Welfare; Health Quality Enforcement; Licensing; or Tort and Condemnation.

Criminal Law represents the People of the State of California in criminal matters before the Appellate and Supreme courts. Criminal Law also fulfills the Attorney General's responsibilities of assisting district attorneys in cases for which they are recused, conducts criminal investigations, represents the Governor, Board of Parole Hearings, and California Department of Corrections and Rehabilitation in state and federal habeas corpus cases and appeals, and other proceedings relating to parole decisions and conditions of confinement in the state prisons and defends criminal judgements in state and federal habeas corpus matters. Additional responsibilities include enforcing the Political Reform Act, advising the Governor on extradition matters, investigating and prosecuting Medi-Cal provider fraud, investigating and prosecuting the abuse or neglect of elder and dependent adults residing in long-term health care facilities, and investigating, prosecuting, and coordinating litigation involving white-collar crime, high-tech/computer/privacy crime, financial crimes against the elderly, human trafficking, environmental crimes, and public corruption.

Public Rights protects and preserves the public interest by providing legal services to state agencies and Constitutional Officers and by bringing actions in the name of the Attorney General to protect the public. Public Rights provides specialized services in the following areas: Civil Rights Enforcement (including Underground Economy and the Bureau of Children's Justice); Charitable Trusts (including the Registry of Charitable Trusts); Natural Resources Law; Corporate Fraud (including False Claims, Energy and Corporate Responsibility); Indian and Gaming Law; Environment Law (including Bureau of Environmental Justice); Land Law; Consumer Law (including Sellers of Travel and Privacy Enforcement and Protection); Antitrust Law; and Tobacco Litigation Enforcement.

0440 - LAW ENFORCEMENT

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0820 Department of Justice - Continued

The Division of Law Enforcement is organized into five elements: (1) Bureau of Firearms, (2) Bureau of Forensic Services, (3) Bureau of Gambling Control, (4) Bureau of Investigation, and (5) the Office of the Chief.

The Bureau of Firearms provides oversight, enforcement, education, and regulation of California's firearms and ammunitions laws by conducting firearms eligibility background checks and administering over thirty different state-mandated firearms-related programs. The Bureau conducts firearms dealer and manufacturer inspections for compliance, and provides training as needed. Special Agents conduct investigations on armed and prohibited persons and other investigations resulting in the seizure of firearms, magazines, and ammunition. Agents also conduct investigations to monitor and prevent illegal firearm trafficking at permitted gun shows in accordance with state and federal laws.

The Bureau of Forensic Services (BFS) provides evaluation and analysis of physical evidence, including crime scene investigation and expert court testimony to federal, state and local law enforcement agencies, district attorneys, and courts, by operating 11 specialized laboratories that serve 46 counties as well as a forensic training facility. BFS maintains the state DNA laboratory database which compiles DNA profiles of sex and violent offenders and felony arrestees.

The Bureau of Gambling Control regulates legal gambling activities in California to ensure gambling is conducted honestly and is free from criminal and corruptive elements. This is accomplished by investigating the qualifications of individuals and business entities who apply for state gambling licenses and monitoring the conduct of these licensees to ensure compliance with the Gambling Control Act and applicable regulations. Furthermore, the Bureau conducts criminal investigations in, on or about Tribal casinos and California cardrooms. The Bureau also regulates Tribal gaming to ensure that each Tribe is in compliance with all aspects of the negotiated gaming compact.

The Bureau of Investigation is the premiere investigative agency that is responsible for exploiting and dismantling criminal organizations, as well as assisting with the prosecution of serious criminal offenses which present a significant and multi-jurisdictional threat to California. The Bureau prioritizes investigations related to transnational criminal organizations involved in gangs and human trafficking, as well as cases involving environmental crimes, public corruption, major white-collar fraud, underground economy, recycle fraud, foreign prosecution assistance agencies, and high-technology crimes. In addition, the Bureau serves a principal role in providing leadership, coordination, and support to law enforcement through multi-agency drug, gang and major crimes task forces statewide.

The Office of the Chief enhances public safety by providing training, technical, and administrative support to the investigative, regulatory and forensic components of the Division of Law Enforcement and other criminal justice agencies. The Office serves as the policy-making and oversight body for its four operational bureaus.

0445 - CALIFORNIA JUSTICE INFORMATION SERVICES

The California Justice Information Services Division provides criminal justice intelligence, information, and identification services to law enforcement, regulatory agencies, and the public. Four major functional areas carry out these primary services: 1) The Bureau of Criminal Identification & Investigative Services consolidates the identification, investigative, and field services functions, providing information and technical assistance on manual and automated systems including the fingerprint identification system and the violent crime information system; 2) The Bureau of Criminal Information and Analysis consolidates the functions related to the authorization, release, and use of criminal offender record information for law enforcement investigatory and regulatory purposes; 3) The Hawkins Data Center operates the Criminal Justice Information System and the California Law Enforcement Telecommunications System; and 4) the Operations Support Program provides business resumption planning and administrative support and oversight.

9900 - DIRECTORATE AND ADMINISTRATION

The Directorate and the Administration Division of the Department of Justice consists of the Division of Operations and the Attorney General's Executive Office. The executive office maintains overall direction and administration over the diverse programs and projects of the department, including the Equal Employment Rights and Resolution Office, the Office of Program Oversight and Accountability, the Opinions Unit, the Solicitor General's Unit, the Office of Legislative Affairs, the Office of Communications and the Public Inquiry Unit. In addition, the Division of Operations provides support functions essential to the department's operations, including fiscal, personnel, and specialized services such as legal secretarial support, litigation support, and legal case management services.

DETAILED EXPENDITURES BY PROGRAM

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PROGRAM REQUIREMENTS			
0435 DIVISION OF LEGAL SERVICES			
State Operations:			

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0820 Department of Justice - Continued

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
0001	General Fund	\$149,473	\$167,038	\$174,329
0012	Attorney General Antitrust Account	2,555	4,800	4,822
0158	Travel Seller Fund	722	1,435	1,438
0367	Indian Gaming Special Distribution Fund	2,018	2,285	2,292
0378	False Claims Act Fund	18,994	16,623	14,667
0567	Gambling Control Fund	399	457	459
0890	Federal Trust Fund	33,644	33,475	33,829
0995	Reimbursements	954	1,242	1,242
3053	Public Rights Law Enforcement Special Fund	6,396	10,906	10,940
3087	Unfair Competition Law Fund	22,814	24,247	29,431
3088	Registry of Charitable Trusts Fund	4,322	5,740	5,688
3297	Major League Sporting Event Raffle Fund	309	335	365
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	3,788	-	-
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	4,841	5,184
9731	Legal Services Revolving Fund	188,989	231,678	231,719
9740	Central Service Cost Recovery Fund	1,770	2,218	1,782
	Totals, State Operations	\$437,147	\$507,320	\$518,187
	SUBPROGRAM REQUIREMENTS			
0435010	Civil Law			
	State Operations:			
0001	General Fund	\$14,834	\$17,726	\$18,630
9731	Legal Services Revolving Fund	153,248	184,763	184,754
9740	Central Service Cost Recovery Fund	1,404	1,830	1,393
	Totals, State Operations	\$169,486	\$204,319	\$204,777
	SUBPROGRAM REQUIREMENTS			
0435019	Criminal Law			
	State Operations:			
0001	General Fund	\$101,358	\$107,611	\$113,151
0378	False Claims Act Fund	10,684	8,212	6,219
0890	Federal Trust Fund	33,644	33,475	33,829
0995	Reimbursements	954	1,242	1,242
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	131	-	-
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	1,841	1,971
9731	Legal Services Revolving Fund	10,597	11,735	11,780
	Totals, State Operations	\$157,368	\$164,116	\$168,192
	SUBPROGRAM REQUIREMENTS			
0435028	Public Rights			
	State Operations:			
0001	General Fund	\$33,281	\$41,701	\$42,548
0012	Attorney General Antitrust Account	2,555	4,800	4,822
0158	Travel Seller Fund	722	1,435	1,438
0367	Indian Gaming Special Distribution Fund	2,018	2,285	2,292
0378	False Claims Act Fund	8,310	8,411	8,448
0567	Gambling Control Fund	399	457	459
3053	Public Rights Law Enforcement Special Fund	6,396	10,906	10,940
3087	Unfair Competition Law Fund	22,814	24,247	29,431
3088	Registry of Charitable Trusts Fund	4,322	5,740	5,688
3297	Major League Sporting Event Raffle Fund	309	335	365

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0820 Department of Justice - Continued

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
3308 Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	3,657	-	-
3320 Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	3,000	3,213
9731 Legal Services Revolving Fund	25,144	35,180	35,185
9740 Central Service Cost Recovery Fund	366	388	389
Totals, State Operations	\$110,293	\$138,885	\$145,218
PROGRAM REQUIREMENTS			
0440 LAW ENFORCEMENT			
State Operations:			
0001 General Fund	\$26,654	\$52,078	\$72,890
0012 Attorney General Antitrust Account	28	28	28
0032 Firearm Safety Account	335	345	346
0142 Department of Justice Sexual Habitual Offender Fund	902	933	934
0367 Indian Gaming Special Distribution Fund	18,049	19,259	19,289
0378 False Claims Act Fund	873	895	896
0460 Dealers Record of Sale Special Account	19,934	21,113	15,776
0567 Gambling Control Fund	12,917	15,105	14,965
0569 Gambling Control Fines and Penalties Account	218	241	241
0890 Federal Trust Fund	2,770	6,272	6,264
0903 State Penalty Fund	137	124	124
0942 Special Deposit Fund	369	2,119	2,119
0995 Reimbursements	20,652	36,339	36,346
1008 Firearms Safety and Enforcement Special Fund	9,882	10,329	9,762
3016 Missing Persons DNA Data Base Fund	3,011	3,590	3,595
3053 Public Rights Law Enforcement Special Fund	7,152	7,344	7,352
3086 DNA Identification Fund	48,791	53,304	54,868
3297 Major League Sporting Event Raffle Fund	-	-	302
3303 Ammunition Safety and Enforcement Special Fund	1,110	4,824	8,281
3320 Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	2,659	2,848
9731 Legal Services Revolving Fund	1,879	6,295	6,297
Totals, State Operations	\$175,663	\$243,196	\$263,523
Local Assistance:			
0001 General Fund	\$-	\$-	\$3,500
0460 Dealers Record of Sale Special Account	28	28	28
0903 State Penalty Fund	3,113	2,354	2,354
3308 Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	37,500	-	-
3320 Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	28,500	30,511
Totals, Local Assistance	\$40,641	\$30,882	\$36,393
SUBPROGRAM REQUIREMENTS			
0440010 Investigation			
State Operations:			
0001 General Fund	\$5,494	\$11,363	\$14,910
0012 Attorney General Antitrust Account	28	28	28
0378 False Claims Act Fund	873	895	896
0890 Federal Trust Fund	861	2,129	1,781
0995 Reimbursements	17,003	23,941	23,614
3053 Public Rights Law Enforcement Special Fund	7,152	7,344	7,352
9731 Legal Services Revolving Fund	1,588	5,948	5,950

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0820 Department of Justice - Continued

		2017-18*	2018-19*	2019-20*
	Totals, State Operations	\$32,999	\$51,648	\$54,531
	Local Assistance:			
0001	General Fund	\$-	\$-	\$1,500
	Totals, Local Assistance	\$-	\$-	\$1,500
	SUBPROGRAM REQUIREMENTS			
0440019	Office of the Chief			
	State Operations:			
0001	General Fund	\$362	\$362	\$5,303
0367	Indian Gaming Special Distribution Fund	399	477	478
0460	Dealers Record of Sale Special Account	750	784	786
0567	Gambling Control Fund	457	548	550
0890	Federal Trust Fund	-	2,291	991
0903	State Penalty Fund	137	124	124
0942	Special Deposit Fund	369	2,119	2,119
0995	Reimbursements	421	7,310	7,643
3086	DNA Identification Fund	2,137	2,553	2,560
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	2,659	2,848
9731	Legal Services Revolving Fund	291	347	347
	Totals, State Operations	\$5,323	\$19,574	\$23,749
	Local Assistance:			
0903	State Penalty Fund	\$3,113	\$2,354	\$2,354
3308	Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	37,500	-	-
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	-	28,500	30,511
	Totals, Local Assistance	\$40,613	\$30,854	\$32,865
	SUBPROGRAM REQUIREMENTS			
0440028	Forensic Services			
	State Operations:			
0001	General Fund	\$20,798	\$40,353	\$34,918
0142	Department of Justice Sexual Habitual Offender Fund	902	933	934
0890	Federal Trust Fund	1,909	1,852	3,492
0995	Reimbursements	3,184	4,644	4,645
3016	Missing Persons DNA Data Base Fund	3,011	3,590	3,595
3086	DNA Identification Fund	46,654	50,751	52,308
	Totals, State Operations	\$76,458	\$102,123	\$99,892
	Local Assistance:			
0001	General Fund	\$-	\$-	\$2,000
	Totals, Local Assistance	\$-	\$-	\$2,000
	SUBPROGRAM REQUIREMENTS			
0440037	Gambling			
	State Operations:			
0367	Indian Gaming Special Distribution Fund	\$17,650	\$18,782	\$18,811
0567	Gambling Control Fund	12,460	14,557	14,415
0569	Gambling Control Fines and Penalties Account	218	241	241
0995	Reimbursements	44	100	100
3297	Major League Sporting Event Raffle Fund	-	-	302
	Totals, State Operations	\$30,372	\$33,680	\$33,869
	SUBPROGRAM REQUIREMENTS			
0440046	Firearms			
	State Operations:			

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0820 Department of Justice - Continued

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
0001	General Fund	\$-	\$-	\$17,759
0032	Firearm Safety Account	335	345	346
0460	Dealers Record of Sale Special Account	19,184	20,329	14,990
0995	Reimbursements	-	344	344
1008	Firearms Safety and Enforcement Special Fund	9,882	10,329	9,762
3303	Ammunition Safety and Enforcement Special Fund	1,110	4,824	8,281
	Totals, State Operations	\$30,511	\$36,171	\$51,482
	Local Assistance:			
0460	Dealers Record of Sale Special Account	\$28	\$28	\$28
	Totals, Local Assistance	\$28	\$28	\$28
	PROGRAM REQUIREMENTS			
0445	CALIFORNIA JUSTICE INFORMATION SERVICES			
	State Operations:			
0001	General Fund	\$58,414	\$74,872	\$82,683
0012	Attorney General Antitrust Account	14	14	14
0017	Fingerprint Fees Account	82,798	85,780	87,740
0044	Motor Vehicle Account, State Transportation Fund	26,840	27,879	27,933
0142	Department of Justice Sexual Habitual Offender Fund	1,677	1,726	1,728
0158	Travel Seller Fund	13	13	13
0256	Sexual Predator Public Information Account	177	177	177
0367	Indian Gaming Special Distribution Fund	347	362	363
0378	False Claims Act Fund	533	554	555
0460	Dealers Record of Sale Special Account	2,992	2,813	7,602
0566	Department of Justice Child Abuse Fund	410	441	441
0569	Gambling Control Fines and Penalties Account	27	28	28
0890	Federal Trust Fund	1,712	3,988	3,770
0995	Reimbursements	3,057	5,922	8,092
3086	DNA Identification Fund	-	1,055	1,056
3088	Registry of Charitable Trusts Fund	315	328	329
3240	Secondhand Dealer and Pawnbroker Fund	351	653	655
3285	Electronic Recording Authorization Fund	249	300	300
3303	Ammunition Safety and Enforcement Special Fund	6,613	6,270	5,382
	Totals, State Operations	\$186,539	\$213,175	\$228,861
	Local Assistance:			
0641	Domestic Violence Restraining Order Reimbursement Fund	\$1,018	\$1,018	\$1,018
	Totals, Local Assistance	\$1,018	\$1,018	\$1,018
	SUBPROGRAM REQUIREMENTS			
0445010	O. J. Hawkins Data Center			
	State Operations:			
0001	General Fund	\$23,656	\$22,232	\$21,717
0012	Attorney General Antitrust Account	14	14	14
0017	Fingerprint Fees Account	14,002	15,568	16,115
0044	Motor Vehicle Account, State Transportation Fund	20,558	23,260	23,307
0158	Travel Seller Fund	13	13	13
0367	Indian Gaming Special Distribution Fund	347	362	363
0378	False Claims Act Fund	533	554	555
0460	Dealers Record of Sale Special Account	2,524	2,161	6,949
0569	Gambling Control Fines and Penalties Account	27	28	28
0995	Reimbursements	1,072	770	770
3086	DNA Identification Fund	-17	1,043	1,044

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0820 Department of Justice - Continued

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
3088	Registry of Charitable Trusts Fund	315	328	329
3303	Ammunition Safety and Enforcement Special Fund	6,613	6,270	5,382
	Totals, State Operations	<u>\$69,657</u>	<u>\$72,603</u>	<u>\$76,586</u>
	SUBPROGRAM REQUIREMENTS			
0445019	Criminal Information and Analysis			
	State Operations:			
0001	General Fund	\$15,098	\$16,585	\$12,605
0017	Fingerprint Fees Account	43,521	47,518	53,619
0142	Department of Justice Sexual Habitual Offender Fund	309	505	505
0460	Dealers Record of Sale Special Account	207	223	223
0566	Department of Justice Child Abuse Fund	398	433	433
3285	Electronic Recording Authorization Fund	249	300	300
	Totals, State Operations	<u>\$59,782</u>	<u>\$65,564</u>	<u>\$67,685</u>
	SUBPROGRAM REQUIREMENTS			
0445028	Criminal Identification and Investigation Services			
	State Operations:			
0001	General Fund	\$8,899	\$21,193	\$33,485
0017	Fingerprint Fees Account	12,942	15,495	10,806
0044	Motor Vehicle Account, State Transportation Fund	1,313	1,897	1,904
0142	Department of Justice Sexual Habitual Offender Fund	1,343	1,203	1,205
0256	Sexual Predator Public Information Account	149	157	157
0460	Dealers Record of Sale Special Account	258	427	428
0995	Reimbursements	-	1,017	1,239
	Totals, State Operations	<u>\$24,904</u>	<u>\$41,389</u>	<u>\$49,224</u>
	Local Assistance:			
0641	Domestic Violence Restraining Order Reimbursement Fund	\$1,018	\$1,018	\$1,018
	Totals, Local Assistance	<u>\$1,018</u>	<u>\$1,018</u>	<u>\$1,018</u>
	SUBPROGRAM REQUIREMENTS			
0445037	Criminal Justice Operational Support Program			
	State Operations:			
0001	General Fund	\$10,761	\$14,862	\$14,876
0017	Fingerprint Fees Account	12,333	7,199	7,200
0044	Motor Vehicle Account, State Transportation Fund	4,969	2,722	2,722
0142	Department of Justice Sexual Habitual Offender Fund	25	18	18
0256	Sexual Predator Public Information Account	28	20	20
0460	Dealers Record of Sale Special Account	3	2	2
0566	Department of Justice Child Abuse Fund	12	8	8
0890	Federal Trust Fund	1,712	3,988	3,770
0995	Reimbursements	1,985	4,135	6,083
3086	DNA Identification Fund	17	12	12
3240	Secondhand Dealer and Pawnbroker Fund	351	653	655
	Totals, State Operations	<u>\$32,196</u>	<u>\$33,619</u>	<u>\$35,366</u>
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0001	General Fund	\$114,562	\$127,099	\$135,446
	Totals, State Operations	<u>\$114,562</u>	<u>\$127,099</u>	<u>\$135,446</u>
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$114,562	-\$127,099	-\$135,446

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0820 Department of Justice - Continued

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Totals, State Operations	-\$114,562	-\$127,099	-\$135,446
TOTALS, EXPENDITURES			
State Operations	799,349	963,691	1,010,571
Local Assistance	41,659	31,900	37,411
Totals, Expenditures	\$841,008	\$995,591	\$1,047,982

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	4,461.4	4,580.3	4,566.3	\$441,065	\$464,595	\$460,013
Other Adjustments	-48.7	28.2	222.6	-39,124	8,383	41,882
Net Totals, Salaries and Wages	4,412.7	4,608.5	4,788.9	\$401,941	\$472,978	\$501,895
Staff Benefits	-	-	-	192,194	229,143	230,024
Totals, Personal Services	4,412.7	4,608.5	4,788.9	\$594,135	\$702,121	\$731,919
OPERATING EXPENSES AND EQUIPMENT				\$205,214	\$261,570	\$278,652
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$799,349	\$963,691	\$1,010,571

2 Local Assistance	<u>Expenditures</u>		
	2017-18*	2018-19*	2019-20*
Grants and Subventions - Governmental	\$41,659	\$31,900	\$37,411
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$41,659	\$31,900	\$37,411

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$276,041	\$324,368
001 Budget Act appropriation as amended by Chapter 54, Statutes of 2017	228,995	-	-
Allocation for Employee Compensation	-	6,925	-
Allocation for Other Post-Employment Benefits	-	1,332	-
Allocation for Staff Benefits	-	1,910	-
Baseline Adjustment per SB 862	-	700	-
Section 3.60 Pension Contribution Adjustment	-	1,341	-
Transfer Pursuant to the Political Reform Act of 1974	-	195	-
003 Budget Act appropriation (rental payments on lease-revenue bonds)	4,046	4,045	4,034
Lease Revenue Debt Service CY Adjustment	-	-1	-
011 Budget Act appropriation (loan to Major League Sporting Event Raffle Fund)	(335)	(335)	(-)
015 Budget Act appropriation (transfer to Legal Services Revolving Fund)	1,500	1,500	1,500
Totals Available	\$234,541	\$293,988	\$329,902
TOTALS, EXPENDITURES	\$234,541	\$293,988	\$329,902
0012 Attorney General Antitrust Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,597	\$4,378	\$4,864
Allocation for Employee Compensation	-	390	-

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Allocation for Other Post-Employment Benefits	-	20	-
Allocation for Staff Benefits	-	32	-
Section 3.60 Pension Contribution Adjustment	-	22	-
TOTALS, EXPENDITURES	\$2,597	\$4,842	\$4,864
0017 Fingerprint Fees Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$82,798	\$82,855	\$87,740
Allocation for Employee Compensation	-	1,499	-
Allocation for Other Post-Employment Benefits	-	424	-
Allocation for Staff Benefits	-	680	-
Section 3.60 Pension Contribution Adjustment	-	322	-
TOTALS, EXPENDITURES	\$82,798	\$85,780	\$87,740
0032 Firearm Safety Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$335	\$335	\$346
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	1	-
TOTALS, EXPENDITURES	\$335	\$345	\$346
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$26,840	\$26,858	\$27,933
Allocation for Employee Compensation	-	525	-
Allocation for Other Post-Employment Benefits	-	164	-
Allocation for Staff Benefits	-	203	-
Section 3.60 Pension Contribution Adjustment	-	129	-
TOTALS, EXPENDITURES	\$26,840	\$27,879	\$27,933
0142 Department of Justice Sexual Habitual Offender Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,579	\$2,582	\$2,662
Allocation for Employee Compensation	-	35	-
Allocation for Other Post-Employment Benefits	-	19	-
Allocation for Staff Benefits	-	10	-
Section 3.60 Pension Contribution Adjustment	-	13	-
TOTALS, EXPENDITURES	\$2,579	\$2,659	\$2,662
0158 Travel Seller Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$735	\$1,418	\$1,451
Allocation for Employee Compensation	-	15	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	4	-
Totals Available	\$735	\$1,448	\$1,451
TOTALS, EXPENDITURES	\$735	\$1,448	\$1,451
0256 Sexual Predator Public Information Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$177	\$177	\$177
TOTALS, EXPENDITURES	\$177	\$177	\$177
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
001 Budget Act appropriation	\$20,414	\$21,103	\$21,944
Allocation for Employee Compensation	-	385	-
Allocation for Other Post-Employment Benefits	-	145	-
Allocation for Staff Benefits	-	154	-
Section 3.60 Pension Contribution Adjustment	-	119	-
Totals Available	\$20,414	\$21,906	\$21,944
TOTALS, EXPENDITURES	\$20,414	\$21,906	\$21,944
0378 False Claims Act Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$20,400	\$17,414	\$16,118
Allocation for Employee Compensation	-	338	-
Allocation for Other Post-Employment Benefits	-	98	-
Allocation for Staff Benefits	-	127	-
Section 3.60 Pension Contribution Adjustment	-	95	-
TOTALS, EXPENDITURES	\$20,400	\$18,072	\$16,118
0460 Dealers Record of Sale Special Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$22,926	\$21,369	\$23,378
Allocation for Employee Compensation	-	161	-
Allocation for Other Post-Employment Benefits	-	217	-
Allocation for Staff Benefits	-	76	-
Section 3.60 Pension Contribution Adjustment	-	883	-
Prior Year Balances Available:			
Provision 3 of Item 0820-001-0460, Budget Act of 2017	-	1,220	-
Totals Available	\$22,926	\$23,926	\$23,378
TOTALS, EXPENDITURES	\$22,926	\$23,926	\$23,378
0566 Department of Justice Child Abuse Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$410	\$423	\$441
Allocation for Employee Compensation	-	9	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	2	-
Totals Available	\$410	\$441	\$441
TOTALS, EXPENDITURES	\$410	\$441	\$441
0567 Gambling Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$13,316	\$14,959	\$15,424
Allocation for Employee Compensation	-	298	-
Allocation for Other Post-Employment Benefits	-	104	-
Allocation for Staff Benefits	-	117	-
Section 3.60 Pension Contribution Adjustment	-	84	-
Totals Available	\$13,316	\$15,562	\$15,424
TOTALS, EXPENDITURES	\$13,316	\$15,562	\$15,424
0569 Gambling Control Fines and Penalties Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$245	\$258	\$269
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	2	-

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Totals Available	\$245	\$269	\$269
TOTALS, EXPENDITURES	\$245	\$269	\$269
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$38,126	\$43,735	\$43,863
Totals Available	\$38,126	\$43,735	\$43,863
TOTALS, EXPENDITURES	\$38,126	\$43,735	\$43,863
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$137	\$124	\$124
Totals Available	\$137	\$124	\$124
TOTALS, EXPENDITURES	\$137	\$124	\$124
0942 Special Deposit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$369	\$1,551	\$1,551
011 Budget Act appropriation (State Asset Forfeiture Account)	-	568	568
Totals Available	\$369	\$2,119	\$2,119
TOTALS, EXPENDITURES	\$369	\$2,119	\$2,119
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$24,663	\$43,503	\$45,680
TOTALS, EXPENDITURES	\$24,663	\$43,503	\$45,680
1008 Firearms Safety and Enforcement Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,882	\$9,887	\$9,762
Allocation for Employee Compensation	-	91	-
Allocation for Other Post-Employment Benefits	-	58	-
Allocation for Staff Benefits	-	40	-
Section 3.60 Pension Contribution Adjustment	-	253	-
011 Budget Act appropriation (loan to Dealers Record of Sale Special Account)	(1,368)	(1,022)	(866)
012 Budget Act appropriation (loan to Dealers Record of Sale Special Account)	(2,588)	(-)	(-)
TOTALS, EXPENDITURES	\$9,882	\$10,329	\$9,762
3016 Missing Persons DNA Data Base Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,011	\$3,518	\$3,595
Allocation for Employee Compensation	-	30	-
Allocation for Other Post-Employment Benefits	-	20	-
Allocation for Staff Benefits	-	9	-
Section 3.60 Pension Contribution Adjustment	-	13	-
Totals Available	\$3,011	\$3,590	\$3,595
TOTALS, EXPENDITURES	\$3,011	\$3,590	\$3,595
3053 Public Rights Law Enforcement Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$13,548	\$17,126	\$18,292
Allocation for Employee Compensation	-	271	-
Allocation for Other Post-Employment Benefits	-	78	-
Allocation for Staff Benefits	-	697	-
Section 3.60 Pension Contribution Adjustment	-	78	-
Totals Available	\$13,548	\$18,250	\$18,292
TOTALS, EXPENDITURES	\$13,548	\$18,250	\$18,292
3086 DNA Identification Fund			

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
APPROPRIATIONS			
001 Budget Act appropriation	\$48,791	\$55,671	\$55,924
Allocation for Employee Compensation	-	525	-
Allocation for Other Post-Employment Benefits	-	296	-
Allocation for Staff Benefits	-	160	-
DNA Identification Fund Adjustment	-	-2,500	-
Section 3.60 Pension Contribution Adjustment	-	207	-
Totals Available	\$48,791	\$54,359	\$55,924
TOTALS, EXPENDITURES	\$48,791	\$54,359	\$55,924
3087 Unfair Competition Law Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$22,814	\$22,822	\$29,431
Allocation for Employee Compensation	-	935	-
Allocation for Other Post-Employment Benefits	-	277	-
Allocation for Staff Benefits	-	127	-
Section 3.60 Pension Contribution Adjustment	-	86	-
TOTALS, EXPENDITURES	\$22,814	\$24,247	\$29,431
3088 Registry of Charitable Trusts Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,637	\$5,849	\$6,017
Allocation for Employee Compensation	-	113	-
Allocation for Other Post-Employment Benefits	-	33	-
Allocation for Staff Benefits	-	44	-
Section 3.60 Pension Contribution Adjustment	-	29	-
Totals Available	\$4,637	\$6,068	\$6,017
TOTALS, EXPENDITURES	\$4,637	\$6,068	\$6,017
3240 Secondhand Dealer and Pawnbroker Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$351	\$637	\$655
Allocation for Employee Compensation	-	8	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	2	-
Totals Available	\$351	\$653	\$655
TOTALS, EXPENDITURES	\$351	\$653	\$655
3285 Electronic Recording Authorization Fund			
APPROPRIATIONS			
Government Code section 27397	\$249	\$300	\$300
Totals Available	\$249	\$300	\$300
TOTALS, EXPENDITURES	\$249	\$300	\$300
3297 Major League Sporting Event Raffle Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$309	\$335	\$667
Totals Available	\$309	\$335	\$667
TOTALS, EXPENDITURES	\$309	\$335	\$667
3303 Ammunition Safety and Enforcement Special Fund			
APPROPRIATIONS			
Penal Code section 30370 (f)	\$7,723	\$11,094	\$13,663
TOTALS, EXPENDITURES	\$7,723	\$11,094	\$13,663
3308 Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund			

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
APPROPRIATIONS			
001 Budget Act appropriation	\$3,788	-	-
Totals Available	<u>\$3,788</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$3,788</u>	<u>-</u>	<u>-</u>
3320 Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund			
APPROPRIATIONS			
Revenue and Taxation Code section 30130.57(e)(1) and (f)	-	\$1,500	\$2,848
Miscellaneous Baseline Adjustments	-	1,159	-
Revenue and Taxation Code section 30130.57(e)(4) and (f)	-	6,000	5,184
Miscellaneous Baseline Adjustments	-	-1,159	-
TOTALS, EXPENDITURES	<u>-</u>	<u>\$7,500</u>	<u>\$8,032</u>
9731 Legal Services Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$192,368	\$228,443	\$239,516
Allocation for Employee Compensation	-	2,537	-
Allocation for Other Post-Employment Benefits	-	1,003	-
Allocation for Staff Benefits	-	1,122	-
Legal Services Revolving Fund Augmentation, Land Law Section, per Provision 1 of Item 0820-001-9731	-	3,080	-
Legal Services Revolving Fund Augmentation, Licensing Section, per Provision 1 of Item 0820-001-9731	-	2,932	-
Section 3.60 Pension Contribution Adjustment	-	356	-
Totals Available	<u>\$192,368</u>	<u>\$239,473</u>	<u>\$239,516</u>
TOTALS, EXPENDITURES	<u>\$192,368</u>	<u>\$239,473</u>	<u>\$239,516</u>
Less funding provided by General Fund	-1,500	-1,500	-1,500
NET TOTALS, EXPENDITURES	<u>\$190,868</u>	<u>\$237,973</u>	<u>\$238,016</u>
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,770	\$2,140	\$1,782
Allocation for Employee Compensation	-	45	-
Allocation for Other Post-Employment Benefits	-	9	-
Allocation for Staff Benefits	-	14	-
Section 3.60 Pension Contribution Adjustment	-	10	-
TOTALS, EXPENDITURES	<u>\$1,770</u>	<u>\$2,218</u>	<u>\$1,782</u>
Total Expenditures, All Funds, (State Operations)	<u>\$799,349</u>	<u>\$963,691</u>	<u>\$1,010,571</u>
2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$2,000
102 Budget Act appropriation	-	-	1,500
TOTALS, EXPENDITURES	<u>-</u>	<u>-</u>	<u>\$3,500</u>
0460 Dealers Record of Sale Special Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$28	\$28	\$28
TOTALS, EXPENDITURES	<u>\$28</u>	<u>\$28</u>	<u>\$28</u>
0641 Domestic Violence Restraining Order Reimbursement Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,018	\$1,018	\$1,018
TOTALS, EXPENDITURES	<u>\$1,018</u>	<u>\$1,018</u>	<u>\$1,018</u>
0903 State Penalty Fund			

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0820 Department of Justice - Continued

2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
APPROPRIATIONS			
101 Budget Act appropriation	\$3,113	\$2,354	\$2,354
TOTALS, EXPENDITURES	\$3,113	\$2,354	\$2,354
3308 Tobacco Law Enforcement Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$37,500	-	-
TOTALS, EXPENDITURES	\$37,500	-	-
3320 Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund			
APPROPRIATIONS			
Revenue and Taxation Code section 30130.57(e)(1)	-	\$28,500	\$30,511
TOTALS, EXPENDITURES	-	\$28,500	\$30,511
Total Expenditures, All Funds, (Local Assistance)	\$41,659	\$31,900	\$37,411
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$841,008	\$995,591	\$1,047,982

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
0012 Attorney General Antitrust Account^S			
BEGINNING BALANCE	\$555	\$433	\$421
Prior Year Adjustments	48	-	-
Adjusted Beginning Balance	\$603	\$433	\$421
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	7	1	1
4173400 Settlements and Judgments - Anti-Trust Actions (Attorney General)	2,556	5,100	5,100
Total Revenues, Transfers, and Other Adjustments	\$2,563	\$5,101	\$5,101
Total Resources	\$3,166	\$5,534	\$5,522
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,597	4,842	4,864
8880 Financial Information System for California (State Operations)	4	-	-
9892 Supplemental Pension Payments (State Operations)	-	24	52
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	132	247	122
Total Expenditures and Expenditure Adjustments	\$2,733	\$5,113	\$5,038
FUND BALANCE	\$433	\$421	\$484
Reserve for economic uncertainties	433	421	484
0017 Fingerprint Fees Account^S			
BEGINNING BALANCE	\$62,526	\$60,248	\$53,570
Prior Year Adjustments	-78	-	-
Adjusted Beginning Balance	\$62,448	\$60,248	\$53,570
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	417	417	417
4129400 Other Regulatory Licenses and Permits	31	31	31
4132000 Fingerprint Identification Card Fees	88,545	88,545	88,545
4163000 Investment Income - Surplus Money Investments	448	70	70
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Fingerprint Fees Account (0017) per Item 0820-011-0017, Budget Act of 2011	-	-	24,000

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0820 Department of Justice - Continued

