

1701 Business Oversight

FUND CONDITION STATEMENTS

	2011-12*	2012-13*	2013-14*
0067 State Corporations Fund ^s			
BEGINNING BALANCE	\$53,498	\$60,146	\$50,581
Prior year adjustments	5,102	-	-
Adjusted Beginning Balance	\$58,600	\$60,146	\$50,581
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	28,543	27,904	27,907
125800 Renewal Fees	6,489	6,518	6,518
125900 Delinquent Fees	5	-	-
141200 Sales of Documents	1	2	2
142500 Miscellaneous Services to the Public	6	1	1
150300 Income From Surplus Money Investments	195	200	200
161000 Escheat of Unclaimed Checks & Warrants	4	-	-
161900 Other Revenue - Cost Recoveries	19	-	-
163000 Settlements/Judgments(not Anti-trust)	1,745	588	588
164300 Penalty Assessments	285	108	108
Transfers and Other Adjustments:			
TO0001 To General Fund per Item 1701-001-0067, Budget Act of 2013	-	-	-15,000
Total Revenues, Transfers, and Other Adjustments	\$37,292	\$35,321	\$20,324
Total Resources	\$95,892	\$95,467	\$70,905
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	-	-	193
0840 State Controller (State Operations)	43	51	-
1701 Business Oversight (State Operations)	-	-	44,467
2180 Department of Corporations (State Operations)	35,703	44,593	-
8880 Financial Information System for California (State Operations)	-	242	207
Total Expenditures and Expenditure Adjustments	\$35,746	\$44,886	\$44,867
FUND BALANCE	\$60,146	\$50,581	\$26,038
Reserve for economic uncertainties	60,146	50,581	26,038
0240 Local Agency Deposit Security Fund ^s			
BEGINNING BALANCE	\$450	\$438	\$283
Prior year adjustments	2	-	-
Adjusted Beginning Balance	\$452	\$438	\$283
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
121200 Other Regulatory Taxes	224	228	228
150300 Income From Surplus Money Investments	2	3	3
164300 Penalty Assessments	18	10	10
Total Revenues, Transfers, and Other Adjustments	\$244	\$241	\$241
Total Resources	\$696	\$679	\$524
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	-	-	2
0840 State Controller (State Operations)	1	1	-
1701 Business Oversight (State Operations)	-	-	405
2150 Department of Financial Institutions (State Operations)	257	393	-

* Dollars in thousands, except in Salary Range.

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8880 Financial Information System for California (State Operations)	-	<u>2</u>	<u>2</u>
Total Expenditures and Expenditure Adjustments	<u>\$258</u>	<u>\$396</u>	<u>\$409</u>
FUND BALANCE	\$438	\$283	\$115
Reserve for economic uncertainties	438	283	115
0298 Financial Institutions Fund ^s			
BEGINNING BALANCE	\$12,441	\$11,547	\$10,096
Prior year adjustments	<u>-705</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$11,736	\$11,547	\$10,096
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
121200 Other Regulatory Taxes	22,397	22,561	22,561
125700 Other Regulatory Licenses and Permits	125	125	125
150300 Income From Surplus Money Investments	72	72	72
161000 Escheat of Unclaimed Checks & Warrants	5	5	5
161400 Miscellaneous Revenue	<u>1,765</u>	<u>1,700</u>	<u>1,700</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$24,364</u>	<u>\$24,463</u>	<u>\$24,463</u>
Total Resources	\$36,100	\$36,010	\$34,559
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	-	-	96
0840 State Controller (State Operations)	35	42	-
1701 Business Oversight (State Operations)	-	-	25,957
2150 Department of Financial Institutions (State Operations)	24,460	25,732	-
8880 Financial Information System for California (State Operations)	<u>58</u>	<u>140</u>	<u>120</u>
Total Expenditures and Expenditure Adjustments	<u>\$24,553</u>	<u>\$25,914</u>	<u>\$26,173</u>
FUND BALANCE	\$11,547	\$10,096	\$8,386
Reserve for economic uncertainties	11,547	10,096	8,386
0299 Credit Union Fund ^s			
BEGINNING BALANCE	\$2,424	\$1,720	\$1,612
Prior year adjustments	<u>60</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,484	\$1,720	\$1,612
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
121200 Other Regulatory Taxes	6,363	7,200	7,200
125700 Other Regulatory Licenses and Permits	15	40	40
150300 Income From Surplus Money Investments	22	22	22
164300 Penalty Assessments	29	10	10
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2150-011-0239, Budget Act of 2002	<u>-</u>	<u>-</u>	<u>1,350</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$6,429</u>	<u>\$7,272</u>	<u>\$8,622</u>
Total Resources	\$8,913	\$8,992	\$10,234
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	-	-	30
0840 State Controller (State Operations)	10	12	-
1701 Business Oversight (State Operations)	-	-	7,474
2150 Department of Financial Institutions (State Operations)	7,167	7,328	-
8880 Financial Information System for California (State Operations)	16	40	34

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	<u>2011-12*</u>	<u>2012-13*</u>	<u>2013-14*</u>
Total Expenditures and Expenditure Adjustments	\$7,193	\$7,380	\$7,538
FUND BALANCE	\$1,720	\$1,612	\$2,696
Reserve for economic uncertainties	1,720	1,612	2,696

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