	2017-18*	2018-19*	2019-20*
Total Revenues, Transfers, and Other Adjustments	\$89,441	\$89,063	\$113,063
Total Resources	\$151,889	\$149,311	\$166,633
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	82,798	85,780	87,740
8880 Financial Information System for California (State Operations)	207	9	-11
9892 Supplemental Pension Payments (State Operations)	-	3,640	2,631
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	8,636	6,312	5,961
Total Expenditures and Expenditure Adjustments	\$91,641	\$95,741	\$96,321
FUND BALANCE	\$60,248	\$53,570	\$70,312
Reserve for economic uncertainties	60,248	53,570	70,312
0032 Firearm Safety Account^s			
BEGINNING BALANCE	\$5,002	\$5,522	\$5,932
Prior Year Adjustments	99	-	-
Adjusted Beginning Balance	\$5,101	\$5,522	\$5,932
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	730	730	730
4163000 Investment Income - Surplus Money Investments	44	44	44
Total Revenues, Transfers, and Other Adjustments	\$774	\$774	\$774
Total Resources	\$5,875	\$6,296	\$6,706
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	335	345	346
9892 Supplemental Pension Payments (State Operations)	-	1	6
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	18	18	15
Total Expenditures and Expenditure Adjustments	\$353	\$364	\$367
FUND BALANCE	\$5,522	\$5,932	\$6,339
Reserve for economic uncertainties	5,522	5,932	6,339
0142 Department of Justice Sexual Habitual Offender Fund^s			
BEGINNING BALANCE	\$2,737	\$2,642	\$2,458
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	\$2,735	\$2,642	\$2,458
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	2,587	2,600	2,600
4163000 Investment Income - Surplus Money Investments	24	24	24
4172500 Miscellaneous Revenue	15	15	15
Total Revenues, Transfers, and Other Adjustments	\$2,626	\$2,639	\$2,639
Total Resources	\$5,361	\$5,281	\$5,097
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,579	2,659	2,662
8880 Financial Information System for California (State Operations)	4	-	-
9892 Supplemental Pension Payments (State Operations)	-	25	67
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	136	139	120
Total Expenditures and Expenditure Adjustments	\$2,719	\$2,823	\$2,849
FUND BALANCE	\$2,642	\$2,458	\$2,248
Reserve for economic uncertainties	2,642	2,458	2,248
0158 Travel Seller Fund^s			

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0820 Department of Justice - Continued

	2017-18*	2018-19*	2019-20*
Prior Year Adjustments	-579	-	-
Adjusted Beginning Balance	\$3,443	\$2,988	\$1,370
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	111	40	40
4170700 Civil and Criminal Violation Assessment	7,844	17,500	17,500
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the False Claims Act Fund (0378) per Item 0820-012-0378, Budget Act of 2010	12,700	-	-
Total Revenues, Transfers, and Other Adjustments	\$20,655	\$17,540	\$17,540
Total Resources	\$24,098	\$20,528	\$18,910
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	20,400	18,072	16,118
8880 Financial Information System for California (State Operations)	19	2	-2
9892 Supplemental Pension Payments (State Operations)	-	174	309
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	691	910	1,334
Total Expenditures and Expenditure Adjustments	\$21,110	\$19,158	\$17,759
FUND BALANCE	\$2,988	\$1,370	\$1,151
Reserve for economic uncertainties	2,988	1,370	1,151
0460 Dealers Record of Sale Special Account^s			
BEGINNING BALANCE	\$6,046	\$9,367	\$6,484
Prior Year Adjustments	1,714	-	-
Adjusted Beginning Balance	\$7,760	\$9,367	\$6,484
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	3,502	3,502	3,502
4143500 Miscellaneous Services to the Public	17,064	17,064	17,064
4163000 Investment Income - Surplus Money Investments	39	39	39
Transfers and Other Adjustments			
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460) per Item 0820-012-1008	2,588	-	-
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460), per Item 0820-011-1008	1,368	1,022	866
Total Revenues, Transfers, and Other Adjustments	\$24,561	\$21,627	\$21,471
Total Resources	\$32,321	\$30,994	\$27,955
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	22,926	23,926	23,378
0820 Department of Justice (Local Assistance)	28	28	28
8880 Financial Information System for California (State Operations)	-	-	-5
9892 Supplemental Pension Payments (State Operations)	-	-	666
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	556	819
Total Expenditures and Expenditure Adjustments	\$22,954	\$24,510	\$24,886
FUND BALANCE	\$9,367	\$6,484	\$3,069
Reserve for economic uncertainties	9,367	6,484	3,069
0566 Department of Justice Child Abuse Fund^s			
BEGINNING BALANCE	\$1,527	\$1,490	\$1,288
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	\$1,526	\$1,490	\$1,288
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

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0820 Department of Justice - Continued

	2017-18*	2018-19*	2019-20*
Total Resources	\$7,525	\$7,530	\$7,486
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	245	269	269
9892 Supplemental Pension Payments (State Operations)	-	3	7
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	3	25	24
Total Expenditures and Expenditure Adjustments	\$248	\$297	\$300
FUND BALANCE	\$7,277	\$7,233	\$7,186
Reserve for economic uncertainties	7,277	7,233	7,186
1008 Firearms Safety and Enforcement Special Fund^S			
BEGINNING BALANCE	\$23,004	\$17,064	\$12,408
Prior Year Adjustments	501	-	-
Adjusted Beginning Balance	\$23,505	\$17,064	\$12,408
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	7,399	7,399	7,399
4163000 Investment Income - Surplus Money Investments	268	268	268
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Firearms Safety and Enforcement Special Fund (1008) per Item 0820-011-1008, Budget Act of 2011	-	-	4,900
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460) per Item 0820-012-1008	-2,588	-	-
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460), per Item 0820-011-1008	-1,368	-1,022	-866
Total Revenues, Transfers, and Other Adjustments	\$3,711	\$6,645	\$11,701
Total Resources	\$27,216	\$23,709	\$24,109
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	9,882	10,329	9,762
8880 Financial Information System for California (State Operations)	12	10	-1
9892 Supplemental Pension Payments (State Operations)	-	125	236
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	258	837	756
Total Expenditures and Expenditure Adjustments	\$10,152	\$11,301	\$10,753
FUND BALANCE	\$17,064	\$12,408	\$13,356
Reserve for economic uncertainties	17,064	12,408	13,356
3016 Missing Persons DNA Data Base Fund^S			
BEGINNING BALANCE	\$5,237	\$5,320	\$4,673
Prior Year Adjustments	128	-	-
Adjusted Beginning Balance	\$5,365	\$5,320	\$4,673
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	3,087	3,087	3,087
4163000 Investment Income - Surplus Money Investments	64	64	64
Total Revenues, Transfers, and Other Adjustments	\$3,151	\$3,151	\$3,151
Total Resources	\$8,516	\$8,471	\$7,824
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	3,011	3,590	3,595
8880 Financial Information System for California (State Operations)	4	-	-
9892 Supplemental Pension Payments (State Operations)	-	23	63
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	181	185	160

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0820 Department of Justice - Continued

	2017-18*	2018-19*	2019-20*
Total Expenditures and Expenditure Adjustments	\$3,196	\$3,798	\$3,818
FUND BALANCE	\$5,320	\$4,673	\$4,006
Reserve for economic uncertainties	5,320	4,673	4,006
3053 Public Rights Law Enforcement Special Fund^S			
BEGINNING BALANCE	\$2,771	\$2,824	\$1,379
Prior Year Adjustments	105	-	-
Adjusted Beginning Balance	\$2,876	\$2,824	\$1,379
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	41	21	21
4173500 Settlements and Judgments - Other	13,803	18,000	19,000
Total Revenues, Transfers, and Other Adjustments	\$13,844	\$18,021	\$19,021
Total Resources	\$16,720	\$20,845	\$20,400
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	13,548	18,250	18,292
8880 Financial Information System for California (State Operations)	15	1	-1
9892 Supplemental Pension Payments (State Operations)	-	141	268
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	333	1,074	1,021
Total Expenditures and Expenditure Adjustments	\$13,896	\$19,466	\$19,580
FUND BALANCE	\$2,824	\$1,379	\$820
Reserve for economic uncertainties	2,824	1,379	820
3086 DNA Identification Fund^S			
BEGINNING BALANCE	\$5,203	\$7,350	\$5,089
Prior Year Adjustments	-1,978	-	-
Adjusted Beginning Balance	\$3,225	\$7,350	\$5,089
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	80	80	80
4172500 Miscellaneous Revenue	18	18	18
4173000 Penalty Assessments - Other	52,818	52,000	51,000
Total Revenues, Transfers, and Other Adjustments	\$52,916	\$52,098	\$51,098
Total Resources	\$56,141	\$59,448	\$56,187
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	48,791	54,359	55,924
8880 Financial Information System for California (State Operations)	-	-	-7
Total Expenditures and Expenditure Adjustments	\$48,791	\$54,359	\$55,917
FUND BALANCE	\$7,350	\$5,089	\$270
Reserve for economic uncertainties	7,350	5,089	270
3087 Unfair Competition Law Fund^S			
BEGINNING BALANCE	\$16,329	\$5,769	\$5,642
Prior Year Adjustments	60	-	-
Adjusted Beginning Balance	\$16,389	\$5,769	\$5,642
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	163	50	50
4173000 Penalty Assessments - Other	12,650	26,000	36,400
Total Revenues, Transfers, and Other Adjustments	\$12,813	\$26,050	\$36,450
Total Resources	\$29,202	\$31,819	\$42,092

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0820 Department of Justice - Continued

	2017-18*	2018-19*	2019-20*
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	22,814	24,247	29,431
8880 Financial Information System for California (State Operations)	28	2	-1
9892 Supplemental Pension Payments (State Operations)	-	149	268
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	591	1,779	1,666
Total Expenditures and Expenditure Adjustments	<u>\$23,433</u>	<u>\$26,177</u>	<u>\$31,364</u>
FUND BALANCE	\$5,769	\$5,642	\$10,728
Reserve for economic uncertainties	5,769	5,642	10,728
3088 Registry of Charitable Trusts Fund^s			
BEGINNING BALANCE	\$6,427	\$5,952	\$6,592
Prior Year Adjustments	27	-	-
Adjusted Beginning Balance	<u>\$6,454</u>	<u>\$5,952</u>	<u>\$6,592</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	4,479	4,419	4,419
4163000 Investment Income - Surplus Money Investments	81	52	52
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Registry of Charitable Trusts Fund (3088) per Item 0820-011-3088, Budget Act of 2011	-	2,700	-
Total Revenues, Transfers, and Other Adjustments	<u>\$4,560</u>	<u>\$7,171</u>	<u>\$4,471</u>
Total Resources	<u>\$11,014</u>	<u>\$13,123</u>	<u>\$11,063</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	4,637	6,068	6,017
8880 Financial Information System for California (State Operations)	8	1	-1
9892 Supplemental Pension Payments (State Operations)	-	51	111
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	417	411	223
Total Expenditures and Expenditure Adjustments	<u>\$5,062</u>	<u>\$6,531</u>	<u>\$6,350</u>
FUND BALANCE	\$5,952	\$6,592	\$4,713
Reserve for economic uncertainties	5,952	6,592	4,713
3131 California Bingo Fund^s			
BEGINNING BALANCE	\$704	\$708	\$708
Adjusted Beginning Balance	<u>\$704</u>	<u>\$708</u>	<u>\$708</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	7	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$7</u>	<u>-</u>	<u>-</u>
Total Resources	<u>\$711</u>	<u>\$708</u>	<u>\$708</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	3	-	-
Total Expenditures and Expenditure Adjustments	<u>\$3</u>	<u>-</u>	<u>-</u>
FUND BALANCE	\$708	\$708	\$708
Reserve for economic uncertainties	708	708	708
3132 Charity Bingo Mitigation Fund^s			
BEGINNING BALANCE	\$5	\$5	\$5
Adjusted Beginning Balance	<u>\$5</u>	<u>\$5</u>	<u>\$5</u>
Total Resources	<u>\$5</u>	<u>\$5</u>	<u>\$5</u>
FUND BALANCE	<u>\$5</u>	<u>\$5</u>	<u>\$5</u>

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0820 Department of Justice - Continued

	2017-18*	2018-19*	2019-20*
Reserve for economic uncertainties	5	5	5
3136 Foreclosure Consultant Regulation Fund^S			
BEGINNING BALANCE	\$12	\$13	\$13
Adjusted Beginning Balance	<u>\$12</u>	<u>\$13</u>	<u>\$13</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	1	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$1</u>	<u>-</u>	<u>-</u>
Total Resources	<u>\$13</u>	<u>\$13</u>	<u>\$13</u>
FUND BALANCE	<u>\$13</u>	<u>\$13</u>	<u>\$13</u>
Reserve for economic uncertainties	13	13	13
3240 Secondhand Dealer and Pawnbroker Fund^S			
BEGINNING BALANCE	\$2,580	\$2,884	\$2,848
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	<u>\$2,579</u>	<u>\$2,884</u>	<u>\$2,848</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	513	513	513
4129400 Other Regulatory Licenses and Permits	114	114	114
4163000 Investment Income - Surplus Money Investments	35	35	35
Total Revenues, Transfers, and Other Adjustments	<u>\$662</u>	<u>\$662</u>	<u>\$662</u>
Total Resources	<u>\$3,241</u>	<u>\$3,546</u>	<u>\$3,510</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	351	653	655
9892 Supplemental Pension Payments (State Operations)	-	4	10
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	6	41	30
Total Expenditures and Expenditure Adjustments	<u>\$357</u>	<u>\$698</u>	<u>\$695</u>
FUND BALANCE	<u>\$2,884</u>	<u>\$2,848</u>	<u>\$2,815</u>
Reserve for economic uncertainties	2,884	2,848	2,815
3285 Electronic Recording Authorization Fund^S			
BEGINNING BALANCE	\$26	-	\$31
Adjusted Beginning Balance	<u>\$26</u>	<u>-</u>	<u>\$31</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	222	\$330	330
4163000 Investment Income - Surplus Money Investments	1	1	1
Total Revenues, Transfers, and Other Adjustments	<u>\$223</u>	<u>\$331</u>	<u>\$331</u>
Total Resources	<u>\$249</u>	<u>\$331</u>	<u>\$362</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	249	300	300
Total Expenditures and Expenditure Adjustments	<u>\$249</u>	<u>\$300</u>	<u>\$300</u>
FUND BALANCE	<u>-</u>	<u>\$31</u>	<u>\$62</u>
Reserve for economic uncertainties	-	31	62
3297 Major League Sporting Event Raffle Fund^S			
BEGINNING BALANCE	\$297	\$515	\$714
Adjusted Beginning Balance	<u>\$297</u>	<u>\$515</u>	<u>\$714</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

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0820 Department of Justice - Continued

	2017-18*	2018-19*	2019-20*
4129200 Other Regulatory Fees	72	72	90
4129400 Other Regulatory Licenses and Permits	114	124	500
4163000 Investment Income - Surplus Money Investments	6	6	6
Transfers and Other Adjustments			
Loan from the General Fund (0001) to the Major League Sporting Event Raffle Fund (3297) per Item 0820-011-0001, Budget Acts	335	335	-
Total Revenues, Transfers, and Other Adjustments	\$527	\$537	\$596
Total Resources	\$824	\$1,052	\$1,310
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	309	335	667
9892 Supplemental Pension Payments (State Operations)	-	3	8
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	-	34
Total Expenditures and Expenditure Adjustments	\$309	\$338	\$709
FUND BALANCE	\$515	\$714	\$601
Reserve for economic uncertainties	515	714	601
3303 Ammunition Safety and Enforcement Special Fund^s			
BEGINNING BALANCE	\$23,781	\$16,410	\$5,661
Adjusted Beginning Balance	\$23,781	\$16,410	\$5,661
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	62	56	20,056
4163000 Investment Income - Surplus Money Investments	290	290	-
Total Revenues, Transfers, and Other Adjustments	\$352	\$346	\$20,056
Total Resources	\$24,133	\$16,756	\$25,717
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	7,723	11,094	13,663
8880 Financial Information System for California (State Operations)	-	1	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	-	1,274
Total Expenditures and Expenditure Adjustments	\$7,723	\$11,095	\$14,937
FUND BALANCE	\$16,410	\$5,661	\$10,780
Reserve for economic uncertainties	16,410	5,661	10,780
3320 Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue Transfer from the California Healthcare Research and Prevention Tobacco Tax Act of 2016 Fund (3304) to the Department of Justice Tobacco Law Enforcement Account (3320), per Revenue and Taxation Code 30130.57(e)	-	-	1,944
Revenue Transfer from the California Healthcare Research and Prevention Tobacco Tax Act of 2016 Fund (3304) to the Department of Justice, Tobacco Law Enforcement Account (3320) per Revenue and Taxation Code 30130.57(e)	-	36,000	32,887
Revenue Transfer from the Tobacco Law Enforcement Account Fund (3308) to the Department of Justice Tobacco Law Enforcement Account (3320) per Revenue and Taxation Code 30130.57(e)	-	-	3,712
Total Revenues, Transfers, and Other Adjustments	-	\$36,000	\$38,543
Total Resources	-	\$36,000	\$38,543
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	-	7,500	8,032
0820 Department of Justice (Local Assistance)	-	28,500	30,511

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0820 Department of Justice - Continued

	2017-18*	2018-19*	2019-20*
Total Expenditures and Expenditure Adjustments	-	\$36,000	\$38,543
FUND BALANCE	-	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	4,461.4	4,580.3	4,566.3	\$441,065	\$464,595	\$460,013
Salary and Other Adjustments	-48.7	28.2	61.6	-39,124	8,383	18,862
Workload and Administrative Adjustments						
Armed Prohibited Persons Systems Investigations						
Criminal ID Spec I	-	-	4.0	-	-	171
Criminal ID Spec II	-	-	2.0	-	-	103
Office Techn (Typing)	-	-	6.0	-	-	246
Overtime	-	-	-	-	-	342
Special Agent - Dept of Justice	-	-	8.0	-	-	712
Special Agent Supv	-	-	6.0	-	-	606
Temporary Help	-	-	-	-	-	52
Bureau of Firearms Workload						
Assoc Govtl Program Analyst	-	-	2.0	-	-	134
Criminal ID & Intelligence Supvr	-	-	1.0	-	-	138
Criminal ID Spec I	-	-	9.0	-	-	343
Criminal ID Spec II	-	-	20.0	-	-	1,281
Criminal ID Spec III	-	-	4.0	-	-	342
Fld Rep	-	-	2.0	-	-	136
Program Techn II	-	-	1.0	-	-	41
Program Techn III	-	-	-	-	-	184
Staff Svcs Analyst (Gen)	-	-	2.0	-	-	186
Staff Svcs Mgr I	-	-	1.0	-	-	160
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	87
Temporary Help	-	-	-	-	-	74
CURES Interstate Data Sharing (AB 1751)						
Various	-	-	3.0	-	-	-
CURES Workload						
Various	-	-	-	-	-	228
California Consumer Privacy Act of 2018 (AB 375 & SB 1121)						
Assoc Govtl Program Analyst	-	-	8.0	-	-	538
Dep Atty Gen IV	-	-	8.0	-	-	1,115
Legal Secty	-	-	6.0	-	-	281
Supvng Dep Atty Gen	-	-	1.0	-	-	153
California Internet Consumer Protection and Net Neutrality Act of 2018 (SB 822)						
Assoc Govtl Program Analyst	-	-	2.0	-	-	135
Dep Atty Gen IV	-	-	3.0	-	-	418
Legal Secty	-	-	2.0	-	-	94
Staff Svcs Analyst (Gen)	-	-	2.0	-	-	93
California State Auditor Report: Hate Crimes in California						
Various	-	-	5.0	-	-	530
Cannabis Conviction: Resentencing (AB 1793)						

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0820 Department of Justice - Continued

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Assoc Govtl Program Analyst	-	-	-	-	-	67
Criminal ID Spec II	-	-	-	-	-	51
Program Techn III	-	-	-	-	-	230
Staff Svcs Analyst (Gen)	-	-	-	-	-	47
Supvng Program Techn III	-	-	-	-	-	53
Temporary Help	-	-	-	-	-	5
Cardroom and Third-Party Provider Workload						
Various	-	-	-	-	-	4,399
Crime Prevention and Investigation: Informational Databases (AB 2222)						
Fld Rep	-	-	1.0	-	-	68
Firearm Unsafe Handguns (AB 1872, AB 2165)						
Info Tech Spec I	-	-	2.0	-	-	174
Temporary Help	-	-	-	-	-	13
Firearms and Ammunitions: Prohibited Possession: Transfer to Licensed Dealer (SB 746)						
Info Tech Spec II	-	-	1.0	-	-	269
Overtime	-	-	-	-	-	8
Firearms: Transfers (SB 1100)						
Info Tech Spec I	-	-	3.0	-	-	260
Overtime	-	-	-	-	-	10
Temporary Help	-	-	-	-	-	19
Human Trafficking/Sexual Predator Apprehension Teams						
Overtime	-	-	-	-	-	284
Temporary Help	-	-	-	-	-	30
Various	-	-	15.0	-	-	1,277
Major League Sports Raffle Program (AB 888)						
Dep Atty Gen IV	-	-	-	-	-	139
Investigative Auditor III	-	-	1.0	-	-	73
Legal Secty	-	-	-	-	-	31
Overtime	-	-	-	-	-	12
Special Agent - Dept of Justice	-	-	1.0	-	-	44
Temporary Help	-	-	-	-	-	3
Mental Health; Firearms (AB 1968)						
Assoc Govtl Program Analyst	-	-	2.0	-	-	134
Info Tech Spec I	-	-	1.0	-	-	102
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	62
Peace Officers Release of Records (SB 1421)						
Assoc Govtl Program Analyst	-	-	1.0	-	-	67
Overtime	-	-	-	-	-	7
Peace Officers, Video and Audio Recordings, Disclosure (AB 748)						
Various	-	-	1.0	-	-	135
Prescription Forms (AB 1753 & AB 149)						
Various	-	-	1.0	-	-	-
Price Gouging, State of Emergency (AB 1919)						
Dep Atty Gen IV	-	-	1.0	-	-	139
Legal Secty	-	-	1.0	-	-	31
Sex Offenders: Registration (SB 384)						
Various	-	-	13.0	-	-	5,351

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0820 Department of Justice - Continued

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Subsequent Arrest Notification (AB 2461)						
Info Tech Spec I	-	-	-	-	-	97
Program Techn III	-	-	5.0	-	-	230
Staff Svcs Analyst (Gen)	-	-	-	-	-	47
Theft: Aggregation: Organized Crime (AB 1065)						
Overtime	-	-	-	-	-	26
Special Agent Supv	-	-	1.0	-	-	101
Temporary Help	-	-	-	-	-	2
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	161.0	\$-	\$-	\$23,020
Totals, Adjustments	-48.7	28.2	222.6	\$-39,124	\$8,383	\$41,882
TOTALS, SALARIES AND WAGES	4,412.7	4,608.5	4,788.9	\$401,941	\$472,978	\$501,895

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0840 State Controller

The State Controller is the chief fiscal officer of California, the fifth largest economy in the world. Principally responsible for transparency and accountability of the state's financial resources, the Controller ensures the appropriate disbursement and tracking of taxpayer dollars. The Controller serves on dozens of state boards, commissions, and committees with duties ranging from administrative oversight of the nation's two largest public pension funds, to protection of state lands and coastlines, to modernization and financing of major infrastructure. The Controller also offers fiscal guidance to local governments and has independent auditing authority over government agencies related to the spending of state funds. The Controller's primary objectives are to:

- Account for and control disbursement of all state funds, issuing warrants in payment of the state's bills, including lottery prizes.
- Determine legality and accuracy of financial claims against the state.
- Audit state and local government programs.
- Safeguard many types of assets until claimed by the rightful owners, in accordance with the Unclaimed Property Law.
- Inform the public of the state's financial condition.
- Inform the public of financial transactions of city, county, and other local governments.
- Administer the Uniform State Payroll System.
- Audit and process all personnel and payroll transactions for state civil service, state exempt employees, state university employees, and college system employees.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0500100 Accounting and Reporting	278.4	277.8	278.8	\$46,725	\$54,367	\$56,092
0500200 Audits	264.3	282.7	303.7	47,067	55,746	54,742
0500300 Personnel/Payroll Services	215.4	244.0	248.0	43,275	53,794	63,573
0500400 Unclaimed Property	250.4	255.8	260.8	39,091	41,066	41,623
0500500 Disbursements	80.6	95.8	95.8	27,148	30,860	30,945
0500900 Departmental Administration	-	301.8	314.8	-	277	277
9900100 Administration	319.1	-	-	57,260	-	-
9900200 Administration - Distributed	-	-	-	-57,260	-	-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	1,408.2	1,457.9	1,501.9	\$203,306	\$236,110	\$247,252
FUNDING				2017-18*	2018-19*	2019-20*
0001 General Fund				\$63,128	\$74,747	\$85,316
0046 Public Transportation Account, State Transportation Fund				19	19	19
0061 Motor Vehicle Fuel Account, Transportation Tax Fund				4,677	4,868	4,869
0062 Highway Users Tax Account, Transportation Tax Fund				1,632	1,687	1,687
0064 Motor Vehicle License Fee Account, Transportation Tax Fund				17	17	17
0107 Abandoned Vehicle Trust Fund				-	2	2
0330 Local Revenue Fund				770	795	795
0877 DMV Local Agency Collection Fund				2	11	11
0890 Federal Trust Fund				1,173	1,411	1,383
0903 State Penalty Fund				1,493	1,554	1,554
0932 Trial Court Trust Fund				174	174	174
0969 Public Safety Account, Local Public Safety Fund				268	268	268
0970 Unclaimed Property Fund				40,922	43,636	44,737
0988 Other - Unallocated Non-Governmental Cost Funds				282	291	291
0995 Reimbursements				52,997	63,523	63,537
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund				1,995	2,238	2,239
3286 Safe Neighborhoods and Schools Fund				389	383	383
3290 Road Maintenance and Rehabilitation Account, State Transportation Fund				-	1,186	1,162

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0840 State Controller - Continued

FUNDING		2017-18*	2018-19*	2019-20*
6036	2002 State School Facilities Fund	45	-	-
6044	2004 State School Facilities Fund	30	1,131	-
6057	2006 State School Facilities Fund	3	14	1,145
6086	2016 State School Facilities Fund	-	42	43
9740	Central Service Cost Recovery Fund	33,290	38,113	37,620
TOTALS, EXPENDITURES, ALL FUNDS		\$203,306	\$236,110	\$247,252

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Constitution, Article XVI, Section 7; and Government Code Section 12402 et seq.

PROGRAM AUTHORITY

0500100-Accounting and Reporting:

Constitution, Article XVI, Section 7; Government Code Section 12402 et seq.; Government Code Title 1, Division 7, Chapter 21; Government Code Title 2, Division 1, Chapter 12.491; Government Code Title 2, Division 1, Chapter 12.5; Government Code Title 2, Division 3, Part 2, Chapter 5 and Part 10b, Chapter 4; Government Code Title 2, Division 4, Part 1, Chapter 5; Government Code Title 2, Division 4, Part 7; Government Code Title 3, Division 3, Chapters 8 and 9; Government Code Title 5, Division 2, Part 1, Chapter 4, Article 9; Government Code Title 8 Chapter 6, Article 10; Penal Code Section 1463.02; Public Contracts Code Division 2, Part 3, Chapter 2; Public Utilities Code Division 10, Part 11, Chapter 4, Article 3 and 6.5; Revenue and Taxation Code, Division 2, Parts 2, 7, 8, 9.5 and 10.5; Streets and Highways Code Division 3, Chapter 3 and 4; and Welfare and Institutions Code Division 9, Part 5, Chapter 6.

0500200-Audits:

Constitution, Article XVI, Section 7; and Government Code Section 12402 et seq.

0500300-Personnel/Payroll Services:

Constitution, Article XVI, Section 7; Government Code Section 12402 et seq.; Government Code Section 12470; and Government Code Section 19822.3.

0500400-Unclaimed Property:

Code of Civil Procedure Part 3, Title 10.

0500500-Disbursements:

Constitution, Article XVI, Section 7; Government Code Section 11100; Government Code Section 12421; Government Code Section 12478; and Government Code 17000 et seq.

0500900-Departmental Administration:

Government Code Section 12402 et seq.; and Government Code Section 17220 et seq. Membership by State Controller on boards and commissions, principally: State Board of Equalization-Constitution, Articles VII, XIX, and XIII; Franchise Tax Board-Government Code Section 15700; Board of Public Employees' Retirement System-Government Code Section 20090; Board of State Teacher's Retirement System-Education Code Section 22200; California Victim Compensation Board-Government Code Section 13901; State Lands Commission-Public Resources Code Section 6101; and Pooled Money Investment Board-Government Code Section 16480.1. Various bond and finance committees: Education Code Section 15909; Military and Veterans Code Section 991; Water Code Section 12933; and Harbors and Navigation Code Section 3903.

MAJOR PROGRAM CHANGES

- **California State Payroll System Project** - The Budget includes \$3.9 million in fiscal year 2019-20 through 2021-22, and \$826,000 in 2022-23 and ongoing, to support 6 permanent positions and contracted service costs during the Project Approval Lifecycle process.
- **Personnel Cost Adjustments** - The Budget includes \$1.1 million in 2019-20 and ongoing to support increased personnel costs as a result of the Financial Accountant series reclassification in 2017-18 and statewide Information Technology class consolidation reclassifications.
- **Unclaimed Property Holder Compliance Audits** - The Budget includes \$1.6 million in 2019-20 and ongoing and 11 permanent positions to perform audits of holders to ensure compliance with the California Unclaimed Property Law, reunite

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0840 State Controller - Continued

unclaimed property with its rightful owners or heirs, and provide administrative support.

- **Unclaimed Property Fraudulent Claims Prevention and Detection Program** - The Budget includes \$1.5 million in 2019-20 and ongoing and 9 positions to address ongoing workload for the Unclaimed Property Claims Workload and Fraudulent Claims Prevention and Detection Program. These resources will also continue to develop enhancements to the website that allow potential owners to search for and claim abandoned property.
- **Statewide Retirement Reconciliation Program** - The Budget includes \$2.1 million in 2019-20, \$1.9 million in 2020-21 and ongoing, and 15 continuing positions to address ongoing workload, and resources to work with CalPERS to resolve data translation errors between the legacy systems and the my|CalPERS system.
- **Settlement Claims** - The Budget includes \$9.5 million in 2019-20 to pay the class action settlements of *Nancy Baird, et al. v. Betty T. Yee, et al* (2016) and *Janis D. McLean v. State of California* (2014).

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• California State Payroll System	\$-	\$-	-	\$2,942	\$961	6.0
• Statewide Retirement Reconciliation Program	-	-	-	1,230	829	15.0
• CCURE Upgrade & ACA Reporting	-	-	-	687	148	1.0
• Personal Services Costs Adjustment	-	-	-	589	503	-
• Leasing Costs Adjustment	-	-	-	455	-	-
• UCP Holder Compliance Audits	-	-	-	-	1,571	11.0
• Unclaimed Property Fraudulent Claims Prevention and Detection Program	-	-	-	-	1,499	9.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$5,903	\$5,511	42.0
Other Workload Budget Adjustments						
• Settlement Claims	-	-	-	9,500	-	-
• Other Post-Employment Benefit Adjustments	406	797	-	406	797	-
• Allocation for Contingencies and Emergencies	1,440	-	-	-	-	-
• Miscellaneous Baseline Adjustments	-	-	-	1,428	-1,428	-
• Salary Adjustments	1,339	2,615	-	1,339	2,615	-
• Benefit Adjustments	524	1,019	-	542	1,058	-
• Retirement Rate Adjustments	326	636	-	326	636	-
• SWCAP	-	-	-	-	-29	-
Totals, Other Workload Budget Adjustments	\$4,035	\$5,067	-	\$13,541	\$3,649	-
Totals, Workload Budget Adjustments	\$4,035	\$5,067	-	\$19,444	\$9,160	42.0
Totals, Budget Adjustments	\$4,035	\$5,067	-	\$19,444	\$9,160	42.0

PROGRAM DESCRIPTIONS

0500100 - ACCOUNTING AND REPORTING

The State Accounting and Reporting Division maintains uniform and systematic control accounts of all receipts, payments, state fund balances, and bonded indebtedness; reports the financial condition of the state; monitors the cash flow of the General Fund; administers the statewide discharge from accountability program; participates in offsetting monies owed to the state; and collaborates with the Department of Finance, the Treasurer, and the Department of General Services to develop and implement the Financial Information System for California (FI\$Cal) to ensure the system meets the state's primary objectives and provides best business practices for the state.

The Local Government Programs and Services Division maintains a database of information and reports on local financial transactions and government compensation; apportions shared revenues to local governments; administers local mandated cost programs; approves county cost allocation plans; prescribes uniform accounting procedures for presentation of financial

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0840 State Controller - Continued

data for local governments and trial court revenues; administers and collects estate and inheritance taxes; collects delinquent insurance and motor vehicle fuel taxes and petroleum and gas assessments; refunds gasoline taxes paid on fuel consumed for off-highway purposes; instructs and advises county tax collectors; and administers the Property Tax Postponement Program for senior and disabled citizens.

0500200 - AUDITS

The Audits Division determines the legality and accuracy of all claims against the state through the performance of prepayment audits; assures the accuracy of local government claims and financial statements submitted to the state and federal governments by establishing and updating audit guidelines, and reviewing audits performed by independent auditors; performs field audits for state and federal programs; reviews the single audit reports of local governments and local education agencies that receive "pass-through federal funds" from state agencies; and audits major businesses for compliance with the Unclaimed Property Law.

0500300 - PERSONNEL/PAYROLL SERVICES

The Personnel/Payroll Services Division administers the state's payroll, employment history, the automated travel expense reimbursement system, and leave accounting processes in a manner that provides accurate, timely, and efficient processing for the users of these systems. The Division works with the Department of Human Resources, California Public Employees' Retirement System, Judicial Council, Department of Finance, and California State University Chancellor's Office to maximize the efficiency of the state's personnel and payroll functions.

The California State Payroll System Project was initiated to modernize the statewide human resource management and payroll systems as part of a fully integrated solution. Both modernizing the existing system as well as replacement of the existing system will be evaluated as solutions. The system should enable the state to improve management processes and fulfill its payroll, reporting, and deduction processing obligations accurately and on time.

0500400 - UNCLAIMED PROPERTY

The Unclaimed Property Division administers the Unclaimed Property Law by providing one central source for owners to claim their property; notifying owners of their unclaimed property before it is transferred to the state; receiving unclaimed property from banks, savings and loans, insurance companies, and other business firms; and providing outreach and education for businesses holding unclaimed property.

0500500 - DISBURSEMENTS

The Disbursements Bureau produces and delivers all payments of the state's obligations after auditing and clearance through control accounts, including personal income tax refunds, payroll, vendor, and retirement payments. Disbursements also provides post-issuance services including disposition of undeliverable warrants; lost warrant duplication; and maintenance and production of paid warrant images.

0500900 - DEPARTMENTAL ADMINISTRATION

The Executive Office and the Administration Program provide executive direction and support services to all Divisions in the State Controller's Office. The Information Systems Division develops, maintains, and operates all of the department's mainframe, client-server and web-based systems; and oversees the planning, procurement, use, and maintenance of information technology hardware and software.

DETAILED EXPENDITURES BY PROGRAM

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
	PROGRAM REQUIREMENTS			
0500	STATE CONTROLLER'S OFFICE			
	State Operations:			
0001	General Fund	\$63,128	\$74,747	\$85,316
0046	Public Transportation Account, State Transportation Fund	19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	4,677	4,868	4,869
0062	Highway Users Tax Account, Transportation Tax Fund	1,632	1,687	1,687
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	17	17	17
0107	Abandoned Vehicle Trust Fund	-	2	2
0330	Local Revenue Fund	770	795	795

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0840 State Controller - Continued

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
0877	DMV Local Agency Collection Fund	2	11	11
0890	Federal Trust Fund	1,173	1,411	1,383
0903	State Penalty Fund	1,493	1,554	1,554
0932	Trial Court Trust Fund	174	174	174
0969	Public Safety Account, Local Public Safety Fund	268	268	268
0970	Unclaimed Property Fund	40,922	43,636	44,737
0988	Other - Unallocated Non-Governmental Cost Funds	282	291	291
0995	Reimbursements	52,997	63,523	63,537
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	1,995	2,238	2,239
3286	Safe Neighborhoods and Schools Fund	389	383	383
3290	Road Maintenance and Rehabilitation Account, State Transportation Fund	-	1,186	1,162
6036	2002 State School Facilities Fund	45	-	-
6044	2004 State School Facilities Fund	30	1,131	-
6057	2006 State School Facilities Fund	3	14	1,145
6086	2016 State School Facilities Fund	-	42	43
9740	Central Service Cost Recovery Fund	33,290	38,113	37,620
	Totals, State Operations	<u>\$203,306</u>	<u>\$236,110</u>	<u>\$247,252</u>

SUBPROGRAM REQUIREMENTS**0500100 Accounting and Reporting****State Operations:**

0001	General Fund	\$22,902	\$23,502	\$24,992
0046	Public Transportation Account, State Transportation Fund	19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,240	2,700	2,700
0062	Highway Users Tax Account, Transportation Tax Fund	486	491	491
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	17	17	17
0107	Abandoned Vehicle Trust Fund	-	2	2
0330	Local Revenue Fund	770	795	795
0877	DMV Local Agency Collection Fund	2	11	11
0903	State Penalty Fund	208	362	362
0932	Trial Court Trust Fund	174	174	174
0969	Public Safety Account, Local Public Safety Fund	268	268	268
0988	Other - Unallocated Non-Governmental Cost Funds	194	199	199
0995	Reimbursements	8,664	11,040	11,042
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	1,995	2,238	2,239
3290	Road Maintenance and Rehabilitation Account, State Transportation Fund	-	239	235
6036	2002 State School Facilities Fund	1	-	-
6044	2004 State School Facilities Fund	1	735	-
6057	2006 State School Facilities Fund	2	8	743
6086	2016 State School Facilities Fund	-	26	26
9740	Central Service Cost Recovery Fund	8,782	11,541	11,777
	Totals, State Operations	<u>\$46,725</u>	<u>\$54,367</u>	<u>\$56,092</u>

SUBPROGRAM REQUIREMENTS**0500200 Audits****State Operations:**

0001	General Fund	\$17,205	\$17,412	\$17,069
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,437	2,168	2,169
0062	Highway Users Tax Account, Transportation Tax Fund	1,146	1,196	1,196
0890	Federal Trust Fund	1,173	1,411	1,383
0903	State Penalty Fund	1,285	1,192	1,192
0970	Unclaimed Property Fund	2,655	2,590	3,134

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0840 State Controller - Continued

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
0988	Other - Unallocated Non-Governmental Cost Funds	88	92	92
0995	Reimbursements	11,783	17,899	17,904
3286	Safe Neighborhoods and Schools Fund	389	383	383
3290	Road Maintenance and Rehabilitation Account, State Transportation Fund	-	947	927
6036	2002 State School Facilities Fund	44	-	-
6044	2004 State School Facilities Fund	29	396	-
6057	2006 State School Facilities Fund	1	6	402
6086	2016 State School Facilities Fund	-	16	17
9740	Central Service Cost Recovery Fund	8,832	10,038	8,874
	Totals, State Operations	<u>\$47,067</u>	<u>\$55,746</u>	<u>\$54,742</u>
	SUBPROGRAM REQUIREMENTS			
0500300	Personnel/Payroll Services			
	State Operations:			
0001	General Fund	\$19,373	\$29,001	\$38,236
0995	Reimbursements	10,559	10,755	10,758
9740	Central Service Cost Recovery Fund	13,343	14,038	14,579
	Totals, State Operations	<u>\$43,275</u>	<u>\$53,794</u>	<u>\$63,573</u>
	SUBPROGRAM REQUIREMENTS			
0500400	Unclaimed Property			
	State Operations:			
0001	General Fund	\$554	\$-	\$-
0970	Unclaimed Property Fund	38,267	41,046	41,603
0995	Reimbursements	187	20	20
9740	Central Service Cost Recovery Fund	83	-	-
	Totals, State Operations	<u>\$39,091</u>	<u>\$41,066</u>	<u>\$41,623</u>
	SUBPROGRAM REQUIREMENTS			
0500500	Disbursements			
	State Operations:			
0001	General Fund	\$3,094	\$4,833	\$5,020
0995	Reimbursements	21,804	23,531	23,535
9740	Central Service Cost Recovery Fund	2,250	2,496	2,390
	Totals, State Operations	<u>\$27,148</u>	<u>\$30,860</u>	<u>\$30,945</u>
	SUBPROGRAM REQUIREMENTS			
0500900	Departmental Administration			
	State Operations:			
0001	General Fund	\$-	-\$1	-\$1
0995	Reimbursements	-	278	278
	Totals, State Operations	<u>\$-</u>	<u>\$277</u>	<u>\$277</u>
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0001	General Fund	\$57,260	\$-	\$-
	Totals, State Operations	<u>\$57,260</u>	<u>\$-</u>	<u>\$-</u>
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$57,260	\$-	\$-
	Totals, State Operations	<u>-\$57,260</u>	<u>\$-</u>	<u>\$-</u>
	TOTALS, EXPENDITURES			
	State Operations	203,306	236,110	247,252

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0840 State Controller - Continued

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Totals, Expenditures	\$203,306	\$236,110	\$247,252

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	1,382.9	1,457.9	1,459.9	\$104,118	\$113,158	\$109,036
Other Adjustments	25.3	-	42.0	-3,243	-423	5,664
Net Totals, Salaries and Wages	<u>1,408.2</u>	<u>1,457.9</u>	<u>1,501.9</u>	<u>\$100,875</u>	<u>\$112,735</u>	<u>\$114,700</u>
Staff Benefits	-	-	-	52,269	60,026	58,009
Totals, Personal Services	<u>1,408.2</u>	<u>1,457.9</u>	<u>1,501.9</u>	<u>\$153,144</u>	<u>\$172,761</u>	<u>\$172,709</u>
OPERATING EXPENSES AND EQUIPMENT				\$50,147	\$63,349	\$65,043
SPECIAL ITEMS OF EXPENSES				15	-	9,500
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				<u>\$203,306</u>	<u>\$236,110</u>	<u>\$247,252</u>

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$63,128	\$70,712	\$85,316
Allocation for Contingencies and Emergencies	-	1,440	-
Allocation for Employee Compensation	-	1,339	-
Allocation for Other Post-Employment Benefits	-	406	-
Allocation for Staff Benefits	-	524	-
Section 3.60 Pension Contribution Adjustment	-	326	-
TOTALS, EXPENDITURES	<u>\$63,128</u>	<u>\$74,747</u>	<u>\$85,316</u>
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$19	\$19	\$19
TOTALS, EXPENDITURES	<u>\$19</u>	<u>\$19</u>	<u>\$19</u>
0061 Motor Vehicle Fuel Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,677	\$4,683	\$4,869
Allocation for Employee Compensation	-	95	-
Allocation for Other Post-Employment Benefits	-	29	-
Allocation for Staff Benefits	-	37	-
Section 3.60 Pension Contribution Adjustment	-	24	-
TOTALS, EXPENDITURES	<u>\$4,677</u>	<u>\$4,868</u>	<u>\$4,869</u>
0062 Highway Users Tax Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,327	\$1,328	\$1,382
Allocation for Employee Compensation	-	28	-
Allocation for Other Post-Employment Benefits	-	9	-
Allocation for Staff Benefits	-	10	-
Section 3.60 Pension Contribution Adjustment	-	7	-
Apportionment payment system assessments per Control Section 25.50	305	305	305

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0840 State Controller - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
TOTALS, EXPENDITURES	<u>\$1,632</u>	<u>\$1,687</u>	<u>\$1,687</u>
0064 Motor Vehicle License Fee Account, Transportation Tax Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$17	\$17	\$17
TOTALS, EXPENDITURES	<u>\$17</u>	<u>\$17</u>	<u>\$17</u>
0107 Abandoned Vehicle Trust Fund			
APPROPRIATIONS			
Vehicle Code section 9250.7(b)	-	\$2	\$2
Totals Available	<u>-</u>	<u>\$2</u>	<u>\$2</u>
TOTALS, EXPENDITURES	<u>-</u>	<u>\$2</u>	<u>\$2</u>
0330 Local Revenue Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$670	\$665	\$695
Allocation for Employee Compensation	-	12	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	3	-
Apportionment payment system assessments per Control Section 25.50	100	106	100
TOTALS, EXPENDITURES	<u>\$770</u>	<u>\$795</u>	<u>\$795</u>
0877 DMV Local Agency Collection Fund			
APPROPRIATIONS			
Vehicle Code section 9250.14(b)	-	\$9	\$9
Apportionment payment system assessments per Control Section 25.50	2	2	2
Totals Available	<u>\$2</u>	<u>\$11</u>	<u>\$11</u>
TOTALS, EXPENDITURES	<u>\$2</u>	<u>\$11</u>	<u>\$11</u>
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,173	\$1,358	\$1,383
Allocation for Employee Compensation	-	28	-
Allocation for Other Post-Employment Benefits	-	9	-
Allocation for Staff Benefits	-	10	-
Section 3.60 Pension Contribution Adjustment	-	6	-
Totals Available	<u>\$1,173</u>	<u>\$1,411</u>	<u>\$1,383</u>
TOTALS, EXPENDITURES	<u>\$1,173</u>	<u>\$1,411</u>	<u>\$1,383</u>
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,493	\$1,495	\$1,554
Allocation for Employee Compensation	-	31	-
Allocation for Other Post-Employment Benefits	-	10	-
Allocation for Staff Benefits	-	11	-
Section 3.60 Pension Contribution Adjustment	-	7	-
TOTALS, EXPENDITURES	<u>\$1,493</u>	<u>\$1,554</u>	<u>\$1,554</u>
0932 Trial Court Trust Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$174	\$174	\$174
TOTALS, EXPENDITURES	<u>\$174</u>	<u>\$174</u>	<u>\$174</u>
0969 Public Safety Account, Local Public Safety Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$268	\$268	\$268
TOTALS, EXPENDITURES	<u>\$268</u>	<u>\$268</u>	<u>\$268</u>
0970 Unclaimed Property Fund			

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0840 State Controller - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
APPROPRIATIONS			
001 Budget Act appropriation	\$40,922	\$41,952	\$44,737
Allocation for Employee Compensation	-	866	-
Allocation for Other Post-Employment Benefits	-	268	-
Allocation for Staff Benefits	-	339	-
Section 3.60 Pension Contribution Adjustment	-	211	-
TOTALS, EXPENDITURES	\$40,922	\$43,636	\$44,737
0988 Other - Unallocated Non-Governmental Cost Funds			
APPROPRIATIONS			
001 Budget Act appropriation	\$282	\$282	\$291
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	1	-
TOTALS, EXPENDITURES	\$282	\$291	\$291
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$52,997	\$63,523	\$63,537
TOTALS, EXPENDITURES	\$52,997	\$63,523	\$63,537
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,995	\$2,151	\$2,239
Allocation for Employee Compensation	-	45	-
Allocation for Other Post-Employment Benefits	-	14	-
Allocation for Staff Benefits	-	17	-
Section 3.60 Pension Contribution Adjustment	-	11	-
Totals Available	\$1,995	\$2,238	\$2,239
TOTALS, EXPENDITURES	\$1,995	\$2,238	\$2,239
3286 Safe Neighborhoods and Schools Fund			
APPROPRIATIONS			
Government Code section 7599.2(d)	\$389	\$383	\$383
TOTALS, EXPENDITURES	\$389	\$383	\$383
3290 Road Maintenance and Rehabilitation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1,139	\$1,162
Allocation for Employee Compensation	-	25	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	-	10	-
Section 3.60 Pension Contribution Adjustment	-	5	-
TOTALS, EXPENDITURES	-	\$1,186	\$1,162
6036 2002 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$45	-	-
Totals Available	\$45	-	-
TOTALS, EXPENDITURES	\$45	-	-
6044 2004 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$30	\$1,131	-
Totals Available	\$30	\$1,131	-
TOTALS, EXPENDITURES	\$30	\$1,131	-
6057 2006 State School Facilities Fund			

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0840 State Controller - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
APPROPRIATIONS			
001 Budget Act appropriation	\$3	\$14	\$1,145
Totals Available	<u>\$3</u>	<u>\$14</u>	<u>\$1,145</u>
TOTALS, EXPENDITURES	<u>\$3</u>	<u>\$14</u>	<u>\$1,145</u>
6086 2016 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$43
Allocation for Employee Compensation	-	21	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	-	8	-
Section 3.60 Pension Contribution Adjustment	-	6	-
TOTALS, EXPENDITURES	<u>-</u>	<u>\$42</u>	<u>\$43</u>
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$33,290	\$36,843	\$37,620
Allocation for Employee Compensation	-	658	-
Allocation for Other Post-Employment Benefits	-	196	-
Allocation for Staff Benefits	-	257	-
Section 3.60 Pension Contribution Adjustment	-	159	-
TOTALS, EXPENDITURES	<u>\$33,290</u>	<u>\$38,113</u>	<u>\$37,620</u>
Total Expenditures, All Funds, (State Operations)	<u>\$203,306</u>	<u>\$236,110</u>	<u>\$247,252</u>
2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
0979 California Firefighters Memorial Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$500	\$500
Allocation to California Firefighters' Memorial Fund	-	-500	-500
TOTALS, EXPENDITURES	<u>-</u>	<u>-</u>	<u>-</u>
Total Expenditures, All Funds, (Local Assistance)	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	<u>\$203,306</u>	<u>\$236,110</u>	<u>\$247,252</u>

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
0442 California Olympic Training Account^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	\$53	\$55	\$55
Transfers and Other Adjustments			
Revenue Transfer from the California Olympic Training Account (0442) to the General Fund (0001) per Government Code Section 7592	-53	-55	-55
FUND BALANCE	<u>-</u>	<u>-</u>	<u>-</u>
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund^s			
BEGINNING BALANCE	\$19,971	\$16,003	\$15,000
Adjusted Beginning Balance	<u>\$19,971</u>	<u>\$16,003</u>	<u>\$15,000</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4151000 Interest Income - Other Loans	2,639	2,639	2,639
4163000 Investment Income - Surplus Money Investments	151	151	151

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0840 State Controller - Continued

	2017-18*	2018-19*	2019-20*
Transfers and Other Adjustments			
Revenue Transfer from the Senior Citizens and Disabled Citizens Property Tax Postponement Fund (3268) to the General Fund (0001) per Government Code Section 16180(b)	-5,745	-3,637	-2,849
Total Revenues, Transfers, and Other Adjustments	<u>-\$2,955</u>	<u>-\$847</u>	<u>-\$59</u>
Total Resources	<u>\$17,016</u>	<u>\$15,156</u>	<u>\$14,941</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1,995	2,238	2,239
8880 Financial Information System for California (State Operations)	4	-	-
9100 Tax Relief (Local Assistance)	-1,365	-2,505	-2,505
9892 Supplemental Pension Payments (State Operations)	-	33	276
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	379	390	172
Total Expenditures and Expenditure Adjustments	<u>\$1,013</u>	<u>\$156</u>	<u>\$182</u>
FUND BALANCE	<u>\$16,003</u>	<u>\$15,000</u>	<u>\$14,759</u>
Reserve for economic uncertainties	16,003	15,000	14,759

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	1,382.9	1,457.9	1,459.9	\$104,118	\$113,158	\$109,036
Salary and Other Adjustments	25.3	-	-	-3,243	-423	1,603
Workload and Administrative Adjustments						
CCURE Upgrade & ACA Reporting						
Info Tech Spec I	-	-	1.0	-	-	82
California State Payroll System						
Accounting Administrator I (Spec)	-	-	1.0	-	-	82
Assoc Govtl Program Analyst	-	-	2.0	-	-	143
Info Tech Spec I	-	-	2.0	-	-	192
Staff Svcs Mgr I	-	-	1.0	-	-	80
Personal Services Costs Adjustment						
Accounting Administrator I (Spec)	-	-	-13.0	-	-	-976
Accounting Administrator I (Supvr)	-	-	-8.0	-	-	-637
Accounting Administrator II	-	-	-7.0	-	-	-612
Accounting Administrator III	-	-	-4.0	-	-	-405
Assoc Accounting Analyst	-	-	-6.0	-	-	-431
Financial Accountant I	-	-	19.0	-	-	1,588
Financial Accountant II	-	-	8.0	-	-	769
Financial Accountant III	-	-	7.0	-	-	779
Financial Accountant IV	-	-	4.0	-	-	490
Info Tech Assoc	-	-	-	-	-	73
Info Tech Mgr I	-	-	-	-	-	-53
Info Tech Mgr II	-	-	-	-	-	-4
Info Tech Spec I	-	-	-	-	-	199
Info Tech Spec II	-	-	-	-	-	-7
Info Tech Supvr I	-	-	-	-	-	-1
Info Tech Supvr II	-	-	-	-	-	-18
Info Tech Techn	-	-	-	-	-	43
Statewide Retirement Reconciliation Program						

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0840 State Controller - Continued

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Assoc Govtl Program Analyst	-	-	2.0	-	-	136
Info Tech Spec I	-	-	3.0	-	-	304
Payroll Officer	-	-	1.0	-	-	70
Sr Payroll Spec	-	-	6.0	-	-	374
Staff Svcs Mgr I	-	-	2.0	-	-	170
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	94
UCP Holder Compliance Audits						
Assoc Govtl Program Analyst	-	-	1.0	-	-	68
Assoc Mgmt Auditor	-	-	8.0	-	-	622
Sr Mgmt Auditor	-	-	1.0	-	-	92
Staff Mgmt Auditor (Spec)	-	-	1.0	-	-	86
Unclaimed Property Fraudulent Claims Prevention and Detection Program						
Assoc Govtl Program Analyst	-	-	5.0	-	-	340
Info Tech Assoc	-	-	1.0	-	-	66
Info Tech Spec I	-	-	3.0	-	-	263
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	42.0	\$-	\$-	\$4,061
Totals, Adjustments	25.3	-	42.0	\$-3,243	\$-423	\$5,664
TOTALS, SALARIES AND WAGES	1,408.2	1,457.9	1,501.9	\$100,875	\$112,735	\$114,700

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0845 Department of Insurance

California's publicly-elected Insurance Commissioner regulates the fourth largest insurance economy in the world with insurers collecting more than \$310 billion in premiums annually in California while protecting consumers and the integrity, health and vitality of the insurance marketplace. The vision of the California Department of Insurance (CDI) is "Insurance Protection for All Californians." CDI accomplishes this by enforcing insurance laws and regulations, assisting consumers in their dealings with insurers, and using innovation to improve services for insurance producers and consumers.

The CDI licenses and regulates insurance companies, and individuals in California. Currently, the CDI oversees and licenses approximately 1,400 insurance companies and approximately 410,000 individuals and business entities as insurance agents, brokers, adjusters and bail agents. Additionally, the CDI receives and investigates approximately 200,000 consumer inquiries and complaints annually; performs examinations to ensure the financial solvency of companies; receives more than 28,000 suspected fraudulent claim referrals annually; receives and reviews approximately 7,250 rate filing applications annually; and works in conjunction with local, state and federal law enforcement agencies to investigate and prosecute fraudulent insurance practices.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0520	Regulation of Insurance Companies and Insurance Producers	409.9	453.8	456.8	\$88,495	\$93,539	\$94,520
0525	Consumer Protection	302.0	321.3	322.3	56,950	62,011	62,683
0530	Fraud Control	269.7	320.3	320.4	123,710	145,187	141,509
0535	General Fund Tax Collection and Compliance	3.0	3.7	3.7	1,006	1,340	1,340
9900100	Administration	233.9	226.6	226.6	36,402	35,562	35,681
9900200	Administration - Distributed	-	-	-	-36,402	-35,562	-35,681
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		1,218.5	1,325.7	1,329.8	\$270,161	\$302,077	\$300,052
FUNDING					2017-18*	2018-19*	2019-20*
0001	General Fund				\$8,551	\$10,379	\$10,456
0217	Insurance Fund				261,223	290,334	288,414
0890	Federal Trust Fund				379	992	810
0995	Reimbursements				8	372	372
TOTALS, EXPENDITURES, ALL FUNDS					\$270,161	\$302,077	\$300,052

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

0520-Regulation of Insurance Companies and Insurance Producers:
Insurance Code, Sections 1-16032, except as noted below.

0525-Consumer Protection:
Insurance Code, Division 1, Part 2, Chapter 1, Articles 4, 5.1, 6, 6.3, and 6.5, Chapter 5, Article 12, Sections 1872.81, 10127.17, 10273.7, 12921.1-12921.5, 12928, and 12930.

0530-Fraud Control:
Insurance Code, Division 1, Part 2, Chapter 12 (except Section 1872.81 cited above).

0535-General Fund Tax Collection and Compliance:
Insurance Code, Sections 1774-1780; and Revenue and Taxation Code, Part 7 of Division 2.

MAJOR PROGRAM CHANGES

- Enhanced Fraud Investigation and Prevention-The Budget continues \$10.5 million General Fund to perform enhanced fraud prevention, investigation, and prosecution efforts through the use of settlement revenue.

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0845 Department of Insurance - Continued

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Workers' Compensation Fraud Program	\$-	\$-	-	\$-	\$2,805	-
• CA Life and Health Insurance Guarantee (AB 2395)	-	-	-	-	756	3.0
• Climate and Sustainability	-	-	-	-	404	2.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$3,965	5.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	42	1,323	-	42	1,324	-
• Provision 1 of Item 0845-101-0217	-	6,552	-	-	-	-
• Salary Adjustments	98	3,894	-	102	3,894	-
• Benefit Adjustments	39	1,564	-	42	1,613	-
• Retirement Rate Adjustments	34	1,103	-	34	1,103	-
• Miscellaneous Baseline Adjustments	-	-	-	-	945	11.1
• SWCAP	-	-	-	-	-74	-
Totals, Other Workload Budget Adjustments	\$213	\$14,436	-	\$220	\$8,805	11.1
Totals, Workload Budget Adjustments	\$213	\$14,436	-	\$220	\$12,770	16.1
Totals, Budget Adjustments	\$213	\$14,436	-	\$220	\$12,770	16.1

PROGRAM DESCRIPTIONS

0520 - REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS

The objectives of this program are to: (1) prevent losses to policyholders, beneficiaries or the public due to the insolvency of insurers, including the review of complex, principles-based reserving methods to avoid reliance on black box models; (2) prevent unlawful or unfair practices by insurers as defined by the Insurance Code; (3) ensure that property and casualty insurance rates are not excessive, inadequate, unfairly discriminatory or otherwise in violation of the Insurance Code and the California Code of Regulations; (4) review health insurance rates filed with CDI to determine whether they are reasonable and attempt to get unreasonable rates lowered by insurers; and (5) ensure that applicants for insurance licenses, and holders of insurance licenses, satisfy and maintain the qualifications for licensure. Through the Conservation and Liquidation Office, the CDI administers the estates of insolvent and delinquent insurance companies.

0525 - CONSUMER PROTECTION

The objectives of this program are to: (1) provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair or illegal practices with respect to claims handling, rating or underwriting by insurers and (2) protect applicants and policyholders from discriminatory, unlawful or fraudulent practices or incompetence relating to the sale of insurance and (3) oversee programs that benefit California's underserved communities.

0530 - FRAUD CONTROL

The objective of this program is to protect the public from economic loss by actively investigating, arresting and referring for prosecution or adjudication those who commit insurance fraud and other violations of the law. The program is primarily staffed by sworn peace officers who conduct criminal investigations of insurance fraud and related criminal cases. The program also implements the Insurance Frauds Prevention Act, which authorizes the Commissioner to initiate or intervene in whistle-blower "qui tam" actions seeking civil penalties against perpetrators of insurance fraud.

0535 - GENERAL FUND TAX COLLECTION AND COMPLIANCE

This program performs tax collection; ensures compliance from insurance companies and surplus line brokers with the laws contained in the Insurance Code and Revenue and Taxation Code; and works with California Department of Tax and Fee Administration and State Controller's Office with various refund, assessment, and accounting matters relative to the premium tax program. Tax collections from this program are deposited in the state's General Fund.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0845 Department of Insurance - Continued

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
	PROGRAM REQUIREMENTS			
0520	REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS			
	State Operations:			
0217	Insurance Fund	\$88,126	\$92,315	\$93,478
0890	Federal Trust Fund	361	974	792
0995	Reimbursements	8	250	250
	Totals, State Operations	\$88,495	\$93,539	\$94,520
	SUBPROGRAM REQUIREMENTS			
0520010	Rate Regulation			
	State Operations:			
0217	Insurance Fund	\$28,867	\$24,744	\$24,984
0890	Federal Trust Fund	361	974	792
0995	Reimbursements	8	250	250
	Totals, State Operations	\$29,236	\$25,968	\$26,026
	SUBPROGRAM REQUIREMENTS			
0520019	Regulatory			
	State Operations:			
0217	Insurance Fund	\$19,786	\$27,712	\$28,760
	Totals, State Operations	\$19,786	\$27,712	\$28,760
	SUBPROGRAM REQUIREMENTS			
0520028	Licensing			
	State Operations:			
0217	Insurance Fund	\$33,583	\$30,832	\$30,706
	Totals, State Operations	\$33,583	\$30,832	\$30,706
	SUBPROGRAM REQUIREMENTS			
0520037	Special Programs			
	State Operations:			
0217	Insurance Fund	\$5,890	\$9,027	\$9,028
	Totals, State Operations	\$5,890	\$9,027	\$9,028
	PROGRAM REQUIREMENTS			
0525	CONSUMER PROTECTION			
	State Operations:			
0001	General Fund	\$2,107	\$2,246	\$2,372
0217	Insurance Fund	54,093	59,015	59,561
	Totals, State Operations	\$56,200	\$61,261	\$61,933
	Local Assistance:			
0217	Insurance Fund	\$750	\$750	\$750
	Totals, Local Assistance	\$750	\$750	\$750
	SUBPROGRAM REQUIREMENTS			
0525010	Legal Compliance			
	State Operations:			
0217	Insurance Fund	\$8,968	\$11,131	\$11,637
	Totals, State Operations	\$8,968	\$11,131	\$11,637
	SUBPROGRAM REQUIREMENTS			
0525019	Investigations			
	State Operations:			
0217	Insurance Fund	\$13,213	\$16,961	\$16,972
	Totals, State Operations	\$13,213	\$16,961	\$16,972

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0845 Department of Insurance - Continued

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
	Local Assistance:			
0217	Insurance Fund	\$750	\$750	\$750
	Totals, Local Assistance	\$750	\$750	\$750
	SUBPROGRAM REQUIREMENTS			
0525028	Consumer Services and Market Conduct			
	State Operations:			
0217	Insurance Fund	\$31,912	\$30,923	\$30,952
	Totals, State Operations	\$31,912	\$30,923	\$30,952
	SUBPROGRAM REQUIREMENTS			
0525037	Enhanced Fraud Investigation Division			
	State Operations:			
0001	General Fund	\$2,107	\$2,246	\$2,372
	Totals, State Operations	\$2,107	\$2,246	\$2,372
	PROGRAM REQUIREMENTS			
0530	FRAUD CONTROL			
	State Operations:			
0001	General Fund	\$5,444	\$6,633	\$6,584
0217	Insurance Fund	51,877	61,811	61,929
0890	Federal Trust Fund	18	18	18
0995	Reimbursements	-	122	122
	Totals, State Operations	\$57,339	\$68,584	\$68,653
	Local Assistance:			
0001	General Fund	\$1,000	\$1,500	\$1,500
0217	Insurance Fund	65,371	75,103	71,356
	Totals, Local Assistance	\$66,371	\$76,603	\$72,856
	SUBPROGRAM REQUIREMENTS			
0530010	Fraud - Auto			
	State Operations:			
0217	Insurance Fund	\$22,891	\$24,673	\$24,722
0995	Reimbursements	-	122	122
	Totals, State Operations	\$22,891	\$24,795	\$24,844
	Local Assistance:			
0217	Insurance Fund	\$22,972	\$26,996	\$22,100
	Totals, Local Assistance	\$22,972	\$26,996	\$22,100
	SUBPROGRAM REQUIREMENTS			
0530019	Fraud - Workers' Compensation			
	State Operations:			
0217	Insurance Fund	\$23,316	\$29,681	\$29,735
0890	Federal Trust Fund	18	18	18
	Totals, State Operations	\$23,334	\$29,699	\$29,753
	Local Assistance:			
0217	Insurance Fund	\$36,699	\$40,691	\$42,440
	Totals, Local Assistance	\$36,699	\$40,691	\$42,440
	SUBPROGRAM REQUIREMENTS			
0530028	Fraud - General Assessment			
	State Operations:			
0217	Insurance Fund	\$3,308	\$3,281	\$3,288
	Totals, State Operations	\$3,308	\$3,281	\$3,288
	SUBPROGRAM REQUIREMENTS			
0530037	Fraud - Disability and Healthcare			

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0845 Department of Insurance - Continued

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
	State Operations:			
0217	Insurance Fund	\$2,362	\$4,176	\$4,184
	Totals, State Operations	<u>\$2,362</u>	<u>\$4,176</u>	<u>\$4,184</u>
	Local Assistance:			
0217	Insurance Fund	\$5,700	\$7,416	\$6,816
	Totals, Local Assistance	<u>\$5,700</u>	<u>\$7,416</u>	<u>\$6,816</u>
	SUBPROGRAM REQUIREMENTS			
0530055	Enhanced Fraud - Fraud Division			
	State Operations:			
0001	General Fund	\$4,428	\$3,889	\$3,865
	Totals, State Operations	<u>\$4,428</u>	<u>\$3,889</u>	<u>\$3,865</u>
	Local Assistance:			
0001	General Fund	\$1,000	\$1,500	\$1,500
	Totals, Local Assistance	<u>\$1,000</u>	<u>\$1,500</u>	<u>\$1,500</u>
	SUBPROGRAM REQUIREMENTS			
0530064	Enhanced Fraud - Legal Branch			
	State Operations:			
0001	General Fund	\$1,016	\$2,744	\$2,719
	Totals, State Operations	<u>\$1,016</u>	<u>\$2,744</u>	<u>\$2,719</u>
	PROGRAM REQUIREMENTS			
0535	GENERAL FUND TAX COLLECTION AND COMPLIANCE			
	State Operations:			
0217	Insurance Fund	\$1,006	\$1,340	\$1,340
	Totals, State Operations	<u>\$1,006</u>	<u>\$1,340</u>	<u>\$1,340</u>
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0217	Insurance Fund	\$36,402	\$35,562	\$35,681
	Totals, State Operations	<u>\$36,402</u>	<u>\$35,562</u>	<u>\$35,681</u>
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0217	Insurance Fund	-\$36,402	-\$35,562	-\$35,681
	Totals, State Operations	<u>-\$36,402</u>	<u>-\$35,562</u>	<u>-\$35,681</u>
	TOTALS, EXPENDITURES			
	State Operations	203,040	224,724	226,446
	Local Assistance	67,121	77,353	73,606
	Totals, Expenditures	<u>\$270,161</u>	<u>\$302,077</u>	<u>\$300,052</u>

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	<u>Positions</u>			<u>Expenditures</u>		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PERSONAL SERVICES						
Baseline Positions	1,312.8	1,325.7	1,313.7	\$107,259	\$112,827	\$112,640
Other Adjustments	-94.3	-	16.1	-5,175	3,992	4,652
Net Totals, Salaries and Wages	<u>1,218.5</u>	<u>1,325.7</u>	<u>1,329.8</u>	<u>\$102,084</u>	<u>\$116,819</u>	<u>\$117,292</u>
Staff Benefits	-	-	-	53,769	60,375	60,741
Totals, Personal Services	<u>1,218.5</u>	<u>1,325.7</u>	<u>1,329.8</u>	<u>\$155,853</u>	<u>\$177,194</u>	<u>\$178,033</u>

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0845 Department of Insurance - Continued

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
OPERATING EXPENSES AND EQUIPMENT				\$47,073	\$47,230	\$48,113
SPECIAL ITEMS OF EXPENSES				114	300	300
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$203,040	\$224,724	\$226,446

2 Local Assistance	Expenditures		
	2017-18*	2018-19*	2019-20*
Grants and Subventions - Governmental	\$67,121	\$77,353	\$73,606
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$67,121	\$77,353	\$73,606

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,340	\$6,225	\$6,320
Allocation for Employee Compensation	-	77	-
Allocation for Other Post-Employment Benefits	-	31	-
Allocation for Staff Benefits	-	32	-
Section 3.60 Pension Contribution Adjustment	-	26	-
002 Budget Act appropriation	3,211	2,441	2,636
Allocation for Employee Compensation	-	21	-
Allocation for Other Post-Employment Benefits	-	11	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	8	-
Totals Available	\$7,551	\$8,879	\$8,956
TOTALS, EXPENDITURES	\$7,551	\$8,879	\$8,956
0217 Insurance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$206,597	\$216,308
001 Budget Act appropriation as amended by Chapter 181, Statutes of 2017	195,102	-	-
Allocation for Employee Compensation	-	3,894	-
Allocation for Other Post-Employment Benefits	-	1,323	-
Allocation for Staff Benefits	-	1,564	-
Section 3.60 Pension Contribution Adjustment	-	1,103	-
Totals Available	\$195,102	\$214,481	\$216,308
TOTALS, EXPENDITURES	\$195,102	\$214,481	\$216,308
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$379	\$992	\$810
Totals Available	\$379	\$992	\$810
TOTALS, EXPENDITURES	\$379	\$992	\$810
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$8	\$372	\$372
TOTALS, EXPENDITURES	\$8	\$372	\$372
Total Expenditures, All Funds, (State Operations)	\$203,040	\$224,724	\$226,446

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0845 Department of Insurance - Continued

2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,000	\$1,500	\$1,500
TOTALS, EXPENDITURES	\$1,000	\$1,500	\$1,500
0217 Insurance Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$66,121	\$69,301	\$72,106
Provision 1 of Item 0845-101-0217	-	6,552	-
Totals Available	\$66,121	\$75,853	\$72,106
TOTALS, EXPENDITURES	\$66,121	\$75,853	\$72,106
Total Expenditures, All Funds, (Local Assistance)	\$67,121	\$77,353	\$73,606
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$270,161	\$302,077	\$300,052

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
0217 Insurance Fund^S			
BEGINNING BALANCE	\$33,382	\$31,945	\$11,962
Prior Year Adjustments	511	-	-
Adjusted Beginning Balance	<u>\$33,893</u>	<u>\$31,945</u>	<u>\$11,962</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4124000 Insurance Company - Examination Fees	23,113	25,150	26,829
4124200 Insurance Company - License Fees and Penalties	53,901	56,639	61,585
4124400 Insurance Company - General Fees	33,808	33,836	35,901
4124600 Insurance Company - Proposition 103 Fees	33,630	33,832	36,450
4124800 Insurance Fraud Assessment - Automobile	52,449	53,604	54,782
4125000 Insurance Fraud Assessment - General	13,932	13,993	14,225
4125200 Insurance Fraud Assessment - Workers Compensation	59,300	67,223	72,752
4140000 Document Sales	78	78	78
4143500 Miscellaneous Services to the Public	10	10	10
4163000 Investment Income - Surplus Money Investments	530	529	529
4171100 Cost Recoveries - Other	1,701	1,989	1,989
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	7	7	7
4172500 Miscellaneous Revenue	1,372	728	728
Transfers and Other Adjustments			
Revenue Transfer from Insurance Fund (0217) to California Health Data and Planning Fund (0143) per Chapter 603, Statutes of 2017	-	-35	-60
Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	-165	-154	-145
Loan Repayment from Insurance Fund (0217) to General Fund (0001) per Item 0845-011-0001, Budget Act of 2013	-81	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$273,585</u>	<u>\$287,429</u>	<u>\$305,660</u>
Total Resources	<u>\$307,478</u>	<u>\$319,374</u>	<u>\$317,622</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0845 Department of Insurance (State Operations)	195,102	214,481	216,308
0845 Department of Insurance (Local Assistance)	66,121	75,853	72,106
1690 Alfred E. Alquist Seismic Safety Commission (State Operations)	1,293	1,259	1,260
8880 Financial Information System for California (State Operations)	247	21	-25
9892 Supplemental Pension Payments (State Operations)	-	2,600	3,469

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0845 Department of Insurance - Continued

	2017-18*	2018-19*	2019-20*
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	12,770	13,198	10,835
Total Expenditures and Expenditure Adjustments	\$275,533	\$307,412	\$303,953
FUND BALANCE	\$31,945	\$11,962	\$13,669
Reserve for economic uncertainties	31,945	11,962	13,669

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	1,312.8	1,325.7	1,313.7	\$107,259	\$112,827	\$112,640
Salary and Other Adjustments	-94.3	-	11.1	-5,175	3,992	3,996
Workload and Administrative Adjustments						
CA Life and Health Insurance Guarantee (AB 2395)						
Sr Life Actuary	-	-	3.0	-	-	425
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	3.0	\$-	\$-	\$425
Workload and Administrative Adjustments						
Climate and Sustainability						
C.E.A. - A	-	-	1.0	-	-	105
Atty III	-	-	1.0	-	-	126
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	2.0	\$-	\$-	\$231
Totals, Adjustments	-94.3	-	16.1	\$-5,175	\$3,992	\$4,652
TOTALS, SALARIES AND WAGES	1,218.5	1,325.7	1,329.8	\$102,084	\$116,819	\$117,292

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0850 California State Lottery Commission

In 1984, Proposition 37 amended the California Constitution to authorize the establishment of a statewide lottery. As an initiative statute, the California State Lottery Act (Act) of 1984 created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The purpose of the Act was to provide supplemental monies to benefit public education. The Lottery is overseen by a five-person Commission appointed by the Governor and confirmed by the State Senate.

The Act initially required that 50 percent of total annual revenues be returned to the public in the form of prizes and at least 34 percent of total revenues be allocated to the benefit of public education. No more than 16 percent of total revenues were to be used for administrative costs.

In 2010, the Act was changed to allow the Lottery flexibility to pay out more money in prizes and reduce the administrative cost limit to 13 percent of total revenues. Along with that flexibility, the new law requires the Lottery to meet minimum levels of contribution to public education. Revenues to education are placed in a special fund, known as the California State Lottery Education Fund, which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following categories: K-12 education, Community Colleges, the California State University, the University of California, and other educational entities, including the California Schools for the Deaf and Blind.

In the 33 years since sales began in October 1985 through June 30, 2018, the California State Lottery has raised \$34.2 billion for public education, including \$1.7 billion in FY 2017-18.

Because of the inherently variable nature of lottery ticket sales, revenue estimates for 2018-19 and 2019-20 cannot be made with certainty.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880-8880.72).

0850 California State Lottery Commission - Continued**Statement of Operations**

	2017-18*	2018-19*	2019-20*
Lottery sales	\$6,965,792	\$7,090,000	\$7,090,000
Less prizes	<u>4,476,580</u>	<u>4,597,697</u>	<u>4,597,697</u>
Sales after prizes	2,489,212	2,492,303	2,492,303
Less Gaming Costs:			
Retailer costs	480,545	498,955	498,955
Gaming system costs	92,032	85,032	85,032
Instant ticket costs	<u>35,567</u>	<u>47,468</u>	<u>47,468</u>
Total, Game Costs	\$608,145	\$631,455	\$631,455
Resources before operating expenses	1,881,067	1,860,848	1,860,848
Operating Expenses:			
Salaries, wages and benefits	89,758	104,177	105,563
Advertising	68,114	53,448	53,448
Promotion, public relations and point-of-sale	9,552	28,598	28,598
Other professional services	15,321	22,086	22,086
Depreciation and amortization	18,311	19,145	19,145
Other general and administrative expenses	<u>18,125</u>	<u>62,791</u>	<u>61,405</u>
Total, Operating Expenses	\$219,181	\$290,245	\$290,245
Income and Proceeds to Education	1,661,886	1,570,603	1,570,603
Interest and Other Income	<u>8,833</u>	<u>8,131</u>	<u>8,131</u>
Net Resources	\$1,670,719	\$1,578,734	\$1,578,734
Unclaimed Prizes	36,049	35,000	35,000
Administrative Reserve	-	40,837	39,451
TOTAL RESOURCES DUE TO EDUCATION FUND	\$1,706,768	\$1,654,571	\$1,653,185

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0850 California State Lottery Commission - Continued**Distribution of State Lottery Education Fund Revenues**

	2017-18*	2018-19*	2019-20*
Department of Education (K-12)	\$1,346,530	\$1,305,351	\$1,304,257
California Community Colleges	252,930	245,195	244,990
California State University	63,898	61,943	61,892
University of California	42,912	41,599	41,564
Other Public Colleges and Universities	152	148	147
Miscellaneous Educational Institutions	346	335	335
TOTALS	\$1,706,768	\$1,654,571	\$1,653,185

Past-year figures may not match figures reported in corresponding budget galleys due to timing of past-year reconciliation processes.

0855 California Gambling Control Commission

The mission of the California Gambling Control Commission (Commission) is to ensure integrity in California’s gaming environment. The Commission has jurisdiction over gambling establishments (cardrooms), third-party providers of proposition player services, and certain aspects of Tribal casinos, pursuant to its authority under state law and Tribal-State Gaming Compacts (Compacts). The Commission also has jurisdiction over gaming policies, regulations, criteria, and standards.

There are 89 licensed cardrooms in California over which the Commission has broad regulatory and adjudicatory authority. This authority extends to the operation, concentration, and supervision of the cardrooms and all persons and things related to each licensed establishment.

The Commission has fiduciary, regulatory, and administrative responsibilities related to Tribal gaming that include: (1) distributing specified Tribal gaming revenues to Non-Compact, Non-Gaming and Limited-Gaming Tribes; (2) making suitability determinations for key employees, gaming resource suppliers, and financial sources; and (3) serving as the administrator of the Indian Gaming Revenue Sharing Trust Fund, Indian Gaming Special Distribution Fund, and the Tribal Nation Grant Fund.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0560 California Gambling Control Commission	32.9	33.4	34.4	\$84,392	\$103,748	\$143,306
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	32.9	33.4	34.4	\$84,392	\$103,748	\$143,306
FUNDING			2017-18*	2018-19*	2019-20*	
0366 Indian Gaming Revenue Sharing Trust Fund			\$78,567	\$96,500	\$96,500	
0367 Indian Gaming Special Distribution Fund			2,446	2,920	3,158	
0567 Gambling Control Fund			3,379	4,328	4,330	
8089 Tribal Nation Grant Fund			-	-	39,318	
TOTALS, EXPENDITURES, ALL FUNDS			\$84,392	\$103,748	\$143,306	

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Business and Professions Code Sections 19811-19825 and 19840-19984

Government Code Sections 12012.25 - 12012.90, 12710 - 12715, 12716 - 12718, and 63048.65

Penal Code Sections 326.4-326.5, and 337j

MAJOR PROGRAM CHANGES

- Tribal Nation Grant Fund Program (AB 880) - The Budget includes \$237,000 Indian Gaming Special Distribution Fund and \$39.3 million Tribal Nation Grant Fund to implement the Tribal Nation Grant Fund Program, which provides grants to eligible tribes for purposes related to effective self-governance, self-determined community, and economic development.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Tribal Nation Grant Fund Program (AB 880)	\$-	\$-	-	\$-	\$39,555	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$39,555	1.0
Other Workload Budget Adjustments						

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0855 California Gambling Control Commission - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Other Post-Employment Benefit Adjustments	-	35	-	-	35	-
• Salary Adjustments	-	135	-	-	135	-
• Benefit Adjustments	-	50	-	-	53	-
• Retirement Rate Adjustments	-	33	-	-	33	-
• Miscellaneous Baseline Adjustments	-	-141	-	-	-141	-
Totals, Other Workload Budget Adjustments	\$-	\$112	-	\$-	\$115	-
Totals, Workload Budget Adjustments	\$-	\$112	-	\$-	\$39,670	1.0
Totals, Budget Adjustments	\$-	\$112	-	\$-	\$39,670	1.0

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0560	CALIFORNIA GAMBLING CONTROL COMMISSION			
State Operations:				
0367	Indian Gaming Special Distribution Fund	\$2,446	\$2,920	\$3,158
0567	Gambling Control Fund	3,379	4,328	4,330
Totals, State Operations		\$5,825	\$7,248	\$7,488
Local Assistance:				
0366	Indian Gaming Revenue Sharing Trust Fund	\$78,567	\$96,500	\$96,500
8089	Tribal Nation Grant Fund	-	-	39,318
Totals, Local Assistance		\$78,567	\$96,500	\$135,818
TOTALS, EXPENDITURES				
State Operations		5,825	7,248	7,488
Local Assistance		78,567	96,500	135,818
Totals, Expenditures		\$84,392	\$103,748	\$143,306

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	33.4	33.4	33.4	\$3,107	\$3,183	\$3,183
Other Adjustments	-0.5	-	1.0	-57	135	210
Net Totals, Salaries and Wages	32.9	33.4	34.4	\$3,050	\$3,318	\$3,393
Staff Benefits	-	-	-	1,540	2,288	2,326
Totals, Personal Services	32.9	33.4	34.4	\$4,590	\$5,606	\$5,719
OPERATING EXPENSES AND EQUIPMENT				\$1,235	\$1,642	\$1,769
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$5,825	\$7,248	\$7,488
2 Local Assistance						
				2017-18*	2018-19*	2019-20*
Grants and Subventions - Governmental				\$78,567	\$96,500	\$135,818
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)				\$78,567	\$96,500	\$135,818

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0855 California Gambling Control Commission - Continued**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,446	\$2,872	\$3,158
Allocation for Employee Compensation	-	57	-
Allocation for Other Post-Employment Benefits	-	15	-
Allocation for Staff Benefits	-	21	-
Contracted Fiscal Services Funding Removal	-	-59	-
Section 3.60 Pension Contribution Adjustment	-	14	-
TOTALS, EXPENDITURES	\$2,446	\$2,920	\$3,158
0567 Gambling Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,379	\$4,264	\$4,330
Allocation for Employee Compensation	-	78	-
Allocation for Other Post-Employment Benefits	-	20	-
Allocation for Staff Benefits	-	29	-
Contracted Fiscal Services Funding Removal	-	-82	-
Section 3.60 Pension Contribution Adjustment	-	19	-
TOTALS, EXPENDITURES	\$3,379	\$4,328	\$4,330
Total Expenditures, All Funds, (State Operations)	\$5,825	\$7,248	\$7,488
2 LOCAL ASSISTANCE			
0366 Indian Gaming Revenue Sharing Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$78,567	\$96,500	\$96,500
111 Budget Act appropriation (transfer to Tribal Nation Grant Fund)	-	-	(39,318)
Totals Available	\$78,567	\$96,500	\$96,500
TOTALS, EXPENDITURES	\$78,567	\$96,500	\$96,500
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Indian Gaming Revenue Sharing Trust Fund)	(-)	(\$1)	(\$1)
TOTALS, EXPENDITURES	-	-	-
8089 Tribal Nation Grant Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$39,318
TOTALS, EXPENDITURES	-	-	\$39,318
Total Expenditures, All Funds, (Local Assistance)	\$78,567	\$96,500	\$135,818
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$84,392	\$103,748	\$143,306

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
0367 Indian Gaming Special Distribution Fund^s			
BEGINNING BALANCE			
Prior Year Adjustments	\$17,979	\$32,986	\$47,128
Adjusted Beginning Balance	896	-	-
	<u>\$18,875</u>	<u>\$32,986</u>	<u>\$47,128</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	259	686	720

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0855 California Gambling Control Commission - Continued

	2017-18*	2018-19*	2019-20*
4173900 Tribal Gaming Revenues	46,362	48,544	48,849
Transfers and Other Adjustments			
Revenue Transfer from the Indian Gaming Special Distribution Fund (0367) to the Indian Gaming Revenue Sharing Trust Fund (0366) per Item 0855-111-0367, Budget Acts	-	-1	-1
Total Revenues, Transfers, and Other Adjustments	<u>\$46,621</u>	<u>\$49,229</u>	<u>\$49,568</u>
Total Resources	<u>\$65,496</u>	<u>\$82,215</u>	<u>\$96,696</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	20,414	21,906	21,944
0855 California Gambling Control Commission (State Operations)	2,446	2,920	3,158
4265 Department of Public Health (State Operations)	4,136	4,270	4,270
4265 Department of Public Health (Local Assistance)	4,000	4,000	4,000
7501 Department of Human Resources (State Operations)	18	75	75
8880 Financial Information System for California (State Operations)	36	3	-4
9892 Supplemental Pension Payments (State Operations)	-	307	623
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,460	1,606	1,465
Total Expenditures and Expenditure Adjustments	<u>\$32,510</u>	<u>\$35,087</u>	<u>\$35,531</u>
FUND BALANCE	<u>\$32,986</u>	<u>\$47,128</u>	<u>\$61,165</u>
Reserve for economic uncertainties	32,986	47,128	61,165

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	33.4	33.4	33.4	\$3,107	\$3,183	\$3,183
Salary and Other Adjustments	-0.5	-	-	-57	135	135
Workload and Administrative Adjustments						
Tribal Nation Grant Fund Program (AB 880)						
Assoc Govtl Program Analyst	-	-	1.0	-	-	75
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	<u>-</u>	<u>-</u>	<u>1.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$75</u>
Totals, Adjustments	<u>-0.5</u>	<u>-</u>	<u>1.0</u>	<u>\$-57</u>	<u>\$135</u>	<u>\$210</u>
TOTALS, SALARIES AND WAGES	<u>32.9</u>	<u>33.4</u>	<u>34.4</u>	<u>\$3,050</u>	<u>\$3,318</u>	<u>\$3,393</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0860 State Board of Equalization

The State Board of Equalization's (BOE) duties primarily include assessing and allocating the property values of railroads and specified utilities and businesses; adjudicating property tax appeals of taxable government owned property; overseeing the property tax assessment practices of the 58 county assessors; adopting rules to clarify property tax laws; and administering the alcoholic beverage excise tax and the insurance tax, including appeals. The BOE contracts with the California Department of Tax and Fee Administration (CDTFA) to administer the alcoholic beverage tax and insurance tax program.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0570025 County Assessment Standards Program	99.9	111.7	107.2	\$15,119	\$17,646	\$16,936
0570050 State-Assessed Property Program	79.1	88.9	85.4	11,832	13,564	13,015
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	179.0	200.6	192.6	\$26,951	\$31,210	\$29,951
FUNDING		2017-18*		2018-19*		2019-20*
0001 General Fund		\$26,605		\$30,753		\$29,494
0995 Reimbursements		346		457		457
TOTALS, EXPENDITURES, ALL FUNDS		\$26,951		\$31,210		\$29,951

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Article XIII, section 17 of the California Constitution. Government Code sections 11126, 15600-15624, 15652, and Revenue and Taxation Code sections 20, 32471.

PROGRAM AUTHORITY

0570025-County Assessment Standards Program:

California Constitution, Article XIII, section 18, Revenue & Taxation Code sections 63.1, 64, 69.5, 75.60, 155, 169, 214-214.16, 218.5, 251, 401.5, 407, 422.7, 423, 452, 480.1, 480.2, 480.4., 601, 602, 615, 618, 670-680, 987, 1153, 1252, 1254, 1366, 1603, 1650, 1716, 1717.1, 1815-1817, 5364, 5781, and 5840, and Government Code sections 15606-15608, 15611, 15624, and 15640-15646.

0570050-State-Assessed Property Program:

California Constitution, Article XIII, section 19, Revenue and Taxation Code sections 100-100.96, 721-868, 4876-4880, 5011-5014, 5148, 11201-11702, and 23154, and Government Code sections 54900-54916.5.

0570150-Alcoholic Beverage Tax Program:

California Constitution, Article XX, section 22, Revenue & Taxation Code sections 32001-32557, and Business and Professions Code sections 23000-23673.

0570575-Insurance Tax Program:

California Constitution Article XIII, section 28, Revenue and Taxation Code, sections 12001-13170, and Insurance Code sections 132, 685-685.4, 995.5, 1530, 1531, 1774-1780, 10089.44, 12976, and 12976.5.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0860 State Board of Equalization - Continued

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$197	\$-	-	\$186	\$-	-
• Miscellaneous Baseline Adjustments	792	-	5.0	792	-	5.0
• Salary Adjustments	605	-	-	581	-	-
• Benefit Adjustments	233	-	-	231	-	-
• Retirement Rate Adjustments	160	-	-	160	-	-
Totals, Other Workload Budget Adjustments	\$1,987	\$-	5.0	\$1,950	\$-	5.0
Totals, Workload Budget Adjustments	\$1,987	\$-	5.0	\$1,950	\$-	5.0
Totals, Budget Adjustments	\$1,987	\$-	5.0	\$1,950	\$-	5.0

PROGRAM DESCRIPTIONS

0570025 - COUNTY ASSESSMENT STANDARDS PROGRAM

This program ensures that taxable properties are enrolled and assessed by the 58 county assessors in full conformity with the law. The BOE is also charged with providing consultation and services to the county assessors and their staff, establishing standards, providing training for assessors and assessment appeals boards, evaluating the effectiveness of each county assessor's administration, co-administering the welfare exemption, and administering the Legal Entity Ownership Program.

0570050 - STATE-ASSESSED PROPERTY PROGRAM

This program values and assesses inter-county pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies. Local jurisdictions use the established values for the levy and collection of local property taxes. In addition, the BOE collects the Private Railroad Car Tax that is deposited in the state General Fund.

0570150 - ALCOHOLIC BEVERAGE TAX PROGRAM

This program collects the excise tax imposed on the sale, distribution, or importation of alcoholic beverages in California. The BOE contracts with the CDTFA to administer this program.

0570575 - INSURANCE TAX PROGRAM

This program provides revenue to the Insurance Tax Fund. Revenue is generated from taxes assessed on insurance premiums, underwriting profits from ocean marine insurance, and retaliatory assessments levied on out-of-state insurers. The taxes collected are used to pay refunds or transferred to the General Fund. The BOE contracts with the CDTFA to administer this program.

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0570	ADMINISTRATION OF THE BOARD OF EQUALIZATION			
	State Operations:			
0001	General Fund	\$26,605	\$30,753	\$29,494
0995	Reimbursements	346	457	457
	Totals, State Operations	\$26,951	\$31,210	\$29,951
SUBPROGRAM REQUIREMENTS				
0570025	County Assessment Standards Program			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0860 State Board of Equalization - Continued

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
	State Operations:			
0001	General Fund	\$15,119	\$17,646	\$16,936
	Totals, State Operations	<u>\$15,119</u>	<u>\$17,646</u>	<u>\$16,936</u>
	SUBPROGRAM REQUIREMENTS			
0570050	State-Assessed Property Program			
	State Operations:			
0001	General Fund	\$11,486	\$13,107	\$12,558
0995	Reimbursements	346	457	457
	Totals, State Operations	<u>\$11,832</u>	<u>\$13,564</u>	<u>\$13,015</u>
	TOTALS, EXPENDITURES			
	State Operations	26,951	31,210	29,951
	Totals, Expenditures	<u>\$26,951</u>	<u>\$31,210</u>	<u>\$29,951</u>

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PERSONAL SERVICES						
Baseline Positions	207.6	195.6	187.6	\$22,293	\$21,235	\$20,427
Other Adjustments	-28.6	5.0	5.0	-6,531	1,090	1,066
Net Totals, Salaries and Wages	<u>179.0</u>	<u>200.6</u>	<u>192.6</u>	<u>\$15,762</u>	<u>\$22,325</u>	<u>\$21,493</u>
Staff Benefits	-	-	-	7,792	5,576	5,149
Totals, Personal Services	<u>179.0</u>	<u>200.6</u>	<u>192.6</u>	<u>\$23,554</u>	<u>\$27,901</u>	<u>\$26,642</u>
OPERATING EXPENSES AND EQUIPMENT				\$3,397	\$3,309	\$3,309
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				<u>\$26,951</u>	<u>\$31,210</u>	<u>\$29,951</u>

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
	0001 General Fund			
	APPROPRIATIONS			
	002 Budget Act appropriation	-	\$28,766	\$29,494
	002 Budget Act appropriation as amended by Chapter 54, Statutes of 2017	26,605	-	-
	Allocation for Employee Compensation	-	605	-
	Allocation for Other Post-Employment Benefits	-	197	-
	Allocation for Staff Benefits	-	233	-
	Interagency Agreement Reallocation from Department of Tax and Fee Administration to Board of Equalization	-	792	-
	Section 3.60 Pension Contribution Adjustment	-	160	-
	Totals Available	<u>\$26,605</u>	<u>\$30,753</u>	<u>\$29,494</u>
	TOTALS, EXPENDITURES	<u>\$26,605</u>	<u>\$30,753</u>	<u>\$29,494</u>
	0995 Reimbursements			
	APPROPRIATIONS			
	Reimbursements	\$346	\$457	\$457
	TOTALS, EXPENDITURES	<u>\$346</u>	<u>\$457</u>	<u>\$457</u>
	Total Expenditures, All Funds, (State Operations)	<u>\$26,951</u>	<u>\$31,210</u>	<u>\$29,951</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0860 State Board of Equalization - Continued**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	207.6	195.6	187.6	\$22,293	\$21,235	\$20,427
Salary and Other Adjustments	-28.6	5.0	5.0	-6,531	1,090	1,066
Totals, Adjustments	-28.6	5.0	5.0	\$-6,531	\$1,090	\$1,066
TOTALS, SALARIES AND WAGES	179.0	200.6	192.6	\$15,762	\$22,325	\$21,493

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0870 Office of Tax Appeals

The Office of Tax Appeals (OTA) was established effective January 1, 2018, by Chapter 16, Statutes of 2017 (AB 102). The OTA performs those tax appeal duties formerly assigned by statute to the State Board of Equalization, including personal income tax appeals, franchise tax appeals, and sales and use tax appeals. The OTA's mission is to ensure tax appeals are performed in a fair, transparent, consistent, equitable, and impartial manner.

The OTA has hearing offices in Sacramento, Fresno, and Los Angeles.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0620100 Executive Division	1.3	7.0	7.0	\$454	\$2,410	\$2,278
0620200 Administrative Division	2.9	12.0	14.0	489	2,317	2,369
0620300 Hearings Division	12.4	39.0	43.0	2,744	10,185	10,450
0620400 Foundations Division	10.2	31.0	38.0	2,217	7,150	8,079
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	26.8	89.0	102.0	\$5,904	\$22,062	\$23,176
FUNDING		2017-18*		2018-19*		2019-20*
0001 General Fund		\$5,904		\$22,062		\$23,176
TOTALS, EXPENDITURES, ALL FUNDS		\$5,904		\$22,062		\$23,176

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code section 15570.

PROGRAM AUTHORITY

Government Code sections 15670 through 15679.

MAJOR PROGRAM CHANGES

- The Budget includes \$2.7 million General Fund and 13 positions to address tax appeal workload.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• OTA Staffing Request	\$-	\$-	-	\$2,762	\$-	13.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$2,762	\$-	13.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	71	-	-	71	-	-
• Salary Adjustments	334	-	-	334	-	-
• Benefit Adjustments	119	-	-	121	-	-
• Retirement Rate Adjustments	81	-	-	81	-	-
• Miscellaneous Baseline Adjustments	1,506	-	-	-144	-	-
Totals, Other Workload Budget Adjustments	\$2,111	\$-	-	\$463	\$-	-
Totals, Workload Budget Adjustments	\$2,111	\$-	-	\$3,225	\$-	13.0
Totals, Budget Adjustments	\$2,111	\$-	-	\$3,225	\$-	13.0

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0870 Office of Tax Appeals - Continued**PROGRAM DESCRIPTIONS**

0620100 - EXECUTIVE DIVISION

The Executive Division provides leadership and direction to the OTA, and empowers staff to perform their duties fairly, impartially, and in strict conformance with applicable statutes and regulations.

0620200 - ADMINISTRATION DIVISION

The Administration Division ensures the efficient operation of the OTA by providing fiscal oversight and performing personnel management, information technology, and business services functions.

0620300 - HEARINGS DIVISION

The Hearings Division is responsible for hearing and determining tax appeals arising from taxpayer disputes from assessments made by the Franchise Tax Board and the Department of Tax and Fee Administration. It is responsible for writing and publishing decisions for each case that comes before OTA.

0620400 - FOUNDATIONS DIVISION

The Foundations Division is responsible for preparing and developing cases for Administrative Law Judge (ALJ) determination. They are subject matter experts in tax law who use their expertise to expedite the ALJ determination process.

DETAILED EXPENDITURES BY PROGRAM

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
	PROGRAM REQUIREMENTS			
0620	OFFICE OF TAX APPEALS			
	State Operations:			
0001	General Fund	\$5,904	\$22,062	\$23,176
	Totals, State Operations	\$5,904	\$22,062	\$23,176
	SUBPROGRAM REQUIREMENTS			
0620100	Executive Division			
	State Operations:			
0001	General Fund	\$454	\$2,410	\$2,278
	Totals, State Operations	\$454	\$2,410	\$2,278
	SUBPROGRAM REQUIREMENTS			
0620200	Administrative Division			
	State Operations:			
0001	General Fund	\$489	\$2,317	\$2,369
	Totals, State Operations	\$489	\$2,317	\$2,369
	SUBPROGRAM REQUIREMENTS			
0620300	Hearings Division			
	State Operations:			
0001	General Fund	\$2,744	\$10,185	\$10,450
	Totals, State Operations	\$2,744	\$10,185	\$10,450
	SUBPROGRAM REQUIREMENTS			
0620400	Foundations Division			
	State Operations:			
0001	General Fund	\$2,217	\$7,150	\$8,079
	Totals, State Operations	\$2,217	\$7,150	\$8,079
	TOTALS, EXPENDITURES			
	State Operations	5,904	22,062	23,176
	Totals, Expenditures	\$5,904	\$22,062	\$23,176

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0870 Office of Tax Appeals - Continued

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	80.0	89.0	89.0	\$4,263	\$7,636	\$7,636
Other Adjustments	-53.2	-	13.0	-1,287	334	1,645
Net Totals, Salaries and Wages	26.8	89.0	102.0	\$2,976	\$7,970	\$9,281
Staff Benefits	-	-	-	1,393	4,156	4,887
Totals, Personal Services	26.8	89.0	102.0	\$4,369	\$12,126	\$14,168
OPERATING EXPENSES AND EQUIPMENT				\$1,535	\$9,936	\$9,008
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$5,904	\$22,062	\$23,176

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$19,951	\$23,176
Allocation for Employee Compensation	-	334	-
Allocation for Other Post-Employment Benefits	-	71	-
Allocation for Staff Benefits	-	119	-
Contracted Fiscal Services Funding Removal	-	-144	-
Section 3.60 Pension Contribution Adjustment	-	81	-
Chapter 16, Statutes of 2017	5,904	-	-
Prior Year Balances Available:			
Chapter 16, Statutes of 2017	-	1,650	-
Totals Available	\$5,904	\$22,062	\$23,176
TOTALS, EXPENDITURES	\$5,904	\$22,062	\$23,176
Total Expenditures, All Funds, (State Operations)	\$5,904	\$22,062	\$23,176

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	80.0	89.0	89.0	\$4,263	\$7,636	\$7,636
Salary and Other Adjustments	-53.2	-	-	-1,287	334	334
Workload and Administrative Adjustments						
OTA Staffing Request						
Assoc Govtl Program Analyst	-	-	2.0	-	-	134
Bus Taxes Spec III	-	-	1.0	-	-	106
Staff Svcs Analyst (Gen)	-	-	3.0	-	-	150
Tax Counsel	-	-	1.0	-	-	92
Tax Counsel III (Spec)	-	-	2.0	-	-	252
Tax Counsel IV	-	-	1.0	-	-	140
Various	-	-	3.0	-	-	437
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	13.0	\$-	\$-	\$1,311

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0870 Office of Tax Appeals - Continued

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Totals, Adjustments	-53.2	-	13.0	\$-1,287	\$334	\$1,645
TOTALS, SALARIES AND WAGES	26.8	89.0	102.0	\$2,976	\$7,970	\$9,281

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0890 Secretary of State

The Secretary of State, a constitutionally established office, is the chief elections officer of the state and is responsible for the administration and enforcement of election laws. The Office also is responsible for administering and enforcing laws pertaining to filing documents associated with corporations, limited liability companies, partnerships, limited partnerships, unincorporated associations and pertaining to filing bonds and perfecting security agreements. In addition, the Office is responsible for commissioning notaries public, enforcing the notary laws, and in conjunction with being the home of the State Archives, administering a state records management program and preserving documents and records having historical significance. The Secretary of State is the filing officer for lobbying and campaign registration and disclosure documents filed under the Political Reform Act. The Secretary of State also operates the Safe at Home program, maintains the Domestic Partners and Advance Health Care Directives registries, provides support functions for the Voting Modernization Board, and is home to the California Museum.

Policy associated with the administration of the Office is accomplished through the divisions of Elections, Political Reform, Business Programs, Archives, Information Technology, and Management Services.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0700	Filings and Registrations	281.1	341.3	341.3	\$53,996	\$75,893	\$73,038
0705	Elections	64.5	69.2	69.2	33,975	187,811	157,530
0710	Archives	28.4	27.2	33.2	8,248	9,058	10,015
0715	DOJ Legal Services	-	-	-	333	633	633
9900100	Administration	122.2	122.5	130.5	20,576	30,714	36,634
9900200	Administration - Distributed	-	-	-	-20,576	-30,714	-36,634
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		496.2	560.2	574.2	\$96,552	\$273,395	\$241,216

		2017-18*	2018-19*	2019-20*
FUNDING				
0001	General Fund	\$35,852	\$184,721	\$137,666
0228	Secretary of States Business Fees Fund	50,330	69,280	67,862
0890	Federal Trust Fund	7,552	12,569	30,673
0942	Special Deposit Fund	164	463	463
0995	Reimbursements	-	225	225
3042	Victims of Corporate Fraud Compensation Fund	1,787	1,530	1,530
3244	Political Disclosure, Accountability, Transparency, and Access Fund	88	1,097	797
3254	Business Programs Modernization Fund	779	3,510	2,000
TOTALS, EXPENDITURES, ALL FUNDS		\$96,552	\$273,395	\$241,216

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

The California Constitution, Article 5, Section 11, Government Code Sections 12159-12179.1.

PROGRAM AUTHORITY

0700-Filings and Registrations:

Corporations Code, Titles 1-3; Government Code, Sections 960.3, 960.4, 1363, 6205-6210, 6215-6216, 6503.5, 6503.7, 6518, 7171, 7220, 7222, 7223, 7225, 7226, 7227, 7229, 8200, 8201, 8201.1, 8201.2, 8201.5, 8202.5, 8203.1-8203.4, 8204.1, 8205, 8206, 8207, 8207.1, 8207.2, 8207.3, 8213, 8213.6, 8214.1, 8214.15, 8214.4, 8214.5, 8214.8, 8219.5, 8220, 8222, 8228, 12160, 12163, 12168, 12168.5, 12168.7, 12176, 12177, 12178.1, 12179.1, 12180, 12181, 12182, 12182.1, 12183-12197, 12260-12263, 23712-23714, 34459-34461, and 53051; Business and Professions Code, Sections 14207, 14209, 14215, 14217, 14220, 14230, 14235, 14240, 14245, 14417, 14427, 14461, 14482, 14483, 14492, 17550 et seq., 18896 et seq., 22441.1, 22442.4, 22443.1, 22443.2, 22908-22912, 22914, 22916, 22917, 22920, 22921, and 22923; Civil Code, Sections 912, 1185, 1189, 1195, 1789.10 et seq., 1812.50 et seq., 1812.80 et seq., 1812.100 et seq., 1812.214, 1812.503., 1812.510, 1812.515, 1812.525, 1812.600, 1812.607, 2945.45, 3344.1, 3440.5, 4225, 4280, 5405 6622, and 6760; Commercial Code, Sections 9501, 9509, 9512-9516, 9518, 9519-9523, and 9525-9528; Code of Civil Procedure, Sections 416.10-416.40, 488.375, 488.405, 488.730, 697.510, 697.550, 697.570, 697.580, 697.640-697.670, 724.060, 2101, and 2103-2105; Family

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0890 Secretary of State - Continued

Code, Sections 297, 297.1, 298, 298.5, 298.6, 298.7, 299, 535, 6345, 17520, and 17523; Financial Code, Sections 1102-1106, 4966, 5403, 5703-5705, 5715, 5716, 5758, 5760, 14100, 14101, 14101.6, 14101.8, 14102.2, 14102.4, 14102.8, 15302, 15304, 15252, 15258, 18101.5, and 18102; Insurance Code, Sections 881, 881.3, 1556, 1560.10, 1560.17, 1560.18, 4093, 4097.11, 4097.18, 4097.19, 5053, 7049, 7084, 7085, 9103, 11542, 11546, and 11547; Food and Agriculture Code, Sections 3951, 3952, 5304, 6047.109, 6047.119, 6273, 6286, 8704, 8757, 54081-54083, 55702, 57405, 57408-57411, 57414, 57512, 57516-57517, 57519, 57530, 57531, 57535, 57537, 57540, 57541, 57545, 57561 et seq., 57590, 57591, and 57595; Probate Code, Sections 4717, 4800-4806, 6389, and 8574; Vehicle Code, Section 40305.5; Penal Code, Sections 4056-4058, 4067, 4206, 4207, 4208 and 4227; Health and Safety Code, Sections 1341, 1341.2, 2043, 2832, 2834, 4927, 9043, 33103, 33103.5, 33104, 33142, 34126, 34117, 51153, 103435, and 123371; California Code of Regulations, Title 2, Sections 20800 et seq., 21000 et seq., 21900 et seq., 22000 et seq., 22100 et seq., 22500 et seq., 22600 et seq., and 22610.1 et seq.

0705-Elections:

California Constitution, Article XVI, Section 1; California Elections Code, Section 10; California Elections Code 9082.7; California Government Code, Sections 12172.5, and 81000 et seq.; Help America Vote Act of 2002 (Public Law 107-252) and other federal elections laws; Government Code, Title 9.

0710-Archives:

Chapter 1, Statutes of 1850; Government Code, Sections 6204, 6268, 9080, 9149.9, 11347.3, 12153, 12174, 12220-12237, and 12270-12279; Civil Code, Sections 1798.24, and 1798.64; Evidence Code, Section 1605, and Military and Veterans Code, Section 1360.

MAJOR PROGRAM CHANGES

- Voting Systems Replacement for Counties—The Budget includes \$87.3 million one-time General Fund for the replacement of voting systems. The funding will be allocated as follows: \$65.7 million for vote center model costs for counties with over 50 precincts; \$3.6 million for polling place costs for counties with 50 or fewer precincts; and \$18 million for county election management system replacement.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Voting Systems Replacement for Counties	\$-	\$-	-	\$87,265	\$-	-
• CAL-ACCESS Replacement Project	-	-	-	6,992	700	-
• New Motor Voter Costs	-	-	-	2,945	-	-
• Voter Outreach and Education for SB 450	-	-	-	1,900	-	-
• Cybersecurity Remediation and Enhancements	-	-	-	742	2,005	4.0
• Records Management Services	-	-	-	660	-	6.0
• National Voter Registration Act Maintenance and Expansion	-	-	-	535	-	3.0
• FI\$Cal Workload Augmentation	-	-	-	395	1,063	7.0
• Risk Limiting Audits (AB 2125)	-	-	-	305	-	-
• Voter Information Internet Web Site (AB 2707)	-	-	-	250	-	-
• Office of Voting Systems Technology Assessment Staffing	-	-	-	165	-	1.0
• Help America Vote Act Spending Plan	-	-	-	-	19,635	-
• California Business Connect Project	-	-	-	-	12,629	-
• Help America Vote Act - VoteCal	-	-	-	-	10,838	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$102,154	\$46,870	21.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	127	287	-	127	287	-

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0890 Secretary of State - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Control Section 3.63 Personal Services Contract	14	40	-	28	80	-
• Salary Adjustments	414	961	-	414	961	-
• Benefit Adjustments	164	375	-	169	389	-
• Retirement Rate Adjustments	103	236	-	103	236	-
• Miscellaneous Baseline Adjustments	-	225	-	-	225	-
• Legislation with an Appropriation	-	1,000	-	-	-	-
• SWCAP	-	-	-	-	-32	-
Totals, Other Workload Budget Adjustments	\$822	\$3,124	-	\$841	\$2,146	-
Totals, Workload Budget Adjustments	\$822	\$3,124	-	\$102,995	\$49,016	21.0
Totals, Budget Adjustments	\$822	\$3,124	-	\$102,995	\$49,016	21.0

PROGRAM DESCRIPTIONS

0700 - FILINGS AND REGISTRATIONS

The Business Programs Division is comprised of three primary areas: Business Entities, Uniform Commercial Code, and Notary Public and Special Filings.

Business Entities examines, files, and maintains formation documents for new California business entities and qualifies out-of-state and international entities to conduct business in California; and also examines, files and maintains changes to the records of domestic or qualified foreign business entities to ensure the business entities are properly formed, merged, converted, amended, and dissolved in compliance with California law. Statements of Information containing key officers and contact information are also processed and maintained for public and governmental use.

Uniform Commercial Code files certain financing statements allowing lending institutions to protect their financial interest in personal property and established priority in case of debtor default or bankruptcy. Notices of judgment liens, attachment liens, agricultural liens, and equipment liens are also filed in Uniform Commercial Code, as well as notices of various tax liens submitted by state and federal taxing agencies such as the Franchise Tax Board and the Internal Revenue Service.

Notary Public and Special Filings appoints and commissions qualified notaries public for four- year terms, reviews and approves notary public education courses, and certifies to the authenticity of signatures of notaries public and public officials on documents for use in foreign countries. Special Filings include trademarks and service marks as well as surety bonds that certain business entities are required to file, notices of joint power agreements, city and county charters, and claims for successor-in-interest. This area also has disciplinary functions with regard to notaries public and immigration consultants.

The Advance Health Care Directive (AHCD) Registry maintains AHCDs, which allow a person to indicate his/her medical treatment preferences if he/she cannot speak or make decisions for him/herself. The AHCD also may designate someone else to make decisions regarding medical treatment. AHCDs and related information can be provided upon request to the registrant's health care provider, public guardian, or legal representative.

The Domestic Partners Registry registers same-sex couples regardless of the age of the partners, and opposite-sex couples in which one partner is at least 62 years old, as domestic partners in California.

The Victims of Corporate Fraud Compensation Fund provides limited restitution to victims of corporate fraud who are unable to collect through other means on a judgment against a corporation for corporate fraud.

Safe at Home is a confidential mail forwarding program designed to assist victims of domestic violence and human trafficking, sexual assault, stalking, or a reproductive healthcare employee, patient, or volunteer. Participants in the Safe at Home program are provided with a free post office box to use as a substitute mailing address, thereby protecting their actual residential address. Once enrolled into the program, Safe at Home serves as a liaison for those participants who qualify for additional confidential services offered by various state and local agencies.

0705 - ELECTIONS

The Secretary of State, as California's chief elections officer, has broad responsibility to administer the election process. This program oversees all federal and state elections within California, maintaining a statewide database of all registered voters, tracks and certifies ballot measures, certifies candidates, and certifies election results. In addition, the program oversees the

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0890 Secretary of State - Continued

modernization of voting equipment and the improvement of current electoral database systems, enhancing the voting processes, providing accessibility to voters, working to increase people's knowledge of the electoral system, and investigates election related criminal violations as provided by California Elections Code.

This program includes the campaign and lobbying registration and disclosure provisions of the Political Reform Act of 1974. Under this law, the Office registers all levels of campaign committees, and reviews for compliance with statutory requirements all state-level candidate and campaign recipient committees, major donors, slate mailer, political party, and independent expenditure committees. The program registers lobbyists (including placement agents), lobbying firms and lobbyist employers, reviews for compliance with statutory requirements the periodic financial disclosure reports filed by lobbying entities, and publishes a Lobbying Directory on the Internet. This program also supports the electronic filing requirements of the Political Reform Act and posts relevant registration and disclosure information to the Office's web site so the public can track where campaign money is raised and spent and what lobbying activity is occurring in state government. A searchable database of campaign and lobbying financial activity is also provided through the web site.

0710 - ARCHIVES

The California State Archives establishes and administers a records management program that applies efficient and economical management methods to the creation, utilization, maintenance, retention, preservation, and disposal of state records and acquires, indexes, preserves, and provides reference access to irreplaceable historic materials from the three branches of state government. The Archives acquires physical and electronic records with legal, administrative or evidentiary value, or that have historical significance. Materials are transferred to the Archives for permanent preservation.

9900 - ADMINISTRATION

This program develops and manages overall policy. It serves as the liaison to other state agencies, the Legislature, the federal government, and other states' Secretaries of State. This program responds to constituent correspondence and requests from the public and advocates in the Legislature, administers the Secretary's role as a member of the National Association of Secretaries of State, in the management of task forces and advisory committees, in the implementation of new federal and state statutory requirements, and coordinates and disseminates information about the Office and its initiatives to the public. It also provides the Office with the necessary personnel, general administrative, budgeting, fiscal services, and information technology expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions.

DETAILED EXPENDITURES BY PROGRAM

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
	PROGRAM REQUIREMENTS			
0700	FILINGS AND REGISTRATIONS			
	State Operations:			
0001	General Fund	\$1,100	\$1,573	\$1,646
0228	Secretary of States Business Fees Fund	50,330	69,280	67,862
3042	Victims of Corporate Fraud Compensation Fund	1,787	1,530	1,530
3254	Business Programs Modernization Fund	779	3,510	2,000
	Totals, State Operations	\$53,996	\$75,893	\$73,038
	PROGRAM REQUIREMENTS			
0705	ELECTIONS			
	State Operations:			
0001	General Fund	\$26,007	\$39,334	\$36,832
0890	Federal Trust Fund	6,302	8,441	10,709
0942	Special Deposit Fund	164	463	463
3244	Political Disclosure, Accountability, Transparency, and Access Fund	88	1,097	797
	Totals, State Operations	\$32,561	\$49,335	\$48,801
	Local Assistance:			
0001	General Fund	\$164	\$134,348	\$88,765
0890	Federal Trust Fund	1,250	4,128	19,964
	Totals, Local Assistance	\$1,414	\$138,476	\$108,729
	PROGRAM REQUIREMENTS			

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0890 Secretary of State - Continued

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
0710	ARCHIVES			
	State Operations:			
0001	General Fund	\$8,248	\$8,833	\$9,790
0995	Reimbursements	-	225	225
	Totals, State Operations	<u>\$8,248</u>	<u>\$9,058</u>	<u>\$10,015</u>
	PROGRAM REQUIREMENTS			
0715	DOJ LEGAL SERVICES			
	State Operations:			
0001	General Fund	\$333	\$633	\$633
	Totals, State Operations	<u>\$333</u>	<u>\$633</u>	<u>\$633</u>
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0001	General Fund	\$20,576	\$30,714	\$35,134
	Totals, State Operations	<u>\$20,576</u>	<u>\$30,714</u>	<u>\$35,134</u>
	Local Assistance:			
0001	General Fund	\$-	\$-	\$1,500
	Totals, Local Assistance	<u>\$-</u>	<u>\$-</u>	<u>\$1,500</u>
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$20,576	-\$30,714	-\$35,134
	Totals, State Operations	<u>-\$20,576</u>	<u>-\$30,714</u>	<u>-\$35,134</u>
	Local Assistance:			
0001	General Fund	\$-	\$-	-\$1,500
	Totals, Local Assistance	<u>\$-</u>	<u>\$-</u>	<u>-\$1,500</u>
	TOTALS, EXPENDITURES			
	State Operations	95,138	134,919	132,487
	Local Assistance	1,414	138,476	108,729
	Totals, Expenditures	<u>\$96,552</u>	<u>\$273,395</u>	<u>\$241,216</u>

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PERSONAL SERVICES						
Baseline Positions	494.2	560.1	553.1	\$28,948	\$36,365	\$33,128
Other Adjustments	2.0	-	21.0	2,364	1,375	7,521
Net Totals, Salaries and Wages	<u>496.2</u>	<u>560.2</u>	<u>574.2</u>	<u>\$31,312</u>	<u>\$37,740</u>	<u>\$40,649</u>
Staff Benefits	-	-	-	17,164	19,803	21,361
Totals, Personal Services	<u>496.2</u>	<u>560.2</u>	<u>574.2</u>	<u>\$48,476</u>	<u>\$57,543</u>	<u>\$62,010</u>
OPERATING EXPENSES AND EQUIPMENT				\$46,157	\$77,376	\$70,477
SPECIAL ITEMS OF EXPENSES				505	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				<u>\$95,138</u>	<u>\$134,919</u>	<u>\$132,487</u>

	2 Local Assistance		
	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Consulting and Professional Services - External - Other	\$164	\$-	\$-

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0890 Secretary of State - Continued

2 Local Assistance	Expenditures		
	2017-18*	2018-19*	2019-20*
Grants and Subventions - Governmental	1,250	138,476	108,729
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,414	\$138,476	\$108,729

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$35,590	\$49,551	\$48,901
Allocation for Employee Compensation	-	414	-
Allocation for Other Post-Employment Benefits	-	127	-
Allocation for Staff Benefits	-	164	-
Control Section 3.63 Personal Services Contract	-	14	-
Section 3.60 Pension Contribution Adjustment	-	103	-
Chapter 180, Statutes of 2017	98	-	-
Totals Available	\$35,688	\$50,373	\$48,901
TOTALS, EXPENDITURES	\$35,688	\$50,373	\$48,901
0228 Secretary of States Business Fees Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$50,330	\$67,388	\$67,862
Allocation for Employee Compensation	-	957	-
Allocation for Other Post-Employment Benefits	-	286	-
Allocation for Staff Benefits	-	374	-
Control Section 3.63 Personal Services Contract	-	40	-
Section 3.60 Pension Contribution Adjustment	-	235	-
Totals Available	\$50,330	\$69,280	\$67,862
TOTALS, EXPENDITURES	\$50,330	\$69,280	\$67,862
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,302	\$8,441	\$10,709
Totals Available	\$6,302	\$8,441	\$10,709
TOTALS, EXPENDITURES	\$6,302	\$8,441	\$10,709
0942 Special Deposit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$164	\$463	\$463
Totals Available	\$164	\$463	\$463
TOTALS, EXPENDITURES	\$164	\$463	\$463
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	-	\$225	\$225
TOTALS, EXPENDITURES	-	\$225	\$225
3042 Victims of Corporate Fraud Compensation Fund			
APPROPRIATIONS			
Corporations Code section 2280	\$1,787	\$1,530	\$1,530
TOTALS, EXPENDITURES	\$1,787	\$1,530	\$1,530
3244 Political Disclosure, Accountability, Transparency, and Access Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$88	\$1,090	\$797
Allocation for Employee Compensation	-	4	-

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0890 Secretary of State - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	<u>\$88</u>	<u>\$1,097</u>	<u>\$797</u>
TOTALS, EXPENDITURES	<u>\$88</u>	<u>\$1,097</u>	<u>\$797</u>
3254 Business Programs Modernization Fund			
APPROPRIATIONS			
001 Budget Act Appropriation	\$779	\$3,510	\$2,000
Totals Available	<u>\$779</u>	<u>\$3,510</u>	<u>\$2,000</u>
TOTALS, EXPENDITURES	<u>\$779</u>	<u>\$3,510</u>	<u>\$2,000</u>
Total Expenditures, All Funds, (State Operations)	<u>\$95,138</u>	<u>\$134,919</u>	<u>\$132,487</u>
2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$134,348	\$88,765
Chapter 180, Statutes of 2017	164	-	-
Totals Available	<u>\$164</u>	<u>\$134,348</u>	<u>\$88,765</u>
TOTALS, EXPENDITURES	<u>\$164</u>	<u>\$134,348</u>	<u>\$88,765</u>
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,250	\$3,128	\$19,964
As amended by Chapter 451, Statutes of 2018 (Section 8)	-	1,000	-
Totals Available	<u>\$1,250</u>	<u>\$4,128</u>	<u>\$19,964</u>
TOTALS, EXPENDITURES	<u>\$1,250</u>	<u>\$4,128</u>	<u>\$19,964</u>
Total Expenditures, All Funds, (Local Assistance)	<u>\$1,414</u>	<u>\$138,476</u>	<u>\$108,729</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	<u>\$96,552</u>	<u>\$273,395</u>	<u>\$241,216</u>

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
0228 Secretary of States Business Fees Fund^S			
BEGINNING BALANCE	\$999	\$1,000	\$1,000
Prior Year Adjustments	589	-	-
Adjusted Beginning Balance	<u>\$1,588</u>	<u>\$1,000</u>	<u>\$1,000</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4120800 Corporation Fees - Domestic Corporations	11,329	10,990	10,990
4121000 Corporation Fees - Foreign Corporations	1,262	1,254	1,254
4122800 Filing Financing Statements	2,371	2,358	2,354
4125800 Notary Public License Fees	976	960	960
4129200 Other Regulatory Fees	11,716	10,011	10,011
4145500 Secretary of State - Fees	37,003	35,548	35,540
4163000 Investment Income - Surplus Money Investments	331	179	179
4171000 Cost Recoveries - Delinquent Receivables	23	26	26
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	63	-	-
4172500 Miscellaneous Revenue	22,738	22,000	22,000
Transfers and Other Adjustments			
Revenue Transfer from the Business Fees Fund (0228) to the General Fund (0001) per Government Code Section 12176.	-35,664	-11,310	-11,608

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0890 Secretary of State - Continued

	2017-18*	2018-19*	2019-20*
Total Revenues, Transfers, and Other Adjustments	\$52,148	\$72,016	\$71,706
Total Resources	\$53,736	\$73,016	\$72,706
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	50,330	69,280	67,862
8880 Financial Information System for California (State Operations)	63	5	-5
9892 Supplemental Pension Payments (State Operations)	-	354	1,087
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	2,343	2,377	2,762
Total Expenditures and Expenditure Adjustments	\$52,736	\$72,016	\$71,706
FUND BALANCE	\$1,000	\$1,000	\$1,000
Reserve for economic uncertainties	1,000	1,000	1,000
3042 Victims of Corporate Fraud Compensation Fund^s			
BEGINNING BALANCE	\$13,233	\$13,796	\$14,033
Prior Year Adjustments	200	-	-
Adjusted Beginning Balance	\$13,433	\$13,796	\$14,033
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	2,020	1,800	1,800
4163000 Investment Income - Surplus Money Investments	178	44	44
Total Revenues, Transfers, and Other Adjustments	\$2,198	\$1,844	\$1,844
Total Resources	\$15,631	\$15,640	\$15,877
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	1,787	1,530	1,530
8880 Financial Information System for California (State Operations)	2	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	46	77	89
Total Expenditures and Expenditure Adjustments	\$1,835	\$1,607	\$1,619
FUND BALANCE	\$13,796	\$14,033	\$14,258
Reserve for economic uncertainties	13,796	14,033	14,258
3244 Political Disclosure, Accountability, Transparency, and Access Fund^s			
BEGINNING BALANCE	\$812	\$1,254	\$518
Prior Year Adjustments	-4	-	-
Adjusted Beginning Balance	\$808	\$1,254	\$518
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	434	434	434
4163000 Investment Income - Surplus Money Investments	23	17	17
4173000 Penalty Assessments - Other	82	82	82
Total Revenues, Transfers, and Other Adjustments	\$539	\$533	\$533
Total Resources	\$1,347	\$1,787	\$1,051
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	88	1,097	797
8880 Financial Information System for California (State Operations)	2	-	-
9892 Supplemental Pension Payments (State Operations)	-	1	4
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	3	171	6
Total Expenditures and Expenditure Adjustments	\$93	\$1,269	\$807
FUND BALANCE	\$1,254	\$518	\$244
Reserve for economic uncertainties	1,254	518	244
3254 Business Programs Modernization Fund^s			

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0890 Secretary of State - Continued

	2017-18*	2018-19*	2019-20*
BEGINNING BALANCE	\$3,639	\$4,841	\$2,967
Prior Year Adjustments	19	-	-
Adjusted Beginning Balance	<u>\$3,658</u>	<u>\$4,841</u>	<u>\$2,967</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	2,020	1,800	1,800
4163000 Investment Income - Surplus Money Investments	56	10	10
Total Revenues, Transfers, and Other Adjustments	<u>\$2,076</u>	<u>\$1,810</u>	<u>\$1,810</u>
Total Resources	<u>\$5,734</u>	<u>\$6,651</u>	<u>\$4,777</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	779	3,510	2,000
8880 Financial Information System for California (State Operations)	2	-	-
9892 Supplemental Pension Payments (State Operations)	-	6	12
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	112	168	175
Total Expenditures and Expenditure Adjustments	<u>\$893</u>	<u>\$3,684</u>	<u>\$2,187</u>
FUND BALANCE	<u>\$4,841</u>	<u>\$2,967</u>	<u>\$2,590</u>
Reserve for economic uncertainties	4,841	2,967	2,590

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	494.2	560.1	553.1	\$28,948	\$36,365	\$33,128
Salary and Other Adjustments	2.0	-	-	2,364	1,375	1,375
Workload and Administrative Adjustments						
CAL-ACCESS Replacement Project						
Assoc Govtl Program Analyst	-	-	-	-	-	134
Atty	-	-	-	-	-	92
Info Tech Spec I	-	-	-	-	-	166
Info Tech Spec II	-	-	-	-	-	95
Research Data Analyst II	-	-	-	-	-	71
California Business Connect Project						
Temporary Help	-	-	-	-	-	344
Various	-	-	-	-	-	1,709
Cybersecurity Remediation and Enhancements						
Info Tech Spec I	-	-	1.0	-	-	80
Info Tech Spec II	-	-	3.0	-	-	286
FI\$Cal Workload Augmentation						
Accounting Administrator I (Supvr)	-	-	1.0	-	-	80
Accounting Administrator II	-	-	-	-	-	87
Assoc Accounting Analyst	-	-	1.0	-	-	282
Assoc Govtl Program Analyst	-	-	3.0	-	-	202
Staff Svcs Mgr I	-	-	1.0	-	-	80
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	87
Help America Vote Act - VoteCal						
Various	-	-	-	-	-	907
Help America Vote Act Spending Plan						
Various	-	-	-	-	-	726
National Voter Registration Act Maintenance and						

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0890 Secretary of State - Continued

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Expansion						
Assoc Govtl Program Analyst	-	-	3.0	-	-	202
Office of Voting Systems Technology Assessment Staffing						
Info Tech Spec II	-	-	1.0	-	-	95
Records Management Services						
Assoc Govtl Program Analyst	-	-	3.0	-	-	201
Office Asst (Typing)	-	-	1.0	-	-	35
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	50
Staff Svcs Mgr I	-	-	1.0	-	-	80
Risk Limiting Audits (AB 2125)						
Temporary Help	-	-	-	-	-	55
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	21.0	\$-	\$-	\$6,146
Totals, Adjustments	2.0	-	21.0	\$2,364	\$1,375	\$7,521
TOTALS, SALARIES AND WAGES	496.2	560.1	574.2	\$31,312	\$37,740	\$40,649

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0911 Citizens Redistricting Initiative

The "Voters First Act" (Proposition 11) and the "Voters First Act for Congress" (Proposition 20) reformed the redistricting process and established an independent 14-member Citizens Redistricting Commission to draw the decennial district boundaries for California's Congressional delegation, state Senate, state Assembly, and Board of Equalization.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0730 Support	0.5	0.5	0.5	\$92	\$90	\$-
0731 Citizens Redistricting Commission	-	-	-	-	-	12,514
0732 Post Redistricting Process	-	-	-	-	-	4,297
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	0.5	0.5	0.5	\$92	\$90	\$16,811
FUNDING	2017-18*		2018-19*		2019-20*	
0001 General Fund	\$92		\$90		\$16,811	
TOTALS, EXPENDITURES, ALL FUNDS	\$92		\$90		\$16,811	

LEGAL CITATIONS AND AUTHORITY

Government Code Title 2, Division 1, Chapter 3.2, Sections 8251 to 8253.6, as added by Proposition 11, with amendments as a result of Proposition 20.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Statewide Outreach Program for the Redistricting Process	\$-	\$-	-	\$16,722	\$-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$16,722	\$-	-
Other Workload Budget Adjustments						
• Salary Adjustments	2	-	-	2	-	-
• Benefit Adjustments	1	-	-	1	-	-
• Miscellaneous Baseline Adjustments	-10	-	-	-10	-	-
Totals, Other Workload Budget Adjustments	\$-7	\$-	-	\$-7	\$-	-
Totals, Workload Budget Adjustments	\$-7	\$-	-	\$16,715	\$-	-
Totals, Budget Adjustments	\$-7	\$-	-	\$16,715	\$-	-

PROGRAM DESCRIPTIONS

0730 - SUPPORT

The Citizens Redistricting Commission shall draw California's new congressional and state Assembly, Senate, and Board of Equalization districts following the completion of the decennial census. The districts shall be based on strict, non-partisan rules delineated in California's Constitution that are designed to ensure fair representation and shall be defended by the Commission as the sole legal defender.

DETAILED EXPENDITURES BY PROGRAM

	2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0911 Citizens Redistricting Initiative - Continued

		2017-18*	2018-19*	2019-20*
0730	SUPPORT			
	State Operations:			
0001	General Fund	\$92	\$90	\$-
	Totals, State Operations	\$92	\$90	\$-
	PROGRAM REQUIREMENTS			
0731	CITIZENS REDISTRICTING COMMISSION			
	State Operations:			
0001	General Fund	\$-	\$-	\$12,514
	Totals, State Operations	\$-	\$-	\$12,514
	PROGRAM REQUIREMENTS			
0732	POST REDISTRICTING PROCESS			
	State Operations:			
0001	General Fund	\$-	\$-	\$4,297
	Totals, State Operations	\$-	\$-	\$4,297
	TOTALS, EXPENDITURES			
	State Operations	92	90	16,811
	Totals, Expenditures	\$92	\$90	\$16,811

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	0.5	0.5	0.5	\$41	\$41	\$41
Other Adjustments	-	-	-	4	2	2
Net Totals, Salaries and Wages	0.5	0.5	0.5	\$45	\$43	\$43
Staff Benefits	-	-	-	23	26	25
Totals, Personal Services	0.5	0.5	0.5	\$68	\$69	\$68
OPERATING EXPENSES AND EQUIPMENT				\$24	\$21	\$16,743
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$92	\$90	\$16,811

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$92	\$97	\$16,811
Allocation for Employee Compensation	-	2	-
Allocation for Staff Benefits	-	1	-
Contracted Fiscal Services Funding Removal	-	-10	-
Totals Available	\$92	\$90	\$16,811
TOTALS, EXPENDITURES	\$92	\$90	\$16,811
Total Expenditures, All Funds, (State Operations)	\$92	\$90	\$16,811

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0911 Citizens Redistricting Initiative - Continued

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	0.5	0.5	0.5	\$41	\$41	\$41
Salary and Other Adjustments	-	-	-	4	2	2
Totals, Adjustments	-	-	-	\$4	\$2	\$2
TOTALS, SALARIES AND WAGES	0.5	0.5	0.5	\$45	\$43	\$43

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0950 State Treasurer

The State Treasurer, a constitutionally established office, provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the state; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants or checks drawn by the State Controller and other state agencies.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0740010 Investment Services	17.1	17.0	17.0	\$4,062	\$3,982	\$3,984
0740019 Centralized Treasury & Securities Management	52.8	63.0	63.0	12,615	15,253	15,256
0740028 Public Finance	55.1	53.1	52.3	15,384	17,823	12,572
0740035 Administration	96.1	87.9	82.9	5,162	6,559	5,310
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	221.1	221.0	215.2	\$37,223	\$43,617	\$37,122
FUNDING		2017-18*		2018-19*		2019-20*
0001 General Fund		\$3,807		\$5,913		\$12,401
0995 Reimbursements		30,132		34,129		21,377
6084 No Place Like Home Fund		277		295		-
9740 Central Service Cost Recovery Fund		3,007		3,280		3,344
TOTALS, EXPENDITURES, ALL FUNDS		\$37,223		\$43,617		\$37,122

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution Article XVI, Sections 1.5 and 7; and Government Code Sections 5700-5703, 12300-12333, 16300, 16586, 16650-16857.

MAJOR PROGRAM CHANGES

- Funding Realignment - The Budget includes \$7,000,000 General Fund and a decrease in reimbursements by a like amount to realign the funding structure of the State Treasurer's Office. Additionally, it adopts budget bill language to require the department to examine the appropriate size of existing programs, staff, and workload moving forward.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Funding Realignment	\$-	\$-	-	\$7,000	\$-7,000	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$7,000	\$-7,000	-
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	32	187	-	32	187	-
• Salary Adjustments	106	669	-	106	669	-
• Benefit Adjustments	41	258	-	42	265	-
• Retirement Rate Adjustments	26	163	-	26	163	-
• Miscellaneous Baseline Adjustments	-	295	-	-63	63	-
Totals, Other Workload Budget Adjustments	\$205	\$1,572	-	\$143	\$1,347	-
Totals, Workload Budget Adjustments	\$205	\$1,572	-	\$7,143	\$-5,653	-

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0950 State Treasurer - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Budget Adjustments	\$205	\$1,572	-	\$7,143	\$-5,653	-

PROGRAM DESCRIPTIONS

0740010 - INVESTMENT SERVICES

The Investment Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 2017-18 fiscal year, this Division handled 8,573 security investment transactions totaling \$392 billion. The Pooled Money Investment Board (PMIB) program accounted for 6,945 of these transactions totaling \$346 billion; time deposits accounted for 1,256 transactions totaling \$32.2 billion. The remaining \$13.8 billion is invested on behalf of the state's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Wildlife, the state's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a voluntary investment program created to offer California local agencies greater access to the financial markets through the PMIB program. In the 2017-18 fiscal year, 2,438 local agencies participated in LAIF, with deposits averaging \$21.4 billion for the fiscal year.

0740019 - CENTRALIZED TREASURY AND SECURITIES MANAGEMENT

In 1949, the California Legislature amended Government Code Section 16305 to create the Centralized Treasury System (CTS) thereby requiring agencies of the State to deposit their money in trust with the Treasurer. The legislation also requires the Treasurer to safeguard the money and make safe and prudent investments. In 1955, the Legislature created the PMIB, giving the Board the responsibility to designate the amount of money available for the investment in securities, bank deposits, and loans to the General Fund.

The Centralized Treasury and Securities Management Division (CTSMD) oversees all banking aspects of the CTS. The goal of the CTSMD is to maximize the earning of interest consistent with safe and prudent treasury management, and to ensure that the depository banks provide the state with proper and adequate security for the deposit of state monies. The Treasurer maintains demand bank accounts with eight banks for the purpose of providing necessary statewide depository coverage for the remittance of funds collected by the various state agencies.

The CTSMD 1) monitors the cash flow of all state funds, forecasts cash balances, revenue, expenditures, and the amounts available for daily investments, 2) ensures accurate and timely agency deposits, 3) administers and executes the wire transfer of funds, 4) reconciles state accounts with depository banks and redeems all state items submitted by presenting banks for payments, 5) executes the clearance and income collection for state investments (excluding PERS and STRS) and securities pledged to the state, 6) fulfills the clearance and settlement of securities pledged to the state for the time, demand, and other state agency programs, and 7) is responsible for the safekeeping of securities and other personal property owned by or pledged to the state.

0740028 - PUBLIC FINANCE

The Public Finance Division is responsible for selling State of California general obligation bonds, commercial paper notes, revenue anticipation notes, lease revenue bonds, revenue bonds, and any other indebtedness for all state agencies. The Division provides debt administration services for most state bonds and is responsible for disseminating information to bondholders through the Investor Relations Program.

The Division also assures compliance with federal tax laws applicable to state debt so there is no adverse consequences to bondholders or increased borrowing costs to the state. The post-issuance tax compliance for various state debt includes monitoring the use of bond proceeds, managing rebate and yield restriction compliance, coordinating necessary financial disclosure, and ensuring proper record keeping.

0740035 - ADMINISTRATION AND INFORMATION SERVICES

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations.

DETAILED EXPENDITURES BY PROGRAM

PROGRAM REQUIREMENTS	2017-18*	2018-19*	2019-20*
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* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued

		2017-18*	2018-19*	2019-20*
0740	STATE TREASURER'S OFFICE			
	State Operations:			
0001	General Fund	\$3,807	\$5,913	\$12,401
0995	Reimbursements	30,132	34,129	21,377
6084	No Place Like Home Fund	277	295	-
9740	Central Service Cost Recovery Fund	3,007	3,280	3,344
	Totals, State Operations	\$37,223	\$43,617	\$37,122
	SUBPROGRAM REQUIREMENTS			
0740010	Investment Services			
	State Operations:			
0001	General Fund	\$328	\$478	\$891
0995	Reimbursements	3,217	3,148	2,729
9740	Central Service Cost Recovery Fund	517	356	364
	Totals, State Operations	\$4,062	\$3,982	\$3,984
	SUBPROGRAM REQUIREMENTS			
0740019	Centralized Treasury & Securities Management			
	State Operations:			
0001	General Fund	\$1,336	\$2,453	\$6,421
0995	Reimbursements	10,101	11,052	7,054
9740	Central Service Cost Recovery Fund	1,178	1,748	1,781
	Totals, State Operations	\$12,615	\$15,253	\$15,256
	SUBPROGRAM REQUIREMENTS			
0740028	Public Finance			
	State Operations:			
0001	General Fund	\$1,271	\$1,468	\$4,025
0995	Reimbursements	12,524	14,884	7,348
6084	No Place Like Home Fund	277	295	-
9740	Central Service Cost Recovery Fund	1,312	1,176	1,199
	Totals, State Operations	\$15,384	\$17,823	\$12,572
	SUBPROGRAM REQUIREMENTS			
0740035	Administration			
	State Operations:			
0001	General Fund	\$872	\$1,514	\$1,064
0995	Reimbursements	4,290	5,045	4,246
	Totals, State Operations	\$5,162	\$6,559	\$5,310
	TOTALS, EXPENDITURES			
	State Operations	37,223	43,617	37,122
	Totals, Expenditures	\$37,223	\$43,617	\$37,122

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	220.6	221.0	215.2	\$18,015	\$18,449	\$17,898
Other Adjustments	0.5	-	-	-268	775	775
Net Totals, Salaries and Wages	221.1	221.0	215.2	\$17,747	\$19,224	\$18,673
Staff Benefits	-	-	-	8,933	12,059	11,767
Totals, Personal Services	221.1	221.0	215.2	\$26,680	\$31,283	\$30,440

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
OPERATING EXPENSES AND EQUIPMENT				\$10,543	\$12,334	\$6,682
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$37,223	\$43,617	\$37,122

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,807	\$5,708	\$12,401
Allocation for Employee Compensation	-	106	-
Allocation for Other Post-Employment Benefits	-	32	-
Allocation for Staff Benefits	-	41	-
Section 3.60 Pension Contribution Adjustments	-	26	-
Totals Available	\$3,807	\$5,913	\$12,401
TOTALS, EXPENDITURES	\$3,807	\$5,913	\$12,401
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$30,132	\$34,129	\$21,377
TOTALS, EXPENDITURES	\$30,132	\$34,129	\$21,377
6084 No Place Like Home Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$311	-	-
Prior Year Balances Available:			
Welfare and Institutions Code section 5849.4(a)	-34	295	-
Totals Available	\$277	\$295	-
TOTALS, EXPENDITURES	\$277	\$295	-
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,007	\$3,129	\$3,344
Allocation for Employee Compensation	-	79	-
Allocation for Other Post-Employment Benefits	-	23	-
Allocation for Staff Benefits	-	30	-
Section 3.60 Pension Contribution Adjustments	-	19	-
TOTALS, EXPENDITURES	\$3,007	\$3,280	\$3,344
Total Expenditures, All Funds, (State Operations)	\$37,223	\$43,617	\$37,122

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
0467 State Notes Expense Account^s			
BEGINNING BALANCE	\$250	\$250	\$250
Adjusted Beginning Balance	\$250	\$250	\$250
Total Resources	\$250	\$250	\$250
FUND BALANCE	\$250	\$250	\$250
Reserve for economic uncertainties	250	250	250
3059 Fiscal Recovery Fund^s			
BEGINNING BALANCE	\$171,531	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued

	2017-18*	2018-19*	2019-20*
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	<u>\$171,530</u>	<u>-</u>	<u>-</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	382	-	-
Transfers and Other Adjustments			
Revenue Transfer from Fiscal Recovery Fund (3059) to General Fund (0001) per Government Code Section 99010	-171,912	-	-
Total Revenues, Transfers, and Other Adjustments	<u>-\$171,530</u>	<u>-</u>	<u>-</u>
FUND BALANCE	-	-	-

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	220.6	221.0	215.2	\$18,015	\$18,449	\$17,898
Salary and Other Adjustments	0.5	-	-	-268	775	775
Totals, Adjustments	<u>0.5</u>	<u>-</u>	<u>-</u>	<u>\$-268</u>	<u>\$775</u>	<u>\$775</u>
TOTALS, SALARIES AND WAGES	<u>221.1</u>	<u>221.0</u>	<u>215.2</u>	<u>\$17,747</u>	<u>\$19,224</u>	<u>\$18,673</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board

The ScholarShare Investment Board administers the Golden State ScholarShare College Savings Trust Program (ScholarShare 529), the Governor's Scholarship Programs (GSP), and the California Memorial Scholarship Program (CMS). ScholarShare 529 is a way for families to save for higher education costs using a tax-advantaged 529 college savings plan. GSP provided scholarships to students who attained certain scores on state tests. CMS provides scholarships to surviving dependents of California residents killed in the terrorist attacks of September 11, 2001.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0780	Golden State Scholarshare Trust Program	8.2	9.0	9.0	\$1,748	\$2,714	\$27,671
0785	Governor's Scholarship Program	1.0	1.0	1.0	160	239	239
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		9.2	10.0	10.0	\$1,908	\$2,953	\$27,910
FUNDING		2017-18*			2018-19*		
0001	General Fund			\$160		\$239	\$25,239
0564	Scholarshare Administrative Fund			1,748		2,714	2,671
TOTALS, EXPENDITURES, ALL FUNDS		\$1,908			\$2,953		

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

0780-Golden State ScholarShare College Savings Trust Program:

Education Code Sections 69980 to 69996.6.

0785-Governor's Scholarship Programs:

Education Code Sections 69999.6 to 69999.8.

0790-California Memorial Scholarship Programs:

Education Code Sections 70010 to 70011.9, and Vehicle Code Section 5066.

MAJOR PROGRAM CHANGES

- California Kids Investment and Development Savings Program—An increase of \$25 million one-time General Fund to support 529 college savings accounts for eligible low-income California children born on or after July 1, 2020.

DETAILED BUDGET ADJUSTMENTS

		2018-19*			2019-20*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments							
Workload Budget Change Proposals							
• Statewide Child Savings Account Program		\$-	\$-	-	\$25,000	\$-	-
Totals, Workload Budget Change Proposals		\$-	\$-	-	\$25,000	\$-	-
Other Workload Budget Adjustments							
• Other Post-Employment Benefit Adjustments		1	7	-	1	7	-
• Salary Adjustments		2	27	-	2	27	-
• Benefit Adjustments		1	9	-	1	10	-
• Retirement Rate Adjustments		1	6	-	1	6	-
Totals, Other Workload Budget Adjustments		\$5	\$49	-	\$5	\$50	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Workload Budget Adjustments	\$5	\$49	-	\$25,005	\$50	-
Totals, Budget Adjustments	\$5	\$49	-	\$25,005	\$50	-

PROGRAM DESCRIPTIONS

0780 - GOLDEN STATE SCHOLARSHARE COLLEGE SAVINGS TRUST PROGRAM

The Golden State ScholarShare College Savings Trust Program is California's state-sponsored 529 college savings plan established to help families save for higher education. Earnings are tax-free when used for qualified higher education expenses (such as tuition, fees, supplies, books, computer equipment, and certain room and board expenses) at eligible educational institutions.

0785 - GOVERNOR'S SCHOLARSHIP PROGRAMS

The Governor's Scholarship Programs (GSP) rewarded public school students who demonstrated high academic achievement with scholarships. Students may have earned multiple \$1,000 Governor's Scholars Awards and a one-time \$2,500 Governor's Distinguished Mathematics and Science Scholars Award for scores attained on tests taken in 2000, 2001, and 2002. GSP became inoperative and was repealed after 2002. The ScholarShare Investment Board administers scholarship awards that were already earned.

0790 - CALIFORNIA MEMORIAL SCHOLARSHIP PROGRAM

The California Memorial Scholarship Program provides scholarships to surviving dependents of California residents killed as a result of the terrorist attacks of September 11, 2001.

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0780	GOLDEN STATE SCHOLARSHARE TRUST PROGRAM			
	State Operations:			
0564	Scholarshare Administrative Fund	1,748	2,714	2,671
	Totals, State Operations	\$1,748	\$2,714	\$2,671
	Local Assistance:			
0001	General Fund	\$-	\$-	\$25,000
	Totals, Local Assistance	\$-	\$-	\$25,000
PROGRAM REQUIREMENTS				
0785	GOVERNOR'S SCHOLARSHIP PROGRAM			
	State Operations:			
0001	General Fund	\$160	\$239	\$239
	Totals, State Operations	\$160	\$239	\$239
TOTALS, EXPENDITURES				
	State Operations	1,908	2,953	2,910
	Local Assistance	-	-	25,000
	Totals, Expenditures	\$1,908	\$2,953	\$27,910

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES							

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	10.0	10.0	10.0	\$760	\$761	\$761
Other Adjustments	-0.8	-	-	-97	29	29
Net Totals, Salaries and Wages	9.2	10.0	10.0	\$663	\$790	\$790
Staff Benefits	-	-	-	315	379	380
Totals, Personal Services	9.2	10.0	10.0	\$978	\$1,169	\$1,170
OPERATING EXPENSES AND EQUIPMENT				\$930	\$1,919	\$1,875
SPECIAL ITEMS OF EXPENSES				-	-135	-135
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,908	\$2,953	\$2,910

2 Local Assistance	Expenditures		
	2017-18*	2018-19*	2019-20*
Grants and Subventions - Governmental	\$-	\$-	\$25,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$-	\$-	\$25,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$160	\$234	\$239
Allocation for Employee Compensation	-	2	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	\$160	\$239	\$239
TOTALS, EXPENDITURES	\$160	\$239	\$239
0564 Scholarshare Administrative Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,748	\$2,665	\$2,671
Allocation for Employee Compensation	-	27	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	-	9	-
Section 3.60 Pension Contribution Adjustment	-	6	-
Totals Available	\$1,748	\$2,714	\$2,671
TOTALS, EXPENDITURES	\$1,748	\$2,714	\$2,671
Total Expenditures, All Funds, (State Operations)	\$1,908	\$2,953	\$2,910

2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$25,000
Totals Available	-	-	\$25,000
TOTALS, EXPENDITURES	-	-	\$25,000
Total Expenditures, All Funds, (Local Assistance)	\$0	\$0	\$25,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$1,908	\$2,953	\$27,910

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
3033 California Memorial Scholarship Fund^S			
BEGINNING BALANCE	\$478	647	-
Adjusted Beginning Balance	\$478	\$647	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	162	148	-
4163000 Investment Income - Surplus Money Investments	7	-	-
Transfers and Other Adjustments			
Revenue Transfer from California Memorial Scholarship Fund (3033) to the Antiterrorism Fund (3034) per Education Code Section 70010.7 (Ch. 38/2002)	-	-795	-
Total Revenues, Transfers, and Other Adjustments	\$169	-\$647	-
Total Resources	\$647	-	-
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
FUND BALANCE	\$647	-	-
Reserve for economic uncertainties	647	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	10.0	10.0	10.0	\$760	\$761	\$761
Salary and Other Adjustments	-0.8	-	-	-97	29	29
Totals, Adjustments	-0.8	-	-	\$-97	\$29	\$29
TOTALS, SALARIES AND WAGES	9.2	10.0	10.0	\$663	\$790	\$790

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0956 California Debt and Investment Advisory Commission

The mission of the California Debt and Investment Advisory Commission is to support and improve the practice of public finance in California by providing responsive and reliable information, analysis, and training. The Commission assists California state and local governments to monitor, issue, and manage public debt and to safely and prudently invest public funds.

The Commission consists of nine members including the State Treasurer, who serves as chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly; and two members of the Senate appointed by the Senate Committee on Rules.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0800 California Debt and Investment Advisory Commission	17.7	16.8	16.8	\$2,968	\$3,924	\$3,837
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	17.7	16.8	16.8	\$2,968	\$3,924	\$3,837
FUNDING				2017-18*	2018-19*	2019-20*
0171 California Debt and Investment Advisory Commission Fund				\$2,942	\$3,744	\$3,657
0995 Reimbursements				26	180	180
TOTALS, EXPENDITURES, ALL FUNDS				\$2,968	\$3,924	\$3,837

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 8855-8859.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$19	-	\$-	\$19	-
• Salary Adjustments	-	62	-	-	62	-
• Benefit Adjustments	-	24	-	-	25	-
• Retirement Rate Adjustments	-	15	-	-	15	-
Totals, Other Workload Budget Adjustments	\$-	\$120	-	\$-	\$121	-
Totals, Workload Budget Adjustments	\$-	\$120	-	\$-	\$121	-
Totals, Budget Adjustments	\$-	\$120	-	\$-	\$121	-

PROGRAM DESCRIPTIONS

0800 - CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

The Commission's primary objective is to enhance the knowledge of public officials with respect to the administration and issuance of public debt and the investment of public funds. The Commission achieves this objective by performing functions in three principal areas, including:

- Data Collection and Analysis - Serves as a clearinghouse of debt issued by California public entities and nonprofit student loan corporations.
- Continuing Education - Provides educational seminars, workshops, and conferences to public officials on municipal debt and public fund investment topics. In addition, the Commission provides technical assistance to public officials and taxpayers

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission - Continued

through direct interaction and public forums to disseminate relevant information.

- Policy Research - Undertakes original research on the issuance and administration of public debt and on the investment of public funds. Research results are published in the form of reports, issue briefs, or articles and are disseminated in printed and electronic forms.

DETAILED EXPENDITURES BY PROGRAM

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PROGRAM REQUIREMENTS				
0800	CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION			
State Operations:				
0171	California Debt and Investment Advisory Commission Fund	\$2,942	\$3,744	\$3,657
0995	Reimbursements	26	180	180
Totals, State Operations		<u>\$2,968</u>	<u>\$3,924</u>	<u>\$3,837</u>
TOTALS, EXPENDITURES				
State Operations		2,968	3,924	3,837
Totals, Expenditures		<u>\$2,968</u>	<u>\$3,924</u>	<u>\$3,837</u>

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PERSONAL SERVICES						
Baseline Positions	16.8	16.8	16.8	\$1,262	\$1,298	\$1,298
Other Adjustments	0.9	-	-	98	62	62
Net Totals, Salaries and Wages	<u>17.7</u>	<u>16.8</u>	<u>16.8</u>	<u>\$1,360</u>	<u>\$1,360</u>	<u>\$1,360</u>
Staff Benefits	-	-	-	675	769	770
Totals, Personal Services	<u>17.7</u>	<u>16.8</u>	<u>16.8</u>	<u>\$2,035</u>	<u>\$2,129</u>	<u>\$2,130</u>
OPERATING EXPENSES AND EQUIPMENT				\$933	\$1,795	\$1,707
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				<u>\$2,968</u>	<u>\$3,924</u>	<u>\$3,837</u>

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
0171 California Debt and Investment Advisory Commission Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,942	\$3,624	\$3,657
Allocation for Employee Compensation	-	62	-
Allocation for Other Post-Employment Benefits	-	19	-
Allocation for Staff Benefits	-	24	-
Section 3.60 Pension Contribution Adjustment	-	15	-
Totals Available	<u>\$2,942</u>	<u>\$3,744</u>	<u>\$3,657</u>
TOTALS, EXPENDITURES	<u>\$2,942</u>	<u>\$3,744</u>	<u>\$3,657</u>
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$26	\$180	\$180
TOTALS, EXPENDITURES	<u>\$26</u>	<u>\$180</u>	<u>\$180</u>
Total Expenditures, All Funds, (State Operations)	<u>\$2,968</u>	<u>\$3,924</u>	<u>\$3,837</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission - Continued**FUND CONDITION STATEMENTS**

	2017-18*	2018-19*	2019-20*
0171 California Debt and Investment Advisory Commission Fund^S			
BEGINNING BALANCE	\$5,152	\$5,883	\$5,241
Prior Year Adjustments	35	-	-
Adjusted Beginning Balance	\$5,187	\$5,883	\$5,241
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	3,793	3,320	3,187
4163000 Investment Income - Surplus Money Investments	68	80	70
Total Revenues, Transfers, and Other Adjustments	\$3,861	\$3,400	\$3,257
Total Resources	\$9,048	\$9,283	\$8,498
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0956 California Debt and Investment Advisory Commission (State Operations)	2,942	3,744	3,657
8880 Financial Information System for California (State Operations)	4	-	-
9892 Supplemental Pension Payments (State Operations)	-	31	70
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	219	267	129
Total Expenditures and Expenditure Adjustments	\$3,165	\$4,042	\$3,856
FUND BALANCE	\$5,883	\$5,241	\$4,642
Reserve for economic uncertainties	5,883	5,241	4,642

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	16.8	16.8	16.8	\$1,262	\$1,298	\$1,298
Salary and Other Adjustments	0.9	-	-	98	62	62
Totals, Adjustments	0.9	-	-	\$98	\$62	\$62
TOTALS, SALARIES AND WAGES	17.7	16.8	16.8	\$1,360	\$1,360	\$1,360

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0959 California Debt Limit Allocation Committee

The California Debt Limit Allocation Committee's mission is to allocate tax-exempt, private activity bond authority for the State of California. Private activity bonds may be used by the private sector for projects and programs that provide a public benefit, such as the creation of affordable housing.

The federal government limits the total amount of tax-exempt private activity bond authority that can be issued on an annual basis. Except for the Qualified Public Educational Facility Bond Program, the bond authority limit (debt limit) is calculated by multiplying the state population by \$105. California's limit totaled over \$4.1 billion in 2018. (California's limit for the Qualified Public Educational Facility Bond Program is calculated separately by multiplying the state population by \$10, and totaled \$395 million for 2018.)

The Committee's allocation of tax-exempt bond authority results in the issuance of bonds by cities, counties, joint powers authorities and state agencies. The bonds are purchased and used by the private sector and are not an obligation of the state or federal government.

The Committee administers six active programs that are funded through the allocation and issuance of tax-exempt private activity bonds. The Committee is funded on a fee-supported basis and is comprised of the State Treasurer (Chairperson), the Governor, or upon his designation, the Director of Finance, and the State Controller.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0810 California Debt Limit Allocation Committee	9.8	9.6	9.6	\$1,394	\$1,641	\$1,598
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	9.8	9.6	9.6	\$1,394	\$1,641	\$1,598
FUNDING				2017-18*	2018-19*	2019-20*
0169 California Debt Limit Allocation Committee Fund				\$1,394	\$1,641	\$1,598
TOTALS, EXPENDITURES, ALL FUNDS				\$1,394	\$1,641	\$1,598

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Section 8869.80 et seq.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$8	-	\$-	\$8	-
• Salary Adjustments	-	28	-	-	28	-
• Benefit Adjustments	-	10	-	-	11	-
• Retirement Rate Adjustments	-	7	-	-	7	-
Totals, Other Workload Budget Adjustments	\$-	\$53	-	\$-	\$54	-
Totals, Workload Budget Adjustments	\$-	\$53	-	\$-	\$54	-
Totals, Budget Adjustments	\$-	\$53	-	\$-	\$54	-

PROGRAM DESCRIPTIONS

0810 - CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE

To lower the cost of financing, specified entities can issue various bonds, including mortgage revenue bonds (MRBs) that support below-market interest rate mortgage loans; and mortgage credit certificates (MCCs) that reduce a homebuyer's federal

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0959 California Debt Limit Allocation Committee - Continued

tax liability. Below is a summary of each program:

Qualified Residential Rental Project Program - Tax-exempt housing revenue bonds lower the cost for developers of multifamily rental housing to acquire land and construct new housing or purchase and rehabilitate existing housing. The developers produce affordable rental housing for low-income households by reducing rental rates charged to these individuals and families. Projects that receive an award of bond authority can apply for non-competitive four-percent tax credits using the California Debt Limit Allocation Committee/California Tax Credit Allocation Committee Joint Application.

Single-Family Housing Program - Tax-exempt MRBs or MCCs to assist first-time homebuyers with purchasing homes. Homebuyers may purchase single-family homes, either freestanding or detached, condominiums, or townhouses. Program participants must meet income limits and must purchase a home that falls within the program's purchase price limitations.

Home Improvement and Rehabilitation Bond Program - MRBs or MCCs to assist homeowners with home improvement financing. Homeowners may improve single-family homes, either freestanding or detached, condominiums, or townhouses. Program participants must meet income limits, and in certain cases, must own a home that falls within the program's rehabilitation cost and age-of-home limitations.

Industrial Development Bond Project Program - Small-Issue industrial development bonds are tax-exempt private activity bonds issued to assist manufacturing facilities finance capital expenditures. These bonds offer interest rate savings to small and midsize manufacturers in contrast to conventional loans, and help manufacturers retain and create new jobs within their communities.

Exempt Facility Program - Tax-exempt private activity bonds issued to finance solid waste disposal and waste recycling facilities. Loan interest rate savings enable the project owners to charge lower customer rates, while assisting communities they serve to meet mandated requirements to protect and enhance the environment.

Qualified Public Educational Facility Bond Program - Tax-exempt private activity bonds issued to finance the construction, renovation, and furnishing of primary and secondary school facilities.

DETAILED EXPENDITURES BY PROGRAM

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PROGRAM REQUIREMENTS				
0810	CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE			
State Operations:				
0169	California Debt Limit Allocation Committee Fund	\$1,394	\$1,641	\$1,598
Totals, State Operations		\$1,394	\$1,641	\$1,598
TOTALS, EXPENDITURES				
State Operations		1,394	1,641	1,598
Totals, Expenditures		\$1,394	\$1,641	\$1,598

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions					
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	9.6	9.6	9.6	\$720	\$749	\$749
Other Adjustments	0.2	-	-	-52	28	28
Net Totals, Salaries and Wages	9.8	9.6	9.6	\$668	\$777	\$777
Staff Benefits	-	-	-	353	370	371
Totals, Personal Services	9.8	9.6	9.6	\$1,021	\$1,147	\$1,148
OPERATING EXPENSES AND EQUIPMENT				\$373	\$494	\$450
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,394	\$1,641	\$1,598

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0959 California Debt Limit Allocation Committee - Continued**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0169 California Debt Limit Allocation Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,394	\$1,588	\$1,598
Allocation for Employee Compensation	-	28	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	10	-
Section 3.60 Pension Contribution Adjustment	-	7	-
Totals Available	\$1,394	\$1,641	\$1,598
TOTALS, EXPENDITURES	\$1,394	\$1,641	\$1,598
Total Expenditures, All Funds, (State Operations)	\$1,394	\$1,641	\$1,598

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
0169 California Debt Limit Allocation Committee Fund⁵			
BEGINNING BALANCE	\$7,387	\$7,783	\$8,532
Prior Year Adjustments	-236	-	-
Adjusted Beginning Balance	\$7,151	\$7,783	\$8,532
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	2,062	2,469	2,469
4163000 Investment Income - Surplus Money Investments	92	100	110
Transfers and Other Adjustments			
CIDFAC Fund Balance Revenue Transfer to CDLAC per Chapter 645 Statutes of 2018 (AB1547)	-	25	-
Total Revenues, Transfers, and Other Adjustments	\$2,154	\$2,594	\$2,579
Total Resources	\$9,305	\$10,377	\$11,111
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0959 California Debt Limit Allocation Committee (State Operations)	1,394	1,641	1,598
8880 Financial Information System for California (State Operations)	2	-	-
9892 Supplemental Pension Payments (State Operations)	-	17	35
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	126	187	258
Total Expenditures and Expenditure Adjustments	\$1,522	\$1,845	\$1,891
FUND BALANCE	\$7,783	\$8,532	\$9,220
Reserve for economic uncertainties	7,783	8,532	9,220

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	9.6	9.6	9.6	\$720	\$749	\$749
Salary and Other Adjustments	0.2	-	-	-52	28	28
Totals, Adjustments	0.2	-	-	\$-52	\$28	\$28
TOTALS, SALARIES AND WAGES	9.8	9.6	9.6	\$668	\$777	\$777

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0964 California Transportation Financing Authority

The California Transportation Financing Authority was established by Chapter 474, Statutes of 2009, to increase the construction of new capacity or improvements for the state transportation system in a manner that will help achieve the state's goals of reducing greenhouse gas, improving air quality, and conserving natural resources.

The Authority consists of seven members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Director of Transportation, the Executive Director of the California Transportation Commission, a local agency representative appointed by the Senate Committee on Rules, and a local agency representative appointed by the Speaker of the Assembly.

3-YEAR EXPENDITURES AND POSITIONS †

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0820 California Transportation Financing Authority	-	-	-	\$-	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$-	\$-

† This budget display is for informational purposes only. Government Code section 64103 authorizes an Executive Director, however, the position is currently vacant.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Division 3 (commencing with Section 64100) of Title 6.7.

PROGRAM DESCRIPTIONS

0820 - CALIFORNIA TRANSPORTATION FINANCING AUTHORITY

The Authority was established to assist transportation agencies in obtaining financing to develop transportation projects. To meet this objective, statutes allow the Authority to issue, or to approve specified project sponsors to issue revenue bonds to fund transportation projects backed in whole or in part, by various revenue streams of transportation funds (including toll revenues). Statutes provide the authority to approve the imposition and collection of tolls on proposed transportation projects under certain conditions. The planning, programming, and allocation of transportation projects are performed by the California Transportation Commission and the California Department of Transportation, as appropriate.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0965 California Industrial Development Financing Advisory Commission

The California Industrial Development Financing Advisory Commission creates employment opportunities and supports local economic development by approving local entities' issuance of industrial development bonds. These bonds provide manufacturers with a low-cost financing option to build, expand, or equip their facilities in California. The Commission independently reviews bond applications to ensure compliance with federal tax law and state law, provides technical assistance to bond issuers, and approves the bond sales by local authorities.

The State Treasurer serves as chairperson of the Commission. The other members are the Director of the Department of Finance, the State Controller, the Director of the Governor's Office of Business and Economic Development, and the Commissioner of the Department of Business Oversight.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0830 California Industrial Development Financing Advisory Commission	-	-	-	\$8	\$6	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$8	\$6	\$-
FUNDING		2017-18*		2018-19*		2019-20*
0215 Industrial Development Fund		\$8		\$6		\$-
TOTALS, EXPENDITURES, ALL FUNDS		\$8		\$6		\$-

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 91500 to 91574, Insurance Code Section 1192.

MAJOR PROGRAM CHANGES

- The California Industrial Development Financing Advisory Commission was abolished by Chapter 645, Statutes of 2018. The remaining fund balance in the Industrial Development Fund was transferred to the California Debt Limit Allocation Committee.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$-34	-1.0	\$-	\$-40	-1.0
Totals, Other Workload Budget Adjustments	\$-	\$-34	-1.0	\$-	\$-40	-1.0
Totals, Workload Budget Adjustments	\$-	\$-34	-1.0	\$-	\$-40	-1.0
Totals, Budget Adjustments	\$-	\$-34	-1.0	\$-	\$-40	-1.0

PROGRAM DESCRIPTIONS

0830 - CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION

The core program area is the Industrial Development Bond Program, under which the Commission serves as the mandatory approval agency for the local bond issuers. Once potential borrowers (manufacturers and processing companies) apply through their local government agency for low cost tax-exempt financing, the Commission carefully reviews the public benefits generated by the proposed project, particularly those benefits associated with job creation, and determines whether these benefits will significantly outweigh any detrimental public effects. Eligible capital expenditures include the acquisition of land,

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0965 California Industrial Development Financing Advisory Commission - Continued

building construction, building renovation, and the purchase of machinery and equipment. Bond proceeds also can be used to cover the cost of architects, engineers, attorneys, permits, and the cost of bond issuance. The local issuer can be a city, county, economic development authority, or a joint power authority.

Since AB 1547 abolished the California Industrial Development Financing Advisory Commission on September 21, 2018, the California Debt Limit Allocation Committee will continue to provide state level oversight of locally issued industrial development bonds through its management of the state’s allocation of tax-exempt bonds.

DETAILED EXPENDITURES BY PROGRAM

	2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS			
0830 CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION			
State Operations:			
0215 Industrial Development Fund	\$8	\$6	\$-
Totals, State Operations	\$8	\$6	\$-
TOTALS, EXPENDITURES			
State Operations	8	6	-
Totals, Expenditures	\$8	\$6	\$-

EXPENDITURES BY CATEGORY

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
	1 State Operations					
PERSONAL SERVICES						
Baseline Positions	1.0	1.0	1.0	\$-	\$-	\$-
Other Adjustments	-1.0	-1.0	-1.0	-	-	-
Net Totals, Salaries and Wages	-	-	-	\$-	\$-	\$-
Staff Benefits	-	-	-	-	-	-
Totals, Personal Services	-	-	-	\$-	\$-	\$-
OPERATING EXPENSES AND EQUIPMENT				\$8	\$6	\$-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$8	\$6	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

	2017-18*	2018-19*	2019-20*
1 STATE OPERATIONS			
0215 Industrial Development Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$8	\$40	-
Expenditure Adjustments per Chapter 645, Statutes of 2018	-	-34	-
Totals Available	\$8	\$6	-
TOTALS, EXPENDITURES			
Total Expenditures, All Funds, (State Operations)	\$8	\$6	\$0

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
0215 Industrial Development Fund^S			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0965 California Industrial Development Financing Advisory Commission -
Continued**

	2017-18*	2018-19*	2019-20*
BEGINNING BALANCE	\$36	31	-
Prior Year Adjustments	2	-	-
Adjusted Beginning Balance	<u>\$38</u>	<u>\$31</u>	<u>-</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	1	-	-
Transfers and Other Adjustments			
CIDFAC Fund Balance Revenue Transfer to CDLAC per Chapter 645 Statutes of 2018 (AB1547)	-	-25	-
Total Revenues, Transfers, and Other Adjustments	<u>\$1</u>	<u>-\$25</u>	<u>-</u>
Total Resources	<u>\$39</u>	<u>\$6</u>	<u>-</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0965 California Industrial Development Financing Advisory Commission (State Operations)	8	6	-
Total Expenditures and Expenditure Adjustments	<u>\$8</u>	<u>\$6</u>	<u>-</u>
FUND BALANCE	\$31	-	-
Reserve for economic uncertainties	31	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	1.0	1.0	1.0	\$-	\$-	\$-
Salary and Other Adjustments	-1.0	-1.0	-1.0	-	-	-
Totals, Adjustments	<u>-1.0</u>	<u>-1.0</u>	<u>-1.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
TOTALS, SALARIES AND WAGES	-	-	-	\$-	\$-	\$-

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0968 California Tax Credit Allocation Committee

The mission of the California Tax Credit Allocation Committee is to fairly allocate federal and state tax credits to create and maintain safe, quality affordable rental housing for low-income households in California. The Committee does this by forming partnerships with developers, investors and public entities.

The Committee assists development of rental housing projects for low-income families and monitors these projects for years after they are placed in service to ensure compliance with income and rent restrictions related to the tax credit programs of the Committee. Coordinating with state and local housing fund providers, and with private investors, the Committee helps to create and maintain a supply of quality, affordable housing.

The Committee consists of seven members, including three voting members and four advisors. The voting members are the Treasurer (Chairperson of the Committee), the Governor (who may choose the Director of Finance as his or her representative), and the State Controller. The non-voting (advisory) members are the Director of Housing and Community Development, the Executive Director of the California Housing Finance Agency, and two local government representatives. One local representative is associated with a city government and appointed by the Speaker of the Assembly. The other is a county government representative appointed by the Senate Rules Committee.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0840 California Tax Credit Allocation Committee	45.0	43.1	50.1	\$7,375	\$8,718	\$9,556
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	45.0	43.1	50.1	\$7,375	\$8,718	\$9,556
FUNDING				2017-18*	2018-19*	2019-20*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account				\$4,694	\$5,292	\$5,350
0457 Tax Credit Allocation Fee Account				2,528	3,271	4,051
0995 Reimbursements				153	155	155
TOTALS, EXPENDITURES, ALL FUNDS				\$7,375	\$8,718	\$9,556

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Internal Revenue Code Section 42; California Health and Safety Code Sections 50199.4 through 50199.22; and California Revenue and Taxation Code Sections 12205, 12206, 17057.5, 17058, 23610.4, and 23610.5; Federal Tax Reform Act of 1986, Section 252 of Public Law No. 99-514 (October 22, 1986); Chapter 658, California Statutes of 1987, as amended; Chapter 1138, California Statutes of 1987, as amended; Internal Revenue Code, Title 26, Subtitle A, Chapter 1, Subchapter X, Section 1400E et seq., as amended.

MAJOR PROGRAM CHANGES

- The Budget includes a one-time increase of \$300 million in state tax credits for Low-Income Housing Tax Credit projects and an additional one-time increase of \$200 million in state tax credits to establish a new tax-credit program to target households with incomes between 30-120 percent of Area Median Income.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• State Tax Credit Program Expansion	\$-	\$-	-	\$-	\$586	4.0
• Development and Compliance Monitoring Augmentation	-	-	-	-	458	3.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$1,044	7.0

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0968 California Tax Credit Allocation Committee - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	-	38	-	-	38	-
• Salary Adjustments	-	128	-	-	128	-
• Benefit Adjustments	-	50	-	-	52	-
• Miscellaneous Baseline Adjustments	-	39	-	-	39	-
• Retirement Rate Adjustments	-	31	-	-	31	-
Totals, Other Workload Budget Adjustments	\$-	\$286	-	\$-	\$288	-
Totals, Workload Budget Adjustments	\$-	\$286	-	\$-	\$1,332	7.0
Totals, Budget Adjustments	\$-	\$286	-	\$-	\$1,332	7.0

PROGRAM DESCRIPTIONS

0840 - CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE

The Federal Program

Congress authorized the federal Low-Income Housing Tax Credit (LIHTC) program in 1986. It replaced traditional housing tax incentives, such as accelerated depreciation, with a tax credit that enables developers of affordable rental housing to raise project equity through the "sale" of tax benefits to investors. The federal per-capita tax credit ceiling is calculated annually and indexed for inflation.

Two types of federal tax credits are available, generally referred to as 9-percent and 4-percent credits. These terms refer to the percentage of a project's "qualified basis" an investor in LIHTC projects may deduct from his or her federal income tax liability annually in each of ten years. A project's qualified basis is the portion of the total project cost used to calculate the amount of the tax credit and typically excludes non-depreciable costs such as the purchase of land. The affordable housing project sponsor uses or sells ten times the project's tax-credit allocation amount because investors can take the annual tax credit each year for a ten-year period.

The federal per-capita tax credit ceiling for 9-percent credits is calculated annually and indexed for inflation. For 2018, each state has an annual housing credit ceiling of \$2.40 per state resident. Additionally, each state may qualify annually for a share of unused credits in a national pool.

Developments financed with tax-exempt bond proceeds may receive the 4% federal tax credit. The sponsors of such projects must apply to the Committee and must conform to applicable federal and state statutory and regulatory requirements, but there is no annual cap on the amount of credit that may be awarded by the state to such developments.

Under federal law, credit projects must remain affordable for at least 30 years. However, California's program generally requires maintaining affordability for 55 years. Land use agreements are recorded against each credit project to ensure compliance.

The State LIHTC Program

In 1987, recognizing the high cost of housing in California, the Legislature authorized the state's program to augment the federal program. Housing projects receiving (or that have previously received) an allocation of federal tax credits may apply for an allocation of state tax credits. Projects serving farmworkers may apply for state tax credits regardless of their federal tax credit status.

The annual state credit ceiling is indexed for inflation, and for 2018, was \$98.6 million. Investors take the state tax credit annually over a four-year period in contrast to the ten-year federal period.

Farmworker Housing Program

Chapter 521, Statutes of 2008, folded the previous Farmworker Housing Assistance Tax Credit program into the general state LIHTC program as a \$500,000 annual set-aside in support of farmworker housing. Pursuant to Chapter 372, Statutes of 2017, these credits differ from other state credits in that the credit percentage for tax-exempt bond financed projects is higher and projects may receive state credits even if they receive an increase in their federal tax credit basis.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0968 California Tax Credit Allocation Committee - Continued

DETAILED EXPENDITURES BY PROGRAM

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PROGRAM REQUIREMENTS				
0840	CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE			
State Operations:				
0448	Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account	\$4,694	\$5,292	\$5,350
0457	Tax Credit Allocation Fee Account	2,336	3,081	3,861
0995	Reimbursements	153	155	155
	Totals, State Operations	<u>\$7,183</u>	<u>\$8,528</u>	<u>\$9,366</u>
Local Assistance:				
0457	Tax Credit Allocation Fee Account	\$192	\$190	\$190
	Totals, Local Assistance	<u>\$192</u>	<u>\$190</u>	<u>\$190</u>
TOTALS, EXPENDITURES				
	State Operations	7,183	8,528	9,366
	Local Assistance	192	190	190
	Totals, Expenditures	<u>\$7,375</u>	<u>\$8,718</u>	<u>\$9,556</u>

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	43.1	43.1	43.1	\$2,952	\$3,078	\$3,078
Other Adjustments	1.9	-	7.0	78	87	556
Net Totals, Salaries and Wages	<u>45.0</u>	<u>43.1</u>	<u>50.1</u>	<u>\$3,030</u>	<u>\$3,165</u>	<u>\$3,634</u>
Staff Benefits	-	-	-	1,626	1,753	2,025
Totals, Personal Services	<u>45.0</u>	<u>43.1</u>	<u>50.1</u>	<u>\$4,656</u>	<u>\$4,918</u>	<u>\$5,659</u>
OPERATING EXPENSES AND EQUIPMENT				\$2,527	\$3,610	\$3,707
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				<u>\$7,183</u>	<u>\$8,528</u>	<u>\$9,366</u>
2 Local Assistance		<u>Expenditures</u>				
		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>		
Grants and Subventions - Governmental		\$192	\$190	\$190		
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)		<u>\$192</u>	<u>\$190</u>	<u>\$190</u>		

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,694	\$5,142	\$5,350
Allocation for Employee Compensation	-	77	-
Allocation for Other Post-Employment Benefits	-	23	-
Allocation for Staff Benefits	-	30	-
Section 3.60 Pension Contribution Adjustment	-	20	-
Totals Available	<u>\$4,694</u>	<u>\$5,292</u>	<u>\$5,350</u>
TOTALS, EXPENDITURES	<u>\$4,694</u>	<u>\$5,292</u>	<u>\$5,350</u>

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0968 California Tax Credit Allocation Committee - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0457 Tax Credit Allocation Fee Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,336	\$2,984	\$3,861
Allocation for Employee Compensation	-	51	-
Allocation for Other Post-Employment Benefits	-	15	-
Allocation for Staff Benefits	-	20	-
Section 3.60 Pension Contribution Adjustment	-	11	-
Health and Safety Code section 50199.9(b)	-	6	-
Miscellaneous Baseline Adjustments	-	-6	-
Totals Available	<u>\$2,336</u>	<u>\$3,081</u>	<u>\$3,861</u>
TOTALS, EXPENDITURES	<u>\$2,336</u>	<u>\$3,081</u>	<u>\$3,861</u>
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$153	\$155	\$155
TOTALS, EXPENDITURES	<u>\$153</u>	<u>\$155</u>	<u>\$155</u>
Total Expenditures, All Funds, (State Operations)	<u>\$7,183</u>	<u>\$8,528</u>	<u>\$9,366</u>
2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
0457 Tax Credit Allocation Fee Account			
APPROPRIATIONS			
Health and Safety Code section 50199.9(b)	\$192	\$190	\$190
Totals Available	<u>\$192</u>	<u>\$190</u>	<u>\$190</u>
TOTALS, EXPENDITURES	<u>\$192</u>	<u>\$190</u>	<u>\$190</u>
Total Expenditures, All Funds, (Local Assistance)	<u>\$192</u>	<u>\$190</u>	<u>\$190</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	<u>\$7,375</u>	<u>\$8,718</u>	<u>\$9,556</u>

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account^s			
BEGINNING BALANCE	\$24,964	\$26,161	\$26,533
Prior Year Adjustments	-3	-	-
Adjusted Beginning Balance	<u>\$24,961</u>	<u>\$26,161</u>	<u>\$26,533</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	5,759	5,920	6,086
4163000 Investment Income - Surplus Money Investments	346	350	354
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Occupancy Compliance Monitoring Account (0448), per Item 0968-001-0448 Budget Act of 2015.	-	-	57,000
Total Revenues, Transfers, and Other Adjustments	<u>\$6,105</u>	<u>\$6,270</u>	<u>\$63,440</u>
Total Resources	<u>\$31,066</u>	<u>\$32,431</u>	<u>\$89,973</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0968 California Tax Credit Allocation Committee (State Operations)	4,694	5,292	5,350
8880 Financial Information System for California (State Operations)	6	1	-1
9892 Supplemental Pension Payments (State Operations)	-	44	97
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	205	561	382
Total Expenditures and Expenditure Adjustments	<u>\$4,905</u>	<u>\$5,898</u>	<u>\$5,828</u>
FUND BALANCE	<u>\$26,161</u>	<u>\$26,533</u>	<u>\$84,145</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0968 California Tax Credit Allocation Committee - Continued

	2017-18*	2018-19*	2019-20*
Reserve for economic uncertainties	26,161	26,533	84,145
0457 Tax Credit Allocation Fee Account^S			
BEGINNING BALANCE	\$36,345	\$40,208	\$43,237
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	<u>\$36,344</u>	<u>\$40,208</u>	<u>\$43,237</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	5,740	5,750	5,760
4163000 Investment Income - Surplus Money Investments	784	790	794
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Tax Credit Allocation Fee Account (0457), per Item 0968-001-0457 Budget Act of 2015.	-	-	35,000
Total Revenues, Transfers, and Other Adjustments	<u>\$6,524</u>	<u>\$6,540</u>	<u>\$41,554</u>
Total Resources	<u>\$42,868</u>	<u>\$46,748</u>	<u>\$84,791</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0968 California Tax Credit Allocation Committee (State Operations)	2,336	3,081	3,861
0968 California Tax Credit Allocation Committee (Local Assistance)	192	190	190
8880 Financial Information System for California (State Operations)	4	-	-
9892 Supplemental Pension Payments (State Operations)	-	27	58
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	128	213	222
Total Expenditures and Expenditure Adjustments	<u>\$2,660</u>	<u>\$3,511</u>	<u>\$4,331</u>
FUND BALANCE	<u>\$40,208</u>	<u>\$43,237</u>	<u>\$80,460</u>
Reserve for economic uncertainties	40,208	43,237	80,460

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	43.1	43.1	43.1	\$2,952	\$3,078	\$3,078
Salary and Other Adjustments	1.9	-	-	78	87	87
Workload and Administrative Adjustments						
Development and Compliance Monitoring Augmentation						
Assoc Govtl Program Analyst	-	-	3.0	-	-	201
State Tax Credit Program Expansion						
Assoc Govtl Program Analyst	-	-	4.0	-	-	268
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	<u>-</u>	<u>-</u>	<u>7.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$469</u>
Totals, Adjustments	<u>1.9</u>	<u>-</u>	<u>7.0</u>	<u>\$78</u>	<u>\$87</u>	<u>\$556</u>
TOTALS, SALARIES AND WAGES	<u>45.0</u>	<u>43.1</u>	<u>50.1</u>	<u>\$3,030</u>	<u>\$3,165</u>	<u>\$3,634</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0971 California Alternative Energy and Advanced Transportation Financing Authority

The California Alternative Energy and Advanced Transportation Financing Authority was established by Chapter 908, Statutes of 1980, to promote the prompt and efficient development of energy sources which are renewable or which more efficiently utilize and conserve scarce energy resources. Recognizing the importance of developing a secure energy future to protect the environment and ensure economic stability, the Authority advances the state's goals of reducing greenhouse gas emissions by providing financing options to assist industries in increasing the deployment of sustainable and renewable energy sources, implementing measures that increase the efficiency of the use of energy, creating high quality employment opportunities, and lessening the state's dependence on fossil fuels. To support these goals, the Authority provides financing and credit enhancements to promote the establishment of facilities that use alternative methods and sources of energy, and facilities needed for the development and commercialization of advanced transportation technologies.

The Authority consists of five members: the State Treasurer, who serves as the chairperson, the State Controller, the Director of the Department of Finance, the Chairperson of the State Energy Resources Conservation and Development Commission, and the President of the Public Utilities Commission.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0850 California Alternative Energy and Advanced Transportation Financing Authority	16.7	9.0	9.0	\$3,572	\$5,775	\$5,151
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	16.7	9.0	9.0	\$3,572	\$5,775	\$5,151
FUNDING			2017-18*	2018-19*	2019-20*	
0465 Energy Resources Programs Account			\$408	\$507	\$507	
0528 California Alternative Energy Authority Fund			712	-	-	
0995 Reimbursements			2,452	3,597	2,972	
9332 California Alternative Energy Authority Fund			-	1,671	1,672	
TOTALS, EXPENDITURES, ALL FUNDS			\$3,572	\$5,775	\$5,151	

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Resources Code Sections 26000-26082.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$7	-	\$-	\$7	-
• Salary Adjustments	-	24	-	-	24	-
• Benefit Adjustments	-	10	-	-	11	-
• Retirement Rate Adjustments	-	6	-	-	6	-
Totals, Other Workload Budget Adjustments	\$-	\$47	-	\$-	\$48	-
Totals, Workload Budget Adjustments	\$-	\$47	-	\$-	\$48	-
Totals, Budget Adjustments	\$-	\$47	-	\$-	\$48	-

PROGRAM DESCRIPTIONS

0850 - ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

Financial assistance can take the form of loans, loan loss reserves, interest rate reductions, proceeds of bonds issued by the authority, bond insurance, loan guarantees or other credit enhancements, contributions of money, or a combination thereof, as determined and approved by resolution of the Authority.

In 2010, the Authority launched a sales and use tax exclusion (STE) program for advanced transportation and alternative source manufacturing in California (Chapter 10, Statutes of 2010) and subsequently, Chapter 677, Statutes of 2012, expanded the STE program to include advanced manufacturing, with an annual cap of \$100 million available for STE awards. Chapter 768, Statutes of 2015, further expanded the program to also include equipment primarily used to process recycled feedstock and equipment that primarily utilizes recycled feedstock in the production of another product. The objective of this program is to promote the creation of California-based manufacturing, businesses, and jobs that are aimed at reducing greenhouse gases, air and water pollution, and energy consumption.

Legislation in 2010 (Chapter 15, Statutes of 2010), 2011 (Chapter 9, Statutes of 2011, First Extraordinary Session), and 2013 (Chapter 356, Statutes of 2013), authorized financial assistance to encourage energy efficiency retrofits. The Authority developed a loan loss reserve program to assist financial institutions in making loans to homeowners in the state for residential whole house energy efficiency and renewable energy projects, and developed a risk mitigation program for residential Property Assessed Clean Energy programs in California.

Most recently, the Budget Act of 2014 authorized administration of the California Hub for Energy Efficiency Financing on behalf of, and in collaboration with, the California Public Utilities Commission. These energy efficiency financing pilot programs will employ various types of credit enhancements to encourage and leverage private capital lending (in both the residential and commercial sectors) for energy efficiency improvements needed to help achieve California's energy efficiency goals.

DETAILED EXPENDITURES BY PROGRAM

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PROGRAM REQUIREMENTS				
0850	CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY			
State Operations:				
0465	Energy Resources Programs Account	\$408	\$507	\$507
0528	California Alternative Energy Authority Fund	712	-	-
0995	Reimbursements	2,452	3,597	2,972
9332	California Alternative Energy Authority Fund	-	1,671	1,672
Totals, State Operations		\$3,572	\$5,775	\$5,151
TOTALS, EXPENDITURES				
State Operations		3,572	5,775	5,151
Totals, Expenditures		\$3,572	\$5,775	\$5,151

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PERSONAL SERVICES						
Baseline Positions	9.0	9.0	9.0	\$1,390	\$1,401	\$1,429
Other Adjustments	7.7	-	-	-308	24	24
Net Totals, Salaries and Wages	16.7	9.0	9.0	\$1,082	\$1,425	\$1,453
Staff Benefits	-	-	-	580	704	719
Totals, Personal Services	16.7	9.0	9.0	\$1,662	\$2,129	\$2,172
OPERATING EXPENSES AND EQUIPMENT				\$1,910	\$3,646	\$2,979
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3,572	\$5,775	\$5,151

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0465 Energy Resources Programs Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$408	\$500	\$507
Allocation for Employee Compensation	-	4	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	\$408	\$507	\$507
TOTALS, EXPENDITURES	\$408	\$507	\$507
0528 California Alternative Energy Authority Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$712	\$1,631	-
Transfer dollars to Fund 9332	-	-1,631	-
Totals Available	\$712	-	-
TOTALS, EXPENDITURES	\$712	-	-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,452	\$3,597	\$2,972
TOTALS, EXPENDITURES	\$2,452	\$3,597	\$2,972
9332 California Alternative Energy Authority Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$1,672
Allocation for Employee Compensation	-	20	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	9	-
Section 3.60 Pension Contribution Adjustment	-	5	-
Transfer dollars from Fund 0528	-	1,631	-
TOTALS, EXPENDITURES	-	\$1,671	\$1,672
Total Expenditures, All Funds, (State Operations)	\$3,572	\$5,775	\$5,151

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	9.0	9.0	9.0	\$1,390	\$1,401	\$1,429
Salary and Other Adjustments	7.7	-	-	-308	24	24
Totals, Adjustments	7.7	-	-	\$-308	\$24	\$24
TOTALS, SALARIES AND WAGES	16.7	9.0	9.0	\$1,082	\$1,425	\$1,453

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0974 California Pollution Control Financing Authority

California Pollution Control Financing Authority is committed to stimulating local economies in California by making capital more accessible to small businesses, and delivering innovative financing for projects that protect and restore the environment. The Authority was created to assist the solid waste, recycling, water, and wastewater industries through its Pollution Control Tax-Exempt Bond Program. Over the last twenty-five years, the Authority has evolved to provide financing assistance to California's small business sector through its California Capital Access Program (CalCAP), and to promote the reuse and redevelopment of brownfields through the California Recycle Underutilized Sites Program (CALReUSE).

The Authority consists of the State Treasurer (Chairperson), the State Controller, and the Director of the Department of Finance.

3-YEAR EXPENDITURES AND POSITIONS †

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0860 Pollution Control Tax-Exempt Bond Program	7.5	6.0	6.0	\$1,119	\$1,149	\$1,149
0865 Capital Access Program for Small Businesses	16.4	15.2	12.7	17,945	20,551	20,141
0870 California Recycle Underutilized Sites Program	5.0	3.0	3.0	1,123	1,332	1,332
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	28.9	24.2	21.7	\$20,187	\$23,032	\$22,622
FUNDING				2017-18*	2018-19*	2019-20*
0930 Pollution Control Financing Authority Fund				\$20,187	\$23,032	\$22,622
TOTALS, EXPENDITURES, ALL FUNDS				\$20,187	\$23,032	\$22,622

† The Authority's funding is not subject to Budget Act appropriation. This budget display is for informational purposes only and is the department's best estimate of the budgets for the 2018-19 and 2019-20.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Health and Safety Code Sections 44500-44563.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$23	-	\$-	\$23	-
• Salary Adjustments	-	78	-	-	78	-
• Benefit Adjustments	-	30	-	-	31	-
• Retirement Rate Adjustments	-	19	-	-	19	-
Totals, Other Workload Budget Adjustments	\$-	\$150	-	\$-	\$151	-
Totals, Workload Budget Adjustments	\$-	\$150	-	\$-	\$151	-
Totals, Budget Adjustments	\$-	\$150	-	\$-	\$151	-

PROGRAM DESCRIPTIONS

0860 - POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM

The objective of this program is to provide bond financing to California businesses, irrespective of company size, for the acquisition, construction, or installation of qualified pollution control, waste disposal, water furnishing, wastewater treatment,

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0974 California Pollution Control Financing Authority - Continued

waste recovery facilities, and the acquisition and installation of new equipment. Tax-exempt financing issued by the Authority assists municipalities in complying with waste diversion mandates of the California Integrated Waste Management Act of 1989. As of June 30, 2018, bonds totaling approximately \$15.6 billion have been issued by the Authority.

0865 - CALIFORNIA CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES

The objective of this program is to encourage banks and other financial institutions to make loans to small businesses. CalCAP for Small Businesses is a form of loan loss recovery that may provide up to 100 percent coverage on certain loan defaults. By participating in CalCAP, lenders have available to them a proven financing mechanism to meet the financing needs of California's small businesses. The program works through the creation of a loan loss-reserve fund for each participating financial institution. The Authority funds this reserve together with the financial institution and the borrower. Additional incentives are provided to lend to businesses located in specified communities. The reserve serves to reduce loan-loss risk, allowing banks to lend to targeted California small businesses. Chapter 731, Statutes of 2010, appropriated \$6 million General Fund to CalCAP to increase lending efforts. As of June 30, 2018, approximately \$750,000 of the \$6 million remained in the State-funded program account to assist small businesses.

In addition, California was awarded an allocation of federal funds in the amount of \$168.6 million from the federal State Small Business Credit Initiative (SSBCI). The Authority and the Governor's Office of Business and Economic Development (Go-BIZ) equally share this allocation of \$84.3 million each. These funds have enabled the Authority to expand the availability of its CalCAP program statewide. The Authority also launched the Collateral Support Program to assist more small businesses and utilize the SSBCI federal funds. As of June 30, 2018, the federally-funded program account to assist small businesses under the CalCAP loan loss reserve program was fully-expended. Approximately \$1 million remained in the federally-funded program account for the Collateral Support Program, obligated to pre-approved loans. Funds are being recaptured to sustain both programs.

Utilizing the CalCAP model, the Authority has partnered with the Air Resources Board (ARB) to assist diesel truck and equipment owners in meeting new clean air requirements by directing a total of \$107.3 million in Air Quality Improvement Program funds towards the purchase or retrofit of diesel trucks to comply with ARB's Statewide Truck and Bus Rule. The Authority has also partnered with the California Energy Commission to implement a \$2 million pilot program to provide credit enhancements and rebates to small business borrowers who install electric vehicle charging stations. Similarly, the Authority is partnering with the Department of Resources, Recycling, and Recovery to assist with the financing of important state recycling objectives.

0870 - CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM

The CALReUSE program assists with the reuse and redevelopment of underutilized properties with real or perceived contamination issues. CALReUSE addresses a funding and information gap in the development of contaminated properties (brownfields) to help bring these properties into productive reuse. Beginning in 2000, the CALReUSE Assessment Program provided forgivable loans of up to \$300,000, which was later increased to \$500,000 per brownfield site. The funds assisted with brownfield site assessment and characterization, technical assistance, and the development of remedial action plans. Eligible projects included sites with potential beneficial reuse not currently redeveloped due to lack of information about real or perceived contamination, uncertainty about clean-up costs, or concerns regarding time frames and the regulatory process.

Additionally, Proposition 1C, the Housing and Emergency Shelter Trust Fund Act of 2006, included provisions to fund brownfield cleanup that resulted in the expansion of the CALReUSE Program. The CALReUSE Remediation Program received \$60 million in Proposition 1C funds and provided up to \$5 million per project in loans and grants for the purpose of brownfield cleanup that promotes affordable infill residential and mixed-used development. All CALReUSE Program funds have been awarded. The CALReUSE Program's \$60 million investment in cleanup of contaminated sites will result in 5,618 housing units in 30 California communities.

0875 - ALTERNATIVE FINANCING PROGRAMS

Under its authority to provide alternative methods of financing facilities for pollution control, clean water supply and alternative energy production, the Authority is developing alternative financing models to assist sister agencies with achieving the state's environmental goals. The Authority is authorized to receive and accept contributions from other sources including federal and state agencies to carry out the purposes of its statutory authority.

0876 - CALIFORNIA CAPITAL ACCESS PROGRAM FOR COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT

The objective of this program, created by Chapter 787, Statutes of 2015, is to help small businesses comply with the federal Americans with Disabilities Act and increase access for their employees and customers by encouraging lenders and other financial institutions to make loans to very small businesses to finance tenant improvements. The Legislature provided the Authority an appropriation of \$10 million effective January 1, 2016, to administer a credit enhancement program following the CalCAP model and create loan loss reserve accounts for lenders that enroll qualifying loans into the program.

0974 California Pollution Control Financing Authority - Continued**0877 - CALIFORNIA SEISMIC SAFETY CAPITAL ACCESS LOAN PROGRAM**

The California Seismic Safety Capital Access Loan Program, authorized by Chapter 32, Statutes of 2016, provided a one-time allocation of \$10 million effective January 1, 2017 to create an accessible loan program to qualified small businesses and residential property owners (including multi-unit dwellings and mobile homes registered by the California Department of Housing and Community Development) for costs related to seismic retrofitting. The Program encourages banks and other financial institutions to participate by creating loan loss reserve accounts for lenders that enroll qualifying loans into the program. The loans to small businesses and residential property owners are for financing seismic retrofits of real property to reduce losses and mitigate seismic damage.

DETAILED EXPENDITURES BY PROGRAM

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PROGRAM REQUIREMENTS			
0860 POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM			
State Operations:			
0930 Pollution Control Financing Authority Fund	\$1,119	\$1,149	\$1,149
Totals, State Operations	\$1,119	\$1,149	\$1,149
PROGRAM REQUIREMENTS			
0865 CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES			
State Operations:			
0930 Pollution Control Financing Authority Fund	\$17,945	\$20,551	\$20,141
Totals, State Operations	\$17,945	\$20,551	\$20,141
PROGRAM REQUIREMENTS			
0870 CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM			
State Operations:			
0930 Pollution Control Financing Authority Fund	\$1,123	\$1,332	\$1,332
Totals, State Operations	\$1,123	\$1,332	\$1,332
TOTALS, EXPENDITURES			
State Operations	20,187	23,032	22,622
Totals, Expenditures	\$20,187	\$23,032	\$22,622

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PERSONAL SERVICES						
Baseline Positions	25.4	24.2	21.7	\$1,655	\$1,671	\$1,553
Other Adjustments	3.5	-	-	193	613	613
Net Totals, Salaries and Wages	28.9	24.2	21.7	\$1,848	\$2,284	\$2,166
Staff Benefits	-	-	-	972	1,376	1,301
Totals, Personal Services	28.9	24.2	21.7	\$2,820	\$3,660	\$3,467
OPERATING EXPENSES AND EQUIPMENT				\$15,775	\$19,372	\$19,155
SPECIAL ITEMS OF EXPENSES				1,592	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$20,187	\$23,032	\$22,622

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0974 California Pollution Control Financing Authority - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0930 Pollution Control Financing Authority Fund			
APPROPRIATIONS			
Health and Safety Code section 44526	\$18,611	\$20,649	\$20,302
Allocation for Employee Compensation	-	33	-
Allocation for Other Post-Employment Benefits	-	10	-
Allocation for Staff Benefits	-	13	-
Section 3.60 Pension Contribution Adjustment	-	8	-
Health and Safety Code section 44526 (California Capital Access Program for Small Businesses)	1,576	2,233	2,320
Allocation for Employee Compensation	-	45	-
Allocation for Other Post-Employment Benefits	-	13	-
Allocation for Staff Benefits	-	17	-
Section 3.60 Pension Contribution Adjustment	-	11	-
TOTALS, EXPENDITURES	\$20,187	\$23,032	\$22,622
Total Expenditures, All Funds, (State Operations)	\$20,187	\$23,032	\$22,622

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Baseline Positions	25.4	24.2	21.7	\$1,655	\$1,671	\$1,553
Salary and Other Adjustments	3.5	-	-	193	613	613
Totals, Adjustments	3.5	-	-	\$193	\$613	\$613
TOTALS, SALARIES AND WAGES	28.9	24.2	21.7	\$1,848	\$2,284	\$2,166

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0977 California Health Facilities Financing Authority

The mission of the California Health Facilities Financing Authority is to help eligible and credit worthy nonprofit and public health facilities reduce their cost of capital, and promote health care improvement and cost containment objectives by providing cost-effective tax-exempt bond, low-cost loan, and direct grant programs.

The Authority was established by Chapter 1033, Statutes of 1979 (AB 1558), and consists of nine members: the State Treasurer, the Director of the Department of Finance, the State Controller, two public members appointed by the Senate, two public members appointed by the Assembly, and two public members appointed by the Governor.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0880 Children's Hospital Program	3.7	3.5	3.5	\$31,215	\$140,775	\$345,475
0885 Health Facilities Grants and Loans	12.4	14.0	14.0	14,480	26,606	14,799
0890 Mental Health Wellness Grants	0.3	-	-	4,405	230,792	143,891
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	16.4	17.5	17.5	\$50,100	\$398,173	\$504,165
FUNDING				2017-18*	2018-19*	2019-20*
0001 General Fund				\$1	\$67,499	\$-
0904 California Health Facilities Financing Authority Fund				14,480	20,897	9,090
0995 Reimbursements				-	2,800	-
3085 Mental Health Services Fund				4,253	160,453	144,000
6046 Childrens Hospital Fund				526	40,000	40,362
6079 Childrens Hospital Bond Act Fund				30,689	100,775	75,775
6084 No Place Like Home Fund				151	149	-
6090 Children's Hospital Bond Act Fund of 2018				-	-	229,338
8073 California Health Access Model Program Account, California Health Facilities Financing Authority Fund				-	5,600	5,600
TOTALS, EXPENDITURES, ALL FUNDS				\$50,100	\$398,173	\$504,165

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 15430-15463. Health and Safety Code Sections 1179.10-1179.43, 1179.50-1179.72, and 1179.81-1179.102. Welfare and Institutions Code Section 5848.5-5848.6, and 5849.1-5849.15, and 5890-5892.

MAJOR PROGRAM CHANGES

- Investment in Mental Health Wellness for Children and Youth Grant Program—The Budget reappropriates three separate appropriations that fund the Investment in Mental Health Wellness for Children and Youth Grant Program through June 30, 2024. The reappropriation allows these funds to provide grants for crisis stabilization units, crisis residential treatment, family respite care, and mobile crisis support teams, consistent with the intent of the original appropriations.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Proposition 4 Expenditures Per Health and Safety Code Section 1179.83	\$-	\$-	-	\$-	\$229,338	-
• Other Post-Employment Benefit Adjustments	-	19	-	-	19	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0977 California Health Facilities Financing Authority - Continued

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Mental Health Wellness Grants Reimbursements Adjustment	-	-	-	-	-2,800	-
• Salary Adjustments	-	62	-	-	62	-
• Benefit Adjustments	-	24	-	-	24	-
• Retirement Rate Adjustments	-	15	-	-	15	-
• Carryover/Reappropriation	1,686	28,326	-	-	-	-
Totals, Other Workload Budget Adjustments	\$1,686	\$28,446	-	\$-	\$226,658	-
Totals, Workload Budget Adjustments	\$1,686	\$28,446	-	\$-	\$226,658	-
Totals, Budget Adjustments	\$1,686	\$28,446	-	\$-	\$226,658	-

PROGRAM DESCRIPTIONS**0880 - CHILDREN'S HOSPITAL PROGRAM**

In 2004, California voters approved Proposition 61, which established the Children's Hospital Program. In 2008, Proposition 3 established the second Children's Hospital Program. In 2018, Proposition 4 established a third Children's Hospital Program. The purpose of the three programs is to improve the health and welfare of California's critically ill children by providing a stable and ready source of funds for capital improvement projects for children's hospitals. Children's Hospital Program grant funding is derived from the issuance of general obligation bonds, up to \$750 million under Proposition 61, \$980 million under Proposition 3, and \$1.5 billion under Proposition 4.

0885 - HEALTH FACILITIES GRANTS AND LOANS

The Authority serves as a conduit provider of tax-exempt bonds and a direct provider of financial assistance to health institutions.

Tax-Exempt Bond Program

Bonds issued under this conduit program are not a debt, liability, or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. The full faith and credit of the participating institutions are pledged for repayment of the bonds. To qualify for funding, the proposed project must be a health facility, operated by a private nonprofit corporation or association, city, city and county, county, or hospital district.

Lifeline Grant Program

Chapter 52, Statutes of 2017 (SB 97) authorized the Authority to approve grants of up to \$20 million dollars from the HELP II Loan Program subfund account. The Lifeline Grant Program assists small and rural health facilities, including community-based clinics, that may be adversely affected financially by a reduction or elimination of federal government assistance and that have little to no access to working capital. Grants must be awarded by June 30, 2020.

HELP II Loan Program

The Authority administers the Healthcare Expansion Loan Program II (HELP II) to assist small and rural health facilities in obtaining financing for their capital needs. The HELP II Program provides two percent and three percent fixed interest rate loans of up to \$1,500,000 to California's nonprofit small and rural health facilities in an efficient, timely, and cost effective manner. HELP II loans may be used to purchase or construct new facilities, remodel or renovate existing facilities, purchase equipment and/or furnishings, and refinance existing debt.

California Health Access Model Program

Chapter 23, Statutes of 2012 (AB 1467) authorized the California Health Access Model Program (CHAMP), a grant program intended to support innovative methods of delivering health care services and improve health outcomes for vulnerable populations and communities by bringing services to individuals where they live or congregate.

0890 - MENTAL HEALTH WELLNESS GRANTS

Chapter 34, Statutes of 2013 (SB 82), the Investment in Mental Health Wellness Act of 2013 and related legislation provided

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0977 California Health Facilities Financing Authority - Continued

\$142.5 million in one-time General Fund, and \$4 million in ongoing Mental Health Services Act funding to provide grants for community based mental health crisis support, which may also be eligible for matching federal funding.

The Act established a grant program to disburse funds to California counties or to their nonprofit or public agency designates for the purpose of developing mental health crisis support programs. Specifically, funds will increase capacity for client assistance and services in crisis intervention, crisis stabilization, crisis residential treatment, rehabilitative mental health services, and mobile crisis support teams. The grants will support capital improvement, expansion and limited start-up costs.

Investment in Mental Health Wellness Grant Program for Children and Youth

With the passage of Chapter 30, Statutes of 2016 (SB 833), the Investment in Mental Health Grant Program for Children and Youth was created to increase mental health services for children and youth 21 years of age and under to develop a complete continuum of crisis services. Working with counties, the program funds facility acquisition, construction/renovation, equipment acquisition, and applicable startup or expansion costs to provide mental health services for children and youth as well as provide family respite care throughout the state.

Community Services Infrastructure Grants

Chapter 33, Statutes of 2016 (SB 843) created the Community Services Infrastructure competitive grant program to expand community alternatives to jail and prison. The program seeks to expand access to jail and prison diversion programs and services for those with mental health illness, substance use disorders, or who have suffered from trauma. Working with counties, the program will fund facility acquisition, renovation, equipment acquisition, and applicable startup or expansion costs for facilities that provide services to this population.

No Place Like Home Program

Chapter 43, Statutes of 2016 (AB 1618) established the No Place Like Home Program (NPLH), which requires the Housing and Community Development Agency (HCD) to award up to \$2 billion among counties to fund the acquisition, design, construction, rehabilitation, or preservation of permanent supportive housing for individuals living with a severe mental illness who are homeless or at risk of chronic homelessness. Chapter 322, Statutes of 2016 (AB 1628) authorized CHFFA to enter into contracts with HCD and further authorized CHFFA to issue up to \$2 billion in taxable revenue bonds pursuant to the NPLH Program.

DETAILED EXPENDITURES BY PROGRAM

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PROGRAM REQUIREMENTS			
0880 CHILDREN'S HOSPITAL PROGRAM			
State Operations:			
6046 Childrens Hospital Fund	\$526	\$-	\$362
6079 Childrens Hospital Bond Act Fund	907	775	775
6090 Children's Hospital Bond Act Fund of 2018	-	-	350
Totals, State Operations	\$1,433	\$775	\$1,487
Local Assistance:			
6046 Childrens Hospital Fund	\$-	\$40,000	\$40,000
6079 Childrens Hospital Bond Act Fund	29,782	100,000	75,000
6090 Children's Hospital Bond Act Fund of 2018	-	-	228,988
Totals, Local Assistance	\$29,782	\$140,000	\$343,988
PROGRAM REQUIREMENTS			
0885 HEALTH FACILITIES GRANTS AND LOANS			
State Operations:			
0904 California Health Facilities Financing Authority Fund	\$1,607	\$2,782	\$2,699
Totals, State Operations	\$1,607	\$2,782	\$2,699
Local Assistance:			
0904 California Health Facilities Financing Authority Fund	\$12,873	\$18,224	\$6,500
8073 California Health Access Model Program Account, California Health Facilities Financing Authority Fund	-	5,600	5,600

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0977 California Health Facilities Financing Authority - Continued

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Totals, Local Assistance		\$12,873	\$23,824	\$12,100
PROGRAM REQUIREMENTS				
0890 MENTAL HEALTH WELLNESS GRANTS				
State Operations:				
0001	General Fund	\$1	\$1,686	\$-
0904	California Health Facilities Financing Authority Fund	-	-109	-109
3085	Mental Health Services Fund	254	16,453	-
6084	No Place Like Home Fund	151	149	-
Totals, State Operations		\$406	\$18,179	-\$109
Local Assistance:				
0001	General Fund	\$-	\$65,813	\$-
0995	Reimbursements	-	2,800	-
3085	Mental Health Services Fund	3,999	144,000	144,000
Totals, Local Assistance		\$3,999	\$212,613	\$144,000
TOTALS, EXPENDITURES				
State Operations		3,446	21,736	4,077
Local Assistance		46,654	376,437	500,088
Totals, Expenditures		\$50,100	\$398,173	\$504,165

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	17.5	17.5	17.5	\$3,154	\$1,178	\$1,178
Other Adjustments	-1.1	-	-	-1,947	1,748	215
Net Totals, Salaries and Wages	16.4	17.5	17.5	\$1,207	\$2,926	\$1,393
Staff Benefits	-	-	-	616	662	738
Totals, Personal Services	16.4	17.5	17.5	\$1,823	\$3,588	\$2,131
OPERATING EXPENSES AND EQUIPMENT				\$1,138	\$1,695	\$1,946
SPECIAL ITEMS OF EXPENSES				485	16,453	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3,446	\$21,736	\$4,077

2 Local Assistance	Expenditures		
	2017-18*	2018-19*	2019-20*
Debt Service - Principal	\$-	\$140,000	\$140,000
Grants and Subventions - Governmental	46,654	236,437	360,088
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$46,654	\$376,437	\$500,088

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1	-	-
Prior Year Balances Available:			
Item 0977-001-0001, Budget Act of 2017	-	1,686	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0977 California Health Facilities Financing Authority - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Totals Available	\$1	\$1,686	-
TOTALS, EXPENDITURES	\$1	\$1,686	-
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Government Code section 15439	\$1,607	\$2,577	\$2,590
Allocation for Employee Compensation	-	50	-
Allocation for Other Post-Employment Benefits	-	15	-
Allocation for Staff Benefits	-	19	-
Section 3.60 Pension Contribution Adjustment	-	12	-
Totals Available	\$1,607	\$2,673	\$2,590
TOTALS, EXPENDITURES	\$1,607	\$2,673	\$2,590
3085 Mental Health Services Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$254	-	-
Prior Year Balances Available:			
Item 0977-001-3085, Budget Act of 2017	-	16,453	-
Totals Available	\$254	\$16,453	-
TOTALS, EXPENDITURES	\$254	\$16,453	-
6046 Childrens Hospital Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$41	-	\$362
Health and Safety Code section 1179.35(b)	485	-	-
Totals Available	\$526	-	\$362
TOTALS, EXPENDITURES	\$526	-	\$362
6079 Childrens Hospital Bond Act Fund			
APPROPRIATIONS			
Health and Safety Code section 1179.57(h)	\$355	\$389	\$413
Allocation for Employee Compensation	-	12	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	3	-
Health and Safety Code section 1179.64(b)	552	362	362
Totals Available	\$907	\$775	\$775
TOTALS, EXPENDITURES	\$907	\$775	\$775
6084 No Place Like Home Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$25	-	-
Prior Year Balances Available:			
Welfare and Institutions Code section 5849.4(a)	126	149	-
Totals Available	\$151	\$149	-
TOTALS, EXPENDITURES	\$151	\$149	-
6090 Children's Hospital Bond Act Fund of 2018			
APPROPRIATIONS			
Health and Safety Code section 1179.83 and 1179.87(h)	-	-	\$350
TOTALS, EXPENDITURES	-	-	\$350
Total Expenditures, All Funds, (State Operations)	\$3,446	\$21,736	\$4,077
2 LOCAL ASSISTANCE			
0001 General Fund			
Prior Year Balances Available:			
Item 0977-101-0001, Budget Act of 2017	-	65,813	-

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0977 California Health Facilities Financing Authority - Continued

2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
Totals Available	-	\$65,813	-
TOTALS, EXPENDITURES	-	\$65,813	-
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Government Code section 15438.11 (Lifeline Grant Program)	\$8,276	-	-
Government Code section 15439	4,597	6,500	6,500
Prior Year Balances Available:			
Government Code section 15438.11 (Lifeline Grant Program)	-	11,724	-
Totals Available	\$12,873	\$18,224	\$6,500
TOTALS, EXPENDITURES	\$12,873	\$18,224	\$6,500
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	-	\$2,800	-
TOTALS, EXPENDITURES	-	\$2,800	-
3085 Mental Health Services Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$3,999	\$4,000	\$4,000
Welfare and Institutions Code section 5890(f)	-	140,000	140,000
Totals Available	\$3,999	\$144,000	\$144,000
TOTALS, EXPENDITURES	\$3,999	\$144,000	\$144,000
6046 Childrens Hospital Fund			
APPROPRIATIONS			
Health and Safety Code sections 1179.10-1179.43	-	\$40,000	\$40,000
Totals Available	-	\$40,000	\$40,000
TOTALS, EXPENDITURES	-	\$40,000	\$40,000
6079 Childrens Hospital Bond Act Fund			
APPROPRIATIONS			
Health and Safety Code section 1179.53	\$29,782	\$100,000	\$75,000
Totals Available	\$29,782	\$100,000	\$75,000
TOTALS, EXPENDITURES	\$29,782	\$100,000	\$75,000
6090 Children's Hospital Bond Act Fund of 2018			
APPROPRIATIONS			
Health and Safety Code section 1179.83 and 1179.85	-	-	\$228,988
TOTALS, EXPENDITURES	-	-	\$228,988
8073 California Health Access Model Program Account, California Health Facilities Financing Authority Fund			
Prior Year Balances Available:			
Government Code section 15438.10(c)(1)	-	5,600	5,600
TOTALS, EXPENDITURES	-	\$5,600	\$5,600
Total Expenditures, All Funds, (Local Assistance)	\$46,654	\$376,437	\$500,088
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$50,100	\$398,173	\$504,165

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	17.5	17.5	17.5	\$3,154	\$1,178	\$1,178
Salary and Other Adjustments	-1.1	-	-	-1,947	1,748	215
Totals, Adjustments	-1.1	-	-	\$-1,947	\$1,748	\$215
TOTALS, SALARIES AND WAGES	16.4	17.5	17.5	\$1,207	\$2,926	\$1,393

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0977 California Health Facilities Financing Authority - Continued

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0981 California ABLE Act Board

The California Achieving a Better Life Experience Act (ABLE) Board was established by Chapter 796, Statutes of 2015 (SB 324) to create a Qualified ABLE Program. The Program will provide eligible individuals with disabilities the opportunity to save private funds in tax-advantaged ABLE accounts for the purpose of supporting persons with disabilities to maintain their health, independence, and quality of life.

The Board consists of the State Treasurer (Chair), the Director of Finance, the Controller, the Director of Developmental Services, the Chairperson of the State Council on Developmental Disabilities, the Director of Rehabilitation, and the Chair of the State Independent Living Council.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0895 California ABLE Act Board	3.0	3.0	3.0	\$636	\$2,000	\$1,070
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	3.0	3.0	3.0	\$636	\$2,000	\$1,070
FUNDING		2017-18*		2018-19*		2019-20*
8101 California ABLE Administrative Fund			\$636	\$2,000		\$1,070
TOTALS, EXPENDITURES, ALL FUNDS			\$636	\$2,000		\$1,070

LEGAL CITATIONS AND AUTHORITY

California Welfare and Institutions Code, Sections 4875-4884; and California Revenue and Taxation Code, Section 23711.4.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Continued Implementation of the California Achieving a Better Life Experience Program (Loan)	\$-	\$-	-	\$-	\$1,070	3.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$1,070	3.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	-	3	-	-	3	-
• Realignment to fully fund staff benefits	-	-19	-	-	-19	-
• Salary Adjustments	-	10	-	-	10	-
• Benefit Adjustments	-	4	-	-	4	-
• Retirement Rate Adjustments	-	2	-	-	2	-
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$-	\$-	-
Totals, Workload Budget Adjustments	\$-	\$-	-	\$-	\$1,070	3.0
Totals, Budget Adjustments	\$-	\$-	-	\$-	\$1,070	3.0

PROGRAM DESCRIPTIONS

0895 - CALIFORNIA ABLE ACT BOARD

On December 19, 2014, President Obama signed the Stephen Beck Jr., Achieving a Better Life Experience Act of 2014, which allows individuals who became blind or disabled before reaching age 26, to create tax-free savings accounts. Individuals can make nondeductible cash contributions to an ABLE account in the name of a designated beneficiary with tax-free earnings. ABLE account distributions are also not included in the beneficiary's income, as long as they are used for qualified disability expenses. Chapter 774, Statutes of 2015 (AB 449), implements the ABLE Act in California, and directs the Board, with the State Treasurer as Chair, to administer the California ABLE Program accounts on behalf of eligible individuals.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0981 California ABLE Act Board - Continued

The Board and the California ABLE Program Trust were established by Chapter 796, Statutes of 2015 (SB 324), for the purpose of creating the Program. Under the Program, a person may make contributions of up to \$15,000 during a taxable year, to a designated beneficiary's ABLE account to be used for the qualified disability expenses of that designated beneficiary.

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0895	CALIFORNIA ABLE ACT BOARD			
	State Operations:			
8101	California ABLE Administrative Fund	\$636	\$2,000	\$1,070
	Totals, State Operations	\$636	\$2,000	\$1,070
TOTALS, EXPENDITURES				
	State Operations	636	2,000	1,070
	Totals, Expenditures	\$636	\$2,000	\$1,070

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	3.0	3.0	-	\$220	\$249	\$-
Other Adjustments	-	-	3.0	21	-	282
Net Totals, Salaries and Wages	3.0	3.0	3.0	\$241	\$249	\$282
Staff Benefits	-	-	-	117	131	145
Totals, Personal Services	3.0	3.0	3.0	\$358	\$380	\$427
OPERATING EXPENSES AND EQUIPMENT				\$278	\$1,620	\$643
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$636	\$2,000	\$1,070

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
011 Budget Act appropriation (loan to California ABLE Administrative Fund)	(\$650)	(\$1,750)	(\$1,070)
TOTALS, EXPENDITURES	-	-	-
8101 California ABLE Administrative Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$636	\$2,000	\$1,070
Allocation for Employee Compensation	-	10	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	4	-
Realignment to fully fund staff benefits	-	-19	-
Section 3.60 Pension Contribution Adjustment	-	2	-
Totals Available	\$636	\$2,000	\$1,070
TOTALS, EXPENDITURES	\$636	\$2,000	\$1,070
Total Expenditures, All Funds, (State Operations)	\$636	\$2,000	\$1,070

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0981 California ABLE Act Board - Continued

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	3.0	3.0	-	\$220	\$249	\$-
Salary and Other Adjustments	-	-	-	21	-	-
Workload and Administrative Adjustments						
Continued Implementation of the California Achieving a Better Life Experience Program (Loan)						
Assoc Govtl Program Analyst	-	-	1.0	-	-	75
Executive Director	-	-	1.0	-	-	119
Staff Svcs Mgr I	-	-	1.0	-	-	88
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	3.0	\$-	\$-	\$282
Totals, Adjustments	-	-	3.0	\$21	\$-	\$282
TOTALS, SALARIES AND WAGES	3.0	3.0	3.0	\$241	\$249	\$282

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0983 California Urban Waterfront Area Restoration Financing Authority

Created in 1983, the California Urban Waterfront Area Restoration Financing Authority was established to restore, revitalize and develop in an environmentally and economically sound manner, the coastal and inland urban waterfront areas of the state, in cooperation with local governments. The Authority consists of five members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Secretary of the Natural Resources Agency, and the Executive Director of the State Coastal Conservancy.

3-YEAR EXPENDITURES AND POSITIONS †

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0900 California Urban Waterfront Restoration Financing Program	-	-	-	\$-	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$-	\$-

† This budget display is for informational purposes only. Public Resource Code section 32054 authorizes an Executive Director; however, the position is currently vacant.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Resources Code, Division 22, Sections 32000-32208.

PROGRAM DESCRIPTIONS

0900 - The Authority was established to issue up to \$650 million in conduit financing to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by the Authority generally be within an allocation from the state's "private activity" bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the specific project and a master plan for urban waterfront restoration before any project can obtain the Authority approval for revenue bond financing. The Authority has sold \$3.33 million in revenue bonds to date.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0984 California Secure Choice Retirement Savings Investment Board

The California Secure Choice Retirement Savings Investment Board was established by Chapter 734, Statutes of 2012 (SB 1234). Subsequently, Chapter 804, Statutes of 2016 (SB 1234), authorized the Board to implement the CalSavers Retirement Savings Program (Program), which is a state-administered retirement savings program for private sector employees in California with no access to workplace retirement savings plans.

The Board consists of nine members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, a retirement savings and investment expert appointed by the Senate Committee on Rules, an employee representative appointed by the Speaker of the Assembly, a small business representative appointed by the Governor, a public member appointed by the Governor, and two additional members appointed by the Governor.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0910 California Secure Choice Retirement Savings Investment Board	5.0	10.0	10.0	\$1,699	\$2,919	\$3,343
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	5.0	10.0	10.0	\$1,699	\$2,919	\$3,343
FUNDING				2017-18*	2018-19*	2019-20*
8111 Secure Choice Retirement Savings Administration Fund				\$1,699	\$2,919	\$3,343
TOTALS, EXPENDITURES, ALL FUNDS				\$1,699	\$2,919	\$3,343

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 100000-100050.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$8	-	\$-	\$8	-
• Miscellaneous Baseline Adjustments	-	364	-	-	3,288	-
• Salary Adjustments	-	29	-	-	29	-
• Benefit Adjustments	-	11	-	-	11	-
• Retirement Rate Adjustments	-	7	-	-	7	-
Totals, Other Workload Budget Adjustments	\$-	\$419	-	\$-	\$3,343	-
Totals, Workload Budget Adjustments	\$-	\$419	-	\$-	\$3,343	-
Totals, Budget Adjustments	\$-	\$419	-	\$-	\$3,343	-

PROGRAM DESCRIPTIONS

0910 - CALSAVERS

The Program provides employees a retirement savings program without the administrative complexity, fees, or fiduciary liability of existing options for employers. Any employer with at least five employees that does not already offer a workplace retirement savings vehicle will be required to either begin offering one via the private market or provide their employees with access to the Program. A pilot version of the Program was launched in November 2018, which runs until June 2019. The Program is fully launching on July 1, 2019.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0984 California Secure Choice Retirement Savings Investment Board - Continued

DETAILED EXPENDITURES BY PROGRAM

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PROGRAM REQUIREMENTS				
0910	CALIFORNIA SECURE CHOICE RETIREMENT SAVINGS INVESTMENT BOARD			
State Operations:				
8111	Secure Choice Retirement Savings Administration Fund	1,699	2,919	3,343
	Totals, State Operations	<u>\$1,699</u>	<u>\$2,919</u>	<u>\$3,343</u>
TOTALS, EXPENDITURES				
	State Operations	1,699	2,919	3,343
	Totals, Expenditures	<u>\$1,699</u>	<u>\$2,919</u>	<u>\$3,343</u>

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PERSONAL SERVICES						
Baseline Positions	5.0	10.0	10.0	\$410	\$591	\$866
Net Totals, Salaries and Wages	<u>5.0</u>	<u>10.0</u>	<u>10.0</u>	<u>\$410</u>	<u>\$591</u>	<u>\$866</u>
Staff Benefits	-	-	-	223	323	417
Totals, Personal Services	<u>5.0</u>	<u>10.0</u>	<u>10.0</u>	<u>\$633</u>	<u>\$914</u>	<u>\$1,283</u>
OPERATING EXPENSES AND EQUIPMENT				\$1,066	\$2,005	\$2,060
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				<u>\$1,699</u>	<u>\$2,919</u>	<u>\$3,343</u>

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
0001 General Fund			
APPROPRIATIONS			
011 Budget Act appropriation (loan to Secure Choice Retirement Savings Program Fund)	(\$15,000)	(\$2,500)	(-)
TOTALS, EXPENDITURES	<u>-</u>	<u>-</u>	<u>-</u>
8111 Secure Choice Retirement Savings Administration Fund			
APPROPRIATIONS			
Government Code section 100004 (Secure Choice administration)	\$1,699	\$2,500	\$3,343
Allocation for Employee Compensation	-	29	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	11	-
Miscellaneous Adjustments	-	364	-
Section 3.60 Pension Contribution Adjustment	-	7	-
Totals Available	<u>\$1,699</u>	<u>\$2,919</u>	<u>\$3,343</u>
TOTALS, EXPENDITURES	<u>\$1,699</u>	<u>\$2,919</u>	<u>\$3,343</u>
Total Expenditures, All Funds, (State Operations)	<u>\$1,699</u>	<u>\$2,919</u>	<u>\$3,343</u>

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Baseline Positions	5.0	10.0	10.0	\$376	\$742	\$-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0984 California Secure Choice Retirement Savings Investment Board - Continued

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Salary and Other Adjustments	-	-	-	34	-151	866
Totals, Adjustments	-	-	-	\$34	\$-151	\$866
TOTALS, SALARIES AND WAGES	5.0	10.0	10.0	\$410	\$591	\$866

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0984 California Secure Choice Retirement Savings Investment Board

The California Secure Choice Retirement Savings Investment Board was established by Chapter 734, Statutes of 2012 (SB 1234). Subsequently, Chapter 804, Statutes of 2016 (SB 1234), authorized the Board to implement the CalSavers Retirement Savings Program (Program), which is a state-administered retirement savings program for private sector employees in California with no access to workplace retirement savings plans.

The Board consists of nine members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, a retirement savings and investment expert appointed by the Senate Committee on Rules, an employee representative appointed by the Speaker of the Assembly, a small business representative appointed by the Governor, a public member appointed by the Governor, and two additional members appointed by the Governor.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0910 California Secure Choice Retirement Savings Investment Board	5.0	10.0	10.0	\$1,699	\$2,919	\$3,343
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	5.0	10.0	10.0	\$1,699	\$2,919	\$3,343
FUNDING				2017-18*	2018-19*	2019-20*
8111 Secure Choice Retirement Savings Administration Fund				\$1,699	\$2,919	\$3,343
TOTALS, EXPENDITURES, ALL FUNDS				\$1,699	\$2,919	\$3,343

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 100000-100050.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$8	-	\$-	\$8	-
• Miscellaneous Baseline Adjustments	-	364	-	-	3,288	-
• Salary Adjustments	-	29	-	-	29	-
• Benefit Adjustments	-	11	-	-	11	-
• Retirement Rate Adjustments	-	7	-	-	7	-
Totals, Other Workload Budget Adjustments	\$-	\$419	-	\$-	\$3,343	-
Totals, Workload Budget Adjustments	\$-	\$419	-	\$-	\$3,343	-
Totals, Budget Adjustments	\$-	\$419	-	\$-	\$3,343	-

PROGRAM DESCRIPTIONS

0910 - CALSAVERS

The Program provides employees a retirement savings program without the administrative complexity, fees, or fiduciary liability of existing options for employers. Any employer with at least five employees that does not already offer a workplace retirement savings vehicle will be required to either begin offering one via the private market or provide their employees with access to the Program. A pilot version of the Program was launched in November 2018, which runs until June 2019. The Program is fully launching on July 1, 2019.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0984 California Secure Choice Retirement Savings Investment Board - Continued**DETAILED EXPENDITURES BY PROGRAM**

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0910	CALIFORNIA SECURE CHOICE RETIREMENT SAVINGS INVESTMENT BOARD			
State Operations:				
8111	Secure Choice Retirement Savings Administration Fund	1,699	2,919	3,343
Totals, State Operations		\$1,699	\$2,919	\$3,343
TOTALS, EXPENDITURES				
State Operations		1,699	2,919	3,343
Totals, Expenditures		\$1,699	\$2,919	\$3,343

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	5.0	10.0	10.0	\$410	\$591	\$866
Net Totals, Salaries and Wages	5.0	10.0	10.0	\$410	\$591	\$866
Staff Benefits	-	-	-	223	323	417
Totals, Personal Services	5.0	10.0	10.0	\$633	\$914	\$1,283
OPERATING EXPENSES AND EQUIPMENT				\$1,066	\$2,005	\$2,060
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,699	\$2,919	\$3,343

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2017-18*	2018-19*	2019-20*
	0001 General Fund			
APPROPRIATIONS				
011	Budget Act appropriation (loan to Secure Choice Retirement Savings Program Fund)	(\$15,000)	(\$2,500)	(-)
TOTALS, EXPENDITURES		-	-	-
	8111 Secure Choice Retirement Savings Administration Fund			
APPROPRIATIONS				
	Government Code section 100004 (Secure Choice administration)	\$1,699	\$2,500	\$3,343
	Allocation for Employee Compensation	-	29	-
	Allocation for Other Post-Employment Benefits	-	8	-
	Allocation for Staff Benefits	-	11	-
	Miscellaneous Adjustments	-	364	-
	Section 3.60 Pension Contribution Adjustment	-	7	-
Totals Available		\$1,699	\$2,919	\$3,343
TOTALS, EXPENDITURES		\$1,699	\$2,919	\$3,343
Total Expenditures, All Funds, (State Operations)		\$1,699	\$2,919	\$3,343

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	5.0	10.0	10.0	\$376	\$742	\$-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0984 California Secure Choice Retirement Savings Investment Board - Continued

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Salary and Other Adjustments	-	-	-	34	-151	866
Totals, Adjustments	-	-	-	\$34	\$-151	\$866
TOTALS, SALARIES AND WAGES	5.0	10.0	10.0	\$410	\$591	\$866

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority

The California School Finance Authority (CSFA), created in 1985, provides facilities and working capital financing to school districts, community college districts, county offices of education, and charter schools. The State Treasurer serves as chair of CSFA and the Superintendent of Public Instruction and the Director of Finance serve as members. CSFA has offices in Los Angeles and Sacramento.

CSFA serves as the primary state agency for California charter schools seeking facility and working capital assistance by providing access to low-cost financing through several State and federally funded programs.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0918	Smart Bonds	1.0	1.0	1.0	\$294	\$306	\$306
0920	Charter School Facilities Program	5.3	2.5	2.5	1,090	1,278	1,279
0925	State Charter School Facilities Incentive Grants Program	1.9	3.0	3.0	10,735	20,450	20,456
0930	Charter School Facility Grant Program	4.2	4.0	4.0	133,555	137,247	137,247
0935	Charter School Revolving Loan Program	0.5	1.0	1.0	6,789	12,508	12,508
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		12.9	11.5	11.5	\$152,463	\$171,789	\$171,796

FUNDING		2017-18*	2018-19*	2019-20*
0001	General Fund		\$437	\$583
0001	General Fund, Proposition 98		133,177	136,786
0526	California School Finance Authority Fund		294	306
0606	Charter School Revolving Loan Fund		6,730	12,386
0890	Federal Trust Fund		10,735	20,450
6044	2004 State School Facilities Fund		682	712
6057	2006 State School Facilities Fund		408	566
TOTALS, EXPENDITURES, ALL FUNDS			\$152,463	\$171,789

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

Education Code Sections 17078.52-17078.66, 17170-17199.5, 41365, 41366.5, 41366.7, 41367, and 47614.5

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$4	\$7	-	\$4	\$7	-
• Salary Adjustments	14	24	-	14	24	-
• Benefit Adjustments	5	9	-	5	10	-
• Retirement Rate Adjustments	4	5	-	4	5	-
• Miscellaneous Baseline Adjustments	-	-	-	-	89	-
• SWCAP	-	-	-	-	6	-
Totals, Other Workload Budget Adjustments	\$27	\$45	-	\$27	\$141	-
Totals, Workload Budget Adjustments	\$27	\$45	-	\$27	\$141	-
Totals, Budget Adjustments	\$27	\$45	-	\$27	\$141	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued

PROGRAM DESCRIPTIONS

0918 - SMART BONDS PROGRAM

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established CSFA and authorized the issuance of revenue bonds or other debt instruments. The proceeds from the sale of the bonds were available for loans to schools and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, and to provide working capital. Chapter 325, Statutes of 2006 (AB 2717), allows CSFA to issue debt on behalf of California charter schools for capital improvements and working capital needs.

0920 - CHARTER SCHOOL FACILITIES PROGRAM

Chapter 935, Statutes of 2002, as amended by Chapter 587, Statutes of 2003, created the Charter School Facilities Program (CSFP), which provides funding for the new construction, renovation or rehabilitation of charter school facilities. CSFA and the Office of Public School Construction jointly administer the program. CSFP was funded through the Kindergarten-University Public Education Facilities Bond Acts of 2002, 2004, 2006, and 2016, for a total of \$1.4 billion. The program provides a 50 percent state subsidy for the charter school facilities project costs, with the balance of the project costs being repaid (to the state) by the charter school in the form of a long-term lease. Recipient charter schools must provide site-based instruction, be deemed financially sound by CSFA, and meet other program eligibility requirements.

0925 - STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM

In 2004, 2009, and 2014, CSFA was awarded grants under the United States Department of Education's State Charter School Facilities Incentive Grants Program (CFDA 84.282D) to provide California charter schools with assistance for facilities costs. Grant funds reimburse a charter school's costs for rent, lease, mortgage or debt service payments for existing or new facilities or the costs of acquiring land and/or constructing or renovating a facility. Grants are awarded to charter schools based on preference points allotted by the percentage of low-income students, percentage of overcrowding, not-for-profit status, and demonstrated student performance. Schools cannot use program funds to supplant state funding.

0930 - CHARTER SCHOOL FACILITY GRANT PROGRAM

The Charter School Facility Grant Program provides Proposition 98 General Fund grants to assist charter schools with rent and lease costs. This program targets schools and communities with significant populations of economically disadvantaged students. Eligible charter schools are provided up to \$1,187 per unit of eligible average daily attendance, not to exceed 75 percent of their total annual facilities rent or lease costs.

0935 - CHARTER SCHOOL REVOLVING LOAN FUND

Charter 1010, Statutes of 1976 established the Charter School Revolving Loan Fund in the State Treasury to provide loans of up to \$250,000 to new charter schools to assist them in meeting the purposes of the school's approved charter. The program is available to any charter school that is not a conversion of an existing public school, and preference is given to schools that have not completed their full charter term.

DETAILED EXPENDITURES BY PROGRAM

		<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PROGRAM REQUIREMENTS				
0918	SMART BONDS			
	State Operations:			
0526	California School Finance Authority Fund	\$294	\$306	\$306
	Totals, State Operations	<u>\$294</u>	<u>\$306</u>	<u>\$306</u>
PROGRAM REQUIREMENTS				
0920	CHARTER SCHOOL FACILITIES PROGRAM			
	State Operations:			
6044	2004 State School Facilities Fund	\$682	\$712	\$713
6057	2006 State School Facilities Fund	408	566	566
	Totals, State Operations	<u>\$1,090</u>	<u>\$1,278</u>	<u>\$1,279</u>
PROGRAM REQUIREMENTS				
0925	STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued

	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
State Operations:			
0890 Federal Trust Fund	\$235	\$450	\$456
Totals, State Operations	<u>\$235</u>	<u>\$450</u>	<u>\$456</u>
Local Assistance:			
0890 Federal Trust Fund	\$10,500	\$20,000	\$20,000
Totals, Local Assistance	<u>\$10,500</u>	<u>\$20,000</u>	<u>\$20,000</u>
PROGRAM REQUIREMENTS			
0930 CHARTER SCHOOL FACILITY GRANT PROGRAM			
State Operations:			
0001 General Fund	\$378	\$461	\$461
Totals, State Operations	<u>\$378</u>	<u>\$461</u>	<u>\$461</u>
Local Assistance:			
0001 General Fund	\$133,177	\$136,786	\$136,786
Totals, Local Assistance	<u>\$133,177</u>	<u>\$136,786</u>	<u>\$136,786</u>
PROGRAM REQUIREMENTS			
0935 CHARTER SCHOOL REVOLVING LOAN PROGRAM			
State Operations:			
0001 General Fund	\$59	\$122	\$122
Totals, State Operations	<u>\$59</u>	<u>\$122</u>	<u>\$122</u>
Local Assistance:			
0606 Charter School Revolving Loan Fund	\$6,730	\$12,386	\$12,386
Totals, Local Assistance	<u>\$6,730</u>	<u>\$12,386</u>	<u>\$12,386</u>
TOTALS, EXPENDITURES			
State Operations	2,056	2,617	2,624
Local Assistance	150,407	169,172	169,172
Totals, Expenditures	<u>\$152,463</u>	<u>\$171,789</u>	<u>\$171,796</u>

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
PERSONAL SERVICES						
Baseline Positions	11.5	11.5	11.5	\$767	\$767	\$767
Other Adjustments	1.4	-	-	141	38	38
Net Totals, Salaries and Wages	<u>12.9</u>	<u>11.5</u>	<u>11.5</u>	<u>\$908</u>	<u>\$805</u>	<u>\$805</u>
Staff Benefits	-	-	-	424	488	489
Totals, Personal Services	<u>12.9</u>	<u>11.5</u>	<u>11.5</u>	<u>\$1,332</u>	<u>\$1,293</u>	<u>\$1,294</u>
OPERATING EXPENSES AND EQUIPMENT				\$678	\$1,299	\$1,305
SPECIAL ITEMS OF EXPENSES				46	25	25
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				<u>\$2,056</u>	<u>\$2,617</u>	<u>\$2,624</u>
2 Local Assistance						
				<u>Expenditures</u>		
				<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Grants and Subventions - Governmental				\$150,407	\$169,172	\$156,786
Other Special Items of Expense				-	-	12,386
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)				<u>\$150,407</u>	<u>\$169,172</u>	<u>\$169,172</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$437	\$556	\$583
Allocation for Employee Compensation	-	14	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	4	-
Totals Available	<u>\$437</u>	<u>\$583</u>	<u>\$583</u>
TOTALS, EXPENDITURES	<u>\$437</u>	<u>\$583</u>	<u>\$583</u>
0526 California School Finance Authority Fund			
APPROPRIATIONS			
Education Code section 17181(a)	\$294	\$301	\$306
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Totals Available	<u>\$294</u>	<u>\$306</u>	<u>\$306</u>
TOTALS, EXPENDITURES	<u>\$294</u>	<u>\$306</u>	<u>\$306</u>
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$235	\$435	\$456
Allocation for Employee Compensation	-	8	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	2	-
Totals Available	<u>\$235</u>	<u>\$450</u>	<u>\$456</u>
TOTALS, EXPENDITURES	<u>\$235</u>	<u>\$450</u>	<u>\$456</u>
6044 2004 State School Facilities Fund			
APPROPRIATIONS			
Education Code Section 17078.52(b)(2) (Transfer to 2004 Charter School Facilities Account, 2004 State School Facilities Fund)	\$682	\$687	\$713
Current Year & Budget Year Expenditure Adjustments	-	25	-
Totals Available	<u>\$682</u>	<u>\$712</u>	<u>\$713</u>
TOTALS, EXPENDITURES	<u>\$682</u>	<u>\$712</u>	<u>\$713</u>
6057 2006 State School Facilities Fund			
APPROPRIATIONS			
Education Code Section 17078.52(b)(3) (Transfer to 2006 Charter School Facilities Account, 2006 State School Facilities Fund)	\$408	\$566	\$566
Totals Available	<u>\$408</u>	<u>\$566</u>	<u>\$566</u>
TOTALS, EXPENDITURES	<u>\$408</u>	<u>\$566</u>	<u>\$566</u>
9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$682	\$687	\$713
Allocation for Employee Compensation	-	13	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	3	-
Totals Available	<u>\$682</u>	<u>\$712</u>	<u>\$713</u>
TOTALS, EXPENDITURES	<u>\$682</u>	<u>\$712</u>	<u>\$713</u>
Less funding provided by 2004 State School Facilities Fund	-682	-712	-713

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
NET TOTALS, EXPENDITURES	<u>-</u>	<u>-</u>	<u>-</u>
9735 2006 Charter School Facilities Account, 2006 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$408	\$566	\$566
Totals Available	<u>\$408</u>	<u>\$566</u>	<u>\$566</u>
TOTALS, EXPENDITURES	<u>\$408</u>	<u>\$566</u>	<u>\$566</u>
Less funding provided by 2006 State School Facilities Fund	-408	-566	-566
NET TOTALS, EXPENDITURES	<u>-</u>	<u>-</u>	<u>-</u>
Total Expenditures, All Funds, (State Operations)	<u>\$2,056</u>	<u>\$2,617</u>	<u>\$2,624</u>
2 LOCAL ASSISTANCE	2017-18*	2018-19*	2019-20*
0001 General Fund, Proposition 98			
APPROPRIATIONS			
220 Budget Act appropriation	\$112,031	\$136,786	\$136,786
Chapter 426, Statutes of 2018 (P98) Charter School Facility Grants (Add-on)	21,146	-	-
TOTALS, EXPENDITURES	<u>\$133,177</u>	<u>\$136,786</u>	<u>\$136,786</u>
0606 Charter School Revolving Loan Fund			
APPROPRIATIONS			
Education Code section 41365	\$6,730	\$12,386	\$12,386
Totals Available	<u>\$6,730</u>	<u>\$12,386</u>	<u>\$12,386</u>
TOTALS, EXPENDITURES	<u>\$6,730</u>	<u>\$12,386</u>	<u>\$12,386</u>
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$10,500	\$20,000	\$20,000
Totals Available	<u>\$10,500</u>	<u>\$20,000</u>	<u>\$20,000</u>
TOTALS, EXPENDITURES	<u>\$10,500</u>	<u>\$20,000</u>	<u>\$20,000</u>
Total Expenditures, All Funds, (Local Assistance)	<u>\$150,407</u>	<u>\$169,172</u>	<u>\$169,172</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	<u>\$152,463</u>	<u>\$171,789</u>	<u>\$171,796</u>

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2017-18*</u>	<u>2018-19*</u>	<u>2019-20*</u>
Baseline Positions	11.5	11.5	11.5	\$767	\$767	\$767
Salary and Other Adjustments	1.4	-	-	141	38	38
Totals, Adjustments	<u>1.4</u>	<u>-</u>	<u>-</u>	<u>\$141</u>	<u>\$38</u>	<u>\$38</u>
TOTALS, SALARIES AND WAGES	<u>12.9</u>	<u>11.5</u>	<u>11.5</u>	<u>\$908</u>	<u>\$805</u>	<u>\$805</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0989 California Educational Facilities Authority

The California Educational Facilities Authority (CEFA) provides qualified nonprofit higher education institutions with assistance through a tax-exempt revenue bond program to reduce the costs of financing academic facilities. CEFA may also issue bonds, notes, and other forms of indebtedness for student loans to support students' costs of higher education.

CEFA consists of the following five members: the Director of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
0940	Bond Financing	3.7	5.0	5.0	\$668	\$948	\$926
0955	College Access Tax Credit Program	-	-	-	20	79	79
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		3.7	5.0	5.0	\$688	\$1,027	\$1,005

FUNDING		2017-18*	2018-19*	2019-20*
0911	Educational Facilities Authority Fund		\$668	\$948
3263	College Access Tax Credit Fund		20	79
TOTALS, EXPENDITURES, ALL FUNDS			\$688	\$1,027

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Education Code Sections 94100 to 94213.

DETAILED BUDGET ADJUSTMENTS

	2018-19*			2019-20*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$4	-	\$-	\$4	-
• Salary Adjustments	-	15	-	-	15	-
• Benefit Adjustments	-	6	-	-	6	-
• Retirement Rate Adjustments	-	4	-	-	4	-
Totals, Other Workload Budget Adjustments	\$-	\$29	-	\$-	\$29	-
Totals, Workload Budget Adjustments	\$-	\$29	-	\$-	\$29	-
Totals, Budget Adjustments	\$-	\$29	-	\$-	\$29	-

PROGRAM DESCRIPTIONS

0940 - BOND FINANCING

CEFA issues tax-exempt revenue bonds to assist private educational institutions of higher learning to construct educational facilities. Because it is authorized to issue tax-exempt bonds, CEFA may be able to provide more favorable financing terms than might otherwise be obtainable. CEFA also may include qualifying nonprofit entities as eligible program participants for the construction of student and faculty housing. Bonds issued by CEFA are not a debt, liability, or claim on the faith and credit or the taxing power of the State of California or any of its political subdivisions. The full faith and credit of the participating institution is normally pledged to the payment of the bonds. Bonds issued for this purpose are not subject to the state's "private activity" bond ceiling as specified in federal law.

For purposes of the California Educational Facilities Act, "private college" or "private participating college" means a private college that does not restrict the admission of a student based on his or her race or ethnicity, provided that the financing does not violate constitutional provisions.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0989 California Educational Facilities Authority - Continued

"Bond" means bonds, notes, debentures, securities, or other evidences of indebtedness. This broad definition allows CEFA to issue tax-exempt direct or private placement loans for colleges and universities, which may provide greater cost savings than these colleges and universities might otherwise realize on a traditional bond financing.

0955 - COLLEGE ACCESS TAX CREDIT FUND

Chapter 367, Statutes of 2014, required CEFA to administer the College Access Tax Credit Fund and allocate and certify the tax credits for taxable years beginning on or after January 1, 2014, and before January 1, 2017. Chapter 22, Statutes of 2015, added a requirement that CEFA continue to allocate and certify the tax credits for taxable years beginning on or after January 1, 2017, and before January 1, 2018. Chapter 527, Statutes of 2017, extended CEFA's responsibility to administer the tax credits for taxable years beginning on or after January 1, 2017, and before January 1, 2023.

DETAILED EXPENDITURES BY PROGRAM

		2017-18*	2018-19*	2019-20*
PROGRAM REQUIREMENTS				
0940	BOND FINANCING			
	State Operations:			
0911	Educational Facilities Authority Fund	\$668	\$948	\$926
	Totals, State Operations	\$668	\$948	\$926
PROGRAM REQUIREMENTS				
0955	COLLEGE ACCESS TAX CREDIT PROGRAM			
	State Operations:			
3263	College Access Tax Credit Fund	\$20	\$79	\$79
	Totals, State Operations	\$20	\$79	\$79
TOTALS, EXPENDITURES				
	State Operations	688	1,027	1,005
	Totals, Expenditures	\$688	\$1,027	\$1,005

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions					
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
PERSONAL SERVICES						
Baseline Positions	5.5	5.0	5.0	\$420	\$426	\$426
Other Adjustments	-1.8	-	-	-174	15	15
Net Totals, Salaries and Wages	3.7	5.0	5.0	\$246	\$441	\$441
Staff Benefits	-	-	-	134	236	236
Totals, Personal Services	3.7	5.0	5.0	\$380	\$677	\$677
OPERATING EXPENSES AND EQUIPMENT				\$308	\$350	\$328
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$688	\$1,027	\$1,005

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
0911 Educational Facilities Authority Fund			
APPROPRIATIONS			
Education Code sections 94140-94141	\$668	\$923	\$926
Allocation for Employee Compensation	-	13	-
Allocation for Other Post-Employment Benefits	-	3	-

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0989 California Educational Facilities Authority - Continued

1 STATE OPERATIONS	2017-18*	2018-19*	2019-20*
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	4	-
Totals Available	\$668	\$948	\$926
TOTALS, EXPENDITURES	\$668	\$948	\$926
3263 College Access Tax Credit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$20	\$75	\$79
Allocation for Employee Compensation	-	2	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Totals Available	\$20	\$79	\$79
TOTALS, EXPENDITURES	\$20	\$79	\$79
Total Expenditures, All Funds, (State Operations)	\$688	\$1,027	\$1,005

FUND CONDITION STATEMENTS

	2017-18*	2018-19*	2019-20*
3263 College Access Tax Credit Fund⁵			
BEGINNING BALANCE	\$7,189	\$5,737	\$3,471
Prior Year Adjustments	-20	-	-
Adjusted Beginning Balance	\$7,169	\$5,737	\$3,471
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4171300 Donations	6,696	6,696	6,696
Transfers and Other Adjustments			
Revenue Transfer from College Access Tax Credit Fund (3263) to the General Fund (0001) per Revenue and Taxation Code Section 17053.86 (Ch. 367/2014)	-10,000	-	-
Revenue Transfer from College Access Tax Credit Fund (3263) to the General Fund (0001) per Revenue and Taxation Code Sections 17053.86 (Ch. 367/2014) and 17053.87 (Ch. 527/2017)	4,631	-5,369	-5,369
Revenue Transfer from College Access Tax Credit Fund (3263) to the General Fund (0001) per Revenue and Taxation Code Sections 17053.86 (Ch.367/2014) and 17053.87 (Ch. 527/2017)	2,162	2,162	2,162
Total Revenues, Transfers, and Other Adjustments	\$3,489	\$3,489	\$3,489
Total Resources	\$10,658	\$9,226	\$6,960
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0989 California Educational Facilities Authority (State Operations)	20	79	79
6980 California Student Aid Commission (Local Assistance)	4,856	5,631	5,631
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	45	45	6
Total Expenditures and Expenditure Adjustments	\$4,921	\$5,755	\$5,716
FUND BALANCE	\$5,737	\$3,471	\$1,244
Reserve for economic uncertainties	5,737	3,471	1,244

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
Baseline Positions	5.5	5.0	5.0	\$420	\$426	\$426
Salary and Other Adjustments	-1.8	-	-	-174	15	15
Totals, Adjustments	-1.8	-	-	\$-174	\$15	\$15

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0989 California Educational Facilities Authority - Continued

	Positions			Expenditures		
	2017-18	2018-19	2019-20	2017-18*	2018-19*	2019-20*
TOTALS, SALARIES AND WAGES	3.7	5.0	5.0	\$246	\$441	\$441

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